ANTHROPOLOGICAL APPROACHES TO THE UNDERSTANDING OF WITCHCRAFT AND SORCERY AN HISTORICAL AND CRITICAL STUDY WITH SPECIAL REFERENCE TO THE WORK OF E.E. EVANS-PRITCHARD AND CLYDE KLUCKHOHN

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ALASTAIR FRASER CAMPBELL

B.A., University of Otago, 1967

Research Associate in Semiotics, International Centre for Semiotics and Linguistics, Urbino, 1972

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(Alastair Fraser Campbell)

Department of _____Anthropology_____

The University of British Columbia Vancouver 8, Canada

Date 25 - 5 - 1973

ABSTRACT

Attempts to establish cross-culturally valid definitions of witchcraft, sorcery and destructive magic are misleading, since these phenomena do not constitute true classes, but bear only a family resemblance to each other. Moreover, the attempt to establish such definitions violates the integrity of native categories of thought, and thus obscures the understanding of the way in which thought is manifested in actions taken in specific behavioural contexts.

The understanding of native categories of thought, and of the way in which these are translated into overt behaviour in specific contexts of action, is conditioned by our prior experience as the members of a particular culture and social system. Our culturally acquired notions of the nature of human society, and of reality more generally, enter into our perception of the characteristics of primitive societies. Particularly difficult for us, coming from a culture in which our notions of rationality are deeply influenced by the subject matter and methods of the natural sciences, is the understanding of behaviour associated with ideas of magic and witchcraft.

A review of the history of anthropological theory indicates a wide variety in approaches towards the understanding of these phenomena. Thus magic and witchcraft have been variously interpreted as historical survivals from an earlier phase of human social evolution, as manifestations of a particular mentality peculiar to primitives, as an affective response to situations of anxiety, as a mechanism providing for the release of tensions consequent upon life in society, and as a cosmology in terms of which natural and social relationships are ordered.

The scope of such interpretations has ranged from generalizations made on the basis of a wide range of phenomena, and aiming at cross-cultural validity, to interpretations of a restricted set of data from only one culture. It is with interpretations of the latter type that witchcraft and sorcery become subjects of study in their own right, instead of being subsumed under some theory purporting to hold true for the entire domain of magic and religion, or even primitive mentality as such.

Tylor, Frazer and Lévy-Bruhl may all be regarded as having offered theories of general applicability. in contrast to Kluckhohn and Evans-Pritchard. (Malinowski stands as an intermediate figure in this respect). But while, from this point of view, Kluckhohn and Evans-Pritchard may be grouped together, their work may nevertheless be contrasted in other respects. Thus, Evans-Pritchard emphasizes the logical coherence and rationality of Zande witchcraft, of which he tries to present the sense, and which he analyses within the framework of a sociologistic and structuralist Kluckhohn, on the other hand, presents Navaho approach. witchcraft as essentially irrational, and as standing in need of an explanation which he provides in terms of a psychologistic and functionalist theory.

Implicit in these anthropological approaches are de-

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finite assumptions about the nature of Western science, on the basis of which a number of oppositions have been posed between scientific thought and beliefs of a magico-religious order. An examination of the nature of scientific activity suggests that most of these assumptions are mistaken. By focusing upon the content of scientific thought, and the imagined psychology of the individual scientist, anthropologists have overlooked the structural similarities between scientific beliefs and activities, and the beliefs and activities characteristic of magic and witchcraft. As a result, they have failed to understand the most important determining characteristic of each - the social context in which such thought operates.

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CHAPTER ONE

PROBLEMS OF TERMINOLOGY AND DEFINITION

A. Introduction

In this thesis, I propose to examine the gradual development of some of the approaches which anthropologists have adopted towards the phenomena of witchcraft and sorcery in primitive societies.¹ In doing so, I will place a special emphasis on the work of Clyde Kluckhohn and of E.E. Evans-Pritchard.² It is with these two writers that witchcraft and sorcery become subjects of study in their own right, instead of being regarded as merely particular instances of "sympathetic magic", the "principle of participation" or primitive man's need for a rationality-substitute.

Kluckhohn's study of the Navaho develops a psychofunctionalist theory of witchcraft and sorcery, partly deriving from Malinowski's theory of the affective nature of magic, but also incorporating certain elements of psycho-analytic theory. Evans-Pritchard's study of the Azande, on the other hand, is representative of a strictly sociologistic approach which we might call structuralist.³ It is the latter work which has had the deepest impact on the anthropological profession and especially on British anthropologists, most of whose work on witchcraft and sorcery since the publication of <u>Witchcraft</u>, Oracles and Magic among the Azande must be regarded as an elaboration - and even simple repetition - of themes already present in Evans-Pritchard's analysis. In this respect, the Zande study has come to play a role in (British) social anthropology analogous to that ascribed by Thomas S. Kuhn to paradigms in the natural sciences. Evans-Pritchard's study, in other words, has provided a community of researchers with a universally recognized achievement providing model problems and solutions for what has been perceived as constituting a particular constellation of phenomena.⁴

It is significant that neither Witchcraft, Oracles and Magic among the Azande nor Navaho Witchcraft attempts a systematic treatment of witchcraft or sorcery in gener-Both exhibit a strictly ethnographic concern, and al. in this respect are typical of post-Malinowskian and pre-Lévi-Straussian anthropology. Indeed, despite the return in recent years of anthropological interest in problems of an explicitly comparative nature, the analysis of sorcery and witchcraft has largely continued to remain on the ethnographic level. There have been a few recent attempts at conscious cross-cultural comparison, but these have been more in the nature of probes than anything else, and in any case have been atypical.⁵ Indeed, the only serious attempt to assemble all the available material on witchcraft and sorcery in tribal societies has been that of Lucy Mair.⁶ Moreover. even Mair's survey is mainly intended to serve as a popular introduction to the subject. Consequently, she makes no attempt to impose a general interpretation on her work, and mainly limits herself to a

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criticism of existing theories. Yet if we thus have no general theory of witchcraft and sorcery in our possession, what sense can it make to speak of witchcraft and sorcery in general terms? And if we say that Evans-Pritchard's study of the Azande has come to serve as something like a paradigm in directing later studies of witchcraft and sorcery, what is there about the phenomena of witchcraft and sorcery which have been investigated in these later studies which makes them amenable to analysis in terms of a model originally devised to fit the facts of a different ethnographic context?

B. How Terminologies Develop

The principal aim of the anthropologist lies in trying to render intelligible to the audience for whom he writes - primarily his professional colleagues - the mode of life of the members of another cultural group. This task of "rendering intelligible" involves two essential components:

i. an explication of the conceptual categories in terms of which the people whom he is studying render explicit their views on the natural and social order, and ii. the determination of the relationship of this conceptual system to manifest behavior.⁷

Thus the anthropologist is necessarily required to attempt the translation of concepts from one idea system (that of the culture he studies) into another (that of his colleagues). The difficulty posed by this necessity of translating arises, of course, from the fact that the concepts which must be translated are often not referential

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(for example, in referring to notions like goodness, infinity or time), while those that are referential often divide the world of experience in different ways. Thus it is that we find Mair, in trying to render a West African concept in English, referring to the shrine of a "talisman - or fetish, or god...".

Yet, despite difficulties of this sort, anthropolog gists have in fact succeeded in developing a common set of terms for communicating information among themselves concerning the institutions and beliefs of other peoples. This terminology has been developed roughly as follows. In his studies of other cultures, the anthropologist has been confronted with institutions and conceptual structures which seem to bear some resemblance - perhaps, following Wittgenstein, we might say family resemblance to institutions and conceptual structures with which he is familiar from his own culture or from other cultures his colleagues have studied. In this way, he is led into describing these phenomena in terms that are derived from other cultural contexts, labelling one aspect of social life "marriage", another "taboo", "animism", "gift exchange", "initiation ceremony" or "chieftainship". However, we must keep our guard in relation to this prac-For while it seems inevitable if cross-cultural tice. comparison is to proceed - the logical alternative being ethnographies written entirely in the native language we must nevertheless eventually confront the question of whether or not these phenomena, apparently similar, are really sufficiently alike to warrant designation by the

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same term.

Leach's discussion of the difficulties of elucidating any universally valid definition of marriage seems worth mentioning at this point in order to illustrate the kinds of terminological difficulty anthropologists face. Starting from the premise that marriage represents a "bundle of rights", Leach shows that any attempt to go beyond this formula and seek a universally valid definition of marriage is in vain. This is for the reason that marriage may serve, in differing societies, to establish widely differing relationships of right and obligation. Leach, for example, lists ten examples of such rights and obligations, and the list could easily be extended. The important point is that in no society does marriage establish all these rights and obligations simultaneously: nor, on the other hand, is there any single one of these rights and obligations which is invariably established in every known society by marriage. Thus the institutions commonly described as marriage do not by any means all have the same legal and social concomitants. Hence the anthropologist's dilemma: if, in order to compare the marital institutions of different cultures, he frames a definition of marriage drawn from one culture, then the marital institutions of other cultures will be misdescribed in terms of that definition. On the other hand, the attempt to formulate a definition of marriage which would fit the facts from every culture equally well would result in a concept so neutral and bare as to be devoid of content and meaning.¹⁰

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Leach has also attacked anthropologists for tending to treat words like "sibling", "filiation", "descent" and "affinity" as absolute technical terms which can be distinguished from one another by <u>a priori</u> reasoning without regard to ethnographic evidence.¹¹ In a similar vein, Lévi-Strauss has called into question the validity of the concept of totemism. He has argued that this concept represents an improperly constructed semantic field, illegitimately grouping together a complex of customs and beliefs "actually extremely heterogenous and difficult to isolate".¹²

C. Magic, Witchcraft And Sorcery

When we consider the different customs and beliefs which have been described as "destructive magic", "sorcery" and "witchcraft", we may note also with these phenomena a wide diversity in belief and practice from one society to another. This diversity has been recognized by anthropologists themselves. Thus Kluckhohn writes that "Navaho 'witchcraft' ... must immediately be recognized as a horse of a different colour from most Melanesian 'witchcraft' in that Navaho 'witches' seldom boast openly of their power and are not available as hired agents".¹³ Similarly, Evans-Pritchard has drawn attention to the differences between Zande and European conceptions of witchcraft:

> When a Zande speaks of witchcraft he does not speak of it as we speak of the weird witchcraft in our own history. Witchcraft to him is a commonplace happening and he seldom

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passes a day without mentioning it. ... Unless the reader appreciates that witchcraft is quite a normal factor in the life of the Azande. one to which almost any and every happening may be referred, he will entirely misunderstand their attitude towards it. To us witchcraft is something which haunted and disgusted our credulous forefathers. But the Zande expects to come across witchcraft at any time of the day or night. He would be just as surprised if he were not brought into daily contact with it as we would be if confronted by its appearance. To him there is nothing miraculous about it.14

In view of this, it is important to distinguish the magical beliefs and practices of the Azande from those that might be held and perhaps practiced by a person belonging to our own culture. Moreover, these differences involve far more than a matter of mere familiarity, although it would be wrong to underestimate the importance even of this. For our own culture bestows a different <u>meaning</u> on witchcraft and magic from the Zande. As Peter Winch explains,

> Concepts of witchcraft and magic in our culture, at least since the advent of Christianity, have been parasitic on, and a perversion of other orthodox concepts, both religious and, increasingly, scientific. To take an obvious example, you could not understand what was involved in conducting a Black Mass, unless you were familiar with the conduct of a proper Mass and, there

fore, with the whole complex of religious ideas from which the Mass draws its sense. Neither would you understand the relation between these without taking account of the fact that the Black practices are rejected as <u>irrational</u> (in the sense proper to religion) in the system of beliefs on which these practices are parasitic.¹⁵

In the light of these observations it becomes significant that, in searching for a notion from our own culture with something of the same meaning for us that of witchcraft has for the Azande, Evans-Pritchard did not select some idea drawn from a ritual or ceremonial context, nor some belief associated with the lore of Satanism, but instead decided on the familiar everyday notion of "bad luck".¹⁶

Witchcraft, magic and sorcery may therefore vary considerably from one society to another, and what is called witchcraft in one culture may not resemble witchcraft in another culture so much as some other institutionalized belief. The main dimensions along which beliefs and actions relating to witchcraft, magic and sorcery might vary would seem to be the following:

i. <u>Content</u> - variation will occur in the constituent elements constituting particular traditions of witchcraft, magic and sorcery. To take some simple examples, among the Azande it is believed that witches inherit their harmful powers unilineally, in the form of a physical substance; in Europe this belief is absent and notions of witchcraft are firmly linked to those concerning a pact with the Devil;

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among the Navaho, on the other hand, both of these beliefs are absent and witchcraft ideas place a heavy emphasis on such practices as fratricide and were-animalism. ii. Meaning - even where identical or similar constituent elements are discovered in the belief systems of two different cultures, these can still not necessarily be equated, since each element derives its sense from the sum of its relations with the other elements of the total conceptual system to which it belongs. Certain types of Navaho witches, for example, are believed to participate in secret nocturnal gatherings. At these gatherings, concerted action against victims is planned, new members are initiated, cannibalism and sexual intercourse with dead women are practised, and victims are killed at a distance by ritual means.¹⁷ These gatherings bear a striking resemblance to the European Witches' Sabbath, but it would be wrong to regard them as equivalent on that count. This would be to make a mistake of the kind that Frazer made. For the European Witches' Sabbath has no meaning in itself, but only in the context of the total demonological ideology and Christian belief. In the same way, the nocturnal gatherings ascribed to Navaho witches cannot be understood if they are abstracted from their cultural context, but only if they are related to the totality of Navaho witch beliefs and, indeed, the entire Navaho world-view.

iii. <u>Function</u> - the implications of witchcraft, magic and sorcery for the social group considered as a perduring unit, and also for the human individual, will vary. For instance, a high proportion of Navaho witchcraft gossip re-

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fers to witches who live in distant localities and are thus rarely or never seen. Feuds involving these alleged witches are consequently unlikely to develop, and Navaho gossip about witches is therefore far less disruptive than in those societies, like the Zuni, where such gossip is centred in the local group.¹⁸ To take a somewhat different example, Kluckhohn implies that a significant number of witchcraft accusations among the Navaho are made against the wealthy by those of a lower socio-economic status. In this case then, witchcraft belief operates as something in the nature of a levelling device, discouraging the undue accumulation of wealth by certain individuals, since the rich man knows that if he is stingy with his relatives and others. he is likely to be spoken of as a witch.¹⁹ In contrast to this, no Zande commoner would dare accuse a prince of practising sorcery or of bewitching him, while only occasionally will a poor commoner accuse a wealthy commoner. Moreover, the whole structure of Zande oracle consultation serves to reinforce the status differentiations of Zande society. The King's is the final decisive oracle for purposes of identifying witches and the victims of vengeance magic, and no appeal from it is recognized or permitted. Since the authority of the King upholds that of the oracle, any Zande who consults the oracle implicitly recognizes the authority of the King. Thus there is a relationship of reciprocal support between the authority of King and oracle.²⁰ Similar variations occur on the individual level. For example. Kluckhohn's argument, that Navaho accounts of witches copulating with dead women provide a channel for the release in fantasy of certain culturally disallowed aberrations of a sexual nature, seems plausible.²¹ Probably a similar argument could be made in relation to certain aspects of European demonology, but would seem difficult to maintain with regard to the facts of Trobriand or Zande witchcraft.

Considering the extensiveness of this range of possible variations, it becomes obvious that there are grave difficulties confronting any anthropologist who would attempt to develop a suitable terminology for the purposes of cross-cultural analysis. It remains, however, worthwhile examining the extent to which anthropologists have attempted this task, and degree of their success in this venture.

D. Terminological Usages

Contemporary researchers in this field employ a stock of terms ultimately derived from standard English usage. In standard English, these terms have a range of meanings that are primarily descriptive of the facts of English witchcraft and magical beliefs. Most of them entered the English language with their current meanings in the sixteenth century. The most important of them are listed below, with their most common meanings:

<u>Magic</u> - the believed art of influencing the course of events by means of compelling the intervention of spiritual beings or by using some other occult principle. A synonym for sorcery and witchcraft.

<u>Sorcery</u> - the practice of magic or enchantment; witchcraft. <u>Sorcerer</u> - the practitioner of sorcery; a magician or wizard.

Witch - a female magician; a sorceress; a term especially

used to describe a woman in league with the Devil or evil spirits.

<u>Wizard</u> - a male who practises witchcraft. <u>Warlock</u> - the male equivalent of a witch.²²

It was from this usage that these terms were at first incorporated into anthropology. Thus Frazer, while modifying the concept of magic in his attempt to define its logic (as he understood it), continued to employ the term "sorcerer" as equivalent to "magician", and to follow the practice of using "sorcerer" and "witch" as sexually specific terms.

Frazer's use of the terms "magic", "science" and "religion" is somewhat more complex, since he attmpted a differentiation of universal validity between these three phenomena. Unfortunately his efforts in this direction resulted in an unacceptable a priorism. Thus, for Frazer, any technique not based on a knowledge of objective conditions, and not seeking to operate through the goodwill of spiritual intermediaries, was ipso facto magical. The trouble with such an approach is that it leads to a grouping of phenomena in a manner very different from the way in which the people being studied group them. To take a simple example, among the Navaho menstrual blood is believed to be sometimes administered in food as a malicious act. If we were to define witchcraft and sorcery in an a priori fashion along the lines of "the belief in the power of human agents to cause harm and misfortune by the use of mystical (non-empirical) means", then it is obvious that we would have to class this act as "witchcraft" or "sorcery".

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For while the Navaho consider this action to be harmful in that it consists in the administration of a dangerous substance, our own scientific knowledge leads us to view this belief as mistaken and therefore as non-objective, mystic and magical. On this basis we would group it together with such other Navaho beliefs as Frenzy Witchcraft, Disease Witchcraft and Eagle Pit Sorcery. The problem is that the Navaho do not. As Kluckhohn explains,

> Observations of this kind were frequent: "Women just do that to be mean. It hurts you all right, but it isn't a witch way." My impression is that my informants felt that menstrual blood was <u>intrinsically</u> dangerous - there was no need to add "magical" procedures.²³

It might therefore appear that the Navaho think of menstrual blood as something in the nature of what we would call a natural poison. But even here we must beware of misdescribing their categories. For some of what we describe as natural poisons are assimilated by the Navaho to the category of witchcraft. Thus, according to the Navaho, when a man is bitten by a snake, he sickens and dies because of the witchcraft the snake holds in its mouth. As a Navaho legend explains it,

> Witchery started out under the ground. First Man, First Woman and Coyote - these three started it. After everybody got above ground First Woman gave it out. Snake wanted some too, but his mouth was the only place he could put it. And so his bite kills you.²⁴

Frazer seems to have been unaware of the problem posed by facts such as these, and it is possible for us to apply his terminology only by distorting the world-views that this terminology was intended to help us understand.

Perhaps the force of this objection will become clearer if we briefly consider Vilfredo Pareto's distinction between logical and non-logical behaviour. Pareto's attempt to clearly distinguish the logical from the non-logical as cross-culturally valid categories - parallels Frazer's differentiation between magic, science and religion. It differs, however, in being more systematic and in being based on more explicit criteria than those Frazer elucidated.²⁵ But despite this more systematic nature. Pareto's differentiation encounters similar difficulties to that of Frazer's, and it is useful to consider these here. This is not a matter of knocking down straw men, since both Frazer's differentiation between magic, science and religion and Pareto's distinction between logical and non-logical behaviour, are representative of methodological presuppositions which continue to exercise a deep influence on anthropological thought. Lévy-Bruhl's opposition between mystic thought and scientific thought, accepted by Evans-Pritchard, is an example of this.

In <u>The Mind and Society</u>, Pareto outlines four criteria, all of which must be satisfied in order for an action to be considered logical. These are:

i. the action must be end-directed - that is to say, it must be performed by the actor with a goal in mind and with the intention of achieving that goal; ii. the goal which the actor is seeking to achieve must be empirically identifiable;

iii. the action performed must actually tend to produce the result which the actor envisages;

iv. the actor must have "good" (what Pareto describes as "logico-experimental") grounds for his belief.

Conversely, an action may be adjudged non-logical according to a number of criteria:

i. if the actor performs the action without intending to achieve any result by doing so; or

ii. if the end which the actor hopes to achieve by his action lies outside the field of observation and experiment and is therefore "imaginary" (Pareto assigns actions aiming at the salvation of the soul to this category); or iii. if the end sought is real, but is not gained in the way in which the actor thinks it is (in this category, Pareto places magic as well as certain activities like wage-cutting by businessmen under conditions of free competition); or

iv. if the action performed actually does tend to produce the result the actor is seeking, but he nevertheless lacks logico-experimental grounds for thinking so.

It is important to recognize that Pareto does not regard non-logical conduct as being the same thing as illogical conduct, and in this respect it is interesting to note his remark to the effect that a mistake in engineering could not be regarded as a non-logical action. But what is the difference between a mistake in engineering and the error of a businessman, under conditions of free competition, who thinks that by cutting his employees' wages he will increase his profits? Moreover, is the businessman's mistake really comparable to the performance of a magical rite? Or ought it rather, as Peter Winch suggests, be compared to a <u>mistake</u> in a magical rite? For while the businessman's mistake is a particular act <u>within</u> the category of business behaviour, magical performances <u>themselves</u> constitute a category of behaviour. As Winch explains,

> Magic, in a society in which it occurs, plays a peculiar role of its own and is conducted according to considerations of its own. The same is true of business activity; but it is not true of the kind of <u>misguided</u> business activity to which Pareto refers, for that can only be understood by reference to the aims and nature of business activity in general.²⁶

It is because of Pareto's failure to distinguish general categories of action from particular acts within such categories, that his attempt to differentiate non-logical from illogical behaviour is so unclear. Illogical acts surely involve <u>mistakes</u> in logic; non-logical acts fall <u>outside the criteria</u> of logic. Moreover, this failure is compounded by the fact that Pareto was unaware of the fact that criteria of logic are not given, but arise out of, and are intelligible only in terms of, modes of social life. From this it follows that criteria of logic cannot be applied to modes of social life as such. Science, for example, is one such mode and religion is another. Within each mode, actions may be either logical or illogical, but neither science nor religion as such is either logical or il-

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logical. Each is non-logical, and each has criteria of intelligibility peculiar to itself. It follows that to try to use the aims and activities of one as a means to understand the aims and activities of the other can only lead to misunderstandings. But it is precisely this that Pareto together with Frazer - is guilty of.²⁷

It is to Malinowski, more than to any other single writer, that we owe the insight that the crucial criteria for differentiating the technical from the magical must be those of the people themselves. For although Malinowski did not explicitly discuss this criterion, he made use of it, and that, as Nadel comments, "is still a lesson worth learning".²⁸ Indeed, recognition of the necessity of providing an account of native categories of thought - and of not imposing his own in an <u>a priori</u> fashion - permeates Malinowski's writings. Thus, in his discussion of the Trobriand outlook on myth, Malinowski asks,

> ...what is myth to the natives? How do they conceive and define it? Have they any line of demarcation between the mythical and actual reality, and if so, how do they draw this line?²⁹

Hence, instead of being presented with a set of preconceived categories - like "myth", "legend", "fairy tale" or "fable", we are given Trobriand categories, with the nearest English equivalent to them offered as a translation, or an explanation of their meaning when no near English equivalent exists.³⁰ Similarly in his discussion of Trobriand magic, Malinowski did not try to provide a series of <u>a priori</u> categories of supposedly universal value, but attempted instead to select a set of words which best fitted the facts of Trobriand belief.³¹ What, then, were these facts, and what terms did Malinowski select to describe them?

The Trobrianders conceive of two kinds of practitioner of "black" magic. These are the <u>bwaga'u</u>, always a male practitioner, and the <u>yoyova</u>, always a female. Of these, the <u>bwaga'u</u> is the most common and there are usually one or two men in each village who are known and feared as such. But although less common, the <u>yoyova</u> is considered far more deadly than the <u>bwaga'u</u>. For whereas the <u>bwaga'u</u> is merely the possessor of a powerful form of magic, the <u>yoyova</u> possess a <u>mulukwausi</u>, or disembodied second self, which can fly through the air at will.

The power of the <u>bwaga'u</u> lies in his knowledge of spells. These he may learn from his father (without payment) or from his maternal uncle (if a high fee is paid). An aristocrat may also learn these spells from an unrelated commoner, on payment of a suitable fee.

The <u>yoyova</u>, on the other hand, can only very gradually be initiated into her powers. Indeed, since the process of initiation begins with the cutting of the umbilical cord at birth, only a small child whose mother herself is a <u>yoyova</u> can become one. This is not, however, to suggest that the powers of the <u>yoyova</u> are in any way inherent or inherited. All of them derive from magic, which must be spoken at every stage in the training of a young <u>yoyova</u>.³² Special spells must also be uttered by the yoyova every time she

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wants to become invisible, to fly, or to penetrate the darkness and see if an accident is happening.

The <u>bwaga'u</u> hunts down his quarry by placing a spell on those places the intended victim frequents. In this way, the victim is confined to bed and immobilized. This gives the <u>bwaga'u</u> the opportunity to creep out to the victim's hut at night, which he does equipped with herbs over which a suitable spell has been uttered. These herbs the <u>bwaga'u</u> attaches to a long stick and attempts to thrust through the thatch wall of the hut, and into the fire over which the victim will be lying in an attempt to keep himself warm. It is believed that, should the victim inhale the fumes of these burning leaves, he will contract a deadly disease.

In another rite the <u>bwaga'u</u> carries out, some coconut oil is first boiled in a small pot. Leaves of various herbs are then soaked in this oil and later are wrapped around a stingaree spine or some other pointed object. An incantation is chanted over the spine with attached leaves and the <u>bwaga'u</u> hides with it behind a shrub or house. Then, on sighting his victim, he thrusts the "dagger" he has made in his direction and violently turns it in the air, as if to stab the victim and twist the spine in his wound. This rite is thought never to fail in killing a man, if properly carried out and not countered by another magician.³³

When the <u>yoyova</u> - in her <u>mulukwausi</u> form - attacks a victim, it is believed that she may do so simply by kicking or hitting him or her: illness results. More dangerously, the <u>mulukwausi</u> may pounce on a victim and remove his or her

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lungs, heart, brain or tongue. These may be devoured on the spot, or carried away to be consumed at some future date. The victim is thought certain to die in a relatively short period of time, unless another <u>yoyova</u> is paid to search for and return the missing parts before they are eaten.

The <u>bwaga'u</u> practises his art on his own behalf, or for a fee. In purchasing his services, chiefs and men of rank have first claim and he would not sell his services to lesser men for unjust causes. Among his main functions are the safeguarding of the rights and privileges of the chief. Thus the <u>bwaga'u</u> will punish direct breaches of etiquette and ceremony, as well as flagrant offences like adultery with one of a chief's wives. The <u>bwaga'u</u>, therefore, although he may sometimes abuse his powers, cannot be thought of as a criminal but is a mainstay of the social order.³⁴

In contrast to the <u>bwaga'u</u>, who often treats his speciality quite openly in conversations, the role of the <u>yoyova</u> is never publicly donned. No woman would ever directly confess to being a <u>yoyova</u>, even to her husband. But, at the same time, some women are marked as such and even play up to the role. For supernatural powers are a good source of income and a reputed <u>yoyova</u> will receive gifts on the understanding that a particular person is to be injured in return for them, or in order to undo the damage another <u>yoyova</u> has caused. Her role is therefore semi-public and the most important <u>yoyova</u> are known by name. Moreover, according to Malinowski, "to have such a character would in no way spoil matrimonial chances or do

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anything but enhance the social status of a woman".35

Malinowski offered, as English equivalents to the terms <u>bwaga</u> and <u>yoyova</u>, the words "sorcerer" and "witch" respectively. He thus continued the popular English use of these terms in a sexually specific manner, a practice which is perfectly reasonable when it is recalled that the Trobrianders themselves draw a distinction between the magical powers of the male <u>bwaga</u> u and the female <u>yoyova</u>. This usage was also followed by Reo Fortune in regard to the in some respects similar material from Dobu, ³⁶ but otherwise has not generally been adopted by anthropologists.

Another aspect of Malinowski's terminology has proved more enduring. It will be noted from the above discussion that Malinowski used the term "sorcery" to refer to the whole domain of destructive magic and not only to that part of it deemed illegitimate by the members of the social group concerned. Oceanianists have continued to employ his terminology in this respect, ³⁷ although some writers (R.M. Berndt and Meggitt) have begun to speak of "retaliatory sorcery" or "legitimate sorcery" in order to differentiate that branch of destructive magic which constitutes the socially sanctioned redress of a wrong from "illegitimate sorcery", or socially condemned destructive magic. In doing so, these recent writers on Oceania have adopted a distinction long accepted by African specialists.³⁸

Standard Africanist usage derives, of course, from Evans-Pritchard's classic study of the Azande. If Malinowski did not render explicit the criteria he was em-

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ploying in establishing the categories he did, Evans-Pritchard was explicit in emphasizing that he was not interested in defining witchcraft, oracles and magic as "ideal types" of thought, but was anxious rather to explain what the Azande themselves understood when they spoke of mangu, soroka and ngua. Thus he wrote,

> I am not greatly concerned with the question whether oracles should be classified as magic, nor whether the belief that children are unlucky who cut their upper teeth before their lower is a form of witchcraft; nor yet whether taboo is negative magic. My aim has been to make a number of English words stand for Zande notions and to use the same term only and always when the same notion is being discussed. For example, the Zande does not speak of oracles or taboos as <u>ngua</u>, and therefore I do not call them "magic".³⁹

Unlike the Trobriand Islanders, the Azande do not make any differentiation between the occult powers of male and female. Thus in contrast to the Trobriand distinction between male <u>bwaga'u</u> and female <u>yoyova</u>, the Azande make a differentiation of a quite different order: that between <u>mangu</u>, <u>gbigbita ngua</u> and <u>bagbuduma</u>. In translation of these Zande concepts, Evans-Pritchard offered the terms "witchcraft", "sorcery" and "vengeance magic" respectively. In doing so, he abandoned the convention - followed by Malinowski - of using the terms "sorcerer" and "witch" with a sexual referent, since this referent has no sense in the context of Zande society. Even more radically, in using the term "witch" to describe the Zande possessor of mangu, Evans-Pritchard abandoned the old link between the notions of witchcraft and magic.

The Azande conceptualize <u>mangu</u> as a physical substance found in the bellies of <u>ira mangu</u> (possessors of <u>mangu</u>). It is usually described as an oval swelling or sack, of blackish or reddish colour, which sometimes contains the seeds of pumpkin and sesame consumed by the <u>ira mangu</u> in the gardens.

<u>Mangu</u> is believed to be inherited unilineally from the parent of the same sex. In other words, a female <u>ira mangu</u> will pass on <u>mangu</u> to all of her daughters but to none of her sons, while a male <u>ira mangu</u> transmits <u>mangu</u> to all of his sons but to none of his daughters.⁴⁰

<u>Ira mangu</u> are believed to show a few external signs of their condition. Thus the possession of red eyes, or the issuing of maggots from a person's body before burial, are considered indicative of <u>mangu</u>.⁴¹ <u>Ira mangu</u> are also thought to have unpleasant personality traits:

> A spiteful disposition arouses suspicions of witchcraft. Glum and illtempered people, those who suffer from some physical deformity, and those who have been mutilated are suspected on account of their spitefulness. Men whose habits are dirty. such as those who defecate in the gardens of others and urinate in public, or who eat without washing their hands, and eat bad food like tortoise. toad, and house-rat, are the kind of people who might well bewitch others. The same is thought of unmannerly people who enter into a man's hut without first asking his permission;

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who cannot disguise their greed in the presence of food or beer; who make offensive remarks to their wives and neighbours and fling insults and curses after them; and so on.⁴²

<u>Ira mangu</u> are held by the Azande to be responsible for misfortunes, which they deliberately cause to fall on people. It is important to note that their method of doing so involves no magical technique (there is no rite, no spell, no use of medicines and no necessary ritual condition on the part of the performer) but rather is in the nature of a psychic act. The <u>ira mangu</u> is believed to dispatch his <u>mbisimo mangu</u> (the spirit of his <u>mangu</u>) to accomplish his ends. The <u>mbisimo mangu</u> is believed to remove part of the spirit of the victim's flesh to devour; by sodoing, it causes illness and death.

<u>Ira mangu</u> often combine to assist each other in crimes and to feast together. They are believed to be organized into a brotherhood presided over by the oldest and most experienced members. The training and tuition of the younger by the older <u>ira mangu</u> is thought necessary before a young witch can become strong enough to kill his neighbours.⁴³

Young children who are <u>ira mangu</u> are not considered by the Azande to be dangerous, since their <u>mangu</u> is too small to be able to injure others. It is even thought possible for a person's <u>mangu</u> to remain inoperative, or "cool", throughout the course of his or her life. In this way, it is considered possible for a man to be an <u>ira mangu</u> and at the same time a good citizen, meeting his obligations and living on good terms with his neighbours.⁴⁴ For <u>mangu</u> to

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be dangerous, it must first be activated by hatred. As one Zande expressed it to Evans-Pritchard, hatred springs first in the breast and then goes down to the belly to rouse witchcraft.⁴⁵

Anybody except an aristocrat may be an <u>ira mangu</u>, and almost everyone is accused, by someone or other at some period of their lives, of having bewitched their neighbours. Usually however, it is only those who make themselves disliked by many of their neighbours who are often accused of witchcraft and earn reputations as <u>ira mangu</u>.⁴⁶ Most suspicion is attached to the aged since it is believed that, generally speaking, the older a man grows the more potent his <u>mangu</u> becomes and the more violent and unscrupulous he becomes in its use. Most <u>ira mangu</u> are believed to eventually fall victim to vengeance magic, sorcery, or the malice of another <u>ira mangu</u>.⁴⁷

<u>Ira mangu</u> are considered by the Azande to be like <u>ira gbigbita ngua</u> (sorcerers) who practise a variant of <u>ngua</u> (magic) that is considered both illicit and immoral. <u>Ira mangu</u> and <u>ira gbigbita ngua</u> are seen as being alike "the enemies of men", and are therefore placed in the same moral category. <u>Mangu</u> and <u>gbigbita ngua</u> are together opposed by and opposed to <u>wene ngua</u> (good magic). But <u>gbigbita ngua</u> is also conceived by the Azande in a very different way from <u>mangu</u>, in that it is not in any way dependent on an inherent power in the <u>ira gbigbita ngua</u>. Rather, it employs a magical technique and is available to anyone who can secure the requisite medicines and who is familiar with the proper procedure for their utilization.

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The most feared of all bad medicine, and the one most often cited as cause of sickness is menzere. It is probably derived from an arboreal parasite. The sorcerer goes by night, generally at full moon, to the homestead of his victim and places the medicine on its threshold, in the centre of his homestead, or in the path As he does so he utleading to it. ters a spell over it. It is said that if he succeeds in slaying his enemy he will mourn him by wearing a girdle of bingba grass for several days after his death. If the sorcerer neglects: this rite he may fall sick. The girdle would not lead to his detection because men often mourn for a few days after the death of distant relatives.

Menzere is so potent a medicine that should any man for whom it is not intended step over it he will be ill for a while though he will not die. There are many antidotes to <u>menzere</u> and a man who knows these will be sent for immediately if a man suspects he is attacked by it. <u>Menzere</u> is regarded with abhorrence by all. Azande have always told me that in the past those who killed men with witchcraft were generally allowed to pay compensation, but that those who killed men by sorcery were invariably put to 48 death, and probably their kinsmen also.

Other bad medicines include the hairs of the ant-bear. A spell is uttered over these, and they are placed in a man's beer in order to slay him. They cause his neck and tongue to swell, and if an antidote is not quickly administered, he will die. <u>Gbigbita ngua</u> may also affect the verdict of the poison oracle, and can break up the family of a man.49

It is important to understand that the Azande do not stigmatize <u>gbigbita ngua</u> as bad simply because it destroys the health and property of others, but because it flouts moral rules. <u>Wene ngua</u> (good magic) may also be lethal, but it strikes only those who have committed a crime. Thus <u>bagbuduma</u>, the vengeance magic used against witches, is the most destructive yet most honourable of all Zande magic. It acts only against a guilty witch, and if attempted to be used to kill an innocent man out of spite, it would not only prove ineffective, but would actually turn against and destroy the magician who sent it. Such magic operates regularly and impartially in executing justice in accordance with the moral and legal sanctions of the community.

<u>Gbigbita ngua</u>, on the other hand, is bad medicine, for it does not give judgements, but slays one of the parties to a dispute without regard to the merits of the case. It is a personal weapon aimed at some individual whom the <u>ira</u> <u>gbigbita ngua</u> dislikes, but against whom he has no moral or legal case. It is used out of spite against men who have broken no law or moral convention.

No Zande will confess himself to be an <u>ira gbigbita</u> <u>ngua</u>, and most do not even like to discuss the matter in case suspicions be aroused concerning the sources of their knowledge. <u>Gbigbita ngua</u> must be performed at the dead of night, for the <u>ira gbigbita ngua</u> would be slain if seen practicing his rites.

In translating ngua as "magic", gbigbita ngua as "sorcery", bagbuduma as "vengeance magic" and mangu as

"witchcraft", Evans-Pritchard was not seeking to devise a terminology of general applicability to other cultures, but was searching instead only for some English terms which could be used consistently to refer to what are distinct Zande concepts. Nevertheless, despite his hesitation in transcending the facts of Zande ethnography, Evans-Pritchard's terminology can be viewed as suggesting as a conventional usage the term "witchcraft" to refer to an inherited or inherent condition, "sorcery" to refer to the application of magical techniques in a criminal manner and "vengeance magic" or "destructive magic" to refer to the socially sanctioned use of magic in order to punish a criminal or protect property.

The main impact of Evans-Pritchard's work, however, was not to come until the period following the end of the Second World War, and thus the terminology he suggested did not find immediate acceptance. Kluckhohn, indeed, seems to have written Navaho Witchcraft without even having read Witchcraft, Oracles and Magic among the Azande. Perhaps because of this, Kluckhohn described a class of phenomena among the Navaho as "witchcraft" which stands far closer to "sorcery" in Evans-Pritchard's use of the term. "Sorcery" he also used in quite a different sense from Evans-Pritchard, to describe a sub-type of witchcraft. Yet despite the differences in the terminology he adopted, Kluckhohn, like Malinowski and Evans-Pritchard, offered his terminology primarily to serve as a set of convenient labels which could serve to approximate in translation of Navaho terms. He made no attempt to apply a set of precon-

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ceived categories in an a priori manner.

Kluckhohn used the term "witchcraft" (uncapitalized) as a generic category to describe four sub-types of Navaho belief: those of Witchery, Sorcery, Wizardry and Frenzy Witchcraft (all capitalized). Of these beliefs, Kluckhohn considered the concepts of Witchery and Sorcery to be the most closely linked in native thought, and to form, together with Wizardry, a major pattern of attributes clearly differentiated from Frenzy Witchcraft.⁵⁰ We may briefly specify the content of each of these categories of Navaho thought as follows:

i. Witchery is normally learnt from a grandparent, parent or spouse who is also a Witch. Initiation requires the killing of a close relative, usually a sibling. Witches are believed to be especially active at night, and to roam around at great speeds in the skins of coyotes, bears, owls, wolves, desert foxes and crows. They hold assemblies or "Sabbaths" at which they plan concerted actions against victims, initiate new members, kill victims from a distance by means of ritualized practices, and practise cannibalism and intercourse with dead women. At these meetings, songs are also sung and dry paintings are made. Some Navahos consider that these paintings represent intended victims and believe that the chief Witch shoots a turquoise bead into each painting by means of a small bow. Witches are also thought to make "poisons" from the flesh of corpses. These poisons may be dropped in through the smoke-holes of hogans, placed in the mouth or nose of a sleeping victim, blown from furrowed sticks into the face of a victim in the

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midst of a large crowd, or administered by means of a cigarette. This leads either to sudden fainting, loss of consciousness, lockjaw and a swollen tongue, or else the gradual wasting away of the victim.⁵¹

ii. Sorcery is closely related to Witchery in Navaho thought, and Sorcerers are believed to take part in the same Sabbaths as those in which Witches participate. Sorcery, however, employs a number of techniques peculiar to itself. Of these, the casting of spells is the most characteristic. There is no need for the Sorcerer to personally encounter his victim. All that is necessary is that some clothing or personal offal, belonging to the victim, be obtained. This is then buried together with corpse flesh or some other material from a grave, or alternatively is buried in a grave, or under a tree which has been struck by lightning. The Sorcerer then recites a spell, often specifying the number of days after which the victim is to die. This spell may be chanted, sung, or both chanted and sung. Sometimes a "good prayer" may be recited backwards as a part of the technique. Other procedures followed by Sorcerers include whispering a spell while stepping over someone, torturing the effigy of a victim, the use of evilwishing sand-paintings similar to those Witches employ, scratching the image of a victim on a stone which is then concealed in the victim's home, car or saddle-bag, and the cutting-open of the belly of a toad and the placing inside it of a charm while repeating a spell. Each Sorcerer is also believed to have a special power which assists him. The earth, the sun, lightning, bears, owls and snakes are

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all examples of these powers. Whirlwinds and some animals, especially dogs, are also thought to practise Sorcery.⁵² iii. Wizardry, like Witchery and Sorcery, requires the killing of a sibling or close relative as a prerequisite to participation. In contrast to Witches and Sorcerers, however, Wizards do not participate in Sabbaths, nor do they become were-animals. Particular to Wizardry is the introjection of foreign objects - particles of stone, bone, ash, charcoal or quill - into the body of the victim. This is generally believed to be accomplished by placing such objects in a basket and making it rise through the air by incantation, although some Navahos consider that the Wizard does his "shooting" through a tube, and some consider that he must remove his clothes and rub ashes on his body before doing this. Emaciation, together with pain in the area where the missile is lodged, is usually considered diagnostic of Wizardry.⁵³

iv. <u>Frenzy Witchcraft</u> remains within the general corpus of Navaho witchcraft, in that it is a malevolent activity, directed against the rich in particular. It resembles other forms of Navaho witchcraft in requiring the killing of a sibling as the price of initiation. Characteristic of Frenzy Witchcraft is the use of special plants, of which <u>datura</u> is the most prominent. Each plant must be gathered in a prescribed manner, and each plant has its own song. It may be administered in food, in a cigarette, or by kissing. Frenzy Witchcraft is associated with love magic, trading and gambling, and may also be used for success in hunting and salt-gathering. Its techniques do not involve

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the dead and its practitioners do not attend Sabbaths or transform themselves into animals. Fear is universally expressed in regard to Frenzy Witchcraft, but there is no unanimity that it is unqualifiedly bad. Some Navahos consider that it may be used in relatively respectable ways like self-protection, or for success in trading or gambling against out-groupers.⁵⁴

These categories of Navaho witch belief are so obviously different from those of the Trobrianders and the Azande that it is only to be expected that the terminology employed by Kluckhohn in translation of them should differ markedly from that used by Malinowski or Evans-Pritchard. Moreover, it is difficult to believe that these differences would have been any less substantial even if Kluckhohn had been familiar with Evans-Pritchard's work, given his concern to understand the Navaho world-view rather than attempting to develop broad cross-cultural generalizations. Our survey of the terminologies employed in the period between the two world wars therefore leads us to identify two major features of terminological evolution:

i. The recognition, implicit or explicit, of the importance of elucidating native categories of thought and of the necessity of adapting anthropological terminology to this end.

ii. The lack of any consistency in terminological conventions adopted by different writers.

