

THE LINEARIZATION OF AFFIXES:
EVIDENCE FROM NUU-CHAH-NULTH

by

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ABSTRACT

This dissertation addresses the linearization of affixes, and argues for a particular model of the way in which syntax maps to phonology. According to the proposal, syntax is spelled-out to phonology in minimal cycles equivalent to a single application of syntactic Merge (cf. Epstein et al. 1999). I term this proposal the *local spell-out* hypothesis. The empirical grounds on which this hypothesis is assessed is Nuu-chah-nulth (Nootka), a Southern Wakashan language spoken in British Columbia, Canada. Nuu-chah-nulth has a class of morphologically bound predicates termed *affixal predicates* which participate in a linearization strategy of suffixation. I claim that affixes in Nuu-chah-nulth are linearized at spell-out with respect to ‘hosts’ as a consequence of the PF requirement that utterances be sequentially ordered. Spell-out induces in Nuu-chah-nulth a relationship which I label *PF Incorporation*. The affixal predicate ‘incorporates’ its host in order to achieve a pronounceable form, that of a linearized affix.

An affixal predicate in Nuu-chah-nulth consistently suffixes to a host chosen from its derivational sister, its complement. This suffixation pattern is subject to a string adjacency effect: an affixal predicate incorporates only the leftmost element from its complement, which happens to be contiguous with the affixal predicate. I present the argument that the local spell-out hypothesis elegantly captures this dual sensitivity to derivational sisterhood and linear adjacency. Although the spell-out mechanism which induces linearization of affixes is non-syntactic, syntactic sisterhood conditions linearization opportunities at PF via the composition of local spell-out domains.

This dissertation presents a variety of diagnostics for Nuu-chah-nulth clausal structure, with a particular focus on the argument structure of affixal predicates. Amongst affixal predicates which take nominal complements, predicates range from unaccusative to extended unaccusative, transitive to ditransitive. Unergatives, however, are systematically absent from the inventory of affixal predicates in Nuu-chah-nulth. Amongst affixal predicates which take verbal complements, both modal and main predicates are found.

A consequence of the analysis is that syntax is ‘phonologized’ over the course of the derivation, in minimal stages induced by application of Merge. Linearization is thus established in increments. This analysis has implications for the grammatical locus of head movement operations: head movement is not strictly phonological (*contra* Chomsky 1995, 2001).

TABLE OF CONTENTS

Abstract	ii
Table of Contents	iii
Abbreviations	vii
Dedication	viii
Acknowledgements	ix
CHAPTER 1 Introduction	1
1.0 Introduction	1
1.1 Theoretical assumptions	4
1.1.1 Interface requirements	4
1.1.2 'Bottom-to-top' syntactic derivation	5
1.1.3 Syntax 'all the way down'	6
1.2 Linearization	7
1.2.1 Proposal: local spell-out.....	7
1.2.2 Alternative spell-out models.....	10
1.3 Affixal predicates in Nuu-chah-nulth	13
1.4 Research context	18
1.4.1 Previous literature	18
1.4.2 Methodology	20
1.5 Outline of the dissertation	21
CHAPTER 2 PF Incorporation	23
2.0 Introduction	23
2.1 Morpho-phonological dependency	28
2.2 Linearization is local	33
2.2.1 Iterativity.....	36
2.2.1 Modifier incorporation	39
2.2.1.1 Adjective incorporation	39
2.2.1.2 Adverbial incorporation	45
2.2.2 Coordinated objects.....	49
2.3 Insensitivity to syntactic category.....	52
2.4 Absence of LF effects	54
2.4.1 Discourse transparency	55
2.4.2 Absence of scopal effects	56
2.5 Edge effects	57
2.5.1 DP edges and the bare nominal requirement	59
2.5.2 CP edges and 'restructuring' effects.....	60
2.5.3 Comparison to 'phasal' spell-out	61
2.6 Conclusion	66

CHAPTER 3 Clausal architecture of Nuu-chah-nulth	68
3.0 Introduction	68
3.1 Linearization of syntactic terminals	69
3.1.1 A universal linearization scheme?	70
3.1.1.1 Conceptual problem	72
3.1.1.2 Empirical problems	73
3.1.2 Directionality is determined at spell-out	73
3.1.2.1 Directionality conventions	74
3.1.2.2 Comparison to headedness 'parameters'	76
3.1.2.3 Affixation patterns	76
3.1.2.4 Directionality conventions of Nuu-chah-nulth	77
3.2 Configurationality	79
3.2.1 Partial head marking	79
3.2.2 An asymmetry in possessor raising	81
3.2.3 An asymmetry in incorporation	83
3.3 Predicate-initial word order	84
3.3.1 Proposal: 'Basic' predicate-initial order (VOS)	87
3.3.2 Evidence for right-branching specifiers	89
3.3.3 Evidence against verb-raising	90
3.3.4 Evidence against raising of verbal phrase	94
3.3.5 Implications for the linearization of affixal predicates	95
3.3.5.1 The 'complement' effect	96
3.3.5.2 Evidence against directionality of affixation	97
3.4 Cliticization domains	99
3.4.1 DP domain	99
3.4.2 CP domain	102
3.5 Conclusion	104
CHAPTER 4 Nominal complements of affixal predicates	105
4.0 Introduction	105
4.1 Predication configurations	108
4.1.1 Basic structures	108
4.1.2 Transitivity	109
4.1.3 Flexibility in theta-role mapping	111
4.1.4 Predicate inventory	112
4.1.5 Unergatives are necessarily non-affixal	113
4.2 Unaccusatives	115
4.2.1 Incorporation	115
4.2.2 Intransitivity in Nuu-chah-nulth	117
4.2.3 Absence of unergative affixal predicates	118
4.2.4 'Long' possessor raising as a diagnostic for unaccusativity	120
4.3 Transitives	128
4.3.1 Incorporation	129
4.3.2 Subject agreement	131
4.3.3 Possessor raising	132