In the period following the Second World War, the situation with regard to the last of these facts has changed considerably, and of the three different sets of terminology

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adopted by Malinowski, Kluckhohn and Evans-Pritchard, that of Evans-Pritchard has come closest to being accepted as standard. This is for a number of reasons. Firstly. Evans-Pritchard's study was of an African people, as have been the overwhelming majority of studies of witchcraft and sorcery in the period following the Second World War. Apart from the fact that his work would therefore tend to be read more carefully by Africanists than would be the case if the Azande inhabited Oceania or the Americas, a greater similarity in the ethnographic evidence being considered would render his terminological system more directly applicable. (It is interesting, in this respect, to note the greater influence of Malinowski's terminological conventions among Oceanianists.) A second factor of some importance is probably the fact that Evans-Pritchard is a British anthropologist and that most subsequent research on witchcraft, sorcery and magic has been carried out by British anthropologists. One of the implications of this is that his sociologistic approach is far closer to the methodological presuppositions of later investigators than is Kluckhohn's interest in personality and culture. Moreover, it is necessary to point out that Malinowski's theoretical writings on witchcraft and sorcery are slight (both in size and in substance) and have not exercised a profound influence for this reason.

Among conscious attempts to standardize and generalize Evans-Pritchard's terminology, that of Middleton and Winter is worth noting. Suggesting "wizardry" as a generic term to cover both witchcraft and sorcery, they have defined

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witchcraft as being based on a "mystical and innate power", and sorcery as a magical (objectively fallacious) technique potentially available to anyone.⁵⁵ While few anthropologists have accepted this suggestion to use wizardry as a generic term covering both witchcraft and sorcery,⁵⁶ most have adopted the convention of distinguishing between witchcraft and sorcery along the lines suggested by Middleton and Winter.⁵⁷

Unfortunately, this attempt at a terminological standardization can hardly be described as an unqualified success. For few other societies have the same notions of witchcraft and sorcery as the Azande have. Consequently, the attempt to apply the terminology, originally devised to fit the Zande facts, to other cultural contexts has given rise to a considerable degree of confusion. For, even forulated as broadly as by Middleton and Winter, this terminology encounters considerable problems of application. Thus traits assigned by one writer to "witchcraft" are assigned by another to "sorcery", and Middleton himself writes that among the Lugbara, "the ability, and the wish, to poison people by sorcery may be inherited, especially from the mother" 58

E. Resolution of Terminological Difficulties

It is not, of course, necessary to conceptualize witchcraft and sorcery as discreet categories admitting of no intermediate forms. Rather, one might follow the procedure widely used in the social sciences (whether consciously or unconsciously) of attempting to construct ideal

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types rather than that of attempting to establish empirically descriptive categories of direct application. First systematized by Max Weber, this methodological approach has been suggested as relevant for anthropology by W.J. Goode. Goode applies the method, in the form of oppositions of polar ideal types, to try and make some sense of the old distinction between magic and religion. He explains that:

> In its application one accepts the idea that any given magical or religious system is concretely not to be found at either extreme, but somewhere between the two. This is, of course, always an approximation, as the application of any scientific concept to concrete situations will be: the unique situation or phenomenon rarely, if ever, equates with the conceptual description or theoretical formulation of any science. Furthermore, the decision as toward which pole a supernatural system falls requires several characteristics, each of which is a variable running between two opposing or antithetical forms 59

Utilizing Goode's suggestion, we might construct polar ideal types, opposing sorcery to witchcraft, in some such manner as is illustrated in Diagram One (next page).

If such a scheme were employed, most of the phenomena so far described in this chapter could be grouped without difficulty as standing closer either to witchcraft or to sorcery. It would, however, be the sorcery pole which conformed more closely to the empirical data than the witchcraft pole. For example, the Azande believe that witch-

CHARACTERISTIC	WITCHCRAFT	SORCERY			
Acquisition	inherited	learnt			
Method	innate power	magical technique			
Psychology	unconscious & unintentional	conscious & intentional			
Potential Distribution	restricted	unrestricted			

Diagram 1. Witchcraft and Sorcery as Polar Ideal Types

craft may be conscious and unintentional, but also consider that it is usually conscious and intentional. Zande witchcraft does not, therefore, exactly coincide with the witchcraft pole of our ideal type. Zande sorcery, on the other hand, exactly fits our designation of it in terms of the ideal type.

But even although the attempt to construct ideal types might seem to offer advantages in comparison to that of trying to formulate categories of direct empirical applicability, the construction of polar ideal types of witchcraft and sorcery along the lines suggested above nevertheless encounters serious difficulties. This is for the reason that any attempt to formulate cross-cultural categories on a content basis necessarily involves a departure from the categories of particular societies and may therefore impede our understanding of these. For instance, the set of criteria used to group a particular constellation of phenomena towards one end or the other of the continuum, may not really be equivalent. Among the Azande, to take one example, is the notion that bewitchment may constitute an unconscious and unintentional act as significant as the notions that witchcraft is inherited and constitutes an innate power?

Perhaps one might try to circumvent this objection by arguing that the criteria selected for constructing an ideal type must be logically dependent on each other. Thus one might argue that the criteria selected for defining sorcery in Diagram 1 (preceding page) are significant in that it follows from the fact that sorcery employs a magical technique that it must be learned, that its application must be conscious, and that its potential distribution among the population is unlimited. Against this view. I would argue that logical dependencies are significant only in so far as they are actually perceived as being such within the contexts of the particular belief systems concerned. Different peoples will draw different conclusions from the same premises, and the same conclusion from different premises. The problem of selecting criteria and of determining their equivalence therefore remains.

In many respects, the attempt to construct ideal types of magic and sorcery may be compared to Pareto's search for <u>residues</u> (recurring features in our observation of human society which provide a suitable subject for scientific generalization). Examples of residues are baptism (the use of water, blood or other substances for purposes of ritual

or moral purification) and sexual asceticism (the notion that sexual relations are to be avoided as being morally or physically debilitating, or for some other reason). The problem is that phenomena like sexual asceticism and baptism - and, for that matter, the inheritance of witchcraft. or ensorcellment by magical techniques - are not simply given to our observation, but can be distinguished only through a process of abstraction. The common features used to develop such categories as baptism, sexual asceticism, ensorcellment by magical techniques, and the inheritance of witchcraft, are derived by analysing them out of the total systems of ideas from which they derive their sense. But ideas cannot be torn out of their context in this way, since their meaning is determined by the role they play in the system of which they form a part. As Winch remarks.

> It is nonsensical to take several systems of ideas, find an element in each which can be expressed in the same verbal form, and then claim to have discovered an idea which is common to all systems. This would be like observing that both the Aristotelian and Galilean systems of mechanics use a notion of force, and concluding that they therefore make use of the same notion.⁶⁰

In addition to the problem posed by the fact that the traits selected by the anthropologist for the purpose of constructing his ideal types will have a different meaning in each particular society, there is also the problem that such classificatory systems tend to obscure the relevance of situation and process. Victor Turner's discussion of the applicability of Middleton and Winter's attempted differentiation between witchcraft and sorcery to the facts of Lugbara ethnography brings out something of the significance of this. Even although Middleton and Winter here were not (explicitly) attempting to establish this differentiation in terms of ideal types, Turner's remarks are nevertheless still relevant and to the point:

> ... Lugbara themselves find it difficult to distinguish between deaths brought about by witchcraft and by 'ghost invocation' by elders against habitually disobediant juniors. Both are said to be motivated by the sentiment Lugbara call ole In a witchcraft context ole may be translated as 'jealousy' (an unrighteous sentiment) and in a ghost-invocation setting as 'righteous indignation'. Middleton's rich case material shows that the same death may be interpreted by different factions as one or the other, again according to the structural perspective of the interpreters. The fact, too, that both 'witches' and 'sorcerers', in Middleton's usage, may be called oleu ... a derivative of ole, makes it clear that what is regarded as ideologically important by the Lugbara is belief in the existence of a broad class of persons who can injure others by mystical means irrespective of motive. It is only in the action-field context that allegations of this or that specific means are made by interested par-Almost every society recogties. nizes such a wide variety of mystically harmful techniques that it may

be positively misleading to impose upon them a dichotomous classification.⁶¹

The solution to this problem seems to me to hinge on abandoning any idea that we can define exactly what witchcraft, sorcery, or destructive magic <u>are</u> (in the sense of some shared essence), since there need be no set of shared essential attributes which can be abstracted. While we might be able to outline some of the attributes shared by some examples of witchcraft, sorcery and destructive magic, there is no set of characteristics simultaneously applicable to all examples and to them alone. Thus, instead of seeking to establish universal classes, we should recognize that we are dealing with concepts which bear only a <u>family</u> <u>resemblance</u> to one another. The difference between a class and a family resemblance is brought out in Diagram 2, below.

	Family Resemblance					Class							
	1	2	3		5			1	2	3	4	5	6
I T		2	3	4	5	6		1	2	3	4		
Ē	1	•		4	5	6	-	1			4	5	6
M S	1					6		1	2	3			6
2	·	2 AT	3 TRI	BUT	ES	6		1		3	4		6

Diagram 2: Family Resemblance and Universal Class

If, in the above diagram, each horizontal line is interpreted as representing an item, and each number within each line as representing an element of an item, it will be seen that each vertical line represents elements shared in com-

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mon by different items. <u>All</u> the members of the same class share one element in common(1). With the group of items which have been described as bearing a family resemblance to each other, however, there is <u>no single element</u> which is shared by all items, which nevertheless have a good deal in common. A family resemblance, in other words, is constituted by a network of overlapping and cross-cutting resemblances.⁶²

It is my contention that we will be better served if we conceptualize witchcraft and sorcery as constituting "natural families" rather than true classes, since the attempt to establish classes to group such beliefs ends up in <u>a priorism</u> and a violation of indigenous structures of thought. While this is less true of the ideal-type mode of classification than of the attempt to establish strict categories, it remains also true of this. There is, indeed, good reason to think that intellectual structures should be little suited to the development of cross-cultural categories, in terms of which their contents may be described. This is because the component elements of idea systems bear an internal relationship to each other, and each idea system must therefore be understood in and for itself.

It might be argued, against this view, that every science must develop a precise terminology if confusion is to be avoided. Yet even if we accept the dubious methodological postulate on which this argument is based - the unity of method of the natural and social sciences - it is invalid. Contrasting nominalist and realist (or "essentialist") approaches towards the importance of definitions, Sir Karl Popper has persuasively argued that "in science all the terms really needed must be undefined terms."⁶³ He contrasts the situation, as he sees it, between philosophy and physics. Philosophers, for twenty centuries, have worried over the meaning of terms, but their discipline continues to be vague, ambiguous and full of verbalisms. Physics, on the other hand, hardly concerns itself about terms and meanings, but about facts instead, yet has achieved great precision in its discourse.

The conclusion which Popper draws from this is that it is a mistake to try and make the statements made in a science depend on the meaning of terms. No argument should be based on a definition, for this merely shifts the question of its validity back to its defining terms. The correct solution in trying to avoid the imprecision inherent in <u>all</u> language does not therefore lie in trying to specify terms more exactly. Rather, it consists in trying to remain within the limits of vagueness, phrasing sentences in such a way that possible shades of meaning of terms are not important.

In Popper's view, the precision of language depends in fact on <u>not</u> trying to make terms too precise. Terms like "sand-dune" and "wind", for example, are very difficult to define exactly (how do we distinguish a dune from a hill, mound or heap; a wind from a gale, breeze or hurricane?). Yet these terms have proved sufficiently precise for many of the purposes of the meteorologist and the geologist, and where a more exact specification is necessary, it is sufficient to say "dunes, forty to fifty feet high" or "winds of

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a velocity of forty to fifty miles per hour".64

Anthropologists may also adopt the procedure of the geologist and meteorologist. Instead of trying to formulate terms which exactly describe all cases of witchcraft, sorcery and destructive magic, not confusing these categories and also distinguishing them clearly from such other notions as ghost-invocation or cursing, where precision is necessary we may adopt the practice of speaking of "destructive magic with the following features...", or "witchcraft, where the witch is attributed the following characteristics...".⁶⁵

Notes and References

- 1. I employ the term "primitive" in reference to societies with the following characteristics: low-level technology, non-literacy, low population density, "multiplex" role systems, social relations organized on a face-toface basis. No implications of inferiority or of "backwardness" are intended.
- Kluckhohn, C., <u>Navaho Witchcraft</u>, (Beacon Press, Boston, 1967); Evans-Pritchard, E.E., <u>Witchcraft</u>, <u>Oracles and Magic among the Azande</u>, (Oxford University Press, London, 1937).
- 3. Or perhaps we should say "socio-structuralism", in order to differentiate Evans-Pritchard's approach from the linguistico-logical structuralism of writers like Claude Lévi-Strauss.
- 4. Kuhn, T.S., <u>The Structure of Scientific Revolutions</u>, (University of Chicago Press, Chicago, 1962), P. x.
- 5. See Douglas, M., <u>Natural Symbols: Explorations in</u> <u>Cosmology</u>, (Barrie & Rocklife, London, 1970); "Introduction: Thirty Years After <u>Witchcraft, Oracles and</u> <u>Magic</u>", in Douglas, M., <u>Witchcraft: Confessions and</u> <u>Accusations</u>, (Tavistock Publications Ltd., London, 1970); Leach, E.R., <u>Rethinking Anthropology</u>, (Athlone Press, London, 1961), Ch. I; Nadel, S.F., "Witchcraft in Four African Societies: An Essay in Comparison", <u>American Anthropologist</u>, Vol. LIV, (1952), Pp. 18-29, reprinted in Marwick, M. (ed.), <u>Witchcraft and Sorcery</u>, (Penguin Books Ltd., Harmondsworth, 1970), Ch. XXIII; Swanson, G.R., <u>The Birth of the Gods</u>, (University of Michigan Press, Ann Arbor, 1964), Ch. VII.
- 6. Mair, L., <u>Witchcraft</u>, (McGraw-Hill Book Co., New York, 1969).
- 7. That the relationship between conceptual categories and manifest behaviour must be posed as problematic, not taken as given, is shown by the phenomena which social

scientists have characterized by such terms as deviance, ideology, rationalization and false consciousness. The nature of this relationship is discussed further in Ch. II of this thesis.

- 8. Mair, L., Witchcraft, op. cit., P. 165.
- 9. Wittgenstein, L., <u>Philosophical Investigations</u>, (Basil Blackwell, Oxford, 1953).
- 10. Leach, E.R., <u>Rethinking Anthropology</u>, <u>op. cit.</u>, Pp. 105-8.
- 11. <u>ibid.</u>, P. 27.
- 12. Lévi-Strauss, C., <u>Totemism</u>, (Merlin Press, London, 1964), P. 3.
- 13. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 67.
- 14. Evans-Pritchard, E.E., Witchcraft..., op. cit., P. 64.
- 15. Winch, P., "Understanding a Primitive Society", <u>Ameri-</u> <u>can Philosophical Quarterly</u>, Vol. I, No. 4, (Oct. 1964), P. 310. Emphasis in original.
- 16. Cf. Ch. VIII of this thesis, Section D, "Action Against Witchcraft".
- 17. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 27.
- 18. <u>Ibid.</u>, P. 96. I follow here the interpretation offered by Kluckhohn in <u>Navaho Witchcraft</u>. Max Marwick, however, reports receiving a personal communication from Kluckhohn containing information not supportive of this interpretation. According to Marwick, Kluckhohn stated in this communication "...that his more recent data had not confirmed his earlier conclusions in this respect, and that gossip about local witches was commoner than his first impressions had led him to believe. I get the impression that his earlier finding may have been based on informants' general statements; and this later one, on the examination of specific instances. It may be

that ... people put the blame for misfortunes in general on to distant witches and for a specific misfortune on to someone within the community ...". Marwick, M., "Witchcraft as a Social Strain-Gauge", <u>Australian Journal of Science</u>, Vol. XXVI, (1964), Pp. 263-8, reprinted in Marwick, M. (ed.), <u>Witchcraft and Sorcery</u>, <u>op. cit.</u>, Ch. XXIV. Cf. P. 287.

- 19. Kluckhohn, C., <u>Navaho Witchcraft</u>, <u>op. cit.</u>, P. 111. Again, the reliability of Kluckhohn's information on this point is not clear, since, with the exception of some information on ties of kinship, we are given no concrete data on the relations between witch, victim and accuser. From the information we are given, it would seem that a disproportionate number of those accused of witchcraft, and even more of those who are bewitched, are wealthy. This might suggest that most accusations are made between persons of roughly equal socio-economic status, and that witchcraft is mainly of concern to the wealthier members of Navaho society. Ibid., Pp. 59-60.
- 20. Evans-Pritchard, E.E., <u>Witchcraft...</u>, <u>op. cit.</u>, Pp. 104-5, 343-4.
- 21. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 85.
- 22. I have drawn here on <u>The Shorter Oxford English Dic-</u> tionary, (Oxford University Press, London, 1959).
- 23. Kluckhohn, C., <u>Navaho Witchcraft</u>, <u>op. cit.</u>, P. 45. Emphasis in original.
- 24. Ibid., P. 133, note 3.
- 25. In the following section, I have drawn heavily on the exposition and critique of Pareto by Winch, P., <u>The Idea of a Social Science</u>, (Routledge & Kegan Paul, London, 1958), espc. Pp. 95-103. Cf. also, Pareto, V., <u>The Mind and Society</u> (Harcourt Brace, New York, 1935).
- 26. Winch, P., <u>The Idea...</u>, <u>op. cit.</u>, Pp. 99-100, Emphasis in original.

- 27. This point will be developed more fully in later sections of this thesis.
- 28. Nadel, S.F., "Malinowski on Magic and Religion", in Firth, R. (ed.), <u>Man and Culture</u>, (Routledge & Kegan Paul, London, 1957), P. 196. Cf. Malinowski, B., <u>Argonauts of the Western Pacific</u>, (E.P. Dutton & Co., Inc., New York, 1961), P. 424.
- 29. Malinowski, B., Argonauts..., op. cit., P. 299.
- 30. Ibid..
- 31. This despite the fact that, at the time of writing <u>Argonauts of the Western Pacific</u>, Malinowski continued to accept the validity of Frazer's theories of magic and religion. <u>Ibid.</u>, P. 73, footnote.
- 32. Despite, however, the fact that the powers of the <u>yoyova</u> are believed to derive from magic, they seem also to involve an innate component. Thus the <u>yoyova</u> is believed to develop a small egg-shaped <u>kapuwana</u> in her body which at night assumes the various forms in which the <u>mulukwausi</u> appears. Whether this <u>kapuwana</u> is a physical substance or not seems unclear, and in Malinowski's view to ask such a question is to attempt to smuggle in our own categories where they do not exist. It is also believed that young <u>yoyova</u> are easily picked out from other girls by their crude tastes, and especially their liking for raw pork and fish. Ibid., Pp. 238-40.
- 33. Ibid., Pp. 73-5.
- 34. Malinowski, B., <u>Crime and Custom in Savage Society</u>, (Routledge & Kegan Paul, London, 1926), Pp. 85-94.
- 35. Malinowski, B., Argonauts..., op. cit., Pp. 76, 236-41.
- 36. Fortune, R., <u>Sorcerers of Dobu</u>, (Routledge & Kegan Paul, London, 1963).
- 37. Cf. Firth, R., Human Types, (Mentor, New York, 1958),

Ch. VI; "The Sociology of 'Magic'", <u>Sociologus</u>, n.s., Vol. IV, (1954), Pp. 97-116, reprinted in Firth, R.,

<u>Tikopia Ritual and Belief</u>, (Allen & Unwin, London, 1967), Ch. IX; Hogbin, H.I., "Sorcery and Administration", <u>Oceania</u>, Vol. VI, (1935), Pp. 1-32.

- 38. Marwick, M., "The Study of Witchcraft", in Epstein, A.L. (ed.), <u>The Craft of Social Anthropology</u>, (Tavistock Publications Ltd., London, 1967), P. 233.
- 39. Evans-Pritchard, E.E., Witchcraft..., op. cit., P. 8.
- 40. Ibid., Pp. 21-3.
- 41. Ibid., P. 23.
- 42. Ibid., P. 112.
- 43. Evans-Pritchard, E.E., "Witchcraft (<u>Mangu</u>) Amongst the Azande", <u>Sudan Notes and Records</u>, Vol. XII, (1929), Pp. 163-249, reprinted in Marwick, M. (ed.), <u>Witch-</u> <u>craft...</u>, <u>op. cit.</u>, Ch. II, Cf. Pp. 29-30.

44. Evans-Pritchard, E.E., <u>Witchcraft...</u>, op. cit., P. 109.

- 45. Ibid., P. 119.
- 46. Ibid., P. 114.
- 47. Evans-Pritchard, E.E., "Witchcraft (<u>Mangu</u>)...", <u>op.</u> <u>cit.</u>, P. 30.
- 48. Evans-Pritchard, E.E., Witchcraft..., op. cit., P. 392.
- 49. Ibid., Pp. 394-8.
- 50. Kluckhohn, C., Navaho Witchcraft, op. cit., Pp. 22-4.
- 51. Ibid., Pp. 25-7.
- 52. Ibid., Pp. 31-3.
- 53. Ibid., Pp. 34-5.

- 54. <u>Ibid.</u>, Pp. 40-1. In this brief outline of the categories of Navaho witchcraft, I have not given the Navaho terms for Frenzy Witchcraft, Sorcery, etc., because of the difficulty of reproducing the phonetic transcription used by Kluckhohn.
- 55. Middleton, J. and Winter, E.H., "Introduction" to Middleton, J. and Winter, E.H. (eds.), <u>Witchcraft and</u> <u>Sorcery in East Africa</u>, (Routledge & Kegan Paul, London, 1963), P. 3.
- 56. An exception is Crawford, J.R., <u>Witchcraft and Sorcery</u> in Rhodesia, (Oxford University Press, London, 1967).
- 57. Cf. Mair, L., <u>Witchcraft</u>, <u>op. cit.</u>, P. 23; Marwick, M., "Introduction" to Marwick, M. (ed.), <u>Witchcraft...</u>, <u>op. cit.</u>, Pp. 12-13.
- 58. Quoted in Turner, V., "Witchcraft and Sorcery: Taxonomy versus Dynamics", <u>Africa</u>, Vol. XXXIV, No. 4, (1964), Pp. 314-25. Cf. Pp. 322-3. Turner's emphasis.
- 59. Goode, W.J., <u>Religion Among the Primitives</u>, (Free Press, Glencoe, Ill., 1951), P. 52.
- 60. Winch, P., <u>The Idea...</u>, <u>op. cit.</u>, P. 107. See also Pp. 105-6.
- 61. Turner, V., "Witchcraft...", op. cit., P. 323.
- 62. Wittgenstein, L., Philosophical..., op. cit., Pp. 31-6.
- 63. Popper, K.R., <u>The Open Society and its Enemies</u>, (Routledge & Kegan Paul, London, 1961), Vol. II, P. 18.
- 64. Ibid., Vol. II, Pp. 10-19.
- 65. As far as my own use of terminology is concerned, I propose, for the purposes of this thesis, to use such terms as "witchcraft", "sorcery" and "magic" in the same sense as they are used by the particular theoreticians being discussed.

CHAPTER TWO

FURTHER METHODOLOGICAL CONSIDERATIONS

A. Introduction

In the preceding chapter, we have suggested that the principal task of the anthropologist lies in trying to render intelligible, to a particular audience, the way of life of another cultural group.¹ In this chapter, I propose to develop further some of the implications of this view and to elaborate on some of the methodological considerations already invoked in our discussion of problems of termino-logy and definition.

Fundamental to the understanding of cultural life is the recognition of its nature as <u>meaningful behaviour</u>. Another way of expressing the same idea is to say that cultural behaviour takes place within the context provided by a set of concepts and beliefs which are interrelated in such a way as to form a system. These systems are structured according to certain rules, and it follows from this that cultural behaviour is rule-governed.² In order to understand cultural behaviour, therefore, it is first necessary to succeed in grasping the rules which order it and the notions which enter into it. It is for this reason that, in the preceding chapter, we have several times criticized suggested terminological systems for violating the integrity of native systems of thought.

This is not to imply that a satisfactory understanding

of the way of life of a particular social group is necessarily contained in the way in which its own members conceptualize it. Many of the rules governing cultural life may not be actually present in the consciousness of those who follow them: many linguistic conventions, for example, are unconsciously followed in this manner. Moreover, even when cultural rules are consciously applied, those applying them may not be aware of the full consequences of their doing so (just as a chess player may not forsee the full consequences of a move that he makes). For cultural rules are not applied in a vacuum, but in the context of particular action-situations, and the result of their application in the context of these particular situations is to generate new situations which may or may not be those which were originally intended. It follows from this that the nature of a particular way of life, considered as an on-going process, may not be fully understood by those living it, and therefore that current conceptualizations of social reality may embody falsehoods. 3 Among the tasks of the anthropologist, is that of determining the extent of these misconceptions, and of discovering what role they play, as misconceptions, in the life of the social group concerned.

The conceptualization of social reality is, of course, only a special case of the understanding of reality as such, and it is not only the former, but also the latter, which enters into a given way of life. As Winch expresses it,

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A man's social relations with his fellows are permeated with his ideas about reality. Indeed, "permeated" is hardly a strong enough word: social relations are expressions of ideas about reality.⁴

In support of this argument, Winch gives the example of the characteristic social relations which a monk has with his fellow monks and with people outside the monastery: it would be impossible to achieve more than a superficial understanding of these relations without taking into account the religious ideas on which the monk's life is centred.⁵ Other examples of the way in which notions of social, psychic, spiritual, biological or physico-chemical reality govern people's characteristic activities, including their relations with their fellows, spring readily to One might cite the way in which the characteristic mind. behaviour of the vegetarian, the racist, the alchemist or the devotee of astrology or of the I Ching, manifests his or her particular notions of reality; but the example of the meat-eater, the liberal, the nuclear physicist, or the rationalist, would be equally valid. Of course, just as it is possible that a social consciousness may be a false consciousness, so too is it possible that an actor may not fully comprehend the way in which his social interaction network, and his way of life in general, is founded on implicit or explicit notions of other dimensions of reality.

B. Cultural Knowledge as a Cultural Phenomenon

A particular image of society, and a particular view of reality, is acquired by the individual from his life in society, just as a language is so acquired. It is in terms of this social consciousness, and this world-view, that the individual makes sense of his experiential world, including his universe of social interaction.

This statement holds equally true for the anthropologist as for the members of the cultures which he studies. The anthropologist does not approach the phenomena of other cultures without expectations, but does so with definite assumptions in mind which are a product of his biography in a specific socio-cultural milieu. No less than the shaman or the witch-doctor, the anthropologist has a particular social identity and a particular conceptualization of this identity, of his society, of society and humanity in general, and even of reality, be these views elaborated into a consistent philosophy or present only as an unintegrated series of presuppositions. Most commonly, this world-view takes the form of some variant or other of a secularist liberal humanism compatible with a technocratic social order.⁶

Such views deeply influence the anthropologist's analysis of other societies. As Pocock points out, even in his first piece of field-work the anthropologist necessarily compares the categories of his own society with those of the society he is studying, and also has in mind the works of his predecessors dealing with phenomena comparable to those which he finds.⁷ The anthropologist's experience of primitive society, in other words, is a function of his prior experience of his own society. In this way, the position of the anthropologist may be re-

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garded as being in essence identical to that of the historian interpreting another historical epoch. As the historian's work is itself the product of an historical evolution, so is that of the anthropologist a product of a particular cultural configuration. Thus, as Paul Cardan writes.

> ... each civilization or epoch, from the very fact that it is particular and dominated by its own obsessions, is led to suggest or uncover new meanings in the societies which preceded it or surround it.... These meanings can never fix or exhaust their object, not the least reason for which is that they themselves sooner or later become objects of interpretation.⁸

The following provides a clear example of the way in which social and historical thought is itself socio-historically determined:

> In the thinking of the ancient Greeks the dominant categories defining social relations and history were essentially political (the power of the city, relations between cities, relations between "might" and "right", etc.). The economy only received marginal attention. This was not because the intelligence or insight of the Greeks were less "developed" than those of modern man. Nor was it because there were no economic facts. or because economic facts were totally ignored. It was because in the social reality of that particular epoch the economy had not yet become a separate, auto

nomous factor (a factor "for itself" as Marx would say) in human development. A significant analysis of the economy and of its importance for society could only take place in the 17th century and more particularly in the 18th century. It could only take place in parallel with the real development of capitalism which made of the economy the dominant element in social life. The central importance attributed by Marx and the marxists to economic factors is but an aspect of the unfolding of this historical reality.9

C. Levels of Cultural Understanding

There are two separate stages involved in the understanding of another culture. The first involves assimilating the categories of native thought and feeling, together with a knowledge of the contexts in which such categories are employed: a process which might be compared to that of learning a foreign language. The second stage involves going beyond these categories, in some sense, in order to indicate their significance to anthropological theory: a process which might be compared to the scientific description of a language. Another possible analogy to anthropological understanding is that of psychoanalysis. As Pocock argues,

> The analyst enters the private world of his subject in order to learn the grammar of his private language. If the analysis goes no further it is no different in kind from the understanding which may exist between any two people who know each other well.

It becomes scientific to the extent that the private language of intimate understanding is translated into a public language ... in this case ... the language of psychologists. But the particular act of translation does not distort the private experience of the subject and ideally it is, at least potentially, acceptable to him as a scientific presentation of it.¹⁰

Obviously the task of understanding the beliefs and practices of the members of another society is not equally difficult on all levels of socio-cultural organization. The relative difficulty of understanding is primarily determined by the degree of similarity or difference of the beliefs and practices being studied to those operative in the anthropologist's own society. Technological behaviour, for example, poses no particular problems of understanding since, qua technological behaviour, it is readily assimilable to the categories of our own world-view and satisfies our own criteria of rationality. Nobody is puzzled by the fact that, in order to grow crops, seeds are planted in the ground and watered, and the garden carefully tended and weeded. Once the goal of the gardener is known - the growing of crops - and the limitations of his resources and gardening knowledge comprehended, his behaviour is immediately intelligible to us. More than this, simply by observing technological behaviour - in its more elementary forms at least - it is often possible to infer not only the goals of the technologist, but also the limitations of the resources and technical knowledge at his disposal. We

might recognize that there are other ways of accomplishing the same end, but his way is also effective. Thus, even if the native observer explains the efficacy of his technological activities using different terms from those which we would use, these activities nevertheless in no way challenge our conceptualization of the nature of reality.

The problem begins when we are forced to consider the magical rituals so often associated with what we describe as technology: the spell that is whispered over the digging-stick, the "medicines" that are used to promote growth or ward-off thieves. Are such practices to be explained simply as misguided technological procedures, the result of errors in the understanding of natural causation, or is there some other explanation? A similar difficulty confronts us when we consider Navaho tales of witches who enclose themselves in gourds and travel by rapidly rolling along the ground, or of other witches who can transform themselves into wolves, coyotes and bears and move at great speeds, or of dogs which may pray sickness on people.11 For such beliefs seem to assert the existence of powers, substances and relationships that, for us, have no reality. How, then, are we to make sense of them?

Such beliefs - and the activities associated with them - constitute a special problem in that we belong to a culture whose conception of rationality is deeply affected by the concepts and methods of the natural sciences. As a result, we tend to treat such things as a belief in magic, witchcraft, or the power of oracles as a paradigm of the

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irrational. Peter Winch points out the implications of this:

The strains inherent in this situation are very likely to lead the anthropologist to adopt the following posture: We know that Zande beliefs in the influence of witchcraft, the efficacy of magic medicines, the role of oracles in revealing what is going on and what is going to happen. are mistaken, illusory. Scientific methods of investigation have shown conclusively that there are no such relations of cause and effect such as are implied by these beliefs and practices. All we can do then is to show how such a system of mistaken beliefs and inefficacious practices can maintain itself in the face of objections that seem to us so obvious.12

One does not have to search far to find evidence which confirms Winch's statement. From the time of Tylor and Frazer until the present, most anthropologists have seen one of the key questions to which they must address themselves as being that of explaining why such erroneous beliefs should continue to persist. In Marwick's words, "Anthropologists have long been preoccupied with the problem why beliefs in magic, witchcraft and sorcery, though palpably false, nevertheless continue to have influence."¹³ For Marwick himself, witchcraft and sorcery beliefs are "standardized delusions", ¹⁴ while for Monica Hunter Wilson they are "the standardized nightmare of a group".¹⁵ In the same vein, Victor Turner describes witchcraft and sorcery as "ugly and irrational beliefs",¹⁶ while Kluckhohn similarly opposes witchcraft beliefs to "more rational modes of explanation".¹⁷ For his part, Evans-Pritchard asks why the Azande "do not perceive the futility of their magic",¹⁸ and why "common sense does not triumph over superstition".¹⁹

In thus viewing magico-religious systems, anthropologists have implicitly accepted a hierarchy of types of thought, with western science placed in a position of superiority to all other modes, and serving as a touchstone against which to judge them. Hence the longstanding controversy over the question of the extent to which the magico-religious beliefs of primitive peoples can be accomodated to models of scientific thought and behaviour. This, in itself, is a perfectly justified in-The trouble is, as Barnes remarks, that "Often, terest. in such material, one finds a detailed and sophisticated treatment of anthropological material related to sketchy and often implicit notions of what is rational or scientific".²⁰

D. Their Ways of Thinking and Ours

Perhaps one of the clearest examples of this tendency to operate with largely implicit notions of the nature of western thought and of scientific discourse is that of Lévy-Bruhl. Thus, despite his explicitly declared intention to make a comparative study of primitive and western thought, Lévy-Bruhl did not attempt any serious examination of the latter, contenting himself with the statement that it was already sufficiently well defined in the works of philosophers, logicians and psychologists, and therefore not in need of further elaboration.²¹ Other anthropologists have assumed as much, if they have not been quite as explicit in saying so.

Such an attitude is insupportable. No anthropologist would accept the conscious model of another society as an accurate description of reality, and there would seem to be no more justification for doing this with the conscious models of our own society. The important point is that, on the basis of these sketchy and implicit notions, a series of oppositions have been postulated between Western science and other cosmological systems. We may indicate some of these in tabular form:

THEORIST	MAGIC	SCIENCE			
Tylor, Frazer	subjective	objective			
Lévy-Bruhl	prelogical & mystical	logical & empirical			
Malinowski	emotive	intellectual			
Evans-Pritchard	mystical	empirical			
Kluckhohn	fantasy-oriented	reality-oriented			

Diagram 3: Oppositions Between Science and Magic

In discussing another aspect of human thought - the manner in which the interrelation between nature and culture is conceptualized - Lévi-Strauss has noted that the mind of the anthropologist has played as large a part as have the ideas of the people being studied, in determining what the anthropologist has written: "... it is as though he were seeking consciously or unconsciously, and under the guise of scientific objectivity, to make the latter ... more <u>different</u> than they really are".²² He suggests in explanation of this that:

> In order to place the modes of thought of the normal, white adult man on a firm foundation and simultaneously to maintain them in their integrity, nothing could be more convenient than for him to separate from himself those customs and beliefs, actually extremely heterogeneous and difficult to isolate, around which had crystallized an inert mass of ideas which would have been less offensive if it had been necessary to recognize their presence and their action in all cultures. including our own. Totemism is firstly the projection outside our own universe, as though by a kind of exorcism, of mental attitudes incompatible with the exigency of a discontinuity between man and nature which Christian thought has held to be essential. It was thus thought possible to validate this belief by making the universal exigency an attribute of this "second nature". which civilized man, in the vain hope of escaping from himself as well as from nature, concocts from the "primitive" or "archaic" stages of his own development.23

In this way, "totemism" has been invented, and a similar

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process has operated in relation to the concepts of "sacrifice" and of "ignorance of physiological paternity", both of which concepts have, significantly, been assimilated to that of "totemism".²⁴

The notion of "ignorance of physiological paternity", widely ascribed to the Trobriand Islanders and the Aborigines of Australia, has recently been examined in detail by Edmund Leach. Reviewing the evidence on this point, Leach concludes that the great bulk of ethnographic data indicates that these peoples are not in fact ignorant of physiological paternity and, moreover, has always indicated this. This, of course, raises the rather interesting question of why it is that anthropologists have clung so tenaciously to the opposite conclusion. Leach notes that the emphasis on this point for primitive peoples has been coupled with a complete failure to attempt any form of comparative analysis which would embrace the theology of Judaism or Christianity. Instead of seeking for relationships between primitive and civilized modes of thought, anthropologists have been content to establish a simple dichotomy between primitive ignorance and civilized theology, implicitly denying that there is any affinity between accounts of conception among primitive peoples and, for example, the Christian myth of the Virgin Birth.²⁵ Again we encounter "... the fact that the quest for the ultimate primitive who is quite different from civilized man appeals very strongly to certain anthropologists,"²⁶ thus corroborating Lévi-Strauss' analysis of the "totemic illusion".

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Undoubtedly, this process is also part of the tendency on the part of every civilization "... to overestimate the objective orientation of its own thought."²⁷ Yet how is such a tendency to be countered?

It is useful at this point to turn to Lucien Goldmann's discussion of the Marxian thesis of the class determination of consciousness. In discussing the problem posed for objectivity in the social sciences by this thesis, Goldmann has suggested that it is necessary to recognize that certain aspects of reality may be visible from a reactionary standpoint, and yet incomprehensible within the context of the potential consciousness of a more progressive class. Recognition of this is the first requirement of the individual researcher, in order for him to be able to transcend the actual consciousness of any existing class.

> He can do this by: (a) effecting a synthesis of the elements of truth provided by the perspectives of the differing social classes; and (b) by preserving the elements of understanding already expressed earlier by this or that thinker but later abandoned under the influence of social, economic and political changes.²⁸

Of course, no such synthesis could claim finality in any sense. All syntheses must necessarily proceed from a given perspective and, as constructions, necessarily rest on certain preconceptions and underlying assumptions. Yet a generalization of Goldmann's programme contains a very important suggestion for the present study. Primitive

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modes of thought and notions of causality and reality are not only interesting in the light of our own world-views. These conceptions may themselves be used in order to understand better our own ways of thought, including the most advanced and sophisticated of scientific theories. Α few writers have seen this, 29 but they are exceptional in this respect. The majority of anthropologists, if they have sought insight into their own cultures from the data of primitive magic and witchcraft, have limited their generalizations to a few scattered remarks on such unsavoury phenomena as racism, Fascism, Stalinism or In this respect, they have not really ad-McCarthyism. vanced beyond the level of insight achieved by literary figures like Arthur Miller.³⁰ By restricting their comparisons to phenomena from their own culture which they themselves evaluate negatively, perhaps with the conscious or unconscious intention of discrediting these phenomena, contemporary anthropologists are engaged in the same kind of pursuit as Tylor and Frazer. They continue to emphasize the gulf between primitive and western modes of thought, and restrict an analysis of similarities between the two to those elements of their own culture which they regard as foreign to their own outlook.

E. The Importance of History

Goldmann's suggested method of transcending sociocentrism implies the necessity of a constant examination and re-examination of received ideas. An historical approach is therefore required for the social sciences.

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This is more than a matter of antiquarianism or literary adornment. As Pocock explains for anthropology,

> The discipline as it is today <u>con-</u> <u>tains</u> its history to a remarkable degree. To put it in another way, the subject is still young, is still in the process of working out a consensus of ideas, and divergences of assumption are perhaps more marked than they are in the longer established sciences.31

History therefore holds a central place in discussions of anthropological theory. Perhaps many anthropologists would dispute this. Even as historically aware a writer as E.E. Evans-Pritchard, for example, is prepared to dismiss many of the theoreticians discussed in the following pages - Tylor, Frazer, Rivers, Malinowski and Lévy-Bruhl - as being intrinsically unimportant in contemporary theoretical discussions and mainly of interest as specimens of the thought of their time.³² In the face of such a rejection, one might wonder at Evans-Pritchard's own propensity to adopt an approach to theoretical matters so typically of the "history of anthropology" type. Moreover, even if most contemporary anthropologists reject (or think they reject) the theories of their predecessors, it is not difficult to demonstrate the continuing influence of such theories in related disciplines and among laymen. The abridged edition of The Golden Bough continues to enjoy wide sales in paperback form, and numerous of the ideas of Frazer and Robertson-Smith continue to find acceptance on the part of students of the Old Testament and of Classics,

not to mention such influential modern writers as Mircea Eliade and Ernst Cassirer.³³ In any case, how profound is this rejection? Probably, to take a simple example, no contemporary anthropologist would accept Frazer's model of an evolutionary progression from magic, through religion, to science, without serious reservations. Yet almost as many might accept Frazer's view that the interesting question concerning primitive magico-religious systems centres on the persistence of false beliefs in magical efficacy. Moreover, even if contemporary anthropologists reject a theory, does this mean that we may dismiss it as dead wood? Not even the progress of the natural sciences, still less of the social sciences, can be adequately depicted in terms of a logical progression in the course of which truth unfailingly comes to replace falsehood. Thus, when two philosophers of the social sciences declare themselves to be "Frazerians" and set out to defend Frazer's general methodology, while at the same time criticizing a prominent contemporary anthropologist (John Beattie) for implicitly accepting "one of the weakest points of Frazer's theory", 34 it becomes plain that the old issues are far from having been settled once and for all. Indeed, one might wonder if they have even been understood. An historical examination of these issues may therefore be of considerable value, and it is in fact only by means of such an examination that we can hope to render explicit our preconceptions and attempt to relativize our categories.

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F. The Development of Anthropological Theory

Anthropology had its roots in the humanistic philosophical speculation of the Enlightenment, and crystallized as an academic discipline in the latter part of the nineteenth century. From the <u>philosophes</u> of the Enlightenment it derived a strong anti-clericalism, and a mission to free men's minds from the bonds of ignorance and superstition. This anti-religious bias was further strengthened by the bitter struggles occasioned by the theory of natural evolution, and also by the strong heritage derived from Comtian positivism.

The latter part of the nineteenth century was also, of course, the last period of frenzied empire-building on the part of the European powers: the age of the "scramble for Africa" and the "white man's burden". If the philosophes spun idealized images of Chinese civilization and of the good life in Polynesia, the generation of Tylor and Frazer encountered the reality of a weak and decadent China and the undisputed technological and military supremacy of the nations of Western Europe and North America. Most anthropologists of this period did not question the superiority of Western European civilization to any other social type existing on earth up to and including that Many also accepted the notion of the inpoint in time. nate superiority of the white race to all other ethnic groups. This conviction of superiority, whether racial or cultural, was reflected in the grand evolutionary schemes then so fashionable. Always these schemes culminated in

some institution selected from nineteenth century industrial society: the monogamous family, private property, monotheism, or scientific thought. In relation to such institutions, all the customs, institutions and beliefs of "savages" and "barbarians" were to be regarded as so many fossilized antecedents, retarded in their development and preserved by some freak of history.

Nineteenth century anthropologists therefore shared two important characteristics: firstly, the assumption of the superiority of their society to all others and, secondly, a profound antipathy towards religion. This antipathy was partly expressed in the tendency to elevate scientific methodology to a position of supreme judgement in relation to all other epistemological systems. Often these tendencies merged, as in the writings of Sir James Frazer. In Frazer's eyes, religion came to be seen as an historical survival in nineteenth century European society, a product of fallacious reasoning, and a phenomenon to be replaced in the course of social evolution by the gradual expansion of scientific knowledge. Comte had asserted much the same thing when he outlined his "Law of the Three Stages", according to which human thought had undergone an evolution from religion, through metaphysics, to its final "positive" form.

Such a perspective naturally implied that the anthropologist was looking upon primitive society from the outside. There was for him nothing to be learnt from the way of life of primitive peoples which might be relevant to his own way of life, nor any implication of the validity

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of attempting to understand these societies in their own terms: least of all with respect to their pernicious and delusory magico-religious beliefs. These constituted only bizarre departures from rational thought, departures standing in need of some form of explanation. Such an explanation was provided, in the first instance, by the theoretical schema of associational psychology.

Lévy-Bruhl did not basically modify this opposition between primitive and civilized, nor this denigration of native modes of thought. Nor did he challenge the tendency to elevate Western science to a position of absolute arbiter or touchstone against which to measure the acceptability of other ways of conceptualizing reality. Indeed. his definition of mystic thought, as thought presupposing the existence of entities and relations not existing in reality, assumes precisely this conception of science. Furthermore, if Lévy-Bruhl, in comparison to Tylor and Frazer, marks a forward step in beginning to appreciate the variability of meaning in differing cultural contexts, in other respects he may be considered to mark a retro-Both Tylor and Frazer, although tending to grade step. view the primitive as a credulous fool and slave to custom, nevertheless strongly emphasized that the thought processes of primitives were to be understood in basically the same terms as our own. Lévy-Bruhl denied this completely. However coherent native thought is, it cannot be understood by Western civilized man. Thus the anthropologist may describe what primitives say, but he cannot hope

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to grasp their concepts.³⁵ For primitives do not possess concepts proper in the sense of recognizing that some uses conform to, and others break, rules for the use of expressions. Hence the indifference of primitive thought to logical contradiction. Of course the Westerner might imagine himself a primitive and obtain some sort of understanding of primitive ideas by means of a process of empathy. Equally well, however, he might imagine what it is to be a bear or a squirrel by means of some process of empathy.

With Malinowski, a dramatic shift occurred in anthropological emphasis. Writers now became less interested in trying to understand primitive thought as such, and more interested in the thought of particular primitives. Fieldwork suddenly assumed a crucial importance for all anthropologists: a marked difference from the attitude towards this of, for example, Frazer. Yet, obviously, this fieldwork did not proceed in a theoretical vacuum, but was carried out under the constraining influence of pre-existing ideas. The vast majority of anthropologists, for instance, continued to accept the imperial situation: if for no other reason than that there did not seem to be any practical way of dismantling the empires at this time. This is not to suggest that they acted as cynical advisers to rapacious imperialist administrators. Rather, their attitudes are more accurately described by Gough:

> ... anthropologists in those days seem to have commonly played roles characteristic of white liberals, sometimes of white liberal reformers

... Anthropologists were of higher social status than their informants: they were usually of the dominant race, and they were protected by imperial law; yet, living closely with native peoples. they tended to take their part and protect them against the worst forms of imperialist exploitation. Customary relations developed between anthropologists and the people whose institutions they studied. Applied anthropology came into being as a kind of social work and community development effort for non-white peoples, whose future was seen in terms of gradual education and amelioration of conditions many of which had actually been imposed by their Western conquerors in the first place.36

Thus Malinowski was to describe anthropology as having not only a scientific and cultural value, but as having a direct practical application in helping the white man to "... govern, exploit, and 'improve' the native with less pernicious results to the latter."³⁷

This new anthropological role induced a change towards native conceptual systems. Earlier anthropologists had tended to regard primitive beliefs in magic and witchcraft as unqualifiedly pernicious, and as better destroyed than perpetuated. Malinowski, on the other hand, argued that such beliefs, even if fallacious, should be tampered with as little as possible by administrators, missionaries and traders, since they fulfilled a pragmatically useful function in primitive society. Hence Malinowski's emphasis on the role played by sorcery in upholding the political authority of the Trobriand chief. In a similar vein, Hogbin and Fortune sought to demonstrate that, while the eradication of the belief in sorcery was a worthwhile goal to pursue, the welfare of native society was not best served by the direct interference on the part of government officials with these beliefs.³⁸

This view was, of course, associated with the functionalist analysis of social life, according to which every item belonging to a cultural complex necessarily made a contribution to the continuation of the social group considered as a perduring unit. This approach was dominant in British anthropology from the 1920's until recent times, and also had some impact on American cultural anthropological studies. Thus it was that Kluckhohn undertook an analysis of the witchcraft beliefs of the colonialized Navaho from a perspective in many respects identical to that of the functionalism of Malinowski and Indeed, his chief difference from the Radcliffe-Brown. latter writers lies in his far greater emphasis, especially when compared with Radcliffe-Brown, on the importance of the individual as the fundamental unit of functionalist analysis: an emphasis perhaps relateable to the greater disintegration of the native cultures of the United States compared to the relatively more intact cultures studied by British anthropologists. Coupled with this emphasis, was Kluckhohn's far greater sophistication of psychological theory compared with Malinowski.

A further change of emphasis is marked by the publication of E.E. Evans-Pritchard's Witchcraft, Oracles and

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Magic among the Azande: probably the most important single study of primitive belief in magic and witchcraft to date. In this work, there is little talk of the functions of social institutions, and the phenomena under examination are not explained by the extent to which they make for sentiments reinforcing the solidarity of Zande society. The concern is rather to show how Zande beliefs and actions are interrelated and how, given the premises on which they are based, they form a logical system. Nowhere in the book is there anything resembling a law of human society, or even anything approaching a statement about witchcraft in general. The analysis is concerned rather with presenting the meaning of Zande beliefs and actions, and some understanding of how these beliefs accord with the formal social organization of the Azande.

> There emerges an implicit comparison between their witchcraft and our notions of belief, causality, and moral system, and also a heightened consciousness of what we ourselves mean by these terms... It is important to note that by this stage the individual institution - in this case, witchcraft - is only the point of entry to the perception of sets of relations. In short, one can begin to speak of the structural analysis of social life as opposed to the functional analysis of social structures.39

G. Evans-Pritchard and Kluckhohn

Kluckhohn's work therefore represents the culmination of a theoretical tendency initiated - first and foremost -

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by Malinowski, while the approach of Evans-Pritchard represents the initiation of a new direction of anthropological analysis, and one which has become increasingly significant in recent years. Since these two studies were published within seven years of each other. and - somewhat curiously - written independently of each other, it would seem a fruitful project to undertake a comparative analysis of these as models for the understanding of primitive ideas of witchcraft. In Kluckhohn's work, we see the functionalism of Malinowski, greatly refined, attempting to make sense of that field of data most difficult to understand in terms of Malinowski's general theory of magic, and most problematic for his functionalist approach as a whole: i.e., witch beliefs. In Evans-Pritchard's work, we see Malinowski's perspective already implicitly rejected (hence the hostility of the latter towards it^{40}), and the initiation of a new approach to the understanding of primitive magic and witchcraft beliefs.

It is possible to contrast the work of Evans-Pritchard and of Kluckhohn on a number of dimensions. Thus the former is concerned with meaning, the latter with function; Evans-Pritchard is attempting to <u>understand</u> the Zande world-view, while Kluckhohn is trying to explain that of the Navaho;⁴¹ Evans-Pritchard places his main emphasis on the rationality and logical coherence of Zande beliefs, even although he considers these to be objectively false, while Kluckhohn treats Navaho witch beliefs as fundamentally irrational and essentially to be understood as the resultants of the unconscious processes of the human psyche.

To all these differences, one must add the overriding difference between Kluckhohn's psychologism and Evans-Pritchard's sociologism. This, to be sure, is nothing new in terms of an antithesis in the social sciences: nor is it an antithesis that has by any means been resolved. Pocock notes that the question of the priority of psyche or society was already an issue of debate between Macaulay and James Mill in 1829;43 it continues to be a point of contention in the most recent of discussions in the social sciences.44 It seems unlikely that this question should ever be resolved, since it cannot be decided by arguments on an abstract methodological level. The question of the priority of psyche or society is closely bound to one's prior conception of the type of question the social sciences should attempt to answer, which in turn hinges on one's actual ideas concerning the nature of human society and personality.

From the standpoint of this thesis, what is interesting in the psychological model is the substantive theory and the implicit assumptions with which it is associated. For the psychological model has not been invoked in order to explain rational thought processes, but only those which are considered to depart from our criteria of rationality. The sociological approach, on the other hand, has tended to look for criteria of rationality within each culture, and not to assume that what would be irrational in our society need necessarily be so in another cultural context (and vice versa). It follows that the sociological approach - at least in its structuralist variant - is much more concerned with seeing a culture in its own terms. The psychological approach, in contrast, definitely involves the analysis of other cultures in terms extraneous to them.

Notes and References

- 1. Ch. I, P. 3.
- 2. The meaning of the statement that all specifically human behaviour is rule-governed is explicated in Winch, P., The Idea of a Social Science, (Routledge & Kegan Paul, London, 1958), espc. Pp. 24-33, 40-65. Winch suggests that an action may be spoken of as involving the application of a rule if it is possible to distinguish between a right and a wrong way of performing the action. In his critique of Winch, D.R. Bell argues that there are certain obscurities inherent in this formulation of Winch's, and contends that it is necessary to distinguish at least two senses in which an activity may be rule-governed: (i) in the sense that an activity is conceptualized, this particular conceptualization fitting in with other concepts of the language; and (ii) in the sense in which a rule applies forbidding or enjoining an Bell, D.R., "The Idea of a activity for some reason. Social Science", Aristotelian Society Supplement, Vol. XLI, (1967), P. 119. See also the critique by MacIntyre of Winch's position: MacIntyre, A., "The Idea of a Social Science", Aristotelian Society Supplement, Vol. XLI, (1967), Pp. 95-114.
- 3. Recognition of this point is, of course, widespread in the social sciences, implicitly or explicitly forming in part the theoretical base of such divergent methodological approaches as those of Freudianism, Marxism, functionalism and structuralism. It is expressed in such notions as those of false consciousness, manifest function, rationalization, conscious model and ideology.
- 4. Winch, P., <u>The Idea...</u>, <u>op. cit.</u>, P. 23.
- 5. Ibid..
- 6. The concept of technocracy is developed in Roszak, T.,

The Making of a Counter Culture, (Doubleday & Co., Inc., Garden City, New York, 1969).

- 7. Pocock, D.F., <u>Social Anthropology</u>, (Sheed & Ward, London, 1961), P. 90.
- 8. Cardan, P., <u>History and Revolution</u>, (Solidarity, London, 1971), P. 28.
- 9. Cardan, P., <u>The Fate of Marxism</u>, (Solidarity, Clydeside, n.d.), P. 4.
- 10. Pocock, D.F., Social Anthropology, op. cit., Pp. 88-9.
- 11. Kluckhohn, C., <u>Navaho Witchcraft</u>, (Beacon Press, Boston, 1967), Pp. 26-7, 61, 138-48, 150 note 7.
- 12. Winch, P., "Understanding a Primitive Society", <u>American Philosophical Quarterly</u>, Vol. I, No. 4, (Oct. 1964), P. 307. Emphasis in original.
- 13. Marwick, M. (ed.), <u>Witchcraft and Sorcery</u>, (Penguin Books Ltd., Harmondsworth, 1970), P. 319.
- 14. Marwick, M., "The Study of Witchcraft", in Epstein, A.L. (ed.), <u>The Craft of Social Anthropology</u>, (Tavistock Publications Ltd., London, 1967), P. 238.
- 15. Wilson, M.H., "Witch Beliefs and Social Structure", <u>American Journal of Sociology</u>, Vol. LVI, No. 4, (Jan. 1951), P. 313.
- 16. Turner, V., "Witchcraft and Sorcery: Taxonomy versus Dynamics", <u>Africa</u>, Vol. XXXIV, No. 4, (1964), P. 316.
- 17. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 83.
- 18. Evans-Pritchard, E.E., <u>Witchcraft, Oracles and Magic</u> <u>among the Azande</u>, (Oxford University Press, London, 1937), P. 475.

19. Ibid., P. 193.

- 20. Barnes, S.B., "Paradigms Scientific and Social", <u>Man</u> (n.s.), Vol. IV, No. 1, (1968), P. 94.
- 21. Lévy-Bruhl, L., <u>How Natives Think</u>, (Washington Square Press, New York, 1966), P. 19.
- 22. Lévi-Strauss, C., <u>Totemism</u>, (Merlin Press, London, 1962), P. 1. Emphasis in original.
- 23. Ibid., P. 3.
- 24. Ibid., Pp. 2-3.
- 25. Leach, E.R., "Virgin Birth", in Leach, E.R., <u>Genesis</u> <u>as Myth and Other Essays</u>, (Jonathan Cape, London, 1969), Pp. 109-10.
- 26. Ibid., P. 97. Emphasis in original.
- 27. Lévi-Strauss, C., <u>The Savage Mind</u>, (Weidenfeld & Nicholson, London, 1966), P. 10.
- 28. Goldmann, L., <u>The Human Sciences and Philosophy</u>, (Jonathan Cape, London, 1969), P. 58.
- 29. See Polànyi, M., <u>Personal Knowledge</u>, (Routledge & Kegan Paul, London, 1958), P. 58; Barnes, S.B., "Paradigms...", <u>op. cit.</u>, espc. Pp. 99-101.
- 30. Miller, A., <u>The Crucible</u>, (Bantam Books, New York, 1959). Of course I am not here intending to denigrate Miller's remarkable play, nor his purposes. The point I wish to emphasize is that we don't need anthropologists to tell us what a "witch-hunt" is.
- 31. Pocock, D.F., <u>Social Anthropology</u>, <u>op. cit.</u>, P. 3. Emphasis in original.
- 32. Evans-Pritchard, E.E., <u>Theories of Primitive Religion</u>, (Oxford University Press, London, 1965), P. 100.

- 33. See Douglas, M., <u>Purity and Danger</u>, (Penguin Books Ltd., Harmondsworth, 1970), Pp. 16-40.
- 34. Jarvie, I.C. and Agassi, J., "The Problem of the Rationality of Magic", <u>British Journal of Sociology</u>, Vol. XVIII, (1967), P. 68.
- 35. It is important to note that Lévy-Bruhl's contention that primitive thought is ultimately incomprehensible was not simply a logical deduction on the part of an armchair anthropologist, but was based upon the testimony of pioneer field-workers, like Cushing and Best, who had actually lived among primitive peoples and learnt their languages. See Lévy-Bruhl, L., <u>How</u> <u>Natives Think</u>, op. cit., Pp. 55-6.
- 36. Gough, K., "New Proposals for Anthropologists", Current Anthropology, Vol. IX, (Dec. 1968), P. 403.
- 37. Malinowski, B., <u>Crime and Custom in Savage Society</u>, (Routledge & Kegan Paul, London, 1926), P. xi.
- 38. Hogbin, H.I., "Sorcery and Administration", <u>Oceania</u>, Vol. VI, No. 1, (Sept. 1935), Pp. 1-32; Fortune, R., <u>Sorcerers of Dobu</u>, (Routledge and Kegan Paul, London, 1963), Appendix III, "Administration and Sorcery".
- 39. Pocock, D.F., Social Anthropology, op. cit., P. 73.
- 40. Malinowski in fact even went so far as to attempt to prevent Evans-Pritchard from publishing <u>Witchcraft</u>, <u>Oracles and Magic among the Azande</u>. Dr. K. Burridge, pers. comm..
- 41. Understanding involves rendering the actions of an individual intelligible by reference to the thoughts, goals or intentions of the actor. Explanation, on the other hand, involves rendering a series of actions intelligible by reference to a theory extraneous to the behaviour observed. The difference between these two might be likened to the distinction Winch draws between applying one's knowledge of a language in order

to make sense of a conversation and applying one's knowledge of the laws of mechanics in order to comprehend the workings of a watch. Winch, P., <u>The Idea...</u>, <u>op. cit.</u>, P. 133.

- 42. Kluckhohn does acknowledge that Navaho witch beliefs possess a certain coherence and logical structure. He does not, however, elucidate this logic which he treats somewhat in the manner of the logic of the insane.
- 43. Pocock, D.F., Social Anthropology, op. cit., Pp. 34-5.
- 44. See the discussion of the theories of Herbert Marcuse and Norman Brown in Roszak, T., <u>The Making...</u>, <u>op.</u> <u>cit.</u>, Ch. III.

CHAPTER THREE

THE PROGRESSIONISTS

A. Introduction

To understand the views of Tylor and Frazer on magic and witchcraft, it is necessary to locate these views in two contexts. The first is that of the intense Victorian concern with religion, and in particular the bitter conflict between some of the nascent natural and human sciences and a literalist theology. The second is that of the general suppositions of their progressionist methodology. Between these, of course, was a very close relation.

The Victorians experienced a tremendous religious crisis, which was rooted in a number of different developments. The growth of geology and archaeology, and the formulation of the theory of natural evolution, undermined the validity of a literal interpretation of Scripture from a scientific point of view. In addition to this, there was the impact of the Left Hegelians and of Renan, who subjected the Bible to an exacting textual scrutiny that was often devastating and was too scholarly to be lightly dismissed.¹ Finally, the development of comparative mythology and comparative religion began to treat all religious beliefs and rituals as phenomena of the same order and, by implication, of the same validity.²

These developments occasioned deep and intense conflicts. The denunciation of Lyell because his geology seemed to conflict with scriptural accounts of the Creation and Deluge, the bitter struggles between the Darwinians and the anti-evolutionists, the censure of Jowett for suggesting that the Old Testament must be interpreted like any other book: in many ways, events such as these were typical of the age.

In consequence of this, anthropologists felt that they were living in the midst of an immense crisis in the history of thought. This is reflected in Muller's remark of 1878:

> Every day, every week, every month, every quarter, the most widely read journals seem just now to vie with each other in telling us that the time for religion is past, that faith is a hallucination or an infantile disease, that the gods have at last been found out and exploded.

In this crisis, and the conflicts it generated, anthropologists played an important part. Some, like Muller (a devout Lutheran) and Robertson-Smith (who never wavered in his faith in the Bible as a divinely-inspired revelation), were relatively cautious in their comments on Christianity.⁴ Not so the intellectual tendency which was to culminate in Frazer's work.

Most of the important nineteenth century anthropologists derived from Dissenting backgrounds, and in addition to this were strongly influenced by such anti-religious intellectual traditions as Enlightenment scepticism and rationalism, Utilitarianism and Comtian positivism. Spencer, Morgan, McLennan, Lubbock, Tylor and Frazer, were all atheists or agnostics and hostile to religion.⁵

Thus one of Frazer's purposes in writing <u>The Golden</u> <u>Bough</u> seems to have been to discredit revealed religion by showing how one or other of its essential features (the resurrection of the man-god, for example) is analogous to what may be found in pagan religions.⁶ As J.W. Burrow points out,⁷ in doing this he was in essence following in Tylor's footsteps, for Tylor explicitly stated that he saw anthropology as having two main functions: "to impress men's minds with the idea of development", and "to expose the remains of the crude old culture which have passed into superstition, and to mark these out for destruction."⁸

Tylor's method in attempting this task consisted in outlining a series of stages of progress, culminating in Victorian society. It has sometimes been asserted that this construction of stages of progress derived from the application of Darwin's ideas from the biological to the cultural realm.⁹ Such a view ignores the very real differences between the biological and cultural models. For Darwin, in the course of evolution, natural forms differentiate. For the progressionists - Spencer, Morgan, Tylor and Frazer - all social forms tend to evolve in the same direction, although at different rates.

Despite the enormous impact of Darwin on nineteenth and twentieth century thought, the methodology of Tylor and Frazer probably owed more to Herbert Spencer. As early as 1850, nine years before the publication of <u>On the</u> <u>Origin of the Species</u>, Spencer drew an analogy between society and organism, an analogy he was to elaborate in <u>The Principles of Sociology</u>. As organisms grow, so do societies, although the factors controlling growth in each case are different. Existing "savage" or "barbarous" societies have been arrested in their growth, and represent early stages in the growth of abstract "Society". Contemporary primitive societies could therefore be used to illustrate stages in the temporal process of social evolution.¹⁰

This argument, that existing primitive societies represent stages through which our own civilization has passed, was taken up by Frazer and Tylor, who coupled it with the doctrine of the "psychic unity" of mankind. The main axioms of the resultant methodology may be summarized as follows:

i. Human institutions, shaped by a similar human nature, succeed each other in a series substantially uniform over the earth.

ii. By utilizing the comparative method, and ignoring differences resulting from environmental and historical contingencies, it is possible to abstract this common human nature and determine the evolutionary sequence of human institutions.

iii. The operations of the human mind, which are manifested in this evolution, are governed by laws "as definite as those which govern the motion of the waves, the combination of acids and bases, and the growth of plants and animals."¹¹

B. Magic and the Association of Ideas

In accordance with this methodology, both Tylor and Frazer considered magic to constitute an historical survival of a once universal mode of conceptualization: a mode of conceptualization that remained, however, amenable to analysis in basically the same terms as our own. The model for this analysis was derived from the associational psychology then dominant.

Both Tylor and Frazer considered magic to be founded on an intellectual confusion, representing a mistaken application of the association of ideas. In Tylor's formulation, primitive man was incapable of seeing that the symbolization of an object was a purely subjective phenomenon and that manipulation of symbolic representations does not entail any modification of the object symbolized. Because of this, association of an object in thought was believed to entail association in the objective world.

> Man, as yet in a low intellectual condition, having come to associate in thought those things that he found by experience to be connected in fact, proceeded erroneously to invert this action, and to conclude that association in thought must involve similar connexion in reality. He thus attempted to discover, to foretell, and to cause events by means of processes which we can now see to have only an ideal significance. By a vast mass of evidence from savage, barbaric, and civilized life, magic arts which must have thus resulted from mistaking an ideal for a real connexion, may be

clearly traced from the lower culture which they are of, to the higher culture which they are in.

In support of this contention, Tylor cited a number of ethnographic examples, which Frazer was later to classify as examples of the operation of a supposed Law of Sympathy:

> ... among the Dayaks, young men sometimes abstain from the flesh of the deer, lest it should make them timid, and before a pig-hunt they avoid oil, lest the game should slip through their fingers, and in the same way the flesh of slow-going and cowardly animals is not to be eaten by the warriors of South America; but they love the meat of tigers, stags, and boars, for courage and speed.¹³

Frazer considered these examples to typify the operation of an imagined Law of Sympathy which, if never analysed as such by the "unreflective magician", could nevertheless be abstracted by the "philosophic student". The implicit assumption underlying the imagined law was:

> ... that things act on each other at a distance through a secret sympathy, the impulse being transmitted from one to the other by means of what we may conceive as a kind of invisible ether, not unlike that which is postulated by modern science for a precisely similar purpose, namely, to explain how things can physically affect each other through a space which appears to be empty. ¹⁴

This assumed Law of Sympathy was considered by Frazer

to have two applications:

i. Homeopathic (Imitative) Magic, based on the association of ideas by similarity and implicitly assuming the operation in nature of a Law of Similarity.

ii. Contagious Magic, based on the association of ideas by contiguity and implicitly assuming the operation in nature of a Law of Contagion.¹⁵

The relationship between these two types of magic is shown in the following diagram:

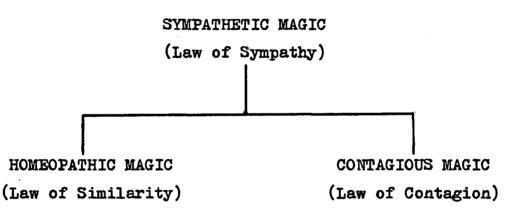


Diagram 4: Homeopathic and Contagious Magic

Homeopathic magic is based on the mistaken assumption that things which resemble each other may exercise an influence on each other. An example would be the evilwishing sand-paintings made by Navaho Witches and Sorcerers, or the practice of the same Sorcerers of making an image in clay or wood of an intended victim which is then tortured by being stuck with a pointed object, or by having projectiles shot into it. Navahos believe that by thus treating the likeness of a person, Sorcerers can cause illness or death to befall the person himself.¹⁶

Contagious magic is based on the error of assuming that things which have been in contact with each other may continue to exercise an influence on each other after they have been physically separated. For instance, a relationship is sometimes thought to exist between a man and some severed part of his person: hair, nails, spittle, blood, teeth, excreta or soiled clothing. Thus a sorcerer who succeeds in securing these objects is believed to hold a power over their original possessor. Hence the Prussian belief that if you beat the garment of a thief, he will fall ill.¹⁷ Hence also, Navaho secrecy about urination and defecation and care in the disposal of hair-clippings, the placenta and menstrual blood.¹⁸

Of course, Frazer did not consider these principles to be operative only in the case of destructive magic but considered them to be equally characteristic of, for example, love, hunting and rain-making magic. Indeed, Frazer used the terms "sorcerer" and "magician" interchangeably and nowhere attempted to define a theory specific to destructive magic, as distinct from a theory of magic in general. It is also important to note that these universal principles of magic were not thought of as neccessarily existing in "pure" form:

> ... in practice the two branches are often combined: or, to be more exact, while homeopathic or imitative magic

may be practised by itself, contagious magic will generally be found to involve an application of the homeopathic or imitative principle.¹⁹

For Frazer, these principles of homeopathic and contagious magic were operative not only positively, but also in the case of ritual prohibitions. Cross-cutting the broad division between homeopathic and contagious magic, therefore, Frazer established a second dichotomy along another axis: that between sorcery and taboo, or the positive and negative precepts of magic. The resultant theoretical scheme is shown below:

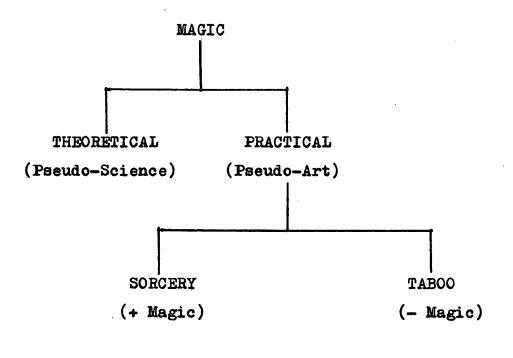


Diagram 5: Magic, Sorcery and Taboo

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C. Magic, Science and Religion

Tylor did not attempt to draw a firm distinction between magic and religion, being content to offer as a "minimum definition" of the latter, "the belief in Spiritual Beings", assigning the remainder of the supernatural to the domain of magic.²⁰

Similarly, he did not attempt to discuss the question of the relationship of magic to science, although implicit in his position is a differentiation between a subjective, fallacious association of phenomena (magic) and an association of phenomena between which there exists a real or objective link (science).

Both these ideas were developed by Frazer, who may, perhaps, be credited with having initiated systematic discussion of the relation of magic to science and religion.

According to Frazer, the essential difference between magic and religion was that the former implicitly assumed the operation of mechanical laws of causality in nature, whereas religion postulated that nature is subject to the direction of some "superhuman being(s)", possibly capricious, who must be propitiated. Magic and religion, therefore, represent two cosmological systems, two attempts to reduce the universe to order, although (as with homeopathic and contagious magic) these two systems rarely exist in pure form, being usually fused in ethnographic reality.²¹

The distinction between magic and science in Frazer's scheme is far less clear, though he argued the close kin-

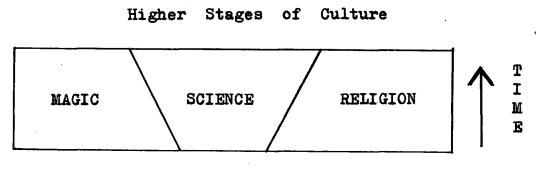
ship of these two modes of thought on the grounds that both rest on the assumption that nature is governed by immutable mechanical laws. The only clear difference between the two that he seems to have drawn is that in the case of magic this belief in the operation of immutable laws throughout nature remains implicit, whereas with science this assumption is rendered explicit.²² In addition to this, however, Frazer seems to have thought of science, as did Tylor, as formulating laws which correspond to objective reality, in contrast to the illusory presuppositions of the magician.²³

The differentiation of three such modes of thought immediately poses the question of how they are interrelated.

The nineteenth century was, of course, the period in which most social science was cast in the mould of progressionist models of the succession of historical stages. To his credit, however, Tylor did not seek to interrelate magic, science and religion in this manner, despite his general commitment to the progressionist methodology. Instead, he afgued that magic, science and religion are to be found in all societies, although in the more advanced cultures animistic and magical ideas come to play a lesser role in human thought than in earlier stages of development and linger on mainly as survivals. His scheme may therefore be represented as in Diagram 6 on the following page.

Frazer went a step further than this. Magic, science and religion are not only of varying importance from one

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Lower Stages of Culture

Diagram 6: Tylor's View of Magic, Science and Religion

society to another, but could actually be arranged in a hierarchy of stages. According to this hierarchy, in the course of human social evolution magic is gradually replaced by religion, which in turn is replaced by science. This scheme may be illustrated as follows:

SCIENCE	
RELIGION	
MAGIC	

Higher Stages of Culture



Diagram 7: Frazer's View of Magic, Science and Religion

In Frazer's view, since it was inevitable that the first attempts to understand reality should be largely mistaken, it was a reasonable assumption that magical (false) notions would have preceded scientific (true) ones in the course of intellectual evolution. Religion he placed between magic and science, on the grounds that it represents a less primitive mode of thought than magic, despite the latter's close kinship to science. This argument he justified on three grounds:

i. The association of ideas underlying magic is an elementary mental process, characteristic of even animal intelligence. The notion that nature is controlled by invisible beings, on the other hand, is indicative of a much more complex way of thinking and must be reserved to human intelligence.²⁴

ii. Religious belief may be differentiated into an enormous number of varieties, largely affecting the more thoughtful members of the community. Magic, in contrast to this, constitutes a "universal substratum of uniformity", characteristic of the dull-witted and superstitious.²⁵
iii. The Australian Aborigines, according to Frazer the most primitive of existing peoples, practise magic universally. On the other hand religion, in the sense of the propitiation of higher powers, is almost unknown among them: "... nobody dreams of propitiating gods by prayer and sacrifice."²⁶

Magic therefore represented the primordial mode of thought, transcended only when some of the more intelligent members of humanity became conscious of its inefficacy. Confronted by the failure of their magic, these individuals proceeded to postulate that nature was not governed by immutable mechanical laws, but was subject to the direction of some mightier power(s) whose favour must be secured. In this way magical thought gave way to religious, although the transition was not pure and traces of magic continue to persist in most religions: in the beliefs of the French Catholic peasantry concerning the Mass of the Holy Spirit and the Mass of St. Sécaire, for example.²⁷

Yet in the course of time this religious mode of thought also proves unsatisfactory, for it assumes that the succession of natural events is not invariable but is subject to alteration. The keener-minded perceive that order actually does exist, and as their comprehension of this order gradually extends they come to reject the religious mode of thought and revert to the postulate of an inflexible regularity in the order of nature. In this way, scientific thought comes to replace religious.²⁸

D. The Stability of Magical Belief

The fundamental characteristic of primitive magic being defined by Tylor and Frazer as objective falsehood, the question at once arises as to why this falsehood should not be immediately perceived, in view of its discrepancy with empirical reality. Why, in other words, should primitive peoples continue to cling to magical notions when these are so obviously fallacious? In answer to this question, Tylor suggested six main reasons:

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i. Some of the results aimed at by magicians are actually achieved, although for different reasons than the practitioners of magic believe. The power of suggestion, for example, might slay a victim, or a naturally curative agent be incorporated into a ritual healing process. Alternatively, a magical rite might coincide with the result it was designed to achieve by sheer chance. ii. "Conjurers' tricks" are sometimes used to maintain the prestige of the magician, although magic is not <u>simply</u> a matter of fraud but also "a sincere but fallacious system of philosophy".

iii. Successes always outweigh failures in the eyes of those who believe in magic.

iv. The plasticity of primitive notions of success and failure makes it difficult to specify when a magical ritual has definitely failed.

v. Magical performances are typically associated with so many ritual prohibitions and requirements that the failure of a rite can always be explained by arguing that one of the difficult preconditions necessary for success was not met.

vi. It is always possible to claim that the effect of magic has been nullified or reduced by the operation of counter-magic.²⁹

E. A Critique of Tylor and Frazer

We cannot deny the possible operation of all these factors, although we might wonder what sort of explanation it is of a supposedly once-universal conceptual system, to say that it originated in an error in logic and is maintained by a combination of secondary elaborations, incidental successes, credulity and fraud.³⁰ Moreover, related to this is a fundamental problem inevitably encountered by the methodology of Tylor and Frazer. If a common mental process is postulated to underlie a variety of cultural forms, then one is faced with the problem of explaining this variety. Or, to put this in the terms in which Tylor and Frazer would have seen it, the hypothesis of the psychic unity of mankind must be reconciled with the fact of progress.

This problem is not in fact insoluble. One might, for example, resolve it in terms of the differential experience organized by the common mental functions, and from here be led into an examination of the social structuring of ideas. Tylor, however, did not choose this path but resorted instead to the theories of racial determination so common in his day.

Thus, although in <u>Primitive Culture</u> Tylor resolved to "... eliminate considerations of hereditary varieties or races of man, and to treat mankind as homogenous in nature, though placed in different grades of civilization", ³¹ he nevertheless went on in the same work to compare the mental capacities and morality of "savages" to those of children, while in <u>Anthropology</u> he specifically attributed this moral and intellectual "inferiority" to the supposedly lesser degree of development of the brain of non-white peoples.³²

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Such a view accords perfectly with Tylor's explanation of magical practises as resulting from mere intellectual confusion, as also with the nineteenth century stereotype of the "savage": as credulous, incapable of generalization, speaking rudimentary languages and communicating by grimaces, etc..³³ Tylor's view of primitive peoples therefore reveals the smug certainty of intellectual and moral superiority typical of his era, 34 and also indicates his antipathy to his object of study: indeed, he sought its obliteration. He therefore appears in a dual, though fused, guise. On the one hand, he clearly represents an intellectual justification for nineteenth century imperialism. On the other, he seeks to eradicate the last vestiges of those "most pernicious illusions that have ever vexed mankind"³⁵ which have continued to persist in the "highest" civilization, by showing their origins.

Such a task was made all the more important for Tylor in that he considered that the progress of civilization did not constitute a single, unbroken advance. He noted the "revival" of witch belief in Europe in the period between the thirteenth and seventeenth centuries, and considered the development of Spiritualism in his own time to be a similarly dangerous example of "revival in culture".

> A great philosophic-religious doctrine, flourishing in the lower culture but dwindling in the higher, has re-established itself in full vigour. The world is again swarming with powerful disembodied spiritual beings, whose direct action on

thought and matter is again confidently asserted, as in those times and countries where physical science had not as yet so far succeeded in extruding those spirits and their influences from the system of nature.³⁶

Yet such "revivals" in culture, Tylor was merely to describe. They are not systematically integrated into his progressionist model, and therefore remain unaccounted for.

A very similar criticism may be levelled at Frazer, who was not in any satisfactory manner able to account for the evolutionary progression which he argued led from magic, through religion, to science. Frazer's suggestion, of course, was that the whole process could be explained in terms of the intelligence of some of the more perspicacious members of each society. These, confronted by the failure of their magical or religious rites, would eventually see through the confusion of ideas underlying their beliefs and come to the conclusion that the universe is governed by principles different from those they assumed operative. Yet why this should have happened in different societies at different times; why the Arunta, for example, unlike those in certain other societies, should not have been able to perceive the fallacy of their magical beliefs: questions such as these are met with a blank within the context of Frazer's system.

In addition to this, it is necessary to add that none of Frazer's grounds for regarding magic as a more primitive mode of thought than religion can be accepted. As Robert Lowie argued:

i. It may be true that animals engage in the association of ideas: but it is as surely untrue that they carry out magical rites as it is that they postulate the existence of religious essences. As soon as one passes from the association of ideas underlying magic to the magical processes themselves, "the extreme simplicity alleged by Frazer vanishes in thin air."³⁷

ii. It is equally untrue that, considered cross-culturally, magic constitutes a substratum of intellectual uniformity, whereas religious ideas may be differentiated into a large number of varieties. Magic shows far fewer uniformities than Frazer alleged. The Arunta, for example, lacked contagious magic; divination was far more pronounced in the Old World than the New; the use of the spell was highly elaborated in Oceania, but unimportant in most of North America. In other words, Frazer did not base his generalization on an objective appraisal of available data:

> If religions are compared in their specific characteristics and magical faiths only as regards their abstract common traits, the former will of course appear diverse and the latter uniform. A fair survey, on the other hand, will bring out frequent recurrences of religious no less than of magical practice.³⁸

iii. Frazer's contention that the Australian Aborigines lacked any form of religion is untenable. In fact, although Frazer retained this assertion in his 1922 edition of The Golden Bough, it had already been refuted by the

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work of Mrs. K. Langloh Parker on the Euahlayi tribe as early as 1905.³⁹

It is necessary, however, to balance the force of all these criticisms of Tylor and Frazer against the fact that both sought to explain magico-religious phenomena as essentially rational, if mistaken, phenomena. Magic, for them, constituted a coherent mode of thought, fully comprehensible once the basic principles on which it is founded are understood. Thus, despite Frazer's tendency to view the believer in magic as a credulous fool, and Tylor's racism, neither postulated a gap between primitive and civilized mentalities comparable to that postulated by Lévy-Bruhl.

Notes and References

- 1. Evans-Pritchard, E.E., "Religion and the Anthropologist", in Evans-Pritchard, E.E.; <u>Essays in Social</u> <u>Anthropology</u>, (Faber & Faber, London, 1962), P. 34.
- 2. Thus inducing the Bishop of Gloucester to condemn attempts "to put into competition the sacred books of India and the Holy Scriptures". <u>Ibid.</u>, P. 35.
- 3. Quoted in Evans-Pritchard, E.E., <u>Theories of Primitive</u> <u>Religion</u>, (Oxford University Press, London, 1965), P. 100.
- 4. This circumspection did not save them from running afoul of ecclesiastical authority. Muller was denied the Chair of Sanskrit at Oxford because his teaching was considered subversive of the Christian faith, while Robertson-Smith was removed from the Chair of Hebrew at the University of Aberdeen for publishing certain critical remarks on the dating, order and composition of the Books of the Old Testament.
- 5. Evans-Pritchard, E.E., "Religion...", <u>op. cit.</u>, P. 35. As Evans-Pritchard points out, this tradition of agnosticism and atheism has continued to prevail, both in British and in American anthropology, until the present day.
- 6. <u>Ibid.</u>, Pp. 35-6. I follow here the interpretation of Evans-Pritchard. Leach has recently contested this, mainly on the grounds of Frazer's caution in his direct comments on Christianity. See Leach, E.R., "Frazer and Malinowski", <u>Encounter</u>, Vol. XXV, (Nov. 1965), Pp. 24-36. See also Jarvie's critique of Leach: Jarvie, I.C., "Academic Fashions and Grandfather Killing - In Defence of Frazer", <u>Encounter</u>, Vol. XXVI, (April 1966), Pp. 53-5.
- 7. Burrow, J.W., <u>Evolution and Society</u>, (Cambridge University Press, London, 1966), P. 250.

- Tylor, E.B., <u>Primitive Culture</u>, (John Murray, London, 1920), Vol. II, P. 410. Quoted in Burrow, J.W., <u>Evolution...</u>, <u>op. cit.</u>, P. 258.
- 9. Cf. Jarvie, I.C., <u>The Revolution in Anthropology</u>, (Routledge & Kegan Paul, London, 1967), P. 9.
- 10. Childe, V.G., <u>Social Evolution</u>, (Watts & Co., London, 1951), Pp. 2-5.
- 11. Tylor, E.B., <u>Primitive Culture</u>, <u>op. cit.</u>, Vol. I, P. 2. 12. <u>Ibid.</u>, Vol. I, P. 133.
- 13. Tylor E.B., <u>Researches Into the Early History of</u> Mankind, (John Murray, London, 1870), P. 133.
- 14. Frazer, J.G., <u>The Golden Bough</u>, abridged ed., (Macmillan & Co. Ltd., London, 1960), Vol. I, P. 16.
- 15. Jakobson has suggested that all intersubjective symbolic acts may be placed in relation to one of two axes: metaphoric and metonymic. The first of these involves the selection and substitution of symbols and is dependent on the perception of similarity; the second combines symbols in a particular context according to the perception of contiguity. The first axis is therefore paradigmatic, and the second syntagmatic. Jakobson further suggests that the differentiation of these two axes corresponds to Frazer's distinction between homeopathic magic (metaphoric axis) and contagious magic (metonymic axis). In light of this correspondence Frazer's distinction, although undeveloped, becomes all the more significant, and the possibility of an analysis of magical beliefs and practices modelled on the methods of structural linguistics may be envisaged. Cf. Jakobson, R., "Deux aspects du langage et deux types d'aphasies", in Jakobson, R., Essais de linguistique générale, (Les éditions de Minuit, Paris, 1963), espc. Pp. 61-7.
- 16. Kluckhohn, C., <u>Navaho Witchcraft</u>, (Beacon Press, Boston, 1967), P. 32.

17.	Frazer, J.G., <u>The Golden Bough</u> , <u>op. cit.</u> , Vol. I, P. 57.
18.	Kluckhohn, C., Navaho Witchcraft, op. cit., P. 54.
19.	Frazer, J.G., The Golden Bough, op. cit., Vol. I,
20.	Tylor, E.B., <u>Primitive Culture</u> , <u>op. cit.</u> , Vol. II, P. 424.
	Frazer, J.G., <u>The Golden Bough</u> , <u>op. cit.</u> , Vol. I, P. 67.
22.	Ibid.
23.	<u>Ibid.</u> , Vol. I, P. 65. See Ch. IX of this thesis for a fuller discussion of Frazer's view of science.
24.	Frazer, J.G., <u>The Golden Bough</u> , <u>op. cit.</u> , Vol. I, P. 71.
25.	<u>Ibid.</u> , Vol. I, Pp. 72-3.
26.	Ibid.
27.	<u>Ibid.</u> , Vol. I, Pp. 68-77.
28.	It is necessary to remark at this point, however, that there is a certain ambiguity to Frazer's thought on this matter. Thus, towards the close of <u>The Golden</u> <u>Bough</u> , he actually suggests the co-existence of magic and science from the earliest times. Adoption of such a point-of-view, however, poses special problems for Frazer's progressional sequence. For if science and magic are co-extensive, how can one establish an evolutionary succession between them? Cf. Ibid.

29. Tylor, E.B., <u>Primitive Culture</u>, <u>op. cit.</u>, Vol. I, Pp. 133-5. Tylor's analysis of the secondary protective mechanisms of magical belief has become classic, and Frazer was unable to improve on it in any way.

Vol. II, P. 933.

Malinowski was to add the further reason, for the perpetuation of magical beliefs, that a sorcerer will often frankly admit his responsibility for an illness, whether true or not, in order to enhance his reputation. Apart from this new suggestion, however, Malinowski was to repeat Tylor's arguments. Cf. Malinowski, B., Argonauts of the Western Pacific, (E.P. Dutton & Co., Inc., New York, 1961), P. 76. Tylor's arguments are also repeated in Firth, R., Human Types, (Mentor, New York, 1958), P. 128. Probably the fullest analysis of the secondary protective mechanisms of magical belief is that of Evans-Pritchard, E.E., Witchcraft, Oracles and Magic among the Azande, (Oxford University Press, London, 1937), Pp. 314-44, 475-8. Even here the debt to Tylor is obvious.

- 30. Hence Durkheim's criticism of the approach of Tylor and Frazer: "Quand les philosophes du XVIII^e siècle faisaient de la religion une vaste erreur imaginée par les prêtres, ils pouvaient du moins en expliquer la persistance par l'intérêt que la caste sacerdotale avait à tromper les foules. Mais si les peuples euxmêmes ont été les inventeurs de ces systèmes d'idées erronnées en même temps qu'ils en étaient les dupes, comment cette duperie extraordinaire a-t-elle pu se perpétuer tout le long de l'histoire?" Durkheim, E., "Examen critique des systèmes classiques sur les origines de la pensée religieuse", <u>Revue philosophique</u> de la France et de l'étranger, Vol. LXVII, (Jan. 1909), P. 28.
- 31. Tylor, E.B., <u>Primitive Culture</u>, <u>op. cit.</u>, Vol. I, P. 7. Quoted in Harris, M., <u>The Rise of Anthropo-</u> <u>logical Theory</u>, (Thomas Y. Crowell, New York, 1968), P. 140.
- 32. Tylor, E.B., <u>Anthropology</u>, (D. Appleton & Co., New York, 1898), Pp. 74-5.
- 33. See the examples given by Evans-Pritchard, E.E., Theories..., op. cit., Pp. 105-6.

- 34. Frazer is often castigated for his "complacency and undisguised contempt of primitive society". Douglas. M., Purity and Danger, (Penguin Books Ltd., Harmondsworth, 1970), P. 36. But it was Tylor, who is usually accorded much more sympathetic treatment, who wrote that: "... there is this plain difference between low and high races of men, that the dull-minded barbarian has not power of thought enough to come up to the civilized man's best moral standard. The wild man of the forest, forgetful of yesterday and careless of tomorrow, lolling in his hammock when his wants are satisfied, has little of the play of memory and foresight which is ever unrolling before our minds the panorama of our own past and future life ... ". Tylor. E.B., Anthropology, op. cit., P. 407. Further, "... a Londoner who should attempt to lead the atrocious life which the real savage may lead with impunity and even respect, would be a criminal and only allowed to follow his savage models during his short intervals out of gaol. Savage morals are real enough, but they are far looser and weaker than ours. We may, I think, apply the oft-repeated comparison of savages to children as fairly to their moral as to their intellectual condition." Tylor, E.B., Primitive Culture, op. cit., Vol. I, P. 31.
- 35. Ibid., Vol. I, P. 112.

36.	Ibid.,	Vol.	I.	Pp.	142-3.

- 37. Lowie, R.H., <u>Primitive Religion</u>, (Boni & Liveright, New York, 1924), Pp. 143-4.
- 38. Ibid., P. 146.
- 39. <u>Ibid.</u>, P. 145. That the Australian Aborigines lacked any form of religion was, of course, a common assumption in Frazer's time. Cf. Evans-Pritchard, E.E., <u>Theories...</u>, <u>op. cit.</u>, P. 197.

CHAPTER FOUR

LUCIEN LEVY-BRUHL

A. Introduction

The work of Lucien Lévy-Bruhl has rarely been accorded a sympathetic treatment by British or American anthropologists. As Mary Douglas points out, most textbooks on comparative religion are emphatic about the mistakes that he made, but say nothing of the value of the questions he raised.¹ Some have not even provided an accurate presentation of his ideas.² It is undeniable that a forceful critique may be made of Lévy-Bruhl's theories, but for a fair evaluation it is necessary to view his work within its historical context. Only then may we form an accurate idea of his contributions.

Lévy-Bruhl's theory of primitive mentality (for he wrote on this in general, rather than about religion, magic or witchcraft specifically) has two sides. On its critical side, it is an attack on the "intellectualist" interpretations of magic and religion offered by "the English school", especially Tylor and Frazer. In its essentials, this attack accords with the views of Durkheim and the other writers of the <u>Année sociologique</u>. On its constructive side, however, it has a markedly distinctive and original character.

The critique by Lévy-Bruhl of Tylor and Frazer was on two levels. Firstly, he attacked the associational psychology which was the basis of their theories. This he criticized as being inadequate <u>as psychology</u>, in that it did not take proper account of the importance of the emotional and motor elements in mental life and of their influence on intellectual life properly so-called.³ This criticism was similar to that made by a number of post-Frazerian anthropologists - Marett and Malinowski, for example - who made of it the starting point of an analysis of magic and religion as "affective" phenomena, thus retaining a psychological frame of reference. Lévy-Bruhl, however - with Durkheim - went a step further than this, in repudiating the very idea of attempting an explanation of what are essentially social phenomena in psychological terms.

The methodology of Tylor and Frazer rested on two important and closely related presuppositions. Firstly. it assumed the validity of introspection as a mode of attaining cultural knowledge. Both Tylor and Frazer assumed that their modes of thought were essentially the same as those of primitive peoples, and both considered that in order to deduce how primitive man must think and feel in particular circumstances it was sufficient to imagine what their own thoughts and feelings would be in such circumstances. Secondly, it assumed that formally similar customs from differing cultural contexts could be ascribed the same meaning. The idea was not yet current that such customs were connected with others in a particular institutional context and formed a complex of meaning. Explanation in terms of individual psychology had led away from an

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appreciation of this insight.

As a result, the approach of Tylor and Frazer was attended by serious dangers, often leading the anthropologist to read erroneous, or factually unjustifiable, meanings into practices. This is well illustrated by an example taken from Frazer and cited by Lévy-Bruhl in order to show the shortcomings of the English school of anthropology.

Frazer had interpreted the burial custom of placing a gold coin in the mouth of the deceased as an attempt to provide the dead with a means of purchasing food in their after-life. As such, he interpreted this custom as an historical substitution for an earlier practice of placing food in the mouth of the dead. On the face of it, such an explanation seems plausible, as do so many of those of Tylor and Frazer. But, as Lévy-Bruhl pointed out, in the one case where this theory can actually be checked, is is incorrect. For among the Ancient Chinese, the placing of gold, jade and pearls in the mouth of the deceased was not done with the aim of providing the departed with the means of purchasing food in the next world. In Chinese thought, these substances were conceived of as substances of the celestial sphere, composed of Yang matter, and as powerful counter-agents of corruption and decay. Among the Chinese, therefore, this custom represented an attempt to preserve the corpse from decomposition and thus render possible the continued use of the body after death.4

The trouble with the Tylor-Frazer method of introspection, in assuming that what would be reasonable infer-

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ences and logical conclusions for us would also be so for the members of other societies, is that it leaves out of account the differing social experience of the members of each society. Ultimately, therefore, it rests on the postulate of an unsocialized mental life:

> We might just as well hope to make scientific use of the idea of a human individual mind imagined to be devoid of all experience whatever. Would it be worth while to try and reconstruct the method in which such a mind would represent the natural phenomena which occurred within and around him? As a matter of fact we have no means of knowing what such a mind would be like. As far back as we can go, however primitive the races we may study. we shall never find any minds which are not socialized, if we may put it thus, not already concerned with an infinite number of collective representations which have been transmitted by tradition, the origin of which is lost in obscurity.5

Primitive beliefs should not, therefore, be viewed as individual responses to a presumed universal need for explanatory devices, nor as individual deductions rendering intelligible personal experiences. Rather, these beliefs must be taken as primary, as patterns of thought which determine the thinking of the particular individual. Thought processes are social facts, determined by <u>collective rep-</u> resentations. These Lévy-Bruhl defined as:

... common to the members of a given social group; they are transmitted

from one generation to another; they impress themselves upon its individual members, and awaken in them sentiments of respect, fear, adoration, and so on, according to the circumstances of the case. Their existence does not depend upon the individual; not that they imply a collective unity distinct from the individuals composing the social group, but because they present themselves in aspects which cannot be accounted for by considering individuals merely as such. Thus it is that a language, although, properly speaking, it exists only in the minds of the individuals who speak it, is none the less an incontestable social reality, founded upon an ensemble of collective representations, for it imposes its claims on each one of these individuals; it is in existence before his day, and it survives him.⁶

Patterns of thought, being in this way social phenomena, must necessarily vary from one society to another, the various aspects of society being interdependent. This was not to deny a certain basis of homogeneity to the thought patterns of all societies. Obviously, Lévy-Bruhl considered, this must exist, insofar as in all societies languages are spoken, traditions transmitted and institutions maintained. But since societies also vary profoundly in their organization, we must expect patterns of thought to vary concomitantly. Accordingly, the idea of reducing all mental operations to a single type, explicable in terms of the mental functioning of the "adult civilized white man", must be rejected.⁷

What Lévy-Bruhl should have attempted at this point, as Evans-Pritchard was to remark, is a study of how particular thought patterns are related to particular modes of social organization.⁸ But he made no attempt to demonstrate in detail the social determination of thought, and argued in fact that the state of knowledge of his time made such a detailed comparative study impossible. He therefore proposed instead, by way of a preliminary investigation, to analyse only "the most general laws" governing the collective representations of primitive peoples.

> I shall endeavour to construct, if not a type, at any rate an ensemble of characteristics which are common to a group of neighbouring types, and in this way to define the essential features of the mentality peculiar to undeveloped peoples.⁹

In order to differentiate these features as clearly as possible, he proposed to compare this mentality with "our own":

> ... i.e. with that of the races which are the product of "Mediterranean" civilization, in which a rationalistic philosophy and positive science have been developed.10

By undertaking this comparison of these two types of mentality - the two types between which, he believed, differences were most strongly marked - Lévy-Bruhl believed he would highlight the distinctive features of both, making it easier to analyse later transitional and intermediate types. The civilized mental type, however, he sought to use merely as a base for comparative purposes and did not examine in depth in any way. He such an examination unnecessary, since the civilized mode of thought was already "sufficiently well defined in the works of philosophers, logicians and psychologists", and not in need of further elaboration.¹¹

B. The Nature of Primitive Mentality

For the purposes of describing primitive mentality, Lévy-Bruhl felt compelled to devise a new terminology. It was obvious, he thought, that if this mentality was governed by principles different from our own, then it could not be understood by means of the terminology devised, by logicians and psychologists, for the purpose of analysing The distinction drawn by psychologists between the latter. emotional and intellectual phenomena, for example, could not be applied to the analysis of the collective representations of primitives. For the collective representations of primitives are suffused with feelings of hope and fear, and include emotional and motor elements as integral parts.¹² Lévy-Bruhl therefore suggested three special terms in order to designate the attributes of primitive thought: prelogicality, mysticism, and the law of partici-The use of these terms has been undeniably responpation. sible for many of the misunderstandings of, and much of the hostility towards, Lévy-Bruhl's work.¹³ What, then, did he use them to refer to?

When he spoke of primitive thought as being prelogical,

Lévy-Bruhl did not mean to imply that primitives are unintelligent, that they are incapable of coherent thought, or even that their modes of reasoning necessarily violate the rules of logic. Nor did he intend to imply an evolutionary sequence by his terminology:¹⁴

> By prelogical we do not mean to assert that such a mentality constitutes a kind of antecedent stage, in point of time, to the birth of logical thought. Have there ever existed groups of human or prehuman beings whose collective representations have not yet been subject to the laws of logic? We do not know, and in any case, it seems to be very improbable. At any rate. the mentality of these undeveloped peoples which, for want of a better term, I call prelogical, does not partake of that nature. It is not antilogical; it is not alogical either. By designating it "prelogical" I merely wish to state that it does not bind itself down, as our thought does, to avoiding contradiction. It obeys the law of participation first and foremost. Thus oriented, it does not expressly delight in what is contradictory (which. would make it merely absurd in our eyes), but neither does it take pains to avoid it. It is often wholly indifferent to it, and that makes it so hard to follow.15

Perhaps, as Evans-Pritchard says, Lévy-Bruhl was being a little too subtle here, for he meant only that primitive thought does not always present the same logical requirements, and that it is therefore uncritical.¹⁶ Passing from this characterization, of the way in which the elements comprising the content of primitive thought are interrelated, to an analysis of that content itself, Lévy-Bruhl suggested that the distinguishing characteristic of this could be described as mystic. In adopting this term, he did not intend to imply any reference to any form of transcendental religious experience. Rather, he employed it,

> ... in the strictly defined sense in which "mystic" implies belief in forces and influences and actions which, though imperceptible to senses, are nevertheless real.17

Evans-Pritchard states that when Lévy-Bruhl spoke of the mystic character of primitive mentality, he meant no more than what other anthropologists have meant when they have spoken of the primitive's "belief in the supernatural".¹⁸ It is important, however, to understand Lévy-Bruhl's preference for one term rather than the other. In his view, there is no "natural" for the savage, and hence no "supernatural". This is a distinction that we make, not one intrinsic to primitive thought, which does not operate in terms of such a dualistic conceptualization of reality.

> The superstitious man, and frequently also the religious man, among us, believes in a twofold order of reality, the one visible, intangible, "spiritual", forming a mystic sphere which encompasses the first. But the primitive's mentality does not recognize two distinct worlds in contact with each other, and more or less inter

penetrating. To him there is but one. Every reality, like every influence, is mystic, and consequently every perception is also mystic.¹⁹

What exactly Lévy-Bruhl meant by describing the perceptions of primitives as mystic is not entirely clear, but need not detain us here as it is incidental to his main There is, however, a valuable suggestion containargument. ed in his writings on this point. This is that the attention paid to phenomena is in large measure determined by the collective representations of society, and must therefore be expected to vary concomitantly with variations in social organization. Educated Europeans, for example, normally pay little attention to their shadows, because for them the shadow signifies merely a negation of light. The Bakwiri of West Africa, on the other hand, attribute great significance to their shadows and are careful to avoid "losing" them under the mid-day sun. Their collective representations direct their attention more strongly towards this aspect of physical reality.²⁰

According to Lévy-Bruhl, these mystic collective representations are connected in a network of participations, so that one exercises an influence on the others. This law of participation he considered to be the principle peculiar to primitive mentality <u>par excellence</u>. The opposition between some/other, or one/many, does not impose on this thought the necessity of affirming one term if the other is denied, or vice versa. In this way, the Bororo may declare themselves parakeets, and in doing so claim more than a mere relationship is claimed: an actual identity is affirmed. Such an identity is affirmed by all communities of the totemic type, and is an example of the law of participation. Participation may also be manifested through such influences as homeopathic and contagious magic, or telekinesis. Thus, what a wife does or does not do in camp may, for example, affect her husband's hunting activities. All the facts that Tylor and Frazer grouped under the rubric of sympathetic magic, were ascribed by Lévy-Bruhl to the principle of participation.²¹ It is important, however, to appreciate his advance on their position:

> His analysis is not like that of the just-so stories we have earlier considered, for he does not try to explain primitive magic and religion by a theory purporting to show how they might have come about. He takes them as given, and seeks only to show their structure and the way in which they are evidence of a distinctive mentality common to all societies of a certain type.²²

C. A Critique of Lévy-Bruhl

How successful may we adjudge Lévy-Bruhl's enterprise?²³

We may begin with what is perhaps the most obvious criticism to be levelled against him: that his dichotomy between primitive and civilized is too crude to be of much use. We cannot treat Azande, Bororo, Chinese, Iroquois, Maori and Zuni cultures as all constituting a single type, for they have little in common, even when placed in opposition to European culture.

Secondly, this same "European culture" was treated by Lévy-Bruhl in vague terms. When he spoke of the mentality of this culture being the product of Mediterranean civilization, of a positive science and a rationalistic philosophy, who was he including in his designation? For there are obvious differences between the dominant ideas of, say, Russian peasants, Welsh miners, Breton fishermen, Italian priests, Swiss bankers or functionaries of the Communist Party of the Soviet Union: to suggest only a few of the countless divisions and subdivisions one could make on the basis of national, educational, political, religious or occupational categories.

Moreover, who in the following example is reasoning mystically, indicating a belief in the operation of invisible forces: the South African missionaries, or the natives who "only believe what they see", and of whom it is recorded that,

> ... in the midst of the laughter and applause of the populace, the heathen enquirer is heard saying "Can the God of the white men be seen with our eyes?....and if Morimo (God) is absolutely invisible how can a reasonable being worship a hidden thing?²⁴

Whatever their other failings, Tylor and Frazer at least did not allow a simple dichotomy between an undifferentiated primitive and an undifferentiated civilized mentality to obscure for them some of the variations within the latter, or blind them to some of the similarities between certain European beliefs and customs and corresponding beliefs and customs in various primitive societies.²⁵

This failure of Lévy-Bruhl's was compounded by his further failure to analyse the characteristics of the civilized mentality which he considered already adequately described in the writings of philosophers, psychologists and logicians. For, on the basis of precisely these writings, Vilfredo Pareto was to make an analysis of European mentality in which logicality played about as important a role as it did in the analysis of primitive mentality made by Lévy-Bruhl.²⁶

Many of Lévy-Bruhl's inadequacies stem from the fact that he was unaware that what he termed "mystic thought" is a function of particular situations. Different ideas are evoked by different objects in different situations, and mystic qualities are therefore not necessarily attributed to objects outside their ritual context. The Azande, for example, place stones in the forks of trees in order to delay the setting of the sun. But the stones used for this purpose are casually picked up and have a mystical significance only during the rite in which they are used. The sight of such a stone in any other situation does not necessarily evoke the idea of the setting sun. Similarly. the Azande often use their ancestral shrines as convenient posts on which to lean their spears or hang their baskests, and at such times have no interest in their shrines except as convenient posts. At religious ceremonies, however,

their attitudes are very different.²⁷ Again, no necessary contradiction is involved in such affirmations as that a man is a parakeet, that the sun is a white cockatoo, or that twins are birds.²⁸

Perhaps many of Lévy-Bruhl's errors in conceptualizing primitive mentality were inevitable, considering the nature of many of the sources he was compelled to draw on for his basic data. As Evans-Pritchard explains,

> His: authorities had collected all the information they could get about the mystical beliefs held by a community of savages about some phenomenon and pieced them together into a coordinated ideological structure. These beliefs, like the myths which Europeans also record, may have been collected over a long period of time and from dozens of informants. The resulting pattern of belief may be a fiction since it may never be actually present in a man's consciousness and may not even be known to him in its entirety. This fact would have emerged if records of everything a savage does and says throughout a single day were recorded for then we would be able to compare our own thoughts more adequately with the real thoughts of savages instead of with an abstraction pieced together from persistent enquiries conducted in an atmosphere quite unlike that of the savage's ordinary milieu and in which it is the European who evokes the beliefs by his questions rather than the objects with which they are associated. It would also have emerged had Lévy-Bruhl attempted to

contrast the formalised beliefs of Europe with those of savages, had he. for instance, attempted to contrast the formal doctrine of Christianity with the formal doctrines of savage religion. What he has done, in fact, is to take the formalised doctrines of savage religions as though they were identical with the actual mental experience of individuals. It is easy to see that it would never do to regard as identical the thoughts of a Christian with Christian thought. Moreover, primitive thought as pieced together in this manner by European observers is full of contradictions which do not arise in real life because the bits of belief are evoked in different situations.²⁹

Lévy-Bruhl's posthumously published <u>Notebooks</u> shows that he was himself keenly aware of many of the inadequacies of his earlier writings.³⁰ Thus he abandoned the term "prelogical" as inappropriately suggesting a sequential development from prelogical to logical thought, whereas, in reality, these two types of thought have co-existed. He therefore extended the concept of the mystical to cover types of thought not governed by the rules of Aristotelian logic, and qualified the simple dichotomy he had established between civilized and primitive mentalities. Thus he wrote:

> Let me expressly correct what I believed to be true in 1910: there is no primitive mentality which is distinct from the other.... There is mystical mentality more marked and more easily observed among primitives

than in our own society, but present everywhere in the human mind."31

We must not, however, allow these criticisms - and Lévy-Bruhl's own acknowledgement of their validity - to blind us to the importance of his contributions. For Lévy-Bruhl was among the first to emphasize that primitive beliefs are integrated systematically, that particular beliefs must be understood in terms of the total conceptual structure of which they form a part, and that these conceptual structures must be related back to the level of social organization. Yet he himself, in his later works, was not to extend these insights. This was to be left to those anthropologists who had the advantage of carrying out the field-work he was unable to. This was to safeguard them from the distorted accounts of primitive beliefs and customs on which Lévy-Bruhl, like Tylor and Frazer before him, had had to rely.

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Notes and References

- 1. Douglas, M., <u>Purity and Danger</u>, (Penguin Books Ltd., Harmondsworth, 1970), P. 82.
- 2. Malinowski provides an example of the misrepresentation of Lévy-Bruhl's ideas: "Professor Lévy-Bruhl tells us. to put it in a nutshell, that primitive man has no sober moods at all, that he is hopelessly and completely immersed in a mystical frame of mind. Incapable of dispassionate and consistent observation. devoid of the power of abstraction, hampered by 'a decided aversion towards reasoning, ' he is unable to draw any benefit from experience. to construct or comprehend even the most elementary laws of nature. 'For minds thus orientated there is no fact purely physical." Nor can there exist for them any clear idea of substance and attribute, cause and effect, identity and contradiction. Their outlook is that of confused superstition, 'prelogical,' made of mystic 'participations" and 'exclusions." Malinowski, B., Magic, Science and Religion and Other Essays, (Doubleday & Co. Inc., Garden City, New York, 1954), P. 25. On the next page of the same work, Malinowski asks, "First, has the savage any rational outlook, any rational mastery of his surroundings, or is he, as M. Lévy-Bruhl and his school maintain, entirely 'mystical'? The answer will be that every primitive community is in possession of a considerable store of knowledge, based on experience and fashioned by reason." Ibid., P. 26. Malinowski is here making no allowance for the fact that Lévy-Bruhl was constructing an ideal type of primitive thought, not attempting a description of the actual content of primitives' minds. He is, moreover, postulating an opposition between mystic thought and rationality which was quite contrary to Lévy-Bruhl's intentions. It is worth noting Lévy-Bruhl's own words: "... these characteristics (of prelogicality) apply only to the collective representations and their connections. Considered as an individual, the primitive, in so far as he thinks and acts independently of these collective representa-

tions where possible, will usually feel, argue and act as we should expect him to. The inferences he draws will be just those which would seem reasonable to us in like circumstances." Lévy-Bruhl, L., <u>How Natives Think</u>, (Washington Square Press Inc., New York, 1966), P. 63.

- 3. Ibid., Pp. 4-5.
- 4. <u>Ibid.</u>, P. 12.
- 5. <u>Ibid.</u>, Pp. 13-14. This parallels Durkheim's criticism of the method employed by Muller, Tylor and Frazer: "elle suppose une véritable création <u>ex nihilo.</u>" Durkheim, E., "Examen critique des systèmes classiques sur les origines de la pensée religieuse", <u>Revue philo-</u> <u>sophique de la France et de l'étranger</u>, Vol. LXVII, (Feb. 1909), P. 162.
- 6. Lévy-Bruhl, L., How Natives Think, op. cit., P. 3.

- 8. Evans-Pritchard, E.E., "Lévy-Bruhl's Theory of Primitive Mentality", <u>Bulletin of the Faculty of Arts</u>, University of Egypt, Vol. II, Part I, (May 1934), Pp. 3-4, 11-12.
- 9. Lévy-Bruhl, L., How Natives Think, op. cit., Pp. 18-19.
- 10. Ibid., P. 19.

- 12. <u>Ibid.</u>, Pp. 22-6. See also P. 324, where the "most primitive" collective representations are equated with "collective mental states of extreme emotional tension."
- 13. See Bunzel, R.L., "Introduction" to Lévy-Bruhl, L., <u>How</u> <u>Natives Think</u>, <u>op. cit.</u>, P. vi; also Evans-Pritchard, E.E., "Lévy-Bruhl's Theory ...", <u>op. cit.</u>, P. 2.
- 14. An historical priority of primitive to civilized mentality is, however, implied. See Lévy-Bruhl, L., <u>How</u> <u>Natives Think</u>, <u>op. cit.</u>, Ch. IX.

^{7. &}lt;u>Ibid.</u>, P. 18.

^{11.} Ibid..

15. Ibid., P. 63. Emphas	is	in	original.
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- 16. Evans-Pritchard, E.E., <u>Theories of Primitive Religion</u>, (Oxford University Press, London, 1965), P. 82.
- 17. Lévy-Bruhl, L., How Natives Think, op. cit., P. 25.
- 18. Evans-Pritchard, E.E., Theories ..., op. cit., P. 83.
- 19. Lévy-Bruhl, L., <u>How Natives Think</u>, <u>op. cit.</u>, P. 54. See also P. 234.
- 20. Ibid., Pp. 40-1. The psycho-philosophical theory underlying Lévy-Bruhl's assertion that the perceptions of primitives differ from our own, is well expressed by Cantoni: "L'esperienza non è una passiva registrazione, in chiave umana, di una realtà in sé già data e precostituita. Essa presuppone e implica una soggettività ricca di iniziative culturali che integrano l'uomo nel suo mondo ambientale. L'esperienza si viene costruendo in base a strutture categoriali, a finalità culturali che le conferiscono un senso, un ordine, un valore.... Ricostruire il mondo dell'esperienza primitiva equivale a descriverer il sistema dei significati, dei valori, delle finalità, delle emozioni, delle immagini, dei simboli che dominano la mens e il comportamento degli uomini primitivi." ("Experience is not a passive registration, in human key, of a reality already given and preconstituted. It presupposes and implies a human subjectivity, rich in cultural initiatives which integrate man into his world. Experience comes to one building itself on a base of category structures and cultural purposes that confer on it a sense, order and value Reconstructing the world of primitive experience is equivalent to describing the system of meanings, values, purposes, emotions, images and symbols which dominate the mind and behaviour of primitive man.") Cantoni, R., Il Pensiero dei primitivi, (Il Saggiatore, Milan, 1963), P. 54. The following undoubtedly provides a clear example of what Lévy-Bruhl meant when he wrote of the mystic perception of reality, and illustrates the "cultural initiatives which integrate man into his world" referred to by Cantoni: "An

informant told me that many years before he was sitting in a tent one afternoon during a storm, together with an old man and his wife. There was one clap of thunder after another. Suddenly the old man turned to his wife and asked, 'Did you hear what was said?' 'No,' she replied, 'I didn't catch it.' My informant, an acculturated Indian, told me that he did not at first know what the old man and his wife referred to. It was, of course, the thunder. The old man thought that one of the Thunder Birds had said something to him. He was reacting to this sound in the same way he would respond to a human being, whose words he did not understand. The casualness of the remark and even the trivial character of the anecdote demonstrate the 'psychological depth' of the 'social relations' with other-than-human beings that become explicit in the behaviour of the Ojibwa as a consequence of the cognitive 'set' induced by their culture." Hallowell, I.A., quoted by Hymes, D., "Toward Ethnographies of Communication: The Analysis of Communicative Events", in Giglioli, P.P. (ed.), Language and Social Context, (Penguin Books Ltd., Harmondsworth, 1972), Pp. 26-7. Lévy-Bruhl and Cantoni were undoubtedly correct to affirm that the perceptions of primitives are governed by cultural imperatives, and that the primitive therefore lives in a different experiential world from our own. They erred, however, in failing to recognize that our own perceptions are also governed by cultural imperatives. Thus Cantoni argues that our experience of natural reality is governed by logico-experimental structures which are ordered according to the ideals of "pure reason". This he contrasts with primitive experience, in which emotional factors predominate. Cantoni, R., <u>Il pensiero..., op. cit.</u>, Pp. 54-5. It would, however, surely be difficult to sustain that, in the Ojibwa case quoted above, emotional factors predominate over rational. Moreover, the logico-experimental structures referred to by Cantoni do not exist in vacuo, but are the product of a definite evolution hardly explicable by reference to logico-experimental criteria alone. From Kuhn's discussion of scientific method, it is plain that scientists do not merely perceive, but to an important extent perceive according to their prior expectations. Thus a change in theory may lead to the reporting of a wide range of "new" phenomena. Kuhn cites the example of the new astronomical discoveries - many of them made with traditional instruments - which followed on the triumph of the Copernican paradigm: "Using traditional instruments, some as simple as a piece of thread, late sixteenth-century astronomers repeatedly discovered that comets wandered at will through the space previously reserved for the immutable planets and stars. The very ease and rapidity with which astronomers saw new things when looking at old objects with old instruments may make us wish to say that, after Copernicus, astronomers lived in a different world. In any case, their research responded as though that were the case." Kuhn, T.S., The Structure of Scientific Revolutions, (University of Chicago Press, Chicago, 1962), Pp. 115-6.

- 21. Lévy-Bruhl, L., How Natives Think, op. cit., Pp. 21-2.
- 22. Evans-Pritchard, E.E., Theories ..., op. cit., P. 86.
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- 23. In the following evaluation, I have drawn heavily on Evans-Pritchard, E.E., "Lévy-Bruhl's Theory ..."; <u>op. cit.</u>; <u>Theories ..., op. cit.</u>;
- 24. Evans-Pritchard, E.E., "Lévy-Bruhl's Theory ...", <u>op.</u> <u>cit.</u>, P. 8.
- 25. Bunzel states, on the basis of private discussions, that Lévy-Bruhl was prepared to consider the Mass as an example of prelogical thought. Bunzel, R., "Introduction", <u>op. cit.</u>, P. vi. Evans-Pritchard, also on the basis of private conversations, declares that Lévy-Bruhl considered Christianity and Judaism to be examples of prelogical and mystic mentality. He suggests that Lévy-Bruhl made no allusions to these religions in his writings, in order to avoid giving offence. Evans-Pritchard, E.E., Theories,..., <u>op. cit.</u>, P. 91.
- 26. Pareto, V., <u>The Mind and Society</u>, (Harcourt Brace, New York, 1935). See also, Evans-Pritchard, E.E., "Science

and Sentiment: An Exposition and Criticism of the Writings of Pareto", <u>Bulletin of the Faculty of Arts</u>, University of Egypt, Vol. III, Part II, (Dec. 1935), Pp. 163-92; <u>Theories...</u>, <u>op. cit.</u>, Pp. 92-9; Winch, P., <u>The Idea of a Social Science</u>, (Routledge & Kegan Paul, London, 1958), Pp. 95-111.

- 27. Evans-Pritchard, E.E., "Lévy-Bruhl's Theory...", <u>op.</u> cit., P. 28.
- 28. See, for example, Lévi-Strauss' analysis of the Nuer affirmation that twins are birds. Lévi-Strauss, C., <u>Totemism</u>, (Merlin Press, London, 1962), P. 80.
- 29. Evans-Pritchard, E.E., "Lévy-Bruhl's Theory...", <u>op.</u> <u>cit.</u>, Pp. 28-9.
- 30. Lévy-Bruhl, L., <u>Les carnets de Lucien Lévy-Bruhl</u>, (Presses Universitaires de France, Paris, 1949).
- 31. <u>Ibid.</u>, P. 131. Quoted in Bunzel, R.L., "Introduction", <u>op. cit.</u>, P. xvii.

CHAPTER FIVE

W.H.R. RIVERS

A. Introduction

The work of W.H.R. Rivers is frequently neglected today, although Claude Lévi-Strauss has seen fit to honour him as the "Galileo" of anthropology.¹ Probably few other anthropologists would accept such a generous assessment of Rivers' importance in the development of the discipline,² but it is nevertheless undeniable that in the opening chapters of his <u>Medicine, Magic and Religion</u>, Rivers brilliantly anticipated later interpretations of witchcraft and sorcery as constituting rational and logically coherent systems of thought.

Unlike Frazer, Rivers did not set out to analyse magic as an <u>a priori</u> category which he himself constructed and for which he then had to find some sort of explanation. Rather, he undertook to examine the way in which primitive peoples (and in particular, those of Melanesia and New Guinea) think about disease and other misfortunes, and to study how their theories concerning these are applied in concrete situations. In doing so, he made an important advance on Tyler, Frazer and Lévy-Bruhl, and his work may even be considered to have been potentially more fruitful than that of Malinowski.

B. Medicine, Magic and Religion

Rivers began by noting that the distinctions drawn in

Western society between magic, medicine and religion, have little applicability outside this context, the roles of sorcerer (a term that he, like Frazer, used to refer to the practitioner of <u>any</u> form of magic), priest and leech (medical practitioner) frequently being fused in other cultural contexts.³ This observation, however, did not lead Rivers into attempting a polarization of primitive and modern mentalities, nor even to attempt a polarization of respective curative techniques. On the contrary, Rivers stressed that primitive methods of curing could be understood in basically the same terms as European medicine: that is to say, as constituting a logical, coherent system, which attempts to cope rationally with diseases and illnesses, and comprehensible once its basic presuppositions are understood.

> One element of the concept of disease, and perhaps the most important, is that it includes within its scope the factor of causation. There are usually clear-cut ideas concerning the immediate conditions which lead to the appearence of disease. One happy result of this fact is that we are able to approach our subject by way of etiology, and are thus led to deal with the medicine of savage peoples from the same standpoint as that of modern medicine, which rests, or should rest, entirely upon the foundation of etiology. By starting from etiology we shall find ourselves led on as naturally to diagnosis and treatment, as is the case in our own system of medicine.4

Thus, even where the roles of leech, priest and sorcerer are fused, there are still theories of the causation of ill-

ness and other misfortunes, procedures corresponding to diagnosis and prognosis, and modes of treatment which may be regarded as equivalent to a system of therapeutics.⁵

But, granted this, where lies the difference between European and primitive medicine, or, to pose this question in only a slightly different form, what did Rivers really mean when he stated that the primitive leech is not differentiated from the priest and the sorcerer?

Rivers' answer to this question, perhaps the obvious answer, was that the basic difference lies in the ascription of the causes of disease. In accordance with this, he suggested three broad classes of etiology:

i. where disease is attributed to what we would call "natural causes";

ii. where disease is attributed to the actions of a human agent;

iii. where disease is attributed to the actions of some nonhuman, spiritual or supernatural being, who tends to be personified.⁶

In Europe and North America, it is no longer common to ascribe human causes to the origins of disease, except in cases like that of murder. Moreover, even with the latter case, the human agent is seen as being merely a means permitting the operation of natural causes. In contrast to this, primitive peoples ascribe the causes of disease mainly to the actions of human agents or non-human beings.⁷

Primitive etiologies, in other words, tend to be magicoreligious. Diseases and injuries are ascribed to human and non-human agencies, and not only in those cases where there is no obvious antecedent which would explain the disease or misfortune in terms of natural causes, but also in those cases where the natural cause is obvious:

> Thus. if a man is killed or injured by falling from a tree in the Island of Ambrim in the New Hebrides. the fall is not ascribed to a loose branch, or to some failure of coordination of the movements of the climber, but the accident, as we loosely call it. is put to the account of the sorcerer. It is probable that the sequence of ideas in the Melanesian mind is that, in a business so familiar as that of climbing trees, accidents would not happen unless someone has interfered with the normal course of events. If a sorcerer had not loosened a branch, or produced an illusion whereby the victim had seen a branch where there was none, he would not have fallen to the ground.

From this etiological base, are derived processes of treatment and acts of revenge.⁹ If a disease, for example, is believed to have resulted from some morbific substance or essence having been projected into the victim's body, the treatment which will follow from the etiology will be to remove the morbific objects or essences from the body of the victim. In some cases, it may be necessary to discover the agent, who alone can remove what he has implanted in the body. In such cases, some method of divination may have to be employed in order to discover by whom the disease has been inflicted.¹⁰ In other words, once native theories of the causation of disease are understood, it will be possible to understand modes of treatment of it. The main point, then, is the essential <u>rationality</u> of the medical procedures of such peoples as the Melanesians and Papuans.

> The practices of these peoples in relation to disease are not a medley of disconnected and meaningless customs, but are inspired by definite ideas concerning the causation of Their modes of treatment disease. follow directly from their ideas concerning etiology and pathology, From our modern standpoint we are able to see that these ideas are wrong. But the important point is that, however wrong may be the beliefs of the Papuans and Melanesians concerning the causation of disease. their practices are the logical consequence of those beliefs.

> We may even say that these people practise an art of medicine which is in some respects more rational than our own. in that its modes of diagnosis and treatment follow more directly from their ideas concerning the causation of disease. According to the opinion of the civilized world, these ideas of causation are wrong, or contain but grains of truth here and there; but once grant these ideas, and the body of medical practice follows therefrom with a logical consistency which it may take us long to emulate in our pursuit of a medicine founded upon the sciences of physiology and psychology. 11

C. A Critique of Rivers

Essentially a transitional figure, however, it would be wrong to over-emphasize the modernity of Rivers' views. In many respects his trichotomy between leech, sorcerer and priest is little more than a restatement of Frazer's differentiation between magic, science and religion. The difference between leech and sorcerer, moreover, is not made any clearer than Frazer's rather obscure distinction between magic and science, except that the leech is concerned with a narrowly specific range of phenomena (i.e., disease), the sorcerer with a broader range.¹² But how is such a differentiation to be sustained when, as Rivers himself makes clear, leech and sorcerer may be merged in a single role?

Yet, despite the similarity of his trichotomy to Frazer's, and despite his explicit restatement of Frazer's differentiation between magic and religion,¹³ Rivers nevertheless attacked Frazer for implying that the basis of magical action rested on an abstract or even mystical body of ideas, "... opposed to the concrete nature of the mental processes of peoples of rude culture."¹⁴ Thus the contagious magic of the Kai rests, not on some mystical belief in action at a distance, but on the belief that the sorcerer has in his possession a part of the soul or vital essence of the person whom he wishes to destroy.

> Such positive knowledge as we possess concerning the psychological processes underlying the blend of medicine and magic leads us into no mystical dawn of the human mind, but introduces us to concepts and beliefs of the same order as those which direct our own social activities.¹⁵

This view, of course, was totally opposed to that of Lévy-Bruhl. Hence, while recognizing that a given corpus

of beliefs and practices might not always form a strictly logical system (our own beliefs and practices included), Rivers strongly attacked Lévy-Bruhl's notion of prelogical mentality:

> ... in the department of his activity in which he endeavours to cope with disease, savage man is no illogical or prelogical creature ... his actions are guided by reasoning as definite as that which we can claim for our own medical practices.¹⁶

Stated briefly, Rivers' strength in relation to preceding and contemporaneous theorists may be said to hinge on four main facts. Firstly, as against Tylor and Frazer, he placed a strong emphasis on the relative unimportance of charlatanism in the magical treatment of disease: this was an important point since, so long as the magical specialist was regarded as little more than a wiley trickster cashingin on the naive superstitions of his credulous fellowtribesmen, the understanding of the intellectual structure of magical beliefs, together with their role in a given way of life, was almost by definition ruled out. Secondly. again in contrast to Tylor and Frazer, Rivers did not regard magic as based upon a simple mistake in logic, but rather insisted that magic was governed by a logic quite as rigourous as that governing our own practical activities. A concomitant of this point is that, in contrast to Malinowski, Rivers did not assign magic to the affective antechamber of logic. Finally, unlike Lévy-Bruhl, Rivers did not oppose primitive and civilized mentalities.

Yet Rivers nowhere developed his ideas on this subject in detail. <u>Medicine, Magic and Religion</u> was published posthumously, and is today largely unknown. Moreover, the later chapters of this work are given over to studies of the evolution and diffusion patterns of medicine, magic and religion, and not to an elaboration of the ideas contained in the earlier sections. We are left, therefore, with a brilliant and suggestive short sketch - nothing more.

Notes and References

- 1. Lévi-Strauss, C., <u>Structural Anthropology</u>, (Basic Books, New York, 1963), P. 162.
- 2. A more typical assessment of Rivers' importance in the history of anthropology would seem to be that of Pocock: "To Rivers is owed the encouragement of field-work and the stress on its capital importance for the anthropologist. His theoretical position represents little advance in the subject." Rivers is thus grouped together with such figures as A.C. Haddon and C.G. Seligman. Cf. Pocock, D.F., <u>Social Anthropology</u>, (Sheed & Ward, London, 1961), P. 48.
- 3. Rivers, W.H.R., <u>Medicine, Magic and Religion</u>, (Kegan Paul, Trench, Trubner & Co. Ltd., London, 1927), P. 1.
- 4. <u>Ibid.</u>, P. 7.
- 5. Ibid., P. 6.
- 6. Ibid., P. 7.
- 7. <u>Ibid.</u>, Pp. 7-8.
- 8. <u>Ibid.</u>, Pp. 9-10.
- 9. Ibid., P. 10.
- 10. Ibid., P. 14.
- 11. Ibid., P. 52.
- 12. Ibid., P. 4.
- 13. Ibid.
- 14. Ibid., P. 27.
- 15. Ibid., P. 28.
- 16. Ibid., P. 53.

CHAPTER SIX

AN AFFECTIVE THEORY OF MAGIC

A. Introduction

If any one figure was to be singled out as the "founder" or "father" of modern (British) social anthropology. that figure would undoubtedly be Bronislaw Malinowski. It was Malinowski more than any other single person, who was responsible for dissociating anthropology from its nineteenth and early twentieth century evolutionary and diffusionist concerns, who insisted on the crucial importance of seeing every culture as a unified, integral whole, and who emphasized that each cultural pattern could only be understood by locating it within the context of this whole. It was also Malinowski who initiated intensive field-work based upon the idea of the "participant observer", thus freeing anthropology from its former dependence on the second-hand reports furnished by missionaries, traders, and administrators, and the superficial observations which were the outcome of only a few weeks in the field, like those of the Torres Straits expedition.

Pre-Malinowskian anthropology was explicitly comparative, and was concerned with what we might call the "big" questions: what are the origins of totemism, what is the nature of religion, how is magic distinguished from science, for example. Under Malinowski's influence, anthropology abandoned such concerns. As Evans-Pritchard explains, Whereas the nineteenth-century anthropologist sought to answer such questions as "What is the sociological significance of religion?", no anthropologist. or at any rate no sensible anthropologist, would ask such a question today. Rather he seeks to determine, for instance, the part played by the ancestor cult in the social system of the type we call segmentary lineage system among certain African peoples.... The viewpoint in social anthropology today may be summed up by saying that we now think we can learn more about the nature of human society by really detailed intensive and observational studies, conducted in a series of a few selected societies with the aim of solving limited problems, than by attempting generalizations on a wider scale from literature.1

In retrospect, however, most "revolutionaries" usually appear less radical than they did in their own time. Moreover, as a number of authors have pointed out, Malinowski's meticulous field-work was not matched by any comparable capacity for systematic theoretical analysis. His thought may therefore be said to move on two levels: that of his field-work reports (which still stand as models of their kind), and that of culturological generalization. The quality of these is very unequal. As Pocock remarks, "today nobody reads Malinowski for his theories; his field monographs, on the other hand ... remain as masterpieces of field-work and provide an account of one people which for its detail has not been equalled".²

As a theoretician, Malinowski was among the last to

ask the "big" questions. As a field-worker, he showed anthropologists some of the more fruitful and restricted tasks of empirical research and concern with the particular rather than the universal. Obviously there must be a connection between these levels - someone must ask the "big" questions if empirical work is to proceed systematically and fruitfully. But the relation between the two is complex, and the problems of transition from one level to the other never presented themselves to Malinowski. He was, in this respect, an essentially transitional figure. As Nadel writes,

> Putting it somewhat crudely, Malinowski's thought moved on two levels only - on the level of the particular society, the Trobriands, where he did his fundamental and exemplary field research; and on the level of primitive man and society at large, and indeed of Man and Society at large. In his more general writings Malinowski did refer also to other primitive societies; but he did so in the main only for the sake of supporting evidence, of secondary importance. He never thought strictly in comparative terms. His generalizations jump straight from the Trobrianders to Humanity...³

B. Malinowski's Psychologism

As Robert Redfield observes, however, the criticism that Malinowski made a theoretical leap from the Trobriand Islanders to humanity itself loses much of its force once it is granted that a common human nature underlies a universal culture pattern.⁴ It was, of course, precisely this assumption that was central to Malinowski's theoretical endeavour. He never accepted the methodology elaborated by writers like Durkheim, Mauss, Hubert, Lévy-Bruhl and Radcliffe-Brown, which asserted the autonomy of social facts. In his own words,

> The tendency represented largely by the sociological school of Durkheim, and clearly expressed in Professor Radcliffe-Brown's approach ... the tendency to ignore completely the individual and to eliminate the biological element from the functional analysis of culture, must in my opinion be overcome... the Durkheimian conception of society has to be supplemented in order to be really serviceable in fieldwork, in theoretical studies, and in the practical application of sociology.⁵

Malinowski did not, therefore, reject the psychological reductionism of Tylor and Frazer, and - perhaps in consequence - did not develop any systematic sociological theory, other than the rather vague "functionalism" with which his name will always be associated. Hence his generalizations about magic were formulated in terms of assumed psychological universals, rather than sociologically. It is important to note, however, how different was Malinowski's psychologism from that of Tylor and Frazer. The post-Victorian era, and especially the period following the First World War, saw a deprecation in the role reason was thought to play in human affairs. Thus, in place of the intellectualistic interpretations of magic and religion offered by Tylor and Frazer, we find writers like Freud, Marett, Malinowski and Lowie interpreting magic and religion as essentially emotive phenomena.

C. The Uniformity of Primitive Magic

We have already noted that Frazer considered magic in comparison to religion - to constitute a universal substratum of intellectual uniformity.⁶ Much the same opinion was held by Malinowski, who considered magic:

> ... an entirely sober, prosaic, even clumsy art; enacted for purely practical reasons, governed by crude and shallow beliefs. carried out in a simple and monotonous technique.... Primitive magic - every field anthropologist knows it to his cost is extremely monotonous and unexciting, strictly limited in its means of action, circumscribed in its beliefs, stunted in its fundamental assumptions. Follow one rite, study one spell, grasp the principles of magical belief, art and sociology in one case, and you will know not only all the acts of the tribe, but, adding a variant here and there, you will be able to settle as a magical practitioner in any part of the world yet fortunate enough to have faith in that desirable art.7

Hence the justification for generalizing from a single case and for postulating a common psychological base underlying all magic. For Malinowski was not referring here to a set of shared basic features which might be used to establish a "minimum definition" of magic and which must be uniform precisely because of this. For Malinowski, magic was uniform and repetitive in an entire array of features. Thus the "typical act" of magic always involves "the dramatic expression of emotion" as "the essence of the act",⁸ and most magic also bears a firm link with mythology.⁹ In addition to this, there are always two typical elements associated with the belief in magical efficiency:

i. <u>phonetic effects</u> - imitations of natural sounds like the whistling of the wind, the roar of the sea and the noises of animals.

These sounds symbolize certain phenomena and are thus believed to produce them magically. Or else they express certain emotional states associated with the desire which is to be realized by the means of magic.¹⁰

ii. the use of words to state, evoke or command the desired aim.

Thus the sorcerer will mention all the symptoms of the disease which he is inflicting, or in the lethal formula he will describe the end of his victim.... Or again, the magician uses words and sentences which express the emotion under stress of which he works his magic, and the action which gives expression to his emotion. The sorcerer in tones of fury will have to repeat such verbs as "I break - I twist - I burn - I destroy," enumerating with each of them the various parts of the body and internal organs of his victim.¹¹

Malinowski in fact saw the use of words - embodied in the traditional corpus of the spell - as the most important single characteristic of primitive magic:

the most important element in magic is the spell. The spell is that part of magic which is occult, handed over in magical filiation, known only to the practitioner. To the natives knowledge of magic means knowledge of spell, and in an analysis of any act of witchcraft it will always be found that the ritual centers round the utterance of the spell. The formula is always the core of the magical performance.¹²

Unfortunately the facts of ethnography utterly fail to bear out these generalizations. In 1924, one year prior to the publication of Malinowski's essay on "Magic, Science and Religion", Robert Lowie had already pointed out - in criticizing Frazer - how extensive the diversity in magical beliefs and practices appears when considered globally.¹³ Subsequent research has entirely confirmed Lowie's viewpoint and not Malinowski's. Thus Evans-Pritchard, in an early comparative essay, drew attention to the marked differences between Trobriand and Zande magic. In Zande magic, for example, the spell is relatively unimportant and lacks the fixedness which is so heavily stressed in the Trobriand Islands, while on the other hand, the Azande place a much greater emphasis on the use of "medicines". Zande magic also lacks any developed mythological tradition.¹⁴ Indeed, even some of Malinowski's own data from the Trobriand Islands is hard to square with his broad generalizations about the nature of magic - the heavy emphasis he placed on emotional involvement during magical performances, for example, does not seem to be consistent

with some of the data he himself reported. 15

However, as Nadel says, it is less important that we concern ourselves with these generalizations than that we attempt to understand the assumptions which underlie them. These, he suggests, are two:

i. Malinowski's emphasis on the strong link between magic and mythology derives from his belief that magic is essentially a traditionalistic and conservative force protecting the established social order, and from his conclusion that magical formulae must be thought to be timeless and never to have been tampered with (even if actually changing and adapting all the time), for credence in their effectiveness to be maintained. Thus it was that Malinowski wrote that:

> ... the essence of all magic is its traditional integrity. Magic can only be efficient if it has been transmitted without loss and without flaw from one generation to the other, till it has come down from primeval times to the present performer. Magic, therefore, requires a pedigree, a sort of traditional passport in its travel across time. This is supplied by the myth of magic.¹⁶

ii. the emphasis placed on the affective nature of magic derives from Malinowski's assumption that magic manifests an inevitable human effort to achieve the fulfillment of "strong, unrealizable desires".¹⁷

D. Anxiety and Magic

According to Malinowski, in every primitive community two clearly discernible domains may be distinguished - that of the sacred, and that of the profane. The former involves all beliefs and actions of a magical or religious character:

> ... traditional acts and observances, carried out in reverence and awe, hedged around with prohibitions and special behaviour. Such acts and observances are always associated with beliefs in supernatural forces, especially those of magic, or with ideas about beings, spirits, ghosts, dead ancestors, or gods...¹⁸

The domain of the profane, on the other hand, corresponds to the rudiments of science. No art, craft, or method of subsistence could be successfully carried out unless checked by careful observation, a firm belief in the regularity of natural processes, and confidence in the power of reason. The profane is therefore based on empirical knowledge and an acceptance of logic.

Now, according to Malinowski, primitive man lives in conditions in which the technical skills and practical knowledge constituting the realm of the profane provide him with only a limited measure of control and mastery of his environment. Beyond this realm, rational knowledge is of no help, and it is here that magic operates as an essential complement to empirical knowledge and skills. Thus the native:

> ... knows as well as you do that there are natural forces by mental and physical effort. His knowledge is limited, no doubt, but as far as it goes it is sound and proof against mysticism. If the fences are broken down, if the seed is destroyed or has been dried or washed away, he will have recourse not to magic, but

to work, guided by knowledge and reason. His experience has taught him also, on the other hand, that in spite of all his forethought and beyond all his efforts there are agencies and forces which one year bestow unwonted and unearned benefits of fertility, making everything run smooth and well, rain and sun appear at the right moment, noxious insects remain in abeyance, the harvest yields a superabundant crop; and another year again the same agencies bring ill-luck and bad chance, pursue him from beginning to end and thwart all his most strenuous efforts and his best-founded knowl-To control these influences edge. and these only he employs magic.¹⁹

For Malinowski there was between these two sets of conditions - and therefore in the means for controlling them - a clear-cut division. Hence, empirical knowledge must always be sharply distinguished in the native mind from magic. As Leach remarks, in asserting this dichotomy between the objective-rational and the subjective-metaphysical, Malinowski was following in the tradition of Tylor and Frazer strictly.²⁰ Yet Malinowski also departs radically from the tradition of Tyler and Frazer. For these writers, primitive man was incapable of this category distinction, which was given only in more highly evolved societies. Indeed, it was crucial for Frazer's theory of magic as "bastard science" to assume that primitives did not differentiate between the empirical and the Malinowski, on the other hand, argued that the magical. primitive was just as capable as civilized man of making

such distinctions, though the force of his argument must surely be diminished by his own admission that he himself was not always able to judge "where rational procedure ended and which were the supergatory activities, whether magical or aesthetic".²¹

Leaving this to one side, however, let us consider why it was that Malinowski contended that primitive man invoked magic in order to control those activities he recognized he was incapable of controlling by means of empirical knowledge and rational thought. In answering this question, Malinowski argued that magic constitutes a substitute activity, originating in a reaction of fear, hope and anxiety induced by the inadequacy of empirical knowledge and rational means:

> Let us realize once more the type of situation in which we find magic. Man engaged in a series of practical activities comes to a gap; the hunter is disappointed by his quarry, the sailor misses propitious winds, the canoe builder has to deal with some material of which he is never certain that it will stand the strain, or the healthy person suddenly feels his strength failing. What does man do naturally under such conditions, setting aside all magic, belief and ritual? Forsaken by his knowledge, baffled by his past experience and by his technical skill, he realizes his impotence. Yet his desire grips him only the more strongly; his anxiety, his fears and hopes, induce a tension in his organism which drives him to some sort of

activity. Whether he be savage or civilized, whether in possession of magic or entirely ignorant of its existence, passive inaction, the only thing dictated by reason, is the last thing in which he can acquiesce. His nervous system and his whole organism drive him to some substitute activity. Obsessed by the idea of the desired end, he sees it and feels it. His organism reproduces the acts suggested by the anticipations of hope, dictated by the emotion of passion so strongly felt.²²

Thus a man will spontaneously clench his fist at the thought of an enemy whom he is powerless to harm and hurl words of hatred against him, a lover will address entreaties to visions of his unattainable or non-responsive beauty, an anxious fisherman or hunter will imagine and describe in words, visions of a magnificent catch.

According to Malinowski, these reactions to overpowering emotion or obsessive fear or desire "are natural responses based on a universal psycho-physiological mechanism".²³ All the spontaneous actions of a man in a situation of frustration involve extended expression of emotions by forecasting images of wished-for results or the expression of passion in gestures or words. Moreover, governing the entire action, is the image of the end:

> It supplies the motive-force of the reaction, it apparently organizes and directs words and acts towards a definite purpose. The substitute action in which the passion finds

its vent, and which is due to impotence, has subjectively all the value of a real action, to which emotion would, if not impeded, naturally have led. As the tension spends itself in these words and gestures the obsessing visions fade away, the desired end seems nearer satisfaction, we regain our balance, once more at harmony with life.²⁴

These spontaneous enactments are therefore <u>cathartic</u>, in providing a release for frustrated emotions. Moreover, in thus acting out intense emotional states, a strong feeling is obtained that the words and gestures have actually done something towards achieving the desired goal, thus leaving a conviction of the reality of these acts,

> ... as if of something done by a power revealed to man. This power, born of mental and physiological obsession, seems to get hold of us from the outside, and to primitive man, or to the credulous and untutored mind of all ages, the spontaneous spell, the spontaneous rite, and the spontaneous belief in their efficiency must appear as a direct revelation from some external and no doubt impersonal sources.²⁵

As Malinowski himself emphasized, however, magic is not a matter of "spontaneous spells" or of "spontaneous rites", but is an activity in which the words uttered and the rites enacted are codified by tradition. One cannot simply invent magic - one must have a knowledge of the appropriate spells or medicines, and of the correct method of bringing spell or medicine into contact with its object.²⁶ It would seem then, that there is a gulf between the spontaneous activities described by Malinowski, and magical lore.

Yet Malinowski argued a close kinship between these spontaneous reactions and the traditions of magic. "Kinship", indeed, seems hardly a strong enough word to express the strength of the relationship as Malinowski saw it: emotional reaction is "not only one of the sources but the very fountainhead of magical belief".²⁷

> Magical ritual, most of the principles of magic, most of its spells and substances, have been revealed to man in those passionate experiences which assail him in the impasses of his instinctive life and of his practical pursuits, in those gaps and breaches left in the everimperfect wall of culture which he erects between himself and the besetting temptations and dangers of his destiny Thus the foundations of magical belief and practise are not taken from the air, but are due to a number of experiences actually lived through, in which man receives the revelation of his power to attain the desired end. 28

Magic therefore fixes upon these spontaneous rites and spells, and standardizes them into traditional forms. By doing this, it supplies primitive man with a definite means of handling those situations he must deal with in every important pursuit or activity where his empirical knowledge is insufficient. In this way, it exercises an important pragmatic function, in that it enables man to carry out his important tasks with confidence, and to maintain his poise and mental integrity when subject to strong emotional states. Magic thus ritualizes man's optimism, and by doing so encourages confidence in place of doubt, determination in place of hesitation and optimism in place of pessimism. Thus, despite "all the crudity and irrelevance of magic",²⁹ it is of fundamental importance within primitive society:

> ... without its power and guidance early man could not have mastered his practical difficulties as he has done, nor could man have advanced to the higher stages of culture. Hence the universal occurrence of magic in primitive societies and its enormous sway. Hence do we find magic an invariable adjunct of all important activities. 30

Magic therefore

... fulfills an indispensable function within culture. It satisfies a definite need which cannot be satisfied by any other factors of primitive civilization.31

E. Black Magic

Malinowski's general theory of magic is perhaps weakest of all when called upon to explain the facts of witchcraft and sorcery. For, if the function of magic is to master the risks of the environment, why add to them by inventing sorcery and witchcraft?³²

Malinowski himself offered two reasons for the existence of black magic. Firstly, it is a natural human reaction to frustrated hate and impotent anger, being in this way comparable to a similarly impassioned love magic: ... such is human nature that a man's desire is as much satisfied by the thwarting of others as by the advancement of himself. To the sociological play of desire and counter-desire, of ambition and spite, of success and envy, there corresponds the play of magic and counter-magic or of magic white and black.³³

Secondly, Malinowski contended that the belief in magic must safeguard itself in certain ways against being weakened by the absence of success. One of the ways in which it does this is, as Tylor had argued long before, by invoking the belief in black magic. Every magic has its counter-magic, of which the destructive magic prompted by evil desires is an example.³⁴

But, as Nadel says, these explanations are incidental rather than systematic and fail to treat the evil use of magic as a problem in its own right. In borrowing from a common sense psychology, Malinowski ignored the fact that sociological and ethical questions, no less than psychological, are posed by the use of black magic:

> For if a society acknowledges the presence of occult destructive powers in its midst, that is, of agencies threatening its norms and stability yet available to its members, this must indicate that the structure of the society itself invites or even requires the presence of these agencies35

Malinowski's failure to deal with this problem in a satisfactory manner, not only sociologically but even psychologically, is borne out by his treatment of witchcraft in particular. In this respect, he stands in striking contrast to Evans-Pritchard and Kluckhohn. Thus, despite the fact that Malinowski based his general theory of magic directly on his Trobriand material, he wrote little about the Trobriand <u>yoyova</u> except to emphasize that the beliefs concerning her do not form a consistent body of knowledge and that, being in this way confused in the minds of the Trobrianders, these beliefs provide another example of how, "The native feels and fears his belief rather than formulates it clearly to himself."³⁶

This failure of treatment is also reflected in Malinowski's final formulation of the utilitarian value of magic to the primitive community and in his conclusion that magic constituted a necessary step in the course of human evolution. In this final formulation, the negative side of magic no longer finds any mention. Likewise, as Leach points out, "In <u>Coral Gardens</u>, where magical beliefs and practises are dealt with at length and treated as functionally positive practical working tools, the existence of witchcraft beliefs is completely ignored, the word 'witchcraft' being used merely as a synonym for sorcery, in the sense of negative magic."³⁷

F. The Politico-Legal Functions of Sorcery

Malinowski's remarks on the Trobriand <u>bwaga'u</u> were somewhat more extensive than those he devoted to the <u>yoyova</u>. Doubtless, this was because he was able to reconcile the corpus of beliefs concerning the <u>bwaga'u</u> more easily with his theoretical schema.

In discussing sorcery, Malinowski emphasized its cha-

racter as a source of power, wealth and influence and, as such, how it tends to function in such a way as to perpetuate the traditional social order.

It is chiefs and men of rank who have first claim on the services of the Trobriand sorcerer, and he would not lend himself to the unjust requests of lesser men. Too rich and powerful to do anything outside the law, it is mainly when it is a question of punishing the wrong-doing of another that he will accept a fee and champion a cause. In many such cases, on learning that the sorcerer is working against him, the wrong-doer will take fright and hasten to make amends. "Thus ordinarily, black magic acts as a genuine legal force, for it is used in carrying out the rules of tribal law, it prevents the use of violence and restores equilibrium."³⁸

Sorcery is especially important in maintaining the authority of the chief. Before the coming of the whites, the chief was able to use direct physical violence against those guilty of a direct breach of etiquette or ceremony, or guilty of flagrant offenses like adultery with one of his wives. Today, sorcery is the main method of enforcing the exclusive rights and privileges of the chief. Sorcery is therefore ranged on the side of the powerful and the wealthy as a support of vested interest and, in the long run, of law and order. "It is always a conservative force, and it furnishes really the main source of punishment and retribution indispensable in any orderly society."³⁹ Moreover, while sometimes used to commit wrongs against a weaker man on behalf of one more powerful, sorcery is never em-

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ployed in direct opposition to the law. Rather, sorcery is a way of emphasizing the <u>status quo</u>, of expressing old inequalities and counter-acting the formation of new ones. Moreover, "... since conservatism is the most important trend of primitive society, sorcery on the whole is a beneficient agency, of enormous value for early culture."⁴⁰

Malinowski's account of the functional utility of sorcery to Trobriand society does not therefore conflict with his general theory of magic. Sorcery is "useful" to Trobriand society, and the attempts of white missionaries and administrators to stamp it out are misguided. Yet the very brevity of these remarks is an indication of the poverty of Malinowski's ideas on this subject.

C. A Critique of Malinowski

Malinowski's failure to deal satisfactorily with the phenomena of witchcraft and sorcery does not, of course, represent a simple theoretical aberration, but derives from the imperfections of his general theory of magic.

The foundation-stone of Malinowski's theory is the view that magic represents a cathartic response to the psychological tensions which are generated in situations of danger and uncertainty. It is a logical deduction from this theory that, since the risks and dangers associated with different undertakings are not equally great in all spheres of life, little or no magic should be associated with those activities which are attended by few risks or dangers while, conversely, especially risky activities ought to be permeated with magical beliefs and practices. This deduction is in fact consistent with the data we are presented on Trobriand fishing magic:

> While in the villages on the inner lagoon fishing is done in an easy and absolutely reliable manner by the method of poisoning, yielding abundant results without danger and uncertainty. there are on the shores of the open sea dangerous modes of fishing and also certain types in which the yield greatly varies according to whether shoals of fish appear beforehand or not. It is most significant that in the lagoon fishing, where man can rely completely upon his knowledge and skill, magic does not exist, while in the open-sea fishing, full of danger and uncertainty, there is extensive magical ritual to secure safety and good results.41

This example seems to clinch Malinowski's argument, until we remember that the adequacy of a theory must be judged on the basis of far more than a single case. And when we turn to consider other examples of magical belief and activity, it becomes plain that Malinowski's theory is far less satisfactory than it might seem at first sight.

To begin with, not all magic can be assigned with equal facility to the function of dealing with the risks, dangers and otherwise uncontrollable events attending an undertaking. So well-grounded a technology as gardening on a tropical island, for example, faces few risks and should therefore stand in little need of magical support. There is always, of course, the possibility of drought and crop failure, but these risks are remote when compared to those attending such activities as voyaging on an open sea to distant islands. Yet there is a rich magical lore associated with Trobriand gardening.

One might, of course, try to salvage Malinowski's argument by pointing out that, in the Trobriand Islands, the production of food enters deeply into the context of interpersonal relations, and is important in the renewal of personal bonds and the winning and maintenance of prestige. In consequence of this, gardening <u>is</u> an activity which engages strong emotional involvement, and thus gives rise to passions which greatly magnify the gravity of risks and chance effects. Advancing such an argument, however, requires the making of a rather important adjustment to Malinowski's theory. As Nadel comments,

> ... the risks involved are in a sense artificial ones. This is no longer a question of the tragic shortcomings of human inventiveness in the face of nature. Rather, magic serves to protect a particular people from failures which are failures only because their own social system has decreed them to be such and, in a sense, invented them. Differently put, magic is a tool used in the realization of the fortuitously given social values, not only a weapon in man's eternal fight against fate.42

The same argument applies to another form of Trobriand magic which seems frivolous, or even unwarranted, in terms of Malinowski's theory. This is the magic which is used in order to achieve excellence in dancing, and to protect the dancer from the envy and black magic of his rivals. As Nadel again comments,

It is clearly the society itself which decrees that this excellence is desirable and enviable; so that the society invents both the desire and the tragedy of its frustration, and then, in addition, has to invent also the supernatural device to save people from a risk of their own making.⁴³

This criticism stands close to that levelled at Malinowski's theory of magic by A.R. Radcliffe-Brown. Repudiating Malinowski's distinction between magic and religion, Radcliffe-Brown argued that one could equally validly contend the opposite opinion to Malinowski with regard to some examples of ritual enactment. That is to say, rituals are not necessarily the product of individual feelings of insecurity and danger but, on the contrary, may even create such feelings in the individual. Radcliffe-Brown supports this argument by refering to the food taboos which are associated with childbirth in the Andaman Islands. Here, when a woman is expecting a child, and for some weeks following the birth of the baby, both she and her husband must abstain from the eating of certain foods which are permitted in normal circumstances. These prohibited foods are the flesh of turtle, dugong and pig. Should the taboo be violated, it is believed that the person responsible, and perhaps also the child, will fall ill. Hence Radcliffe-Brown's conclusion that.

> ... while one anthropological theory is that magic and religion give men confidence, comfort and a sense of security, it could equally well be

argued that they give men fears and anxieties from which they would otherwise be free*45

Radcliffe-Brown would seem to have pin-pointed a fatal flaw in Malinowski's theory but, as Homans has shown, by dint of further modification the theory can be salvaged and incorporate the objection to it. Homans points out that implicit in Malinowski's theory (that magical ritual provides an outlet for tensions generated in situations of uncertainty) is the assumption that, provided a magical ritual is properly performed, anxiety will remain latent. It follows from this that, should a suitable ritual <u>not</u> be properly performed, anxiety will necessarily be felt. Thus, with the Andamanese example,

> The anxiety has, so to speak, been displaced from the original situation. But even granted that it has been displaced, Malinowski's general theory is confirmed by the existence of a secondary ritual which has the function of dispelling the secondary anxiety which arises from a breach of ritual and tradition. We call this the ritual of purification, of explation.⁴⁶

This leads Homans to an elaboration of the anxiety theory of ritual, based on some conceptual distinctions not made, or not explicitly made, by Malinowski. Thus Homans distinguishes between:

i. <u>Primary anxiety</u>, which arises when an individual is seeking to realize a given end and does not possess a technique certain of guaranteeing him or her the desired result; ii. <u>Primary ritual</u>, which is performed in the above circumstances in accordance with definite social norms. Where the ritual tradition is weak, as in our own society, individuals will invent rituals in situations of this type; iii. <u>Secondary (displaced) anxiety</u>, which is experienced when primary rituals are not properly performed. This attitude becomes generalized and is experienced when any of the traditions of society is not observed; iv. <u>Secondary rituals</u>, which are the rituals of purification and explation which have the function of dispelling secondary anxiety. These will be invented where not already existing as a social tradition.⁴⁷

For Homans, therefore, as for Malinowski, magic and other ritual forms are primarily responses to situations of anxiety. These responses secure no direct benefits, but are indirectly beneficial both to the individual and to society, since they instill confidence in the individual, dispelling anxieties and disciplining the social order. Yet despite his sophistication of Malinowski's theory, Homans, by his own admission, does not provide us with a theory of ritual, but with a theory applicable to certain ritual occasions only. His argument, in other words, concerns rituals only to the extent to which they do arise from anxiety, and "... there is no implication that other sentiments besides anxiety do not give rise to ritual."48 But which sentiments and on what occasions? Can different sentiments give rise to the same ritual on different occasions? Can the same sentiments give rise to different rituals, in the same circumstances, on the part of different actors? Is ritual behaviour subject to a unifying interpretation on the level of

sentiment at all? Questions such as these are given no consideration. How, moreover, are we to recognize "ritual" behaviour? According to Homans, any action which does not produce a direct practical result on the external world is to be classified as ritual, whatever the actor enacting it might happen to think.⁴⁹ Native systems of classification are therefore to be ignored, and the actor's own explanation of his or her behaviour is to be treated as merely a kind of rationalization which is not to be taken at its face value. 50 While Homans is at least consistent, his approach allows us no way of differentiating genuinely ritual from mistaken empirical notions. An ineffective mode of medical treatment, for example, must logically be equated with such other very different phenomena as Hopi rain dances, the Catholic Mass and the American Pledge of Allegiance. Homans' consistency, then, is achieved only at the cost of violating the integrity of other systems of thought, and he is therefore open to much the same kind of criticism as that which we have already made of Pareto.⁵¹ In comparison to Malinowski, therefore, who always emphasized the importance of native categories of thought, Homans' work represents a retrograde step.

It is also worth-while noting another criticism which may be levelled at the affective theory of magic: that it is unable to explain cultural variations in magical beliefs and practices, and therefore cannot account for those situations where human knowledge cannot predict the issue yet where magic is absent. For instance, the Tikopians, unlike the Trobrianders, have no love magic, while the Manus Islanders,

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again unlike the Trobrianders, have no sailing magic. One way of meeting this objection is by invoking the notion of "functional substitutes". One might, in other words, argue that the functions fulfilled in one society by magic are fulfilled by some other cultural element(s) in another society. This is, in effect, the line of reasoning adopted by Firth when he writes that magic represents only one of a number of possible cultural responses to uncertainty, which may also take the form of "... a reliance on a beneficent God, a reliance upon the theory of probability - which is another name for science, or a simple fatalism which rejects both science and God."⁵² So far as Malinowski himself is concerned, however, this line of reasoning would seem ruled out by his own assertion, quoted earlier in this chapter, that magic fulfills an indispensable function which cannot be fulfilled by any other element of primitive culture.53 Moreover, Firth's mode of argument merely shifts the problem from "why do the Manus Islanders, unlike the Trobrianders, not practise magic in the face of certain forms of uncertainty?" to "why do the Manus Islanders adopt one rather than another cultural response to certain forms of uncertainty?". This question, Firth himself confesses he is unable to answer.⁵⁴

Invoking the notion of functional substitutes, therefore, succeeds in salvaging the affective theory of magic only by rendering it completely untestable in terms of empirical evidence. Recognizing the limitations of Malinowski's theory as expressed in its original form, and attempting to safeguard it from criticism by adopting the

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modifications to it suggested by Homans and Firth, can in fact lead only to very vague generalizations along the lines of: "anxiety, <u>and/or</u> other sentiments, <u>may</u> give rise to magical or other ritual procedures, <u>or</u>, in certain <u>unspecified</u> circumstances, <u>may</u> give rise to a <u>different</u> cultural response." Expressed in this way, it becomes clear that the anxiety theory of magic, as further developed by Firth and Homans, may be reconciled with any conceivable item of empirical information. In other words, the theory can explain everything - and nothing.

Yet, even although his general theory of magic ultimately leads one into a blind alley, Malinowski may nevertheless be credited with having provided an important impulse to the study of witchcraft and sorcery. Both Navaho Witchcraft and Witchcraft, Oracles and Magic among the Azande owe an important debt to Malinowski. For example, Kluckhohn's theory that Navaho witchcraft beliefs provide an outlet for the release of tensions arising from the general and specific conditions of Navaho life, holds many points in common with Malinowski's anxiety theory of magic. Similarly, even although Evans-Pritchard's analysis of Zande witchcraft assumes methodological and theoretical principles quite different from those of Malinowski, it was only by means of employing the techniques of meticulous field-work, which Malinowski was first and foremost in developing, that the Zande study was made possible. Moreover, for his emphasis on the necessity of understanding native categories of thought, and on the importance of seeing how particular patterns of belief are translated into action in

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specific behavioural contexts, the study of witchcraft and sorcery - indeed, the entire field of anthropology - will always remain indebted to the genius of Malinowski.

Notes and References

- 1. Evans-Pritchard, E.E., <u>Social Anthropology</u>, (Cohen & West Ltd., London, 1951), Pp. 91-2. As a statement of anthropological attitudes, this probably holds true even today, although writings like those of Lévi-Strauss, Leach, Horton and Douglas indicate a renewed interest in some of the "bigger" questions and a return to broader theoretical concerns.
- 2. Pocock, D.F., <u>Social Anthropology</u>, (Sheed & Ward, London, 1961), P. 53. To some extent, this distinction between Malinowski's "theoretical" and his "ethnographic" writings is misleading. For Malinowski's theory was deeply grounded in his Trobriand material (of which it was often little more than a generalization) while, conversely, his ethnography was deeply influenced by his theoretical preconceptions.
- 3. Nadel, S.F., "Malinowski on Magic, Science and Religion", in Firth, R. (ed.), <u>Man and Culture</u>, (Routledge & Kegan Paul, London, 1957), P. 190.
- 4. Redfield, R., "Introduction" to Malinowski, B., <u>Magic</u>, <u>Science and Religion and Other Essays</u>, (Doubleday & Co. Inc., Garden City, New York, 1954), P. 10.
- 5. Malinowski, B., "Introduction" to Hogbin, H.I., <u>Law and</u> <u>Order in Polynesia</u>, (London, 1934), P. xxxviii. Quoted in Homans, G.C., "Anxiety and Ritual: The Theories of Malinowski and Radcliffe-Brown", <u>American Anthropologist</u>, Vol. XLIII, (April-June 1941), P. 169. Bobbs-Merrill reprint, S-121.
- 6. See P. 94 of this thesis.
- 7. Malinowski, B., "Magic, Science and Religion", in Malinowski, B., Magic..., op. cit., P. 70.
- 8. <u>Ibid.</u>, P. 71.
- 9. Ibid., P. 74.

- 10. Ibid., Pp. 73-4.
- 11. Ibid., P. 74.
- 12. Ibid., P. 73.
- 13. Lowie, R.H., <u>Primitive Religion</u>, (Boni & Liveright, New York, 1924), Pp. 143-4. See P. 100 of this thesis.
- 14. Evans-Pritchard, E.E., "The Morphology and Function of Magic", <u>American Anthropologist</u>, Vol. XXXI, (1929), Pp. 619-41. Reprinted in Middleton, J. (ed.), <u>Magic</u>, <u>Witchcraft and Curing</u>, (The Natural History Press, Garden City, New York, 1967), Pp. 1-22. Horton, however, argues that all African magic may be reduced to a verbal base, and suggests that the non-verbal symbols commonly employed in African magic derive their powers from verbal designation. If correct, this suggests that Malinowski was right to emphasize the cross-cultural significance of the spell. Horton, R., "African Traditional Thought and Western Science", in Marwick, M. (ed.), <u>Witchcraft and Sorcery</u>, (Penguin Books Ltd., Harmondsworth, 1970), P. 353.
- 15. See Malinowski, B., <u>Argonauts of the Western Pacific</u>, (E.P. Dutton & Co. Inc., New York, 1961), Pp. 424-5.
- 16. Malinowski, B., "Myth in Primitive Psychology", in Malinowski, B., Magic..., op. cit., P. 141.
- 17. Nadel, S.F., "Malinowski on Magic...", op. cit., P. 141.
- 18. Malinowski, B., "Magic...", op. cit., P. 17.
- 19. Ibid., Pp. 28-9.
- 20. Leach, E.R., "The Epistemological Background to Malinowski's Empiricism", in Firth, R. (ed.), <u>Man and</u> <u>Culture</u>, <u>op. cit.</u>, P. 128.
- 21. Malinowski, B., <u>Coral Gardens and their Magic</u>, (Allen & Unwin, London, 1966), Vol. I, P. 460. Quoted in Leach, E.R., "The Epistemological...", <u>op. cit.</u>, P. 128.

Malinowski, B., "Magic...", <u>op. cit.</u>, P. 79.
 <u>Ibid.</u>, P. 80.
 <u>Ibid.</u>, Pp. 80-1.
 <u>Ibid.</u>, P. 81.
 <u>Ibid.</u>, Pp. 74-6; "Myth...", <u>op. cit.</u>, Pp. 138-43.
 Malinowski, B., "Magic...", <u>op. cit.</u>, P. 82.
 <u>Ibid.</u>, Pp. 81-2.
 <u>Ibid.</u>, P. 90.
 <u>Ibid.</u>.
 Malinowski, B., "Anthropology", Encyclopaedia

- Malinowski, B., "Anthropology", <u>Encyclopaedia</u>
 <u>Britannica</u>, (London, 1926), 1st. Supplementary Volume,
 P. 132. Quoted in Merton, R., <u>Social Theory and Social</u>
 <u>Structure</u>, (The Free Press, Glencoe, 1949), P. 36.
- 32. It is worth noting that Homans' elaboration of the anxiety theory of magic, discussed on Pp. 160-2 of this thesis, also fails to deal with the phenomena of witchcraft and sorcery.
- 33. Malinowski, B., "Magic...", op. cit., P. 85.
- 34. Ibid., Pp. 85-6.
- 35. Nadel, S.F., "Malinowski on Magic...", op. cit., P. 194.
- 36. Malinowski, B., Argonauts..., op. cit., P. 239.
- 37. Leach, E.R., "The Epistemological Background...", <u>op.</u> <u>cit.</u>, P. 129.
- 38. Malinowski, B., <u>Crime and Custom in Savage Society</u>, (Routledge & Kegan Paul, London, 1926), P. 86.

39. Ibid., P. 93.

- 40. Ibid., P. 94.
- 41. Malinowski, B., "Magic...", op. cit., Pp. 30-1.
- 42. Nadel, S.F., "Malinowski on Magic...", op. cit., P. 193.
- 43. Ibid..
- 44. According to Malinowski, magic employs limited techniques to achieve limited goals, whereas religion consists of a body of self-contained acts, complex and rich in content, and is expressed in acts which have value but not utility, the end of which being realized in the acts themselves. Cf. Malinowski, B., "Magic...", op. cit., Pp. 88-9.
- 45. Radcliffe-Brown, A.R., <u>Structure and Function in</u> <u>Primitive Society</u>, (Cohen & West Ltd., London, 1952), Pp. 148-9.
- 46. Homans, G.C., "Anxiety and Ritual...", op. cit., P. 170.
- 47. Ibid., P. 171.
- 48. Ibid., P. 167.
- 49. Ibid., P. 172.
- 50. Ibid., Pp. 165-6, 171.
- 51. See Pp. 14-17, 50 of this thesis.
- 52. Firth, R., <u>Human Types</u>, (Mentor, New York, 1958), P. 130.
- 53. Ibid..

54. Ibid.

CHAPTER SEVEN

EVANS-PRITCHARD'S ANALYSIS OF ZANDE WITCHCRAFT

A. Introduction

Max Gluckman reveals that it was early noticed, by Europeans in contact with Africans, that the belief in witchcraft involved the idea that Africans thought it "... singular that they alone should be sick while all the people around them were enjoying good health."¹ This observation contained an important clue to the understanding of witchcraft beliefs. Another was contained in the observation that people accused their personal enemies of bewitching them: an observation which led many to conclude that witchcraft observations were obviously fraudulent.

> ... these separate clues were brought together by Professor Evans-Pritchard to explain the <u>logic</u>, the intellectual coherence, of witchcraft beliefs in their relation to natural events and to society. He did this in his analysis of <u>Witchcraft</u>, <u>Oracles and</u> <u>Magic among the Azande of the Anglo-Egyptian Sudan</u>. Subsequent research in other African tribes has confirmed this analysis entirely.²

Evans-Pritchard's study may be described as the first systematic analysis of the witchcraft beliefs of a primitive people as constituting a coherent system of collective representations, as well as the first clear analysis of how these collective representations are invoked in particular action-contexts. As such, it has had a tremendous impact on British social anthropology and has served to define most of the problems to which anthropologists have directed their attention, in studying witchcraft and sorcery, in the period following the Second World War.

But although deepest in the field of anthropology, the influence of Evans-Pritchard's work has by no means been limited to the circle of his professional colleagues. Thus, his analysis has provided the starting point for an intensive village level study of witchcraft in Tudor and Stuart Essex by a professional historian,³ and has even attracted attention in the field of the philosophy of science.

It is necessary to view Evans-Pritchard's work in relation to that of Lévy-Bruhl. Already, in a series of papers published at the University of Egypt, Evans-Pritchard had shown himself unsympathetic to the psychologistic reductionism then prevalent in British anthropology. He revealed his methodological standpoint as being much closer to that of Lévy-Bruhl, Durkheim and the contributors to the <u>Anée sociologique</u>.⁵ He was particularly concerned to defend Lévy-Bruhl's writings, which he considered extremely valuable theoretically, against the misunderstandings and misrepresentations of them, widespread then as now.⁶

It was Lévy-Bruhl who first stated with emphasis that primitive peoples hold distinctive systems of beliefs inherent in their conceptual frameworks and reflected in their languages. Because of this, the mental structures of other peoples cannot be understood in the same terms as our

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own, and the methodological basis of Tylorian anthropology was destroyed. Similarly, it was Lévy-Bruhl who insisted strongly on seeing particular modes of thought as deriving from particular types of social organization. Thus, some of the most basic questions which Evans-Pritchard sought to deal with in his study - how the Azande see the world, how their beliefs form a coherent system, how these beliefs are manifested behaviourally, what are the contexts of their mystic and non-mystic thought - could well have been posed by Lévy-Bruhl himself.⁷

B. Evans-Pritchard's Viewpoint

In contrast to writers like Frazer, Malinowski and Kluckhohn, Evans-Pritchard was more interested in providing us with an understanding of how the Azande themselves think about witchcraft and magic, and of how these ideas enter into their way of life, than in attempting a causal or quasi-causal explanation of these phenomena. Hence, he took the thought patterns of the Azande as given (much as a linguist would take a language as given), and sought to reveal their structure rather than determine their historical or psychological origins. Indeed, this analogy between Evans-Pritchard's endeavour and that of a linguist is particularly apt, since he refers in more than one place to Azande beliefs as constituting an "idiom" and of his main aim as being that of rendering this idiom intelligible to He therefore sought to resolve problems which he desus. cribed (in a manner already suggesting where his differences with Lévy-Bruhl would be found) as being of the following order:

Is Zande thought so different from ours that we can only describe their speech and actions without comprehending them, or is it essentially like our own though expressed in an idiom to which we are unaccustomed? What are the motives of Zande behaviour? What are their notions of reality? How are these motives and notions expressed in custom?⁰

He therefore eschewed "... current psychological and sociological explanations of mystical notions and ritual behaviour", and instead of seeking to answer the question of <u>why</u> it is that the Azande attribute particular events to the operation of magic and witchcraft, sought to elucidate <u>how</u> they do this.⁹ In other words, he was searching for information in the reasons Azande themselves give for the occurrence of phenomena. Thus his method involved trying to "... explain a fact by citing other facts from the same culture and by noting interdependencies between facts."¹⁰

C. Witchcraft Explains Misfortune

We have already, in an earlier section of this thesis, noted the essential facts of Zande witchcraft (<u>mangu</u>) as an organic, inherited substance, and of Zande sorcery as a technique employing bad medicines.¹¹ The first major question to which Evans-Pritchard addressed himself in relation to these beliefs was that of determining the contexts in which they are invoked. The short answer to this question is that they are invoked in situations of misfortune, however trivial and petty, as a "... ready and stereotyped means of reactkng to such events".¹²

... there is no niche or corner of

Zande culture into which it (i.e. witchcraft) does not twist itself. If blight seizes the ground-nut crop it is witchcraft; if termites do not rise when their swarming is due and a cold useless night is spent waiting for their flight it is witchcraft; if a wife is sulky and unresponsive to her husband it is witchcraft; if a prince is cold and distant with his subject it is witchcraft; if a magical rite fails to achieve its purpose

it is witchcraft; if, in fact, any failure or misfortune falls upon any one at any time and in relation to any of the manifold activities of his life it may be due to witchcraft.¹³

This is not to suggest that the Azande believe witchcraft to be the sole cause of phenomena, or even the sole They are well aware, for example, of cause of misfortune. the physical nature of elephants as huge beasts capable of throwing men into the air, piercing them with their tusks, and crushing them under their knees. They are equally well aware that granaries collapse because termites eat away the supports which are holding them up, and that if people are sitting under a granary at the moment when it collapses they will be injured by its heavy structure. The Azande, in other words, are quite as capable of observing a sequence of events within a chain of natural causation as we are. On the other hand, the Azande do not limit themselves to asking how it is that such events take place, but also seek to account for why they take place. For example, it may very well be true that a particular granary collapsed because its supports had been eaten away by termites. It

may also be true that those who were sitting under it at the moment of its collapse were injured because of its heavy structure. But why, ask the Azande, should those particular people have been sitting under that particular granary right at the moment of its collapse? Similarly, it is certainly true that elephants are physically capable of attacking and injuring people. But this is not a common event. Why should one man, on one particular occasion, happen to come face-to-face with an elephant which attacks him? Why this man and not some other man? Why on this occasion and not on other occasions? Why this elephant and not some other elephant?

We would dismiss these questions as illegitimate, at least in our more scientific moods. Such events are to be explained as coincidences, as contingent upon the chance intersection of two chains of causality between which there is no intrinsic relationship. The Azande, in contrast, seek to go a step further than this, in providing an explanatory framework which accounts for just such random events on other than a chance basis. This explanatory framework is provided by the idiom of witch beliefs.

> A boy knocked his foot against a small stump of wood in the centre of a bush path, a frequent happening in Africa, and suffered pain and inconvenience in consequence. Owing to its position on his toe it was impossible to keep the cut free from dirt and it began to fester. He declared that witchcraft had made him knock his foot against the stump.... I told the boy that he had knocked his foot against the stump of wood because he

had been careless, and that witchcraft had not placed it in the path, for it had grown there naturally. He agreed that witchcraft had nothing to do with the stump of wood being in his path but added that he had kept his eyes open for stumps, as indeed every Zande does most carefully. and that if he had not been bewitched he would have seen the stump. As a conclusive argument for his view, he remarked that all cuts do not take days to heal but, on the contrary, close quickly, for that is the nature of cuts. Why then, had his sore festered and remained open if there were no witchcraft behind it?¹⁴

Witchcraft is therefore an explanatory mode which accounts for the particular and variable conditions of an event, as distinct from the universal and general conditions. As such, it in no way contradicts empirical observation, and may even encompass scientific theories within its ambit. Thus a Pondo teacher in South Africa remarked to Monica Wilson, arguing in a manner identical to that of the Azande, that:

> It may be quite true that typhus is carried by lice, but who sent the infected louse? Why did it bite one man and not another?¹⁵

As Lévi-Strauss remarks of this type of explanation,

Seen in this way, the first difference between magic and science is therefore that magic postulates a complete and all-embracing determinism. Science, on the other hand, is based on a distinction between levels: only some of these admit forms of determinism; on others the same forms of determinism are held not to apply.¹⁶ The Azande themselves explain their notions of causation by means of an analogy to "the second spear". Thus, if a man is killed by an elephant, the elephant is the first spear and witchcraft is the second spear, and together they killed the man. Similarly, if a man kills another in war, then he is the first spear and witchcraft is the second spear, and together they kill the man.¹⁷

It also should not be assumed that witchcraft constitutes the only agency of what Europeans might term "mystic" danger. A sorcerer may make magic with bad medicines and kill a man. A breach of taboos, like those forbidding incest, may lead to serious illness. The death of babies from certain diseases is ascribed to the action of the Supreme Being. Thus, if a man develops leprosy and there is a history of incest in his case, then the cause of his illness is incest, not witchcraft. Again, if a potter has sexual intercourse the night before firing his pots, and the pots are broken in the firing process, then the cause of the breakage is not witchcraft but failure to observe the necessary taboos preparatory to firing. In the same way, if the parents of a child have sexual relations before it is weaned and the child falls ill, the question of witchcraft does not arise. For the child's illness is clearly to be attributed to the parents' breach of a ritual prohibition.

In some cases, however, a complex situation arises where witchcraft operates together with other mystic forces in such a way as to lead to the death of someone. Thus, in

the cases mentioned above, if the leper or the child were to die, there would really be three causes operating. Firstly, there is the illness itself - leprosy, or perhaps a fever in the case of the child. These diseases are not seen as being a product of witchcraft but exist in their own right, much as a buffalo or an elephant exists in its own right. Secondly, there is the breach of the taboo: that on incest in one case, that on sexual intercourse before weaning in the other. The child developed fever, and But death the man leprosy, because the taboo was broken. need not have ensued. Not all persons die from leprosy, not all children from fevers. Certainly, fever and leprosy were developed because of the violation of certain taboos. But unless witchcraft had been present as the "second spear", they would not have led to death. 18

D. Action Against Witchcraft

Evans-Pritchard drew an analogy between the Zande concept of witchcraft and our concept of bad luck. When, in spite of forethought and technical competence, a person suffers a misfortune, we say that he or she is having bad luck. The Azande say that the person has been bewitched. And, should the situation which is thus described have already taken place, we content ourselves with the thought that the failure was "just bad luck", just as the Azande content themselves with the thought that the failure was a result of witchcraft. The difference in reactions between Europeans and Azande arises not when an unfortunate event has already taken place (with the exception of death), but when a misfortune is actually <u>in the process</u> of falling upon one, or is <u>anticipated</u>. We, like the Azande, make an effort to elude or terminate the misfortune, according to our ideas of the objective conditions causing it. But since the Azande conceive of these objective conditions very differently from the manner in which we do, they concentrate their attention on the factor which they see as being of greatest importance: i.e., witchcraft.

Witches are detected by means of oracles and by witchdoctors. The most important oracles are the poison oracle, which is considered the most reliable, the termite oracle and the rubbing-board oracle, which is considered the least reliable. The reliability of witch-doctors is thought to be about the same as that of the rubbing-board oracle.

Witch-doctors hold public seances at which they divine the names of witches who happen to be inflicting, or threatening to inflict, misfortunes on a client. At these seances, the witch-doctor dances with a group of his colleagues to the sound of drums, hand-bells and other instruments. In the course of his dance, he cross-examines his client, perhaps demanding the names of his wives, neighbours, or those who have taken part in some activity with him. He then dances, in a trance-like state, with the names of three or four possible witches on his mind and after a period of some hours (a seance may last from midday to sunset) advises his client of whom he thinks is responsible. This he usually does discreetly, perhaps by hints and inuendo, perhaps by whispering the name of the witch to his client in private after the spectators have dispersed. Generally speaking, witches are not denounced

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publicly by witch-doctors, and no man would proceed to direct action against a witch on the basis of a witchdoctor's information alone. For the witch-doctor is a human being, and is therefore fallible. His revelations must be checked against the verdict of the poison oracle.¹⁹

The least reliable of Zande oracles is the rubbingboard oracle. It consists of two pieces: a small tablelike surface which is supported by two legs and a tail, and an adjoining piece of wood which fits the "table" like a lid. The operator squeezes various juices, or grates wood, onto the surface of the table, and then dips the lid into a gourd of water and proceeds to slide it back and forth across the surface of the table. Usually the lid moves smoothly back and forth, but occasionally it sticks to the lower surface so firmly that no amount of jerking will move it. It is in this way that the oracle answers questions: by smooth sliding, or by sticking. The operator therefore addresses questions to the oracle according to the formula, "if such is the case, rubbing-board oracle, stick; if such is not the case, rubbing-board oracle, run smoothly". For example,

> "If so-and-so is bewitching my home, rubbing-board stick." Afterwards you ask it again, "Rubbing-board, it is not witchcraft, I place witchcraft on one side, it is <u>wingi</u>; another thing, sorcery, is about to spoil my home, rubbing-board stick."²⁰

The Azande do not have complete faith in the operation of the rubbing-board oracle but consider that it may err, and that it may be manipulated by men. Its judgements must

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therefore be checked against the poison oracle, unless serious illness is involved and speed is all-important. Otherwise, the oracle is consulted on issues of lesser importance, or in order to clarify a case so that it may be put to the poison oracle for final judgement. If a man is ill, for example, a great many people may occur to him as being possibly responsible. It would be tedious and expensive to place six or seven names before the poison oracle when, perhaps, the last name is the correct one. But the rubbing-board can sort out the relevant name in a matter of minutes and the poison oracle then may be consulted for confirmation. This efficiency makes the rubbing-board the most often used of all Zande oracles.

The termite oracle is more highly esteemed than the rubbing-board oracle, and no one would place a verdict of the termite oracle before the rubbing-board for confirma-There are no expenses involved (this is its chief tion. advantage), and a man need only find a termite mound and insert two branches, from different trees, in the runs and return next day to see which has been eaten. The oracle is addressed in such words as, "O termites, I will die this I will not die, eat kpoyo." year, eat dakpa. Thus if dakpa is eaten and kpoyo is left untouched, it is a prophecy of misfortune; if kpoyo is eaten and dakpa is left untouched, of good fortune. If both the branches are eaten, but one more than the other, it is regarded as a qualified answer, tending towards either a positive or a negative verdict, according to the situation. If both branches are eaten about equally, the Azande may simply say

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that the ants were hungry and ate to satisfie their appetites, that a taboo has been broken, or that witchcraft has interfered with the oracle. If neither branch is eaten, this means that the termites have refused an answer, and the Azande try another mound. All important verdicts are submitted to the poison oracle for confirmation.²²

The poison oracle is the most important of all Zande oracles. The Azande rely completely on its decisions, and these have the force of law when obtained on the orders of a prince. Consultation of the oracle is regarded as indispensable in all matters strongly affecting individual welfare, in serious legal disputes, in important collective undertakings, or on any occasion regarded as dangerous or socially important:

> ... how can a Zande do without his poison oracle? His life would be of Witches would make his little worth. wife and children sick and destroy his crops and render his hunting useless. Every endeavour would be frustrated, every labour and pain would be to no purpose. At any moment a witch might kill him and he could do nothing to protect himself and his family. Men would violate his wife and steal his goods, and how would he be able to identify and avenge himself on adulterer and thief? Without the aid of his poison oracle he knows that he is helpless and at the mercy of every evil person. It is his guide and his counsellor ... The oracle tells a Zande what to do at every crisis of life. It reveals his enemies, tells him where he may seek safety from danger, shows him hidden

mystic forces, and discloses past and future. Truly a Zande cannot live without his <u>benge</u>. To deprive him of that would be to deprive him of life itself.²³

The oracle is administered by an operator who must be in a ritually pure condition. That is to say, he must have abstained from having had sexual relations with women, from the eating of elephant flesh, fish and certain vegetables, and from the smoking of hemp. These prohibitions cover from five to six days prior to consultation of the oracle in the case of sexual relations, and three to four days in the case of the forbidden foods.

The poison oracle is consulted in secret, and no one except trusted friends are advised that there is to be a seance. A large audience is not wanted, since its members would want to ask the oracle all about their own affairs.

The oracle takes the form of administering <u>benge</u> (apparently a poison related to the strychnine family) to fowls. As the poison is administered, the oracle is addressed according to the formula, "If such is the case, poison oracle kill the fowl", or, "If such is the case, poison oracle spare the fowl". The fowl is then lifted in the hands of the operator, jerked back and forth in order to stir up the poison, and replaced on the ground.

The effect of <u>benge</u> on fowls varies. Occasionally; though not often, it kills them at once, even before they are picked up from the ground by the operator. More commonly, a fowl is not seriously affected until it is picked up from the ground when, if it is going to die, it undergoes a series of spasms culminating in vomiting and death. Some fowls appear little affected by the poison until returned to the ground, when they suddenly expire. Others are quite unaffected by the poison and when, after having been jerked backwards and forwards for a while, they are thrown to the ground, peck around unconcernedly. Very rarely, the poison seems to kill a fowl which later recovers.

The oracle normally involves two tests. If a fowl dies in the first test then another fowl must survive in the second test, and vice versa, for a judgement to be accepted as valid. Usually, although not invariably, the questions are so framed that the oracle must kill a fowl in the first test and spare another fowl in the corroborating test to give an affirmative reply, and must spare a fowl in the first test and kill another fowl in the corroborative test to give a negative reply. If two fowls live, or two fowls die, then the verdict is regarded as invalid and the oracle must be consulted on another occasion.²⁴

The Azande are selective in the doubts and questions they put before the oracles, for there is always witchcraft about and it is impossible to eliminate from one's life. It is therefore only about important matters, usually connected with the state of their health, that they consult the oracles.

When a man falls ill, he usually retires to a grass hut in the bush where he can remain hidden from witchcraft and organize counter-measures. He asks a close kinsman, a son-in-law, or some other person on whom he can rely, to consult the oracle on his behalf in order to identify the witch who is injuring him. The first oracle consulted is usually the rubbing-board oracle, which will select the names of several witches who may be responsible from a large number. Then, if the man is poor, he will place the names selected before the termite oracle for confirmation; but if he is able to obtain <u>benge</u> and chickens, he will consult the poison oracle.

Following identification of the witch by the poison oracle, two possible lines of action are open to the sick man and his kin. One possibility is to make public oration, in which the kinsmen declare that they know the name of the witch who is injuring their relative but that they do not wish to expose it and thus shame him, and that they expect him to return their courtesy by leaving their kinsman in peace. This procedure is especially suitable if the witch is a person of some importance whom they do not wish to affront, or someone who enjoys the esteem and respect of his fellows and they do not wish to humiliate him. Their object is to humour him, for an open quarrel would only irritate him, perhaps leading him to kill the victim outright. This procedure is followed, however, only when authorized by the rubbing-board oracle.

The more usual procedure is to cut off a wing from the fowl which has died to the name of the witch, thrust it on the end of a small stick and forward it to the witch, usually through a messenger of one of the deputies of the prince. On his arrival, the messenger lays the wing on the ground before the witch and says that he has been sent on account of the sickness of so-and-so. The witch, almost invariably, replies courteously that he is unconscious of hurting anyone, but that if such is the case he is sorry and that if it is he alone who is responsible the victim will soon recover, since he wishes him health and happiness. To indicate his good intentions, he calls for a gourdful of water and when his wife brings it he takes a mouthful and blows it out in a thin spray over the wing lying before him on the ground. He then says, so that the messenger may hear and repeat his words, that if he is a witch, then he is unaware of his state; that he is not intentionally causing witchcraft, and that he addresses the witchcraft in his belly, beseaching it to become "cool". The messenger then returns to the deputy, to report what he has done and seen, and the deputy then informs the kin of the sick man that the task has been fulfilled. Should. however, the illness continue, the oracles will be consulted afresh, to discover if the repentance exhibited by the witch was genuine or fraudulent, or whether some new witch has started to trouble the victim in the meantime. In either case, the formal presentation of chickens' wings is carried out as before, through the intermediacy of a prince's deputy.25

Almost all deaths are attributed to witchcraft, and must be avenged. In pre-European times, vengeance was exacted in one of three ways: by the slaughter of the witch, by the payment of compensation, or by means of lethal vengeance magic., Normally, however, witches were seldom slain, unless they committed a second or third mur-

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der or killed an important person. Today, vengeance magic is the sole means employed, and the success of its operation is confirmed by the poison oracle of the prince. The use of this vengeance magic is more a requirement of pious duty than a result of anger and hatred, and the kin of the dead man are not permitted to cease mourning until the guilty witch has been struck down. Who he is. will be known only to the prince and to the kin of his victim. One can deduce the fact that their vengeance magic has struck only because the kin are no longer observing the taboos of It is useless to ask them who was its victim, mourning. for it is their private affair and a secret between them-Besides. as a verdict of the selves and their prince. poison oracle, such information may not be disclosed.²⁶

It should be noted that magic is utilized against witches not only as vengeance magic, but also for protective purposes. Thus, magicians are employed to bury magic on the thresholds of homes in order to protect them against witchcraft and sorcery by destroying witches and sorcerers who intend the occupants ill. Thus one spell Evans-Pritchard records as having been uttered over medicines buried in the entrance to a homestead, runs:

> That man who may come to bewitch me, and bewitch my hunting, and to bewitch my wives and children, may he die.27

Similarly, a spell to protect a man's gardening:

The medicine which I place in my cultivations - whatever witch comes to injure my cultivations, to harm my food-plants so that they will not flourish, may he die.28

E. The Direction of Witchcraft Accusations

We have already noted that European explorers, missionaries and administrators in Africa often observed that accusations of witchcraft tended to be made against personal enemies: hence the frequent conclusion that these charges were fraudulent.²⁹ Evans-Pritchard's Zande data, however, shows that this is a mistaken conclusion to draw. For although it is personal enemies whom the Azande most often accuse of bewitching them, such accusations by no means represent an underhand way of striking a blow against someone against whom a grudge is held. Rather, they are a logical outcome of Zande doctrines concerning the nature of witchcraft.

The Azande are not interested in the possession of witchcraft substance in itself, but only in witch activity proper. Any person may be born a witch, but it does not follow that they will necessarily cause ill to their fellows, for their witchcraft substance may remain "cool". Witchcraft substance is not in itself dangerous, but only when it is activated by the ill will, jealousy or spite of its possessor. A witch attacks a man when motivated by hatred, envy or greed. It follows from this that a Zande who is suffering from or is threatened by some misfortune, consults the oracles primarily to the names of those whom he thinks are likely to bear him such ill will.

> He is well aware that others take pleasure in his troubles and pain and are displeased at his good fortune. He knows that if he becomes rich the

poor will hate him, that if he rises in social position his inferiors will be jealous of his authority, that if he is handsome the less favoured will envy his looks, that if he is talented as a hunter, a singer, a fighter, or a rhetoritician, he will earn the malice of those less gifted, and that if he enjoys the regard of the prince and of his neighbours he will be detested for his prestige and popularity

There are plenty of occasions for hostility and illfeeling in the context of Zande life, and this is often expressed in gossip in the privacy of the huts or in whisperings to close friends in the safety of the bush. Sometimes such bitterness results in a complaint being made to the prince's court. More often, a man who knows that others are jealous of him will do nothing. He continues to be polite, and tries to remain on friendly terms. But should some misfortune strike him, he will at once conclude that one of these men is bewitching him, and will place their names before the oracles to determine who among them is responsible.

> Oracle consultations therefore express histories of personal relationships, for, as a rule, a man only places before an oracle names of those who might have injured him on account of some definite events which he believes to have occasioned their enmity. It is often possible by adroit questioning to trace back the placing of a name before the oracle to its source in some past incident.³¹

Since accusations in this way reflect personal enmities, it can be seen that certain people will not be considered when the sick man searches his mind for the names of those who might be injuring him. Thus, commoners do not accuse nobles, and only rarely do unimportant commoners accuse influential commoners. This is partly because it would be inadvisable for those of low social standing to insult those of higher social status by thus accusing them, but mainly it is because social contact between those of high and low social status is limited to situations where behaviour is fixed by notions of rank.

> A man guarrels with and is jealous of his social equals. A noble is socially so separated from commoners that were a commoner to quarrel with him it would be treason. Commoners bear ill-will against commoners and princes hate princes. Likewise a wealthy commoner will be patron to a poorer commoner and there will seldom be malice between them because the incentive to malice and the opportunity for creating it do not easily arise. A rich commoner will envy another rich commoner and a poor man will be jealous of another poor man. Offence is more easily taken at the words or actions of an equal than of a superior or an inferior.32

Thus women tend to accuse other women of witchcraft, since there is no social contact between men and unrelated women, while men accuse other men. The main exception to this rule is that a woman may bewitch her husband. No man, however, would bewitch his wife, since by doing so he would only be hurting himself. Similarly, children do not normally have relations with adults of a type which might be expected to generate hatred. In consequence, children are not thought of as bewitching adults. In those cases where an adult bewitches a child, this is usually thought of as being a way of striking against the father.

> It is among householders of roughly equal status who come into close relations with one another that there is the greatest opportunity of squabbles, and it is these people who most frequently place one another's names before the oracles when they or members of their families are sick.³³

Evans-Pritchard's work therefore contains two important and valuable suggestions, both of which have been researched and confirmed by later specialists in the study of witchcraft and sorcery: firstly, that accusations of witchcraft and sorcery, as functions of personal relations, are indicative of the main lines of stress and tension in a society; secondly, that accusations tend to be made between people who stand in a relationship of competition in regard to scarce resources.³⁴

It is important to note, however, that Evans-Pritchard did not fall into the trap of postulating a complete sociological determinism, but emphasized that among the Azande accusations of witchcraft derive <u>primarily</u> from the experience of misfortune and cannot therefore be regarded as a function of personal enmities only. Thus a man who suffers a misfortune knows that he has been bewitched, and only then does he search his mind to find out who is likely to bear him a grudge and therefore have bewitched him. If he is unable to recall any incidents that might have caused a man to hate him, and if he has no particular enemies, he must still consult the oracles in order to discover the witch so that his misfortune will cease. Thus it is that even a prince will sometimes accuse a commoner of witchcraft (although the reverse never happens), since his misfortunes must be accounted for and countered, even when those whom he accuses are not his personal enemies.³⁵

F. Scepticism and the Variability of Zande Beliefs

There is an implication, in anthropological references to "the" Azande, "the" Navaho or "the" Trobrianders, that all Azande, Navahos or Trobrianders share the same ideas, or, putting the matter a little more crudely, think the same. That such an assumption cannot be supported for the Navaho was clearly shown by Kluckhohn, who was careful to indicate divergences of opinion among his informants. Thus it is clear from Kluckhohn's data that Navahos are by no means agreed on the exact nature of witchcraft, the characteristics of different forms of witchcraft, or the differences between witches and ghosts.³⁶

Evans-Pritchard did not attempt a detailed breakdown of variations in Zande belief comparable to that provided by Kluckhohn for the Navaho - perhaps the different conditions of their field-work are a relevant factor here but he did provide us with some information regarding such variations, and particularly on the limitations of Zande scepticism. Such information is, of course, essential, for if we are to make generalizations about witchcraft beliefs constituting "closed systems of thought", then we

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must possess empirical data on just <u>how</u> closed such systems are. Similarly, if we are to comprehend social change and the processes whereby people become critical of their beliefs and abandon or transform them, we must know, first of all, how great a degree of scepticism pre-exists, and what are the pre-existing variations in patterns of belief within the culture concerned.

Evans-Pritchard discovered a considerable amount of scepticism existing in many sectors of Zande culture. He suggested that differences between individuals in regard to the extent of their scepticism is dependent on four factors: upbringing, range of social contacts, variation of individual experience and personality.

The Azande are especially sceptical about witchdoctors although, of course, the degree of this scepticism varies markedly from one person to another.

> Mekana, whose remarks were often tinged with refreshing cynicism, was accustomed to speak lightly of witchdoctors. He contrasts in a remarkable manner with Kamanga, who was a fervent believer in all kinds of magic, and especially in the powers of witch-doctors, a belief which months of mild effort on my part failed to break down.37

Zande scepticism of witch-doctors is manifested not only in personal remarks, but also in the tests put to them and the jokes sometimes played on them by young nobles and commoners of influence.

> Sometimes a young noble puts a piece of iron, or indeed, any object, in a pot and tells the witch-doctors to

divine what is inside it. A commoner friend of mine, Mbira, once placed a knife in a covered pot and summoned witch-doctors to tell him what the pot contained. After three witch-doctors had danced for the better part of the day and had made a number of wildly incorrect guesses about what was in the pot, the fourth still maintained silence and continued to dance. Mbira called to him and told him in the presence of the audience that the sun was sinking and that people were desirous of returning to their homes and suggested that he should say what was in the pot as soon as possible, so the seance might break up. A little while afterwards Mbira left the audience and went on private business into one of his huts. The witch-doctor followed him and secretly asked him there to tell him what was in the pot so that he could save his reputation. Mbira, however, refused his request, called him a knave, and told him that he would get nothing for his day's exertion.30

It would be a mistake to attribute such scepticism to the result of European influence, for it is sometimes found among the oldest men who are quite free from any such influence. Thus one old man remarked to Evans-Pritchard that what the witch-doctors told their audience was merely supposition, and that what they offer in the guise of inspired oracles are only likely guesses.³⁹ Similarly, it would be equally mistaken to exaggerate the limits of this scepticism. Although many Azande believe that witch-doctors are often incorrect in their diagnoses of witches, and practise deception and fraud in their capacity as leeches, they do not thereby dismiss the idea of witch-doctorhood as such. Most Azande believe that while the majority of witchdoctors are quacks, there are still a few entirely reliable practitioners. This faith in the powers of witch-doctors is especially strong in relation to tales recounted of the powers of witch-doctors among foreign peoples and of past times: those of four or five generations ago, for example.⁴⁰ It should also be noted that scepticism about witch-doctors is a function of particular situations:

> I have noticed that men who frequently spoke with a measure of contempt about witch-doctors have made speed to visit them when in pain. Also a man who readily accuses witchdoctors of deception when they expose him as a witch as readily applauds their skill when they expose someone else, particularly if it is enemy.⁴¹

Zande scepticism is not restricted to witch-doctors, but also extends to other spheres of belief. The Azande are well aware, for example, that the rubbing-board oracle may be improperly manipulated, and it is for this reason that they consider the rubbing-board to be inferior to other oracles.⁴² Many Azande are also sceptical about protective and punitive magic. Hence, while many men are particularly anxious to acquire medicines, and use them frequently, there are others who:

> ... do not care whether they possess medicines or not, and who only use them when it is customary and then

without enthusiasm. They do not even have deep faith in the majority of medicines. Mekana and Kamanga were excellent examples of these two types. Mekana was not interested in magic, nor did he concern himself with it. Kamanga was really superstitious and believed in every kind of magic ...43

G. The Persistence and Stability of Zande Belief

Evans-Pritchard records that Europeans to whom he explained Zande ideas of magic and the power of oracles, reacted with incredulity and even contempt:

> In their questioning to me they have sought to explain away Zande behaviour by rationalizing it, that is to say, by interpreting it in terms of our own culture. They assume that Azande must understand the qualities of poison as we understand them, or that they attribute a personality to the oracle, a mind that judges as men judge, but with higher prescience, or that the oracle is manipulated by the operator whose cunning conserves the faith of lay-They ask what happens when the men. result of one test contradicts the other which it ought to confirm if the verdict be valid; what happens when the findings of the oracles are belied by experience; and what happens when the oracles give contrary answers to the same question.44

Such questions were not confined to Evans-Pritchard's audiences, but also formed an important part of his own line of enquiry. Thus, during his discussion of Zande scepticism towards witch-doctors he poses the question as to "... why common sense does not triumph over superstition."⁴⁵ In this aspect of his work, Evans-Pritchard stands very clearly in an old tradition of anthropological research: that of trying to explain why beliefs in the efficacy of magic, although manifestly false, continue to persist. But, in seeking to answer this time-honoured question, Evans-Pritchard raised the level of discussion far beyond that of his predecessors. He thus provided us with an account of the stability of structures of belief which transcends not only the narrow range of the facts of Zande ethnography, but even his own philosophical framework.

Confronted by the facts of Zande belief in witchcraft and magic, the European is likely to adduce a number of objections which to him render this belief invalid. Let us briefly outline some of these objections, in order to see why they do not have the same force for the Azande as they might at first sight be expected to:

i. the poison oracle contradicts itself by sometimes answering first yes, and then no, to the same question, and vice versa;

ii. an internally consistent judgement given by the oracle may be contradicted by future experience;

iii. Zande doctrines concerning the inheritance of witchcraft lead to a logical contradiction. If one man is proven to be a witch, then the whole of his clan must also be witches too, since the Zande clan is a group of people related to each other through the male line. On the other hand, if a post-mortem were to disclose that a man was not a witch, it would follow that none of his fellow clansmen were witches either. Thus a few positive results of postmortems scattered among the clans would soon prove that everyone was a witch, while a few negative results would prove the opposite;

iv. the existence of vengeance magic reduces the explanation of death by witchcraft to an absurdity, and vice versa. Thus the death of a man X is avenged upon a witch Y, whose death is avenged in turn upon another witch Z, and so on ad infinitum.

Why is it that the Azande do not see the force of these objections to their beliefs? Let us consider each in turn.

If the poison oracle contradicts itself in response to a question put to it, the Zande who is consulting it is not bewildered but has at hand a number of ready-made explanations to account for this contradiction. The wrong variety of poison may have been gathered; a taboo may have been violated by some person handling the poison; the people from whose land the poison was gathered may have been insufficiently paid and thus angered against the collectors, thus affecting the quality of the poison; the poison may have been kept too long and have lost its strength; ghosts may have attacked the oracle if some of the poison gathered has not been offered by the collector to his father as first-fruits: someone may be practising sorcery against the oracle; or the poison may have become exhausted with use: for any of these reasons the oracle may contradict itself.⁴⁶

Zande belief is therefore elaborated in such a way

that it is a relatively simple matter to explain why the oracle may sometimes contradict itself. These secondary elaborations, or supportive beliefs, are differently invoked in different contexts. For example, if at the first seance the poison oracle kills all the fowls without exception, the operators generally conclude that this is because the poison was collected from the wrong type of creeper. The poison is therefore stored for some time before it is used again, in order to see if it will become "cool" (i.e., discriminating). After a period of time the poison is then tested again, and either proves that it has become cool, or is thrown away. This explanation is adopted only when fresh poison has been gathered and is being tested in order to determine its worth. If a sample of poison is first passed as being discriminating, only to kill all the fowls at a later seance some other explanation, such as witchcraft, must be sought.47 These secondary elaborations of belief therefore themselves form a system, being interrelated in a coherent pattern where each particular belief acts to support the others. Consequently, it is impossible for Europeans to discredit the power of the oracles by pointing out that they often contradict themselves, or by arguing that benge is a natural poison. Indeed, such arguments would strike the Azande as being naive and stupid:

> ... to ask Azande, as I have often asked them, what would happen if they were to administer an extra portion of poison to a fowl which has recovered from the usual doses, or, if they were to place some of the poison in a man's food, is to

ask silly questions no one has ever been fool enough to waste good oracle poison in making such pointless experiments, experiments which only a European could imagine. Proper benge is endowed with potency by man's abstinence and his knowledge of tradition and will function only in the conditions of a seance. ... I am sure that no Zande would ever be convinced that you could kill a fowl or person with benge unless it had been gathered, administered, and addressed in the traditional manner. Were a European to make a test which proved Zande opinion wrong they would stand amazed at the credulity of the European who attempted such an experiment. If the fowl died, they would simply say that it was not good benge. The very fact of the fowl dying proves to them its badness.48

Normally there is very little opportunity for the oracle to be proved wrong by subsequent events. Usually put to it provide answers that cannot easily be challenged by subsequent experience, since the enquirer accepts the verdict and does not seek to treat it as a scientific hypothesis to be tested by experience. Thus no man will build his home in a certain place if he has been warned by the oracle that he will die there. As a result, he never knows what would have happened if he had defied the warning of the oracle. Moreover, the oracle is not asked to answer questions which are formulated in a precise manner. Questions are framed in broad terms, and deal with wide issues. For instance, instead of asking, "Will I get a bushbuck if

I go hunting tomorrow?" the consultant demands, "If I make my hunting in such-and-such an area this year, will I have a successful season, or will it be spoilt by witchcraft?"

Because of this, many of the questions put before the oracle are difficult to test by experience in any conclusive manner. Moreover, should there be any direct contradiction between the prophecy of the oracle and empirical experience, this can be explained on the same basis as when the oracle contradicts itself: i.e., as a result of witchcraft, of the violation of a taboo, or of the operation of some other mystic agency.⁴⁹

In addition to this, the oracle is usually consulted on such matters as witchcraft, sorcery and ghosts, and the information which it yields refers to phenomena of which it itself is the sole evidence. Its results therefore not only do not contradict experience, but deal with phenomena which transcend direct sensory perception.⁵⁰

It must also be noted that the authority of the oracle is sustained by the hierarchical structure of Zande society. All verdicts of the poison oracle are backed by the full authority of the king, and the decisions of his oracles are beyond appeal. If an appeal was permitted from the king's oracle to that of a commoner's, or if there was no final deciding oracle, general confusion would ensue. Everybody would be able to claim verdicts in support of his own point of view, and there would be no way of deciding between them. Thus in all legal disputes, the authority of the king safeguards the authority of the poison oracle, and so prevents any serious challenge to its veracity.⁵¹

The Azande see the sense of the argument that if one man is proven a witch, then all of his clansmen must also be witches. They do not, however, accept its conclusion. In practice, they regard only the close paternal kin of a known witch as also being witches, and extend the imputation to all of a witch's clansmen only in theory. Further elaborations of their beliefs enable them to escape from what we might regard as a logical deduction from the premise that witchcraft is transmitted by biological inheritance. Thus, even if a man is proven to be a witch, his kinsmen may escape from the logical consequence of this discovery by denying any biological link with him. They may say that the man is not really their kinsman but a bastard, and the brothers of a witch may even extract a confession of adultery from their mother in order to prove their innocence.

In addition to this, the Azande do not see the contradiction as we see it, because they have no theoretical interest in the matter. Because a man has inherited witchcraft-substance does not necessarily mean that he will bewitch one. His witchcraft-substance may remain cool throughout the period of his life, and will therefore be of no interest to anyone. For when a Zande is ill, he does not normally think of the names of all the well-known witches in the community and their paternal kinsmen. Rather, he asks himself who among his neighbours is likely to bear him a grudge and then seeks to determine which one of them, on this particular occasion, is hurting him. "Azande are interested solely in the dynamics of witchcraft in particular situations."⁵² This structuring of interests means that the Azande do not confront what we perceive as being a contradiction. For they are not interested in identifying all possible witches, but only in whoever happens to be bewitching a particular person at a particular time.

Some Azande say that a prince would not agree to consult the oracles on behalf of the kin of a man who had died of vengeance magic, but would inform them that the man had died in explation of a crime. Some princes also asserted this, although Evans-Pritchard considered that they were lying and some Azande also expressed their doubts to him about the honesty of the princes in this matter. However. the silence which the Azande keep about the victims of their vengeance magic means that it is impossible to tell one way or the other. For even if a prince informed the relatives of a dead man that he had died as a result of vengeance magic, this would be a secret between him and And so that their neighbours should not know that them. their deceased kinsman had been a witch, his relatives would pretend that they were avenging his death.

> Consequently if the kinsmen of A avenge his death by magic on B and then learn that B's kinsmen have ceased mourning in sign of having accomplished vengeance also, they believe that this second vengeance is a pretence. Contradiction is thereby avoided.⁵³

The fact that information is never pooled concerning the names of the victims of vengeance magic, means that no contradiction faces the Azande in this sphere of their beliefs.

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We may therefore list seven main reasons why the Azande continue to accept their beliefs in witchcraft and the efficacy of magic, manifest in the above examples: i. Magic and the oracles are mainly used against other mystic powers, such as witchcraft and sorcery. Their action therefore transcends, and is not easily contradicted by, experience.

ii. Witchcraft, oracles and magic all explain each other. Death is proof of witchcraft. It is avenged by magic. The success of the vengeance magic is proved by the poison oracle. In this way, these beliefs form an intellectually coherent system.

iii. Contradictions between beliefs are not noticed because they function in different situations and are not therefore brought into opposition.

iv. Individuals and kinship groups do not pool their knowledge. Thus the same death may represent the closing of vengeance for one family, and the initiation of it for another.

v. The king's oracle, and vengeance magic, are supported by political authority.

vi. Not being experimentally inclined, the Azande do not seek to test the efficacy of their oracles and magic. Thus the poison oracle is tested only within the context of the beliefs supporting its efficacy.

vii. Zande beliefs are usually vaguely formulated and therefore cannot easily be shown to be contradicted by experience or out of harmony with other beliefs. viii. The failure of any rite is accounted for in advance by a variety of mystical notions, such as that a taboo has been violated, or that witchcraft or sorcery is operative. Hence, the perception of error in one situation serves only to prove the correctness of another equally mystical notion.

In addition to these reasons, Evans-Pritchard has made a summary of the main reasons why, in his opinion, the Azande "... do not perceive the futility of their magic."⁵⁴ These are:

i. It is often observed that a medicine is unsuccessful,
but such observations are not generalized to include all
medicines of the same type, still less all magic.
ii. Scepticism is absorbed in the system. It is limited to
certain medicines and certain witch-doctors. Belief in
others is supported by contrast.

iii. The results magic is supposed to achieve are in fact usually realized. Vengeance magic is followed by the death of a man, and animals are killed after hunting magic is made.

iv. The Zande is born into a culture with ready-made patterns of belief which have the force of tradition to support them. Many of these beliefs are axiomatic and it never occurs to the Zande to question them.⁵⁵
v. Individual experience counts for little against this tradition. If personal experience contradicts a belief, this does refute the belief, but indicates only the peculiarity of the experience.

vi. Magic is used to secure events which are likely to happen in any case. Rain magic is made in the rainy season, magic against rain in the dry season.

vii. Magic is not usually considered essential for the success of an operation, but is regarded only as making the success greater. Thus a man will catch many termites without using termite magic.

viii. Magic is not generally expected to produce an effect by itself, but is associated with empirical action that does in fact produce the effect sought. A man makes beer by approved methods of brewing, and uses magic only to hasten the brew, not in place of it.

ix. The performance of magic may be required as a part of meeting certain social obligations. For example, the performance of vengeance magic by the kin of a deceased man is required as a social duty.

x. Successful people, such as successful hunters, acquire a reputation for good magic, even if they possess no medicines. xi. The objective knowledge of the Azande is strictly limited. Thus they do not know that the placing of a stone in a tree cannot retard the sunset. Moreover, having no clocks, they cannot perceive this fact.

xii. Myths, folktales and localized stories tell of the achievements of magic.

xiii. Most Zande medicines are imported from foreign peoples, whom the Azande think know much more about magic than they do. Foreign medicines are vouched for by the peoples who use them.

xiv. The place occupied by the more important medicines in a sequence of events means that they cannot be proved to be inefficacious. Vengeance magic is made against unknown witches. On the death of a man, the poison oracle is consulted to see if he died as a victim to the magic. If, on the other hand, the oracle were first consulted to determine the witch responsible, and the magic was then made, its fallaciousness would soon become apparent.⁵⁶

H. A Critique of Evans-Pritchard

Although more than thirty years have passed since the publication of <u>Witchcraft</u>, <u>Oracles</u> and <u>Magic among the</u> <u>Azande</u>, no more recent work may yet be said to have supplanted it in importance in its field. Indeed, the vast majority of social anthropological studies of witchcraft in the period following the Second World War have done no more than apply themes and suggestions already contained in the Zande study.

This is not to imply that the Zande study stands above criticism. Many of the themes in it, for example, remain implicit, and there are no explicit suggestions for further cross-cultural research. More seriously, the evidence on which Evans-Pritchard based his generalizations is not always clear, and a great deal of useful empirical data is lacking. Thus, little use is made of the extended case study, and no statistical data is provided on, for example, the frequency of witchcraft accusations between persons standing in particular defined relationships, or on the relations between witches, victims and accusers. In <u>this</u> respect, the Zande analysis compares unfavourably even to Kluckhohn's study of the Navaho.

Perhaps some of these criticisms come too easily, being akin to accusing a scientific innovator of not developing all

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the possible applications of his own theory. These are, moreover, criticisms of particulars rather than of fundamentals. A more fundamental criticism of Evans-Pritchard's methodological position is contained in the concluding chapter to this thesis.

Notes and References

- 1. Gluckman, M., <u>Custom and Conflict in Africa</u>, (Basil Blackwell, Oxford, 1965), P. 81.
- 2. Ibid., Pp. 81-2. Emphasis in original.
- 3. Macfarlane, A., <u>Witchcraft in Tudor and Stuart England</u>, (Routledge & Kegan Paul, London, 1970).
- 4. Polànyi, M., <u>Personal Knowledge</u>, (Routledge & Kegan Paul, London, 1958).
- 5. See Evans-Pritchard, E.E., "The Intellectualist (English) Interpretation of Magic", <u>Bulletin of the</u> <u>Faculty of Arts</u>, University of Egypt, Vol. I, Part II, (Dec. 1933), Pp. 149-82; "Lévy-Bruhl's Theory of Primitive Mentality", <u>Bulletin of the Faculty of Arts</u>, University of Egypt, Vol. II, Part I, (May 1934), Pp. 1-36.
- 6. Ibid.. Peter Winch seems to have misread this essay as a polemic against Lévy-Bruhl, to whom he incorrectly attributes a racist position concerning the intellectual capacities of primitives. It is quite clear from the context of the section of this essay of Evans-Pritchard's which Winch quotes, that it is not formulated as a critique of Lévy-Bruhl. Cf. Ibid., Pp. 20-1. See also, Ibid., P. 16, where Evans-Pritchard states explicitly that Lévy-Bruhl rejected the racist thesis concerning the determinants of intelligence, and makes it clear that Lévy-Bruhl's problem was precisely why people who are quite obviously so intelligent in some respects should accept beliefs that are so absurd (one of the questions Evans-Pritchard himself sought to answer in his study of the Azande). Of course, Evans-Pritchard makes some searching criticisms of Lévy-Bruhl's theories, in the course of this essay. These criticisms are, however, of a quite different order from those imagined by See Winch, P., "Understanding a Primitive Winch. Society". American Philosophical Quarterly, Vol. I, No. 4. (Oct. 1964), Pp. 307-8.

- 7. The distinction which Evans-Pritchard draws between "mystic" patterns of thought (i.e., those that attribute supra-sensible and non-existent qualities to phenomena), and "non-mystic" thought patterns (based on empirical observation and logical inference), derives directly from Lévy-Bruhl. Evans-Pritchard, E.E., <u>Witchcraft,</u> <u>Oracles and Magic among the Azande</u>, (Oxford University Press, London, 1937), P. 12.
- 8. Ibid., P. 4.
- 9. Ibid., P. 5.
- 10. Ibid..
- 11. See Pp. 22-7 of this thesis.
- 12. Evans-Pritchard, E.E., Witchcraft..., op. cit., P. 63.
- 13. Ibid., Pp. 63-4.
- 14. Ibid., P. 66.
- 15. Quoted in Gluckman, M., <u>Custom and Conflict...</u>, <u>op. cit.</u>, P. 85.
- 16. Lévi-Strauss, C., <u>The Savage Mind</u>, (Weidenfeld & Nicholson, London, 1966), P. 11.
- 17. Evans-Pritchard, E.E., Witchcraft..., op. cit., P. 74.
- 18. Ibid., P. 76.
- 19. Ibid., Pp. 148-82.
- 20. Ibid., P. 367.
- 21. Ibid., Pp. 359-74.
- 22. Ibid., Pp. 352-7.

23. Ibid., Pp. 262-3.

- 24. Ibid., Pp. 258-351.
- 25. Ibid., Pp. 84-98.
- 26. Ibid., Pp. 26-9.
- 27. Ibid., P. 438.

28. <u>Ibid.</u>, Pp. 438-9.

- 29. Such a view was, of course, consistent with the tendency to view primitive magic as a system founded on deceit and fraud. See Tylor, E.B., <u>Primitive Culture</u>, (John Murray, London, 1920), Vol. I, Pp. 133-4; Frazer, J.G., <u>The Golden Bough</u>, abridged ed., (Macmillan & Co. Ltd., London, 1960), Vol. I, P. 60.
- 30. Evans-Pritchard, E.E., <u>Witchcraft...</u>, <u>op. cit.</u>, Pp. 100-1.
- 31. Ibid., Pp. 102-3.
- 32. Ibid., Pp. 104-5.
- 33. Ibid., P. 105.
- 34. Marwick has attempted to give this suggestion a quasimathematical formulation. Between certain structural relationships, tension exists, which is released in the form of witchcraft accusations where witch beliefs exist and where alternative means of expressing tension are not preferred or are non-existent. This tension (T) is conceptualized as a function (f) of the value placed upon objects competed for (V), the extent to which the relationship requires total involvement of personality (P), and the extent to which the relationship is traditionally ascribed, for example by norms pertaining to social distance (C). This yields the formula,

$$T = f\left(\frac{V, P}{C}\right).$$

Marwick, M., "Some Problems in the Sociology of Sorcery and Witchcraft", in Fortes, M. and Dieterlen, G. (eds.), African Systems of Thought, (Oxford University Press, London, 1965), Pp. 172-3.

- 35. Evans-Pritchard, E.E., <u>Witchcraft...</u>, op. cit., P. 105.
- 36. Kluckhohn, C., <u>Navaho Witchcraft</u>, (Beacon Press, Boston, 1967).
- 37. Evans-Pritchard, E.E., <u>Witchcraft...</u>, <u>op. cit.</u>, Pp. 184-5.
- 38. Ibid., P. 186.
- 39. Ibid., P. 184.
- 40. Ibid., P. 195.
- 41. Ibid., P. 191.
- 42. Ibid., P. 373.
- 43. Ibid., P. 427.
- 44. Ibid., P. 313.
- 45. <u>Ibid.</u>, P. 193.
- 46. Ibid., Pp. 330-35.
- 47. Ibid., Pp. 330-1.
- 48. Ibid., Pp. 314-15.
- 49. Ibid., Pp. 339-40.
- 50. <u>Ibid.</u>, Pp. 342-3.
- 51. Ibid., Pp. 343-4.
- 52. Ibid., P. 26.
- 53. Ibid., P. 29.
- 54. Ibid., P. 475.

- 55. Evans-Pritchard has recently reformulated this idea. Writing more generally of the collective representations of "closed societies", he says: "Everyone has the same sort of religious beliefs and practices, and their generality, or collectivity, gives them an objectivity which places them over and above the psychological experience of any individual, or indeed of all individuals. ... Apart from positive and negative sanctions, the mere fact that religion is general means, again in a closed society, that it is obligatory, for even if there is no coercion, a man has no option but to accept what everybody gives assent to, because he has no choice, any more than of what language he speaks. Even were he to be a sceptic, he could express his doubts only in terms of the beliefs held by all around him." Evans-Pritchard, E.E., Theories of Primitive Religion, (Oxford University Press, London, 1965), P. 55.
- 56. Evans-Pritchard, E.E., <u>Witchcraft...</u>, <u>op. cit.</u>, Pp. 475-8.

CHAPTER EIGHT

KLUCKHOHN'S ANALYSIS OF NAVAHO WITCHCRAFT

A. Introduction

Clyde Kluckhohn's study of Navaho witchcraft was first published in 1944, thus following the publication of Evans-Pritchard's <u>Witchcraft, Oracles and Magic among the Azande</u> (1937), by fully seven years. Yet Kluckhohn shows no sign of having read Evans-Pritchard's work, which he nowhere refers to. His interpretation, therefore, stands closer to Malinowski's functional analysis of magic as cathartic for tension release, than to Evans-Pritchard's structuralism.

Moreover, even had he been familiar with Evans-Pritchard's study, it is improbable that Kluckhohn would have in any fundamental way altered the substance of his approach, for although he knew the writings of the contributors to the <u>Année Sociologique</u>, and of A.R. Radcliffe-Brown, he remained unsympathetic to the project of establishing an autonomous, non-reductionist sociology. For him, culture always remained an abstraction. The real unit of study was the concrete human individual. Thus he wrote that,

> ... the French sociologists, Radcliffe-Brown, and - to a lesser extent - Malinowski are so interested in formulating the relations between conceptual elements that they tend to lose sight of the concrete human organisms.... the motivations

and rewards which persons feel are lost sight of ... Hence, as Dollard says, "What one sees from the cultural angle is a drama of life much like a puppet show in which 'culture' is pulling the strings from behind the scenes." The realization that we are really dealing with "animals struggling in real dilemmas" is lacking.¹

For this reason, in place of explanation on a sociocultural level, Kluckhohn tended to prefer psycho-analytic interpretations, even if these were sometimes (as in the case of Roheim) "extravagant and undisciplined", or based on flimsy evidence.² For, whatever their inadequacies, the psycho-analytic works at least had the merit of drawing attention to "... the connection between cultural forms and impulse-motivated organisms."³

Kluckhohn's work therefore falls within the tradition of the American "culture and personality" school, together with that of such other writers as Benedict, Margaret Mead, Kardiner, Erikson and Wallace. As a result of this interest, Kluckhohn's work has had little influence in British anthropology, which has shown little concern for interrelating the phenomena of psyche and society, especially in the period following World War II. Thus, although often referred to, Kluckhohn's ideas are rarely discussed in depth.⁴ Marwick would seem to express the general attitude of most British anthropologists to the "culture and personality" approach when he dismisses the relevance of Kluckhohn's theory of Navaho witchcraft with the comment that, ... since it is concerned with the dynamics of individual behaviour, i.e. with the manner in which a human personality generates, converts, and disposes of aggression, it is a psychological theory largely irrelevant to the problems of sociological analysis.⁵

Such a rejection is, I believe, over-simplistic, for Kluckhohn did not offer us a psychological theory only. As Middleton points out, he also showed, together with Evans-Pritchard, "... that beliefs in magic and witchcraft are integral parts of cultural life and can therefore be understood only in their total social context."⁶ Kluckhohn's analysis does encompass a psychological theory, but its ambit is broader than this alone. For, as a study in the interrelations of psyche and society, it does not seek to reduce one level to the other, but also includes elements of a sociological theory. Kluckhohn therefore operates on two levels: the sociological and the psychological.

B. The Socialization of Witch Beliefs

The anthropologists of the nineteenth century sought to explain the origins of magical and religious belief. With Lévy-Bruhl, Rivers and Evans-Pritchard, these beliefs came to be treated as given, and examined only in their structure and in their relation to societies of a particular type. Of these two divergent traditions of research, Kluckhohn stands closer to the first than to the second. He considered witchcraft beliefs to be "irrational", and to stand in need of an explanation he apparently did not think it necessary to give to our own intellectual structures. He differed from the progressionists, however, in seeking to found this explanation not on an evolutionary theory and a simplistic associational psychology, but in terms of a model based on a situational psycho-analytic interpretation and a theory of sociological functionalism.

Kluckhohn began by asking to what extent the early experiences of the Navaho child could be used to afford an explanation for the existence of Navaho witch beliefs. He suggested that,

> The child, even before he is fully responsive to verbalizations, begins to get a picture of experience as potentially menacing. He sees his parents, and other elders, confess to their impotence to deal with various matters by technological or other rational means in that they resort to exoteric prayers, songs and magical observances and to esoteric rites. When he has been linguistically socialized, he hears the hushed gossip of witchcraft and learns that there are certain fellow tribesmen whom his family suspect and fear. One special experience of early childhood which may be of considerable importance occurs during When the toddler toilet training. goes with his mother or with older sister to defecate or urinate, a certain uneasiness which they manifest (in most cases) about the concealment of the waste matter can hardly fail to become communicated to the child. The mother, who has been seen not only as a prime source of gratification but also as an almost omnipotent person, is now revealed as herself afraid. at the

mercy of threatening forces.⁷

Other early experiences also predispose the child to the acceptance of witchcraft beliefs. The Navaho child is frequently ill, and often witnesses illness and suffering on the part of others. Because of an inadequate diet, a lack of skills for dealing with European-introduced diseases, and draughty <u>hogans</u>, the Navaho have a morbidity rate higher than that characteristic of typical white communities.⁸ Hunger is also an early experience. Few Navahos reach adulthood without having experienced starvation for short periods, and hunger rations for long periods. Since such privations occur even when people work hard and skilfully, "... it is small wonder that experience has a capricious and malevolent component for most Navahos."⁹

Kluckhohn recognizes, however, the objection which immediately springs to mind against this argument: that there is nothing in the experiences cited which need serve as a conditioning mechanism specific to the implantation of witchcraft beliefs (with the possible exception of faeces and urine concealment). Such conditions might reasonably be expected to give rise to some sort of belief in malevolent or dangerous forces. But these could as well be ghost or spirit beliefs, beliefs associated with taboo infractions, or perhaps even bacteriological theories, as beliefs in the existence of witches. To go, therefore, beyond the demonstration of the existence of a milieu favourable to the perpetuation of beliefs in a malevolent component of reality, to a demonstration of why it is witchcraft beliefs in particular which are perpetuated, it is necessary to cite other causative influences besides the early experiences of the Navaho child. Kluckhohn considers that this may be done by systematically examining "... the contributions which the witchcraft pattern assemblage makes to the maintenance of personal and social equilibrium."¹⁰

C. Kluckhohn's Functionalism

To Kluckhohn's mind, the most important question with which we are faced in relation to Navaho witchcraft beliefs, is why it is that these beliefs have continued to survive.¹¹ In seeking to answer this question, he started from the basic premise (which was also that of Malinowski), that "... no cultural forms survive unless they constitute responses which are adjustive or adaptive, in some sense, for the members of the society or for the society considered as a perduring unit."¹²

> "Adaptive" is a purely descriptive term referring to the fact that certain types of behaviour result in survival (for the individual or for society as a whole). "Adjustive" refers to those responses which bring about an adjustment of the individual, which remove the motivation stimulating the individual. Thus suicide is adjustive but not adaptive.¹³

Kluckhohn therefore analyses witchcraft beliefs as functional on two levels: that of the individual, and that of society. To this basic distinction between social and psychic functions, Kluckhohn adds a second: that between mahifest and latent functions.¹⁴ Thus we are presented with an analysis of four types of function of Navaho witchcraft belief:

i. manifest	individual	ii.	manifest social
iii. latent	individual	iv.	latent social

D. The Individual Functions of Navaho Witchcraft

The <u>practice</u> of Navaho witchcraft was seen by Kluckhohn as having one manifest function on the individual level: that of acquiring supernatural power. Witchcraft is a means which enables its practitioner to gain wealth and women, dispose of enemies, and "be mean". For those persons seeking supernatural power, witchcraft presents an alternative route to that provided by the socially approved practice of becoming a "singer" (ceremonial practitioner). If a person is unable to become a singer because of a lack of the fees necessary to pay a teacher, or because of an inadequate memory, witchcraft is available as an antidote to deprivation.¹⁵

Most of the data concerning Navaho witchcraft, however, relates not to the practice of it, but to the <u>beliefs</u> of others concerning its practice. What, then, are the manifest individual functions of these beliefs?

The first function suggested by Kluckhohn is that witchcraft stories have a high dramatic value and, as a result, partly take the place which books, magazines, films, and plays occupy in our society.

Secondly, witchcraft beliefs have an explanatory function. They explain how death can occur without wisible cause, and why a person should be suffering from a stubborn illness. More specifically, witchcraft beliefs help to reinforce the individual Navaho's credence in the efficacy

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of the curing ceremonials. If a chant has been performed flawlessly by a singer of great reputation, but nevertheless fails to cure, a Navaho still need not question the validity of the chant. A culturally acceptable explanation of its failure to cure lies ready at hand: the illness was caused by witchcraft, and it is for this reason that the chant has proved inefficacious. This line of reasoning gives an obvious excuse to a singer who has failed in his task, since the best cure for witchcraft is not a chant, but a prayer ceremonial.¹⁶

Since acculturated Navahos typically continue to accept witchcraft beliefs long after they have lost all faith in Navaho medicine, however, the explanatory functions of Navaho witchcraft were not considered by Kluckhohn to constitute sufficient explanation for their persistence. Why, he asked, should these beliefs show such tenacity in surviving "... at the expense of more rational modes of explanation?".¹⁷ To understand this tenacity, he considered it necessary to examine the latent functions of witchcraft for the individual.

The first latent function suggested by Kluckhohn is that claims of bewitchment can serve as a device for getting attention by capitalizing on the credence of fellow believers. As such, this device might reasonably be expected to be employed more often by those occupying a low position in the social hierarchy. According to Kluckhohn, this supposition is in fact confirmed by the evidence: a high proportion of those who faint or go into trances at "squaw dances" or other large gatherings are women, or men

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who are neglected or of low social status. The rich and powerful, on the other hand, tend to have bewitchment announced, or discovered by a diagnostician, in the privacy of their own homes.¹⁸

Kluckhohn saw this function of witchcraft beliefs in the Navaho context as also being consistent with material reported from other cultures. For example, among the Tanala of Madagascar it is only those of low social standing, such as younger sons or sterile second wives, who are subject to <u>tromba</u> (a neurotic seizure accompanied by extreme desire to dance). A similar phenomenon is reported for participation in certain trance states in Bali. Also consistent with this is the fact that, in Kluckhohn's opinion, New England and European witch trials were often started by publicity seekers, while "It is probable that in the South frustrated women make up a considerable proportion of the accusations which get Negroes lynched."¹⁹

The second latent individual function assigned by Kluckhohn to Navaho witchcraft, is that of providing a socially acceptable channel for the expression of the culturally disallowed, thus permitting certain aberrant impulses to achieve a release in fantasy. A man might, of course, day-dream about having intercourse with a dead woman, but he would be likely to worry about the abnormality of this kind of fantasy and feel the immediate necessity of having the Blessing Way sung over him. But if the fantasy takes the form of repeating or manufacturing a witchcraft tale involving this element, or visualizing it while listening to someone else tell the story, the psychological

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mechanisms of identification and projection can permit an outlet in fantasy without conflict.

Thirdly - and here we come to perhaps the most important section of Kluckhohn's analysis - is the function assigned to witchcraft beliefs of permitting the expression of <u>direct</u> and <u>displaced</u> antagonisms. This is not to suggest that Kluckhohn saw witchcraft beliefs as providing the only possible way of handling the problem of aggression in Navaho society:

> Fights occur; aggression is expressed against dead relatives as ghosts ... But if myths and rituals provide the principal means of <u>sublimating</u> the Navaho individual's anti-social tendencies, witchcraft provides one of the principally socially understood means of <u>expressing</u> them.²¹

Witchcraft accusations, in other words, objectify and alleviate displaced anxieties which arise from the general situation of the Navaho, and from the special situation of particular Navahos at particular times. According to Kluckhohn, all Navahos feel personal insecurities, and must therefore, according to the evidence of clinical psychology and psychiatry, be expected to manifest hostilities against others.²² What, then, are the sources of these insecurities?

Ill health is a major source of worry to the Navaho, not only to the individual who falls ill, but also to the members of his or her household, who must perform additional tasks as well as pay for the appropriate curing ceremonies. Ill health is therefore productive of tensions, and probably of unconscious antagonisms.

In addition to this, there are economic hazards. Lightning may destroy a relative or a part of one's stock, frost may ruin a crop, and rain may come at the wrong season. Recent population growth, with attendant overgrazing, has further increased these hazards, which often give rise to real hunger.

Moreover, there is the pressure exerted on the Navaho by white society. The increase of both Navaho and white populations over the past generation has intensified the impact of the whites, who have encroached on lands needed by the Navaho themselves for expansion. The activities of Indian Service representatives and of other whites operating within Navaho territory have also intensified. Each new school generation faces a larger problem of compromising between the demands of the two cultures. As a result of this,

> ... the Navaho have come to feel themselves in an acutely uncomfortable situation. Indifference and withdrawal are no longer effective responses. They know they must develop some suitable form of compromise with our civilization. At the moment they feel themselves exploited, surrounded by more powerful forces, "on the spot".²³

These objective hazards thus make for personal insecurity, and therefore for the intensification of interpersonal conflicts. But the basis for these reactions of hostility is not restricted to external conditions. For, although external conditions create an atmosphere conducive

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to social mistrust, the actual centring of hostile feelings upon other persons is accentuated by the Navaho world view itself. The Navaho world view, including Navaho mythology, proclaims that the active forces operative in the world are capricious and actively malevolent. These malevolent forces strongly tend to be personalized, both as ghosts and as witches. To the realistic dangers they confront, therefore, the Navaho react with fears - reactions disproportionate to the dangers facing them.²⁴ The dangers confronted are not conceived of as operating neutrally, and as therefore being avoidable or subject to rational manipula-The joint effect of the Navahos' objective situation, tion. coupled with the ideology in terms of which they structure reality, is to induce strong feelings of personal insecurity and therefore to generate hostile impulses towards These hostile impulses must find an outlet, or others. they will be turned inwards to threaten the aggressor himself, leading to varying degrees of paralysis of action.²⁵

No society can survive in which the expression of hostilities is not restricted and channelled. But, on the other hand, unless there are some forms of hating which are socially approved, everyone will remain in an intolerable conflict situation and neuroticism will be endemic in the population. This is not to say that aggression, whether overt or masked, is the only possible response. Withdrawal, passivity, sublimation and conciliation are also possible mechanisms for dealing with the situation:

> It would be too much to say that all societies <u>must</u> necessarily have their "witches", I.e., persons whom it is

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proper to fear and hate and, under defined circumstances, to behave aggressively toward. "Witches" are not very prominent in the sentiment systems of some societies. But no culture which has yet been described leaves "witches" out of its definition of the situation for every sector of life or for every group within the society. "Witches" in this very general sense of "scapegoats" have probably played some part in all social structures since Palaeolithic times. Most contemporary European societies feature such witches quite obtrusively. These "witches" may be either a minority within the society or an external society. Thus the Nazis have had the Jews, the Fascists have their Communists and their "plutocratic democracies": "liberals" have the Jesuits (and vice versa). For a period of time the French had the Germans.

To make the broadest possible structural comparison, Navahos blame their troubles on witches instead of upon "jews" or "niggers". We should be putting the matter over simply but not altogether incorrectly if we said that a belief in witchcraft was Navaho culture's substitute for "race prejudice".... But in place of selecting its "scapegoats" by the color of their skin or by their separate religious tradition or by their occupation, Navaho culture chooses certain individuals who are supposed to work evil by secret supernatural techniques.²⁶

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The existence of a universal pattern of scapegoating does not, of course, provide a sufficient explanation of the particular characteristics of Navaho witchcraft. For the existence of a high incidence of hostile impulses does not necessarily mean that the overt expression of aggression need be especially common. The Navaho, in fact, have many other ways of handling hostile impulses. There is, for example, a high incidence of hypochondria. There is also the "flight from reality" represented by the consumption of alcohol and, in Kluckhohn's opinion, the use of peyote. Passivity and social withdrawal are also available responses, and patterns for the reconciliation of individuals who have quarrelled also exist. Thus a dispute between two close relatives may be brought to a close by the attendance of one at a ceremonial sponsored by the other.

More direct outlets for aggression exist in the form of verbal conflicts, gossip and drunken fights. Central to Kluckhohn's thesis, however, is the argument that none of these mechanisms is sufficient to handle existing hostile Thus, while peyote is popular in restricted impulses. areas, its use is strongly opposed by the Indian Service and also conflicts with the native religion. Similarly. the use of alcohol is sharply curtailed by Indian and white police. It is therefore not available on a ready basis except to those Navahos who live in close proximity to white boot-Social withdrawal, on the other hand, is not an leggers. effective response because of the nature of Navaho types of shelter and the necessity of economic co-operation.

There are strong prohibitions against the overt expression of aggression, which is excused only in cases of drunken fights. The helplessness of people in a scattered

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and poorly policed society makes the playing down of overt aggression a highly adjustive response. Moreover, those against whom aggressive feelings are most likely to be harboured are the members of one's own consumption group, with whom economic co-operation is most necessary. Given the slim margin of subsistence, pressures on the individual to co-operate with his affinal and consanguineal kin are very strong and difficult to resist. In addition to this, the small size and relative isolation of the consumption group was considered by Kluckhohn to be an extremely important factor. Often very small, ranging in size from two to six households, and situated at least one mile and often close to ten miles from other consumption groups, these permit only a very limited range of social contacts. Interaction with outsiders being thus limited, the result is " ... a strong tendency toward involvement in a morbid nexus of emotional sensitivities from which there is little escape through socially approved patterns."27

Whites have added enormously to the sources of aggression in Navaho society, but have supplied only one outlet for tension release: alcohol. The general tendency of whites has been towards the prevention of aggression release. This is not only the case with the taking of peyote and the consumption of alcohol, but is also true for the overt expression of hostile impulses. In the old days, if a man beat his wife she might leave him, or he might have to pay a fine to her family. Today, he might also have to spend several months in gaol.

Especially important is the fact that the outlet of

war has been cut off. For several centuries, warfare was an exceedingly important part of Navaho culture. Now, for two generations, access to war has been denied, except to those few Navaho men who choose to join the U.S. Army. In Kluckhohn's opinion, "With organized extra-societal aggression denied, it seems probable that intra-societal aggression has mounted."²⁸

Taking all these factors into account, Kluckhohn's conclusion was that witchcraft represents an eminently adjustive cultural solution to the problem posed to Navaho individuals by hostile impulses. Thus, instead of directly expressing his bitter feelings towards his father-in-law, threatening his own economic security and incurring social disapproval by doing so, a Navaho man may vent his spleen against a totally unrelated witch. He will be relatively safe if he is careful in his choice of intimates to whom he speaks, and perfectly safe if he rages against a witch who lives in a locality a hundred miles or more away.

According to Kluckhohn, a high proportion of gossip refers to distant witches, this making Navaho witchcraft much more adaptive than is witchcraft in those societies, like the Zuni, where accusations are centred within the local group. A witch who is seen only rarely, or perhaps even never, is sufficiently distant to make the origin of feuds unlikely. Most Navaho witchcraft gossip, then, is directed against out-group members.²⁹

There is, however, also some gossip and accusation of witchcraft directed against in-group members. Kluckhohn's suggestion was that this is explained by the fact that the

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gratifications derived from gossiping about a witch living in a distant locality are too dilute to be deeply satisfying. Sometimes, there may even be socially sanctioned physical aggression expressed against an in-group member.

> The fact that the killing of witches is uniformly described as violently sadistic suggests that these acts gained huge increments of displaced aggression... direct aggression among the Navaho, whether verbal or behavioral, seems commonly accompanied by displaced aggression. Quarrels have a fury that is often ridiculously out of proportion to the alleged grievance.³⁰

Kluckhohn did not, however, hold the opinion that those accused of being witches are always the victims of scapegoating processes. Sometimes, accusations of witchcraft may be made against the actual targets of hostile feelings. A singer may whisper accusations against a professional A wealthy neighbour might be gossiped about as berival. If a man's wife runs off with another man, ing a witch. the jilted husband might explain this by saying that his rival used Frenzy Witchcraft. Feuds between extended family groups over land and water rights may also be formulated in terms of witchcraft accusations. Kluckhohn gives one example where a local group complained to the Indian agent about the drinking and fighting of its neighbours. The neighbours responded by threatening to kill an old man of the opposing group for being a witch. Navahos sometimes also gossip cautiously against certain relatives, such as the maternal uncle. and especially against affines. This

is especially true of women who are forced to live with their husbands' kin. In the reverse case, of uxorilocal residence, the husband has frequent excuses to leave his affines and visit his own family. A woman residing virilocally, on the other hand, does not have this option open to her, since she is required to cook for her husband and care for her children. In such cases, the wife develops increasing antagonisms towards her affines. One of the few ways in which she can discharge these antagonisms is by murmuring witchcraft accusations, against her affines, to her own blood relatives.³¹

According to Kluckhohn, two important psychological mechanisms are operative in this process of releasing tensions through gossip about witchcraft: identification and projection. By identifying with the witch-aggressor, the gossip may discharge his or her own dispositions towards cruelty. And by attributing to others the aggressive feelings which are in felt those of the gossip himself, relief may be obtained from feelings of guilt. The fear of retaliation is expressed and objectified. As Kluckhohn himself puts it,

> Witchcraft tales, gossip and accusations supply, then, a readily available means of covertly expressing aggression and of objectifying fears consequent upon one's own aggressions whether overt, symbolic or repressed. Folk belief channels these fantasies into witchcraft patterns and documents them with culturally appropriate details.³²

Kluckhohn's analysis of witchcraft accusation and

gossip as providing a means for the release of hostile impulses was not, however, confined within the parameters of a model defining witchcraft as a mechanism providing an outlet only for those tensions consequent on Navaho conditions of life in general. He also argued that witchcraft affords a canalized relief for the specialized tensions which are a product of Navaho social structure and cultural configurations. Thus he addressed himself to the question of why it is that the killing of siblings is so often mentioned in descriptions of witchcraft, as the price of initiation for example. His suggestion was that this may be explained by reference to the process of socialization.

Kluckhohn began by suggesting that sibling rivalry is caused by the fact that new-born children displace their brothers and sisters from their previous position as the centre of affection, thus generating hostile impulses. Secondly, because of the large size of Navaho families, siblings are responsible for the socialization of their younger brothers and sisters. In this way, they act as frustrators, and are not able to compensate for this frustration by supplying the intense gratification formerly supplied by the mother. In addition to this, the older siblings probably resent the restrictions placed upon their activities by the necessity of caring for their younger brothers and sisters.

Kluckhohn further argued that sibling rivalry at the period of weaning and infancy leads to envy and hostility at the adult level between siblings who receive coveted

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property from their parents (sisters) or who are competitors for a desired woman (brothers). The property of a deceased relative is usually divided equally between hardworking and indolent brothers. The shame of a disgraceful act falls almost as strongly on the siblings as on the perpetrator. The frugal and careful brother and sister are under strong pressure of public opinion to look after a lazy and improvident sibling. Thus, despite the existence of many positive feelings towards siblings, these are perhaps best characterized as ambivalent. The negative side to this ambivalence is expressed in terms of the negative relations described as pertaining between witches and their siblings.³³

Kluckhohn also gave some consideration to the question of why it is that old people are more often accused of witchcraft than young people, and of why it is that women are less often accused than men.³⁴ So far as the old are concerned, it was Kluckhohn's opinion that there is a basis of resentment against them because of the fact that they are usually an economic liability. In addition to this, this particular weighting of witchcraft accusations is consistent with the Navaho cultural configuration of distrust of extremes: the very old, the very poor, the very wealthy, and very powerful singers. Thirdly, the Navaho set a very high value on long life, and feel that the aged have attained something greatly to be prized. It is, perhaps, felt that the aged have a power comparable to that of a singer.

The aged have passed, as it were,

from the realm of "the profane" to that of "the sacred". Perhaps, rather ... the very old person is about to lose "something prized" and resists this - at the alleged expense of others.... Certainly the closeness to death is the critical factor. Navahos say, in effect, that the very old will die so soon anyway that they will take all sorts of chances with the culturally prohibited for the sake of immediate gain where the younger person would feel he had too much at stake in the long run and therefore sticks closer "to the good side".35

Kluckhohn also believed that the Navaho feel that the aged are "almost ghosts" and that the very old, being near to death, partake of some of death's attributes.

> This seems to be another overlapping or linking of ghosts and witches. Ghost belief permits the expression of hostility felt toward dead relatives, witches that felt toward living relatives. Ghosts are, as it were, the witches of the world of the dead. A striking corroboration of these "psychological" interpretations of beliefs regarding ghosts and witches is the astonishing fact that, according to some informants, the only ghosts one can see are those of relatives....³⁶

So far as the lesser frequency of accusations against women is concerned, Kluckhohn offered a purely culturological explanation. He considered this phenomenon to be explainable by the fact that "... the solidarity of Navaho society centers in women", together with the fact that few women become either singers or curers. Women witches are almost always either childless or past menopause, a fact explained, in Kluckhohn's opinion, by the unwillingness of the Navaho to attribute such evil to those who are bearing or bringing up children, "... for they are the focus of the sentiment system."³⁷

In conclusion, it must be noted that Kluckhohn's interpretation did not follow the line of arguing that witchcraft must exist because of the amount and types of aggression prominent in Navaho society: only that, given these conditions, some form of release must exist. The other mechanisms of release being inadequate, and the witchcraft patterns being historically available, the witchcraft mechanism constitutes a highly adjustive way of releasing tensions deriving from the general situation of the Navaho and the particularities of their social organization. As Kluckhohn himself summarizes his thesis,

> ... witchcraft is a major Navaho instrument for dealing with aggression and anxiety. It permits some anxiety and some malicious destructiveness to be expressed directly with the minimum of punishment to the aggressor. Still more anxiety and aggression is displaced through the witchcraft pattern assemblage into channels where they are relatively harmless or where, at least, there are available patterns for adjusting the individuals to the new problems created. Individual adjustment merges with group adaptation.³⁸

The principal manifest function which Kluckhohn ascribed to Navaho witch beliefs on the social level, was that of providing a dramatic definition of all that is considered bad: i.e., all secret and malevolent activities directed against the health, property or lives of fellow Navahos. Thus, the solidarity of the group is affirmed by these beliefs. This sanction is made even stronger by attributing to witches the most evil kinds of knowledge and activity: nakedness, incest, the murder of siblings, intercourse with dead women and cannibalism, for example.³⁹

In addition to this, witchcraft also has a number of latent functions which make for the preservation of the equilibrium of the society and of the local group. The first of these mentioned by Kluckhohn is that of preventing too rapid a rise in social mobility, thus impeding the undue accumulation of wealth. The wealthy Navaho knows that, if he is stingy with his relatives and with others, he is likely to be spoken of as a witch. One of the most basic strains in contemporary Navaho society is that induced by the conflict between the demands of familism and the emulation of European patterns of capital accumulation. In Kluckhohn's opinion, the best hope for the coherence of Navaho culture and the integrity of the Navaho way of life lies in there being a gradual transition from the familistic type of social organization to one more closely resembling the European. Therefore, any pattern which tends to discourage the too rapid accumulation of wealth in the hands of a restricted number of individuals makes for the

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survival of the culture.40

Secondly, witchcraft beliefs serve as a brake on the power and influence of ceremonial practitioners. These practitioners are, in effect, warned that their capacities for influencing the course of events by means of supernatural techniques must be used for the realization of socially desired ends only. There are many specific parallels between accounts of witchcraft and chant practice, such as the use of whistles, sandpaintings, pollen, songs and turquoise, which show that witchcraft is thought of as being <u>bad ceremonialism</u>. Singers are valued, but distrusted. The possibility of witchcraft gossip, and perhaps even of trials and executions, ensures that these practitioners will think twice before they abuse their powers.

> How this works in the concrete is well illustrated by an incident which occurred at Red Rock in 1937. A man went to his maternal uncle and asked him to sing over his wife. The uncle was not satisfied with the number of sheep offered and evaded performing the chant. The wife died. The nephew first accused his uncle as a witch but was unable to muster family or community support for disciplining. He thereupon killed the uncle himself. Such events must surely reinforce the disposition of singers to be liberal to their kin and prompt in acceding to requests for ceremonial help."

In fact, witchcraft functions far more widely than this as a technique of social control. Perhaps the clearest example of this is that provided by Manuelito who, convinced that the only hope for his tribe lay in preserving peace with the whites, brought about the execution, on grounds of witchcraft, of more than forty leaders, throughout Navaho country, who were advocating another armed struggle against the whites. This was in 1884.⁴² Usually, witchcraft operates as a technique of social control on a far less conscious level.

The introduction of the Ghost Dance religion into Navaho territory was blocked by spreading the word that the proponents of the new cult were witches. Similarly, any powerful instigator of trouble in Navaho society tends to be spoken of as a witch. This tendency operates to reduce inter-group friction. The fact that anyone acting "mean" is likely to be accused of being a witch means that there exists a powerful deterrent to the perpetration of any hostile acts.

In addition to this, the existence of witch beliefs helps ensure the performance of socially required duties. The aged, the destitute - even animals - must not be neglected or oppressed, in case they should resort to witchcraft. Siblings must be aided when ill, lest the death of a sibling give rise to suspicion that a survivor is learning witchcraft.

The effectiveness of leaders is also sometimes increased by the fear that they are witches and will bewitch those who fail to follow them. This is important since Navaho society, lacking an institutionalized leadership, is especially vulnerable when faced by a society structured as our own is. Kluckhohn considered the survival of the Navaho to be favoured by any sanctions which assist the

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formation of a united front behind leaders of some permanence.

Kluckhohn was even prepared to consider that the witchcraft-induced fear of going about at night has a definite social value. One of the principal sources of friction in Navaho society is sexual jealousy. The fear of witches at night operates as a deterrent to extra-marital relations, since night-time would otherwise provide favourable opportunities for secret rendez-vous.⁴³

F. The Cost of Navaho Witchcraft

More systematic than Malinowski's functionalism, Kluckhohn's variant might also be considered a little more dialectical, in that Kluckhohn considered not only the contributory relationship of social facts to their total context but also gave some attention to the dysfunctional aspects of such relations. This notion of dysfunction, he expressed by the term "cost".

Kluckhohn considered that, given the general conditions of Navaho life, together with the fact that the Indian Service would always prevent a wholesale slaughter of suspected witches, Navaho witchcraft was essentially not only an <u>adjustive</u> but also an <u>adaptive</u> structure. Its cost lies in the fact that it projects aggression and causes some social disruption.

> In many cases witchcraft belief undoubtedly does more to promote fear and timidity than to relieve aggressive anxieties. The fears consequent upon witchcraft tend to restrict the life activities of some persons, to curtail their social participation.

Perhaps the witchcraft pattern assemblage tends to be mainly adjustive for individuals who tend to be aggressive, mainly disruptive for those who tend to be non-aggressive. Such a view would fit well with the suggestions which have been made of the relationship between witchcraft patterns and war patterns.44

Kluckhohn further suggested that witchcraft fears sometimes form the basis of a reluctance to undertake or continue the burdens of leadership. A Navaho who is responsible for making important decisions is certain to antagonize some people, who might resort to witchcraft in their resentment. Similarly, counter-balancing the tendency which witchcraft exerts in the direction of economic levelling, is the fact that the rich and powerful, inasmuch as they are feared and dreaded as witches, possess a power and instrument of domination.⁴⁵

G. Historical Fluctuations in Witchcraft Activity

The final question to which Kluckhohn addressed himself - and here, too, his concern must be seen as an advance when compared with the predominant interests of contemporaneous British functionalism - was that of accounting for historical fluctuations in the frequency of witchcraft accusations among the Navaho.

Kluckhohn's data indicated two periods when witchcraft activity was particularly prominent among the Navaho: i. 1875 - 1890

ii. late 1930's - early 1940's.

The first period of intensified witchcraft activity

followed on the defeat of the Navahos by the whites, and their imprisonment at Fort Sumner. A people who for years had been the scourge of other Indians, and of the Spanish, were subjugated for the first time. A people who did not understand group captivity, and who had been accustomed to move about freely over great spaces, were taken captive and held in a limited area in a flat, colourless region quite unlike the rugged landscape to which they were accustomed. The Navaho were reduced to dependence upon others for their These foods were themselves unfamiliar, and at first food. considered to be highly distasteful. The Navaho were thus subjected to potent sources of anxiety, and therefore of hostility. At the same time, an enforced peace ended wars against other groups, thus removing the principal outlet for aggression.

This subjection to white domination also weakened the internal social controls of clan organization. Indian Service officials and white-controlled courts took over some of the responsibilities formerly assumed by the clan. In the days before Fort Sumner, all the members of a given clan were held to be responsible for the activities of their fellow clansmen. If there was evidence that a member of clan A had practised witchcraft against a member of clan B, the whole of clan A would have to contribute to pay a fine. This practice meant that severe punishments were dealt out to a culprit by his clan relatives, and that any connection with witchcraft was a non-rewarding business. In the period following the confinement at Fort Sumner, on the other hand, although community pressures were still

strong enough to prevent internecine aggression, the fact that the Indian Service ignored witchcraft (except when a witch was executed), meant that the means of holding down witchcraft practice, gossip, accusations and trials were no longer effective. While some of the early Indian Service agents acquiesced in, and possibly even advised, the execution of witches, the recent Service and the white courts have refused to recognize the existence of witchcraft. In this way, the position of the witch has been improved by recent conditions. Witches have been placed in a position where they may practise indirect extortion, since they continue to be feared by the Navaho and yet have been placed by white government agencies in a position relatively immune from retribution. Many Navahos resent the fact that the white government fails to punish people "for the worst crime we know".46

It is important to note that the period of increased witchcraft activity did not occur <u>at</u> Fort Sumner, nor <u>immediately</u> after. Kluckhohn likened this to the fact that Italian Fascism did not take over immediately following the Treaty of Caporetto, or Nazism immediately after the Treaty of Versailles.

> There always seems to be a period when a people are too crushed for any single response to become peculiarly frequent, when the general state is that of many varying trial and error efforts toward a new readjustment. Destructiveness always seems to appear in social reconstruction after a major drama.⁴⁷

As the increase of witchcraft activity in this earlier

period was explained by Kluckhohn as the result of an increase in tensions and hostilities consequent upon deprivations and social disorganization, so also was the period of increased witchcraft activity in the late 1930's and early 1940's. The Wall Street Crash of 1929, and the following Depression, had a much deeper impact on Navaho life than did previous slumps in the American business cycle since, earlier, the Navaho were far less integrated into the U.S. economy. The 1929 slump, however, meant that the Navaho suffered because of the fall in the market value of sheep and wool, the loss of markets for their craft products, and the absence of employment at wage-work. Only shortly later. the U.S. government embarked on an extensive stock reduction programme, forcing the Navaho to reduce the size of their flocks of sheep in order to preserve range lands. As a result, land became a critical problem, and the number of Navahos who became dependent on the U.S. economic system in order to survive was further increased.

Thus this period saw a sharp increase in the amount of witchcraft activity, as well as an increase in the taking of peyote and the emergence of new nativistic cults, and an acute dissatisfaction with the Navajo Service. In those areas where white pressure was at a maximum, witchcraft activity was also at a maximum.

Kluckhohn therefore saw the historical data which he analysed as being essentially confirmatory of his general thesis: that witchcraft among the Navaho represents a response to conditions of deprivation where social conditions do not provide adequate means for the release of consequent hostilities in other ways, and where beliefs support the efficacy of magical practices.

H. A Critique of Kluckhohn

It has already been suggested that Kluckhohn's variant of functionalism may be considered methodologically superior to those of his British contemporaries, Radcliffe-Brown and Malinowski, on two counts. Firstly, because Kluckhohn balanced his notion of function (the contribution which a cultural element makes to the perpetuation of a social system considered as a perduring unit, or to the adaptation of the individual to his natural and social environment) with that of cost (the "losses" such elements incur for the on-going social system).⁴⁹ Secondly, because Kluckhohn tried to view his data in the perspective offered by a timedepth analysis. Socio-cultural theories can, in fact, be tested in only two ways: by the method of cross-cultural comparison, or by the method of historical analysis. If Kluckhohn did not offer us the former, he did attempt the latter.

As far as cross-cultural analysis is concerned, Kluckhohn himself explicitly stated that he did not think that the conditions he specified were, by themselves, sufficient to produce witchcraft, either in Navaho society or in any other: "My thesis is only that given these conditions some forms of release must exist."⁵⁰ Kluckhohn was, in fact, compelled to assume the prior existence of the beliefs he was trying to account for:

> When other forms are inadequate, and when the witchcraft patterns were

<u>historically available</u>, witchcraft belief is a highly adjustive way of releasing not only generalized tension, but also those tensions specific to Navaho social structure.⁵¹

As Swanson says, however, it is possible for us to go a step further than this, and ask whether the conditions specified by Kluckhohn are in fact necessary or sufficient to produce endemic witchcraft.⁵²

Cross-cultural comparison does not in fact bear out the strong emphasis placed by Kluckhohn on the importance of deprivations consequent upon lack of environmental con-Swanson's analysis of fifty societies indicates that trol. no relation is to be expected between the nature and abundance of the food supply and the frequency with which sorcerv is experienced. Swanson's data suggests rather that witchcraft tends to be associated with the necessity for social interaction between people on important matters in the absence of legitimated social controls and arrangements.⁵³ This implies that Kluckhohn has given an inappropriate weighting to some of the factors he has suggested are important in fostering witch beliefs. For example, in considering the importance of the Fort Sumner period, Kluckhohn should perhaps have placed less emphasis on the physical deprivations associated with the imprisonment, and examined in more depth the implications of the weakening of internal social controls as a result of surrender to white This, however, must remain at the level of domination. hypothetical suggestion. For, as a study of statistical correlations, Swanson's findings do not necessarily imply a causal relationship (or the absence of such a relationship) between any two elements in any particular society. Kluckhohn's Navaho analysis is not therefore essentially called into question.

Perhaps one of the difficulties of Kluckhohn's model is that it is not readily testable. This is what constitutes one of its chief defects in Marwick's eyes, who writes that:

> As yet we have no satisfactory measures of frustration, of aggression, or of anxiety that could be used for establishing a relationship between these largely subjective conditions and their release or alleviation in the standardized delusions of a system of sorcery or witchcraft.⁵⁴

Nonetheless, I think that Marwick is wrong to dismiss Kluckhohn's theories out of hand, as he does here, for there are precious few hypotheses in the social sciences which conform to the rigorous requirements of testability that he demands. The fact that a concept cannot immediately be quantified does not mean that it may not serve as a useful tool for social research. Moreover, while Marwick may intend to be disparaging when he refers to the phenomena of frustration, aggression and anxiety as "largely subjective", he does not thereby diminish their relevance for analysts of human affairs.

We may conclude that Kluckhohn's model accounting for the persistence of witchcraft beliefs among the Navaho remains hypothetical. He has indicated an important series of factors which need to be investigated in studying witchcraft. But so far as his own interpretation of hos those factors are structurally related in Navaho culture is concerned, we have no real way of checking its validity. Basically, our acceptance or rejection of Kluckhohn's analysis is likely to be determined less by whether or not we think the analysis makes sense of Navaho material, than by our general attitudes towards the methodological bases of the analysis: i.e., functionalism, and the culture and personality approach. Parsons and Vogt have referred to Kluckhohn's "eclecticism in theoretical matters".⁵⁵ Applying this insight to Kluckhohn's study of Navaho witchcraft, we may say that he did not so much provide us with a theory in explanation of a problem, but rather, that he suggested some elements, from different theories, which could be considered in relation to a problem.

Notes and References

- Kluckhohn, C., "Myths and Rituals: A General Theory", <u>Harvard Theological Review</u>, Vol. XXXV, (Jan. 1942), P. 58. Bobbs-Merrill reprint, S-146.
- 2. Ibid., P. 59.
- 3. <u>Ibid.</u>.
- 4. Thus, in a recent anthology entirely devoted to the analysis of witchcraft and sorcery, Kluckhohn's work is accorded only two passing references. See Douglas, M. (ed.), <u>Witchcraft: Confessions and Accusations</u>, (Tavistock Publications Ltd., London, 1970).
- Marwick, M., "The Study of Witchcraft", in Epstein, A.L. (ed.), <u>The Craft of Social Anthropology</u>, (Tavistock Publications Ltd., London, 1967), P. 238.
- Middleton, J., "Introduction" to Middleton, J. (ed.), <u>Magic, Witchcraft, and Curing</u>, (The Natural History Press, Garden City, New York, 1967), P. x.
- 7. Kluckhohn, C., <u>Navaho Witchcraft</u>, (Beacon Press, Boston, 1967), Pp. 77-8.
- 8. Kluckhohn quotes "a prominent officer of the Indian Medical Service", who estimates the incidence of illhealth to be about three times higher among the Navaho than in average white communities. Kluckhohn, C., "Myths and Rituals...", op. cit., P. 72.
- 9. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 79.

- 11. Ibid., P. 77.
- 12. <u>Ibid.</u>, P. 79. According to Kluckhohn, there are no functionless cultural elements. Even the buttons sewn on the sleeve of a coat or jacket serve the "function"

^{10.} Ibid..

of maintaining a tradition and constitute an adjustive response, since people feel more comfortable if they feel a continuity in behaviour. "Similarly, saying 'five houses' when 'five house' would be sufficient at the level of manifest 'function' is to be understood as fulfilling the latent 'function' of bolstering the individual's security through adherence to a familiar and established tradition." Ibid., P. 81. As Robert Merton remarks, in thus seeking to ascribe "function" to seemingly functionless items. Kluckhohn has fallen back upon a type of function which would be found by definition rather than by empirical investigation. Thus the imputation of a "function" to the wearing of buttons on the sleeve of a coat adds little or nothing to a direct description of the cultural behaviour in question, while Kluckhohn's argument about the "function" of conforming to a tradition "... is equivalent to saying that the 'function' of conformity to any established practice is to enable the conformist to avoid the sanctions otherwise incurred by deviating from established practice." Merton, R.K., Social Theory and Social Structure, (The Free Press, Glencoe, 1949), Pp. 32-3. Emphasis in original. It may be added that to hold that every cultural element must be functional is to divert attention away from the possible dysfunctional aspects of such elements, and makes the understanding of endogenous social change almost impossible. Moreover, Kluckhohn's example of the "function" of saying "five houses" in place of "five house" ignores the fact that it is only by following such linguistic conventions that communication is at all possible. Functional analysis of this type therefore diverts attention away from the understanding of meaning.

13. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 79.

14. <u>Ibid.</u>, Pp. 80-1. Kluckhohn borrows the terms "manifest function" and "latent function" from Robert Merton. In Merton's usage, "manifest function" refers to the objective consequences of an item of cultural behaviour for a specified unit (person, group, social system, etc.), which contribute to the adaptation or adjustment of that unit, and which were so intended. "Latent function" refers to unintended and unrecognized con-

sequences of the same order. Merton's purpose in introducing this distinction was to clarify the analysis of "seemingly irrational social patterns": i.e., those patterns of behaviour which continue to persist even although they clearly do not achieve their manifest purposes. As an example of such a pattern, he cites the Hopi Rain Dance. Merton argues that the persistence of this institution is not explicable in terms of the irrationality of the Hopi, but in terms of its functions for the Hopi social order. Kluckhohn's use of these terms is far less clear than that of Merton, and he seems to employ "manifest function" in the sense of "common-sense explanation", and to use "latent function" to refer to functions below the threshold of superficial observation. Merton, however, seems to accept Kluckhohn's usage as conforming to his own. Cf. Merton. R.K., Social Theory..., op. cit., Pp. 62-8.

- 15. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 82.
- 16. <u>Ibid.</u>, Pp. 82-3.
- 17. Ibid., P. 83.
- 18. Ibid., Pp. 83-4.
- 19. Ibid., P. 84.
- 20. <u>Ibid.</u>, P. 85.
- 21. Ibid.. Emphasis in original.
- 22. Ibid., P. 88.
- 23. Ibid., P. 87.
- 24. Ibid.
- 25. Ibid., P. 88.
- 26. Ibid., Pp. 89-90. Emphasis in original.
- 27. Ibid., P. 94.

28. Ibid., P. 95.

- 29. <u>Ibid.</u>, Pp. 96-7. But see note 18 on Pp. 45-6 of this thesis.
- 30. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 98.
- 31. Ibid., P. 100.
- 32. Ibid., P. 101.
- 33. Ibid., Pp. 102-3.

34. Of a total of 222 accusations, where other information was also available, Kluckhohn found that: i. all of the accused were adults ii. 184 of those accused were men iii. 138 of those accused were women iv. 131 of the men accused were definitely old (i.e., described as "white-haired", etc.) v. all of the women accused were old vi. no women were accused of Wizardry or Frenzy Witchcraft vii. 140 of the men accused were ceremonial practitioners viii. 12 of the women accused were ceremonial practitioners ix. 21 of the men accused were "headmen" or "chiefs" x. 115 of those accused were "rich" or "well-off" xi. 17 of those accused were poor or very poor. For 90 of the group, there was no data on economic status. Ibid., P. 59.

- 35. Ibid., Pp. 104-5.
- 36. Ibid., P. 105.
- 37. Ibid., P. 106. Emphasis in original.
- 38. Ibid., P. 110.
- 39. Ibid..
- 40. Ibid., P. 111.

- 41. <u>Ibid.</u>, P. 112.
- 42. Ibid..
- 43. Ibid., Pp. 112-13.
- 44.; Ibid., P. 121.
- 45. Ibid..
- 46. Ibid., P. 116.
- 47. Ibid., P. 114.
- 48. <u>Ibid.</u>, Pp. 117-18, 120.
- 49. A pertinent question here, however, is whether or not Kluckhohn gave sufficient attention to the dysfunctional aspects of Navaho witchcraft. For a much more negative evaluation of the implications of witchcraft beliefs for a society, see Nadel, S.F., "Witchcraft in Four African Societies: An Essay in Comparison", in Marwick, M. (ed.), <u>Witchcraft and Sorcery</u>, (Penguin Books Ltd., Harmondsworth, 1970), P. 279.
- 50. Kluckhohn, C., Navaho Witchcraft, op. cit., P. 106.
- 51. Ibid., Emphasis mine.
- 52. Swanson, G.R., <u>The Birth of the Gods</u>, (University of Michigan Press, Ann Arbor, 1964), P. 144.
- 53. Ibid., Pp. 151-2.
- 54. Marwick, M., "The Study...", op. cit., P. 238.
- 55. Parsons, T. and Vogt, E.Z., "A Biographical Introduction", to Kluckhohn, C., Navaho Witchcraft, op. cit., P. xiii.

CHAPTER NINE

THE IMAGE OF SCIENCE AND THE UNDERSTANDING OF WITCHCRAFT

A. Introduction

In the course of this thesis, I have traced the gradual development of anthropological theories concerning the nature of magic and witchcraft up to and including Kluckhohn's sophistication of the psycho-functional model, and Evans-Pritchard's analysis of Zande witchcraft in terms of what proved to be the starting point for a structuralist analysis of social life. Always present in the background of this discussion, has been the question of the relationship of magic and witchcraft to Western modes of thought -Western science in particular - and the image which the anthropologist has entertained of the nature of science and scientific method. In this chapter, I propose to examine this question a little more explicitly, to suggest some of the inadequacies in the way in which anthropologists have conceptualized scientific method, and to indicate some of the shortcomings of Evans-Pritchard's approach.

B. The Progressionists

Tylor did not attempt to discuss the question of the relationship of magic to science, although implicit in his position was a differentiation between a subjective, fallacious association of phenomena (magic), and an association of phenomena between which the link is objective or real (science). A more explicit discussion of this relationship had, however, to await Frazer.

Frazer's attitude towards science is somewhat more complex than that implicit in Tylor's position, and it is my belief that, as Jarvie and Agassi have pointed out,¹ Frazer adhered to two contradictory notions of science.

In places, Frazer seems to have advanced a highly sophisticated view of science, as consisting in the activity of formulating explanatory hypotheses ("the laws of nature are merely explanatory hypotheses"), which are then tested against experience and rejected if falsified. There is no final truth in science: truth is only the hypothesis that is "found to work best". Thus, "the advance of knowledge is an infinite progression towards a goal that for ever recedes."²

Such a view of science is, in essence, identical with that elaborated by Sir Karl Popper, and it is unfortunate that anthropologists could not have developed it further. For although ultimately unsatisfactory (at least as developed by Popper), it is far superior to that actually accepted by the vast majority of anthropologists since Frazer's time.³

Most anthropologists have in fact adhered to the other view of science present in Frazer's writings. This is a view of science as representing an accumulated mass of empirical observations. From the sum of these observations, scientific laws are derived by a process of induction. Being thus descriptive of empirical reality, scientific laws

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are necessarily true. They may be found to be restricted in their application to certain cases only, or to be only a particular example of a more general principle operative in nature. Laws <u>qua</u> laws, however, are by definition true. What are thought to be laws, and are later found not to hold true, are misinterpretations of the empirical data. In this view, science is to be identified with the facts, theories and methods collected in scientific texts, and scientific development is the piecemeal process whereby all these elements have been added to this ever-increasing body of technique and knowledge. Science is therefore descriptive and cumulative.

As Frazer was consciously engaged in the process of comparing magic to science, and trying to establish the similarities and differences between the two, it is obvious that his criteria of magic must be ambiguous to the extent that his criteria of science also were. Nevertheless, this ambiguity does not prevent us from perceiving the close relationship between magic and science as described by Frazer. According to him, both are made up of hypotheses seeking to reduce the universe to order, and both assume that the universe is governed by unconscious, impersonal forces, not subject to variation. Both therefore constitute a single type when opposed to religion, "... a propitiation or conciliation of powers superior to man which are believed to direct and control the course of nature and of human life."⁴

Yet what is the dividing line between magic and science? This is far less clear, precisely because of the ambiguity

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of Frazer's notion of science. Acceptance of the first view offered of science would seem to indicate the necessity of some criteria different from those implicitly accepted by Tylor as constituting the essential difference between magic and science. For if science is not naively equated with truth, but is regarded as merely a set of provisional hypotheses, it follows that the distinguishing criterion of agreement or non-agreement with empirical reality is inadequate. What criteria, then, could be used in place of this? Presumably the classifications offered by the native observers themselves, although Frazer does not suggest this, and does not even seem to have been aware of the problem.

The criterion Frazer actually proposed to distinguish magic from science was, in fact, identical to that assumed by Tylor. Magic assumes the existence of non-existent relations; science describes actual relations.⁵

> It is ... a truism, almost a tautology, to say that all magic is necessarily false and barren; for were it ever to become true and fruitful, it would no longer be magic but science. From the earliest times man has been engaged in a search for general rules whereby to turn the order of natural phenomena to his own advantage, and in the long search he has scraped together a great hoard of such maxims. some of them golden and some of them mere dross. The true or golden rules constitute the body of applied science which we call the arts; the false are magic.⁶

Quite apart from the philosophical presuppositions inherent in this distinction. Frazer's argument encounters an important dilemma: what to do with ideas, usually accepted as being in some way scientific, which are later repudiated by the scientific community. The phlogiston theory of combustion is presumably "dross" in terms of Frazer's categorizations, as no doubt is Ptolemaic astronomy, Lamarckian evolutionary theory, and even Newtonian dynamics. Are we to term these ideas "magical" in consequence? Similarly, how are we to classify those actions, usually described as "magical", which are plainly efficacious, even if we choose to explain their efficacity in terms of a theory which differs from that accepted by the native observer? I am referring, of course, to such phenomena as shamanistic cures and the deaths which sometimes result from ensorcellment. 7 Frazer, in fact, made no attempt to discuss phenomena such as these, and had he done so, he would necessarily have had to reject the simplistic objectivist framework he accepted.

C. Malinowski

For Frazer, magic was essentially akin to science, preceding it in the course of human evolution, but governed by principles of reasoning at root identical. This equation was not explicitly challenged by Malinowski, who went so far as to repeat Frazer's characterization of magic as being a pseudo-science. Implicit in the theory Malinowski developed, however, was a complete rejection of Frazer's position.

Malinowski began by asserting the existence in every primitive society of a clear dichotomy between the sacred and the profane, the latter dealing with the realm of everyday experience, and the former with those events and actions not completely subject to the control of rational human intelligence. Magic belongs to the realm of the sacred, and the closest equivalent to modern science is therefore the empirical knowledge which belongs to the realm of the profane. Magic, and this empirical knowledge, exist together of course. But they control different aspects of human behaviour, and differ in substance, form and function. Moreover, magic differs from empirical knowledge in being of a primarily emotive character.

In discussing the empirical knowledge of primitives, Malinowski offered a number of criteria for deciding whether or not this knowledge could be considered genuinely scientific. In doing so, he provided us with a means of understanding his conceptualization of the nature of science. These criteria were:

i. A body of rules and conceptions, based on experience and derived from it by logical inference, embodied in material achievements and a fixed form of tradition, and carried on by some sort of social organization. By itself, however, this criterion is insufficient, since any art or craft could qualify as scientific by virtue of it.

ii. A body of <u>explicit</u> rules, open to criticism by reason and control by experiment. In other words, not simply rules of practical behaviour, but also theoretical laws of knowledge.

iii. The scientific attitude, which consists in the disinterested search for knowledge and for the understanding of the causes and reasons for phenomena.⁸ On the basis of all these criteria, Malinowski argued that one could demonstrate the presence of science in primitive society. Merely to survive, a community must embody empirical experience in rules and conceptions, these rules and conceptions often taking the form of theoretical laws of knowledge. For example,

> The native shipwright knows not only practically of buoyancy, leverage, equilibrium. he has to obey these laws not only on water, but while making the cance he must have the principles in his mind. He instructs his helpers in them. He gives them the traditional rules, and in a crude and simple manner, using his hands, pieces of wood, and a limited technical vocabulary, he explains some general laws of hydrodynamics and Science is not detached equilibrium. from the craft, that is certainly true, it is only a means to an end, it is crude, rudimentary, and inchoate, but with all that it is the matrix from which the higher developments must have sprung.9

Moreover, even the "scientific attitude" - the disinterested search for knowledge - is not entirely absent from primitive society, according to Malinowski, although circumscribed by the traditional world of native culture. There exists in primitive society,

> ... the antiquarian mind passionately interested in myths, stories, details of customs, pedigrees, and ancient happenings ... the naturalist, patient and painstaking in his observations, capable of generalization and of connecting long chains of events in the

life of animals, and in the marine world or in the jungle... the sociologist, the ideal informant, capable with marvellous accuracy and insight to give the <u>raison d'etre</u>, the function, and the organization of many a simpler institution in his tribe.¹⁰

Between this incipient science, and magic, Malinowski denied any fundamental similarity, since the former was based on observation and reason, the latter on specific emotional states. Scientific theories are governed by the dictates of logic; magic is based on the association of ideas under the influence of desire. Each is located in a different social setting and is associated with a different type of activity. Moreover, these differences are clearly recognized by the native observers. "The one constitutes the domain of the profane; the other, hedged round by observances, mysteries, and taboos, makes up half of the domain of the sacred."

The important question we must ask at this point, though, is whether or not Malinowski's criteria for differentiating science are adequate. In fact they are not, since they fail to take account of an absolutely crucial distinction: that between science and technology. The technological success of primitive peoples in certain spheres of behaviour does not show that the ideology underlying this behaviour is necessarily sound, since technical success can often be based on far-fetched theories, or unintegrated rules of thumb. The example of Ptolemaic astronomy should make this clear. As Kuhn explains, the Ptolemaic system: ... was admirably successful in predicting the changing positions of both stars and planets.... for the stars, Ptolemaic astronomy is still widely used today as an engineering approximation; for the planets, Ptolemy's predictions were as good as Copernicus'.¹²

The only criterion to be applied to technical knowledge is whether or not it works. Theoretical knowledge, on the other hand, demands to be evaluated in terms of its truth or falsity, regardless of its utilitarian value.¹³ Primitive technology rests on a knowledge of scattered facts about natural processes. As Nadel remarks, primitive peoples:

> ... have nowhere reached the notion of "scientific laws" or any other conception meriting the name of science. At least, they have not done so in the "phase" of profane behaviour.

But what of the "phase" of the sacred? Malinowski denied that primitive magic had anything to do with understanding the universe, and set out to prove that primitive man is never misled, in his everyday life, by its spurious axioms and classifications. Magic constitutes an emotional response, not something carefully articulated and elaborated. In consequence of this position, Malinowski never undertook a discussion of magic and science as theories about the world, principles discovered in phenomena, or deductions made from them. Yet, as Nadel says, it is in fact quite possible that the earliest speculations about the universe occurred, not in the sphere of practical technology, but in the realm of magic – as Frazer had suggested.¹⁵ Malinowski, however, categorically denied this. For him, magic was primarily an action-system, lacking any theoretical or speculative side. But while the theory that magic is emotive and tends to arise spontaneously in situations of uncertainty may perhaps explain why people use it to further their own ends, and are convinced of its efficacy at the time of performing it, it utterly fails to explain the persistence in society of a body of rules and prescriptions in which everybody trusts. Once, however, we acknowledge that magic has a theoretical side, it is easy to come to grips with this. For this knowledge must be of such a type that it convinces the dispassionate person by way of an objective appeal. "It must contain something in the nature of a theory, persuasive because of the principles and arguments on which it rests. and akin, however remotely, to scientific theories." Magical conceptions may indeed, therefore, be the primitive analogue, "... not of applied science, nor perhaps of experimental science but of its theoretical and speculative side, however crude and 'metaphysical'."17

It is worth noting that Malinowski himself was aware that the body of technical and practical activities existing in primitive society might not constitute a genuine equivalent to science. But he dismissed this question as being epistemological rather than anthropological, and did not therefore examine its possible implications.¹⁸ Doubtless, this is because his crude and positivistic conception of science meant that the question was already settled for him. To the end of his days, he believed that the fundamental truths in the sciences are never sophisticated.¹⁹

D. E.E. Evans-Pritchard

Evans-Pritchard attempted a far more systematic discussion of the interrelation of magical and scientific thought than did any other of the authors considered in this thesis to this point. Yet many of his notions seem to stand close to those of Frazer and Malinowski, and the adequacy of his view of the nature of science is questionable.

Evans-Pritchard derived his notions of the nature of science from writers like Mach, Pearson and Poincaré. 20 Both the first and the last of these writers exercised an important influence on the Vienna Circle,²¹ and it is therefore not surprising to find in Evans-Pritchard, as in Frazer and Malinowski, an essentially positivistic conception of science.22 This conception is not elaborated in his bestknown writings, but is mainly to be found in the three articles published by him in the Bulletin of the Faculty of Arts at the University of Egypt. In these articles, Evans-Pritchard presented a view of science as being essentially incremental, inductive, and descriptive of empirical reality. He also drew a firm distinction between the scientific and the logical, on the grounds that while the former must necessarily conform to the rules established by the latter, not every type of thought which is logical is necessarily scientific. We therefore have a differentiation of four types of thought:

> <u>Scientific notions</u> are those which accord with objective reality both with regard to the validity of their premises and to the inferences drawn from their propositions. Unscientific

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<u>notions</u> are those which are invalid either in their premises or in the inferences drawn from them. <u>Logical no-</u> <u>tions</u> are those in which according to the rules of thought inferences would be true were the premises true, the truth of the premises being irrelevant. <u>Illogical notions</u> are those in which the inferences would not be true even were the premises true, the truth of the premises again being irrelevant.

Much of the confusion that has arisen by use of such terms as nonlogical and pre-logical will be avoided by making a distinction between logical and scientific. In making pots all grit must be removed from the clay or the pots will break. A pot has broken during firing. This is probably due to grit. Let us examine the pot and see if this is the cause. That is logical and scientific thought. Sickness is due to witchcraft. A man is sick. Let us consult the oracles to discover who is the witch responsible. That is logical and unscientific thought.²³

Similarly, the social content of our thought is scientific, since it is in accordance with objective reality, whereas the social content of primitive thought is unscientific, since it does not accord with objective reality, and may also be mystical, in so far as it assumes the existence of supra-sensible forces.²⁴ Later, in the earlier sections of his study of the Azande, Evans-Pritchard was to refine these ideas:

> MYSTICAL NOTIONS ... patterns of thought that attribute to phenomena supra-sensible qualities which, or

part of which, are not derived from observation or cannot be logically inferred from it, and which they do not possess. COMMON-SENSE NOTIONS ... patterns of thought that attribute to phenomena only what men observe in them or what can logically be inferred from observation. So long as a notion does not assert something which has not been observed, it is not classed as mystical, even though it is mistaken on account of incomplete observation. It still differs from mystical notions in which supra-sensible forces are always posited. SCIENTIFIC NOTIONS. Science has developed out of common-sense but is far more methodical and has better techniques of observation and reasoning. Common-sense uses experience and rules of thumb. Science uses experiment and rules of Logic. Common-sense observes only some links in a chain of causation. Science observes all, or many more of, the links.... Our body of scientific knowledge and logic are the sole arbiters of what are mystical, common-sense, and scientific notions. Their judgements are never absolute. RITUAL BE-HAVIOUR. Any behaviour that is accounted for by mystical notions. There is no objective nexus between the behaviour and the event it is intended to cause. Such behaviour is usually intelligible to us only when we know the mystical notions associated with EMPIRICAL BEHAVIOUR. it. Any behaviour that is accounted for by common-sense notions. Such behaviour is usually intelligible to us without explanation if we see the whole of it and its effects.25

In thus distinguishing between common-sense and scientific notions, Evans-Pritchard undoubtedly went a step further than Malinowski, who failed to discuss the question of the relationship of technology to scientific theory. Yet how satisfactory is the idea of science here presented? Before proceding to answer this question, it is necessary to first complete this brief account of Evans-Pritchard's conceptualization of the nature of science by making one more quotation from his writings:

> All scientific theory is eclectic, for a scientist takes the hypotheses of his predecessors and examines them by logical tests and checks them by observation. By these means he selects what he finds to be valid in each hypothesis and works them into a coordinated system. He adds his own observations and inferences and these in turn serve as hypotheses till they are verified by independent workers and are recognized as true by the consensus of specialized opinion.²⁶

Evans-Pritchard's description of the nature of science shows that he conceptualizes its development as if it were governed by the results of empirical observation and logical inference alone. Of course it is true that these processes occupy a place of central importance in the evolution of scientific theories. It is equally true, however, that scientific development is not explicable in these terms alone. Evans-Pritchard therefore presents an overly rationalistic account of scientific development, which fails to take into consideration such important psychological processes as "the flash of insight" which plays such an important part in the formulation of novel scientific theories, not to mention the impingement on the scientific domain of epistemological and religious conceptions, and even aesthetic preferences.²⁷

Evans-Pritchard's characterization of the nature of science also seems to imply that he imagines there to be a full and complete, objective and true account of nature, and that science is scientific to the extent to which it approximates this ideal account. But if we are to conceptualize the universe as being infinite in nature, and the human mind as being finite, what justification do we have for assuming that we can ever fully comprehend this infinity, or that its comprehension is given in terms of one particular methodology - the scientific - alone? More than this, Evans-Pritchard's approach tends to identify science with the facts, theories and methods collected in current scien-In such an identification, scientists become tific texts. the men who have added one or other element to that particular collection, while the history of science becomes the gradual process whereby these elements have been added to the ever-growing stock-pile of truth. Yet what sort of treatment is one to extend to Aristotelian dynamics, earthcentred astronomy, or phlogiston chemistry on the basis of such a view? One may deny that such theories are scientific (for, surely, they do not correspond to objective reality). Alternatively, one may accept such theories as being scientific, but only if one recognizes that science encompasses bodies of belief quite different from those held today. In that case, one must attempt to devise some criterion other

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than that of agreement or non-agreement with objective reality in order to differentiate the view that the placing of a stone in the fork of a tree delays the setting of the sun from, say, the view that the earth rotates on its axis every twenty-four hours.

Perhaps two final criticisms might be made of Evans-Pritchard's definitions of scientific and of common-sense notions. Firstly, Evans-Pritchard incorrectly treats empirical observation as an invariable, not subject to change from one cultural context to another.²⁸ Secondly. by describing scientific notions as corresponding to objective reality, he over-looks the fact that there is almost never a perfect "fit" between empirical evidence and elaborated scientific theories. Thus even Einstein's Theory of Relativity, the cornerstone of the most exact of the natural sciences, can be shown to rest on somewhat uncertain empirical evidence. For example, the Theory of Relativity has still to be reconciled with the results of the Michelson-Morley experiments of 1887 (since confirmed by later investigators), which seem to show that the speed of light is not independent of the motion of the observer, contrary to Einstein's theory.²⁹ The correspondence of scientific ideas to objective reality, is therefore always partial. In Popper's words,

> The old scientific ideal of ... absolutely certain, demonstrable knowledge - has proven to be an idol. The demand for scientific objectivity makes it inevitable that every scientific statement must remain <u>tentative for</u> ever. It may indeed be corroborated,

but every corroboration is relative to other statements which, again, are tentative. Only in our subjective experiences of conviction, in our subjective faith, can we be "absolutely certain".

E. Witchcraft and Science as Paradigmatic Activities

Probably one of the most important books to be published in recent years on the subject of scientific methodology is that of Thomas S. Kuhn. 31 Repudiating the positivistic image of science, Kuhn argues that the most characteristic attribute of scientific activity is the fact that it is governed by paradigms. Paradigms are "... universally recognized scientific achievements that for a time provide model problems and solutions to a community of practitioners."³² They define the problems available for scientific scrutiny, and the standards by which the scientific profession determines what may, and what may not, count as an admissible problem or a legitimate problem-solution. In this way, paradigms define the field of research for succeeding generations of practitioners, and give rise to particular traditions of coherent scientific research. This is possible for two reasons:

i. The paradigm constitutes an achievement sufficiently impressive to attract an enduring group of adherents away from a competing mode of scientific enquiry.

ii. The paradigm is sufficiently open-ended to leave all sorts of problems for the re-defined group of practitioners to solve.

Examples of paradigms are ready at hand: Ptolemaic and

Copernican astronomy, Aristotelian, Newtonian and Einsteinian dynamics, Darwinian evolutionary theory: perhaps we could add Classical, Marxian and Keynesian economics.

According to Kuhn's view, normal science develops in the following manner:

i. A set of phenomena attract attention, but no single paradigm emerges to govern and direct the work of the community of scientific practitioners. The same ground is covered many times and new investigators, starting from scratch in the field, find themselves at no disadvantage. Examples of pre-paradigmatic scientific activity are the study of optics prior to Newton, and the study of electricity in the period preceding the formulation of Franklin's theories.

ii. A single paradigm succeeds in becoming accepted throughout the field, and thus comes to direct subsequent research. iii. This inaugurates a period of "normal science", during which scientists tend to unquestioningly accept the paradigm as true and limit themselves to developing and checking its implications.

iv. The expectations provided by the paradigm are not, however, always fulfilled. Anomalies and contradictions occur, and problems are therefore posed for the paradigm which are attacked with the object of encompassing them within the terms of its explanatory powers. Further study of these anomalies may lead to a reinterpretation of them, or to a secondary elaboration of the paradigm.

v. Frequently, however, a set of anomalies is particularly difficult to deal with in terms of a paradigm, and a period of crisis ensues in which repeated unsuccessful attempts are made to modify the paradigm to accomodate them. Eventually, another paradigm is proposed which succeeds in winning over a number of practitioners, and a "scientific revolution" takes place. It is important to note that a new paradigm may be accepted, not because of any demonstrably superior explanatory power to the old, but simply because a number of practitioners welcome the opportunity for another attempt at explanation.

vi. A new period of normal science then ensues, and the textbooks are rewritten in order to convince the neophyte of the truth of the paradigm he is being initiated into.

Kuhn's statement of the nature of scientific activity might be regarded as being, in its field, a comparable work to that of Evans-Pritchard in his. Instead of focusing upon the content of various forms of belief, Kuhn begins the process of analysing sets of relations. The implications of this should be apparent for us, and the question naturally arises of the relationship of the sets of relations analysed by Kuhn to those analysed by Evans-Pritchard (and forgetting the equivocal distinction between "scientific" and "mystic"). The important problem then becomes, not the content of the relevant beliefs, but the manner in which they are structur-This being so, we are led to seek the differences beed. tween, say, Einsteinian physics and Zande witch beliefs, on a different level from that of truth and falsity.

In fact, many similarities can be drawn between the witch beliefs of the Azande, and scientific paradigms. As S.B. Barnes says, the behaviour of the Azande in consulting their oracles seems to fall within "... the definition of a problem-solving activity conducted within a set of rules given by social consensus but applied in an open ended way."³³ Recognition of this fact leads Barnes to extend Kuhn's notion of paradigm to cover: "The notion of a set of categories, theories and procedures learnt in connexion with concrete examples, accepted by the entire reference group and applied to deal with problems in concrete situations...".³⁴

But where, given this extension of the notion of paradigm, can the specificity of science be said to lie? Fundamental to the notion of paradigm is the idea that it is a set of concepts and procedures which are used to govern behaviour. Surely, then, the specificity of science must lie in the nature of the behaviour which its paradigms govern. It is strange that anthropologists have not appreciated this, and that instead of examining the contexts within which scientific thought operates they have placed their emphasis on the content of that thought. What difference is there, then, between the behaviour governed by scientific paradigms and that governed by social paradigms (Barnes' term for such paradigms as the witchcraft beliefs of the Azande)? Barnes' suggestion is that,

> ... a scientific paradigm governs activities of an esoteric and restricted nature and activities which have no bearing on the general pattern of the scientists' social life. Social paradigms on the other hand tend to be extremely pervasive and to structure activities which it would be greatly disadvantageous to alter, or even activities which the individual is in-

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capable of altering. Thus for the actor the social paradigm governs more action and more significant action than the scientific one. Abandoning, say, the molecular orbital theory of chemistry means a lot less than abandoning the notion of responsibility or, for example, abandoning belief in poison oracles if you are an Azande.³⁵

The specificity of science is therefore to be found in the fact that it governs only a restricted set of activities, and does not govern the way of life of the scientist considered as a whole. Science is therefore linked to the growth of a social structure permitting a more specialized role differentiation than is to be found in societies such as the Zande. Western science is therefore a product of permissive and differentiated social structural features, and the difference between the mode of thought of the individual scientist and the primitive believer in magicoreligious ideas is far less significant than has generally been appreciated by those operating within the context of traditional social anthropology up until the present time.

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- 3. See Popper, K.R., <u>The Logic of Scientific Discovery</u>, (Harper & Row, New York, 1965). For a critique of Popper's views, see Ayer, A.J., "Editor's Introduction" to Ayer, A.J. (ed.), <u>Logical Positivism</u>, (The Free Press, Glencoe, 1959), P. 14; also Kuhn, T.S., <u>The Structure of Scientific Revolutions</u>, (University of Chicago Press, Chicago, 1962), Pp. 145-6.
- 4. Frazer, J.G., The Golden Bough, op. cit., Vol. I, P. 67.
- 5. The further difference between magic and science in Frazer's view, should be noted. This is that the assumption that nature is governed by impersonal and immutable laws remains <u>implicit</u> in magic but is rendered <u>explicit</u> in science. <u>Ibid.</u>. It should also be pointed out that an important school of modern physics (Bohr, Heisenberg, von Neumann, etc.) no longer accepts the notion of strict physical causality which Frazer regarded as basic to science. According to these scientists, the notion of strict causality in physics must be replaced by that of statistical probability, because subatomic events are indeterminate and unpredictable. Koestler, A., <u>The Act of Creation</u>, (Pan Books Ltd., London, 1966), P. 242.
- 6. Frazer, J.G., The Golden Bough, op. cit., Vol. I, P. 65.
- 7. See Cannon, W.B., "'Voodoo' Death", <u>American Anthropo-</u> logist, Vol. XLIV, (April-June 1942), Pp. 169-81.
- 8. Malinowski, B., "Magic, Science and Religion", in Malinowski, B., Magic, Science and Religion and Other

- 9. <u>Ibid.</u>.
- 10. <u>Ibid.</u>, P. 35.
- 11. Ibid., P. 87.
- 12. Kuhn, T.S., <u>The Structure...</u>, <u>op. cit.</u>, P. 68. Emphasis mine.
- Jarvie, I.C., <u>The Revolution in Anthropology</u>, (Routledge & Kegan Paul, London, 1967), P. 96. I follow Jarvie in regarding the demand for <u>true</u> explanations as being unsatisfiable, but as nevertheless constituting an "indispensable regulative idea." Ibid., P. 16.
- 14. Nadel, S.F., "Malinowski on Magic and Religion", in Firth, R. (ed.), <u>Man and Culture</u>, (Routledge & Kegan Paul, London, 1957), P. 198. Emphasis mine.
- 15. <u>Ibid.</u>.
- 16. Ibid., P. 197.
- 17. Ibid., P. 198.
- 18. Malinowski, B., "Magic...", op. cit., P. 26.
- 19. Nadel, S.F., "Malinowski on Magic...", op. cit., P. 208.
- 20. Evans-Pritchard, E.E., "Science and Sentiment: An Exposition and Criticism of the Writings of Pareto", <u>Bulletin of the Faculty of Arts</u>, University of Egypt, Vol. III, Part II, (Dec. 1935), P. 188.
- 21. Ayer, A.J., "Editor's Introduction", op. cit., P. 4.
- 22. It is significant, in this respect, that Winch considers there to be a close similarity between Evans-Pritchard's philosophical position and that of the early Wittgenstein - perhaps the most important single in-

fluence on the Vienna Circle. Winch, P., "Understanding a Primitive Society", <u>American Philosophical Quarterly</u>, Vol. I, No. 4, (Oct. 1964), P. 313.

- 23. Evans-Pritchard, E.E., "Science and Sentiment...", <u>op.</u> <u>cit.</u>, P. 188.
- 24. Evans-Pritchard, E.E., "Lévy-Bruhl's Theory of Primitive Mentality", <u>Bulletin of the Faculty of Arts</u>, University of Egypt, Vol. II, Part I, (May 1934), P. 22.
- 25. Evans-Pritchard, E.E., <u>Witchcraft, Oracles and Magic</u> <u>among the Azande</u>, (Oxford University Press, London, 1937), P. 12.
- 26. Evans-Pritchard, E.E., "The English (Intellectualist) Interpretation of Magic", <u>Bulletin of the Faculty of</u> <u>Arts</u>, University of Egypt, Vol. I, Part II, (Dec. 1933), P. 149.
- 27. Barber indicates that scientists may reject novel theories and discoveries because of the professional status of their originator or discoverer, or because these theories and discoveries are inconsistent with current philosophical and religious views. Barber, B., "Resistance by Scientists to Scientific Discovery", Science, Vol. CXXXIV, (Sept. 1961), Pp. 596-602. It would be wrong, however, to view such influences in negative terms only. Thus, according to Koestler, Franklin's formulation of the law of the conservation of matter represents a transposition to the scientific domain of the Pythagorean doctrine of the transmigration of souls. Koestler, A., The Act of Creation, op. cit., Pp. 691-2. Similarly, Kepler's astronomical theory was inspired by the analogy he saw between the Holy Trinity and the sun, stars and space. Ibid., Pp. 125-7. On the role of aesthetic considerations in science, see Ibid., Pp. 246-7.

28. On this point, see note 20, Pp. 125-7 of this thesis. 29. Koestler, A., The Act of Creation, op. cit., P. 245.

- 30. Popper, K.R., <u>The Logic...</u>, <u>op. eit.</u>, P. 280. Quoted in Koestler, A., <u>The Act of Creation</u>, <u>op. cit.</u>, P. 247. Emphasis in original.
- 31. Kuhn, T.S., The Structure..., op. cit..
- 32. Ibid., P. x.
- 33. Barnes, S.B., "Paradigms Scientific and Social", <u>Man</u> (n.s.), Vol. IV, No. 1, (1968), P. 97.
- 34. Ibid..
- 35. Ibid., P. 100.

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