4.4	Extended unaccusatives	135
4.4.1	Locatum predicates	139
4.4.1.1	Incorporation	140
4.4.1.2	Subject agreement	142
4.4.1.3	Possessor raising	143
4.4.2	Location predicates	144
4.4.2.1	Incorporation	145
4.4.2.2	Subject agreement	146
4.4.2.3	Possessor raising	147
4.5	Ditransitives	148
4.5.1	Locatum-type ditransitives	148
4.5.2	Location-type ditransitives	150
4.6	Outstanding issues	152
4.7	Conclusion	155
CHAPTER 5	Verbal complements of affixal predicates	157
5.0	Introduction	157
5.1	Two classes of 'verb incorporating' affixal predicates	158
5.1.1	Affixal main predicates	158
5.1.2	Affixal auxiliary predicates	159
5.1.3	Affixal auxiliaries are non-thematic	161
5.1.3.1	Rigid vs. alternating complementation	162
5.1.3.2	Ability to license a 'mismatched' subject	162
5.1.3.3	Citation forms	166
5.1.4	Summary	166
5.2	PF Incorporation occurs only in infinitival environments	167
5.3	Absence of clausal morphology	170
5.3.1	No tense	170
5.3.2	No person/mood agreement	171
5.3.3	No complementizer	172
5.4	Lack of clause-boundedness effects	173
5.4.1	'Long' <i>wh</i> -movement	174
5.4.2	'Long' possessor raising	176
5.4.2.1	'Long' possessor raising with affixal auxiliaries	177
5.4.2.1	No 'long' possessor raising with affixal main predicates	179
5.5	Conclusion	182
CHAPTER 6	Implications	184
6.0	Introduction	184
6.1	Summary	184
6.2	Theoretical implications	186
6.2.1	On the nature of PF operations	186
6.2.2	The head movement question	187
6.2.2.1	Linearization is purely phonological	188
6.2.2.2	Syntactic head movement is more complex	191
6.2.3	Local spell-out creates 'outside-in' dependencies	193
6.2.3.1	'Inside-out' dependencies are not possible via local spell-out	194
6.2.3.2	Evidence from Kwakw'ala (Northern Wakashan)	194
6.2.3.3	Affixation as primitive or derived	195

6.3 Typological implications	197
6.3.1 The typology of noun incorporation	198
6.3.2 The governing/restrictive hypothesis in Wakashan	199
6.3.3 Lexical suffixation as an areal feature of the Pacific Northwest	201
6.4 Conclusion	202
References	204
Appendix A: Background on the Nuuchahnulth language	215
Appendix B: Orthography	220
Appendix C: Inflectional paradigms (Ahousaht dialect)	221
Appendix D: Additional example sentences	228

ABBREVIATIONS

ABS	absolutive mood	S	vowel shortening
AGR	agreement	SG	singular
ASP	aspect	SPOR	sporadic
AUG	augmentative	SUB	subordinate mood
AUX	auxiliary	SUF	suffix
BEN	benefactive	TR	transitivizer
CAUS	causative	TEMP	temporal
COMP	complementizer	TENSE	tense
COND	conditional mood		
CONF	confirmation mood		
CONJ	conjunction		
CONT	continuous		
DEIC	deictic		
DEP	dependent mood		
DET	determiner		
DIM	diminutive		
DIR	directive		
DUR	durative		
FUT	future tense		
GO	"go" imperative		
HAB	habitual		
IMP	imperative		
IND	indicative mood		
INST	instrumental		
INV	invisible		
IPOSS	inalienable possessive		
IREL	"indefinite" relative mood		
ITER	iterative		
L	vowel lengthening		
LOC	locative		
NEG	negative		
NOM	nominaliser		
OBJ	object		
PAS	passive		
PERF	perfective		
PL	plural		
POSS	possessive		
PRE	prefix		
PS	possessive mood		
PST	past tense		
Q	interrogative mood		
QUOT	quotative mood		
R	reduplication		
RD	round		
REL	relative pronoun		
RES	resultative		
RL	(simple) relative mood		

This dissertation is dedicated to all of my hundred and twenty babies.

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Introduction

1.0 Introduction

linearizations for syntactic constructs such as (2). If, for example, α is a prefix, then a linearization of $\alpha\beta$ results. If, however, α is a suffix, then an ordering of $\beta\alpha$ arises. The consequence of this analysis is that phonological considerations induce linearization on inherently *unordered* syntactic entities (cf. Chomsky 1995, Fox and Pesetsky *to appear*).

The language of investigation for this study is Nuuchah-nulth (Nootka), a member of the Wakashan family spoken in British Columbia, Canada. Nuuchah-nulth has a class of *affixal predicates* which participate in a linearization strategy of suffixation. In the following example, the affixal predicate *-caas* ‘bet’ suffixes to the nominal *kiʔuuk* ‘dishes’. The affixal predicate and its gloss are indicated by highlighting.

- (3) kiʔuukcaasitniʃaaʔ huuʔakʔuyi
 kiʔuuk-caas-mit-niiʃ-ʔaaʔ huuʔak-ʔuyi
 dishes-bet-PST-1PL.IND-HAB early-ago
 We always used to bet dishes long ago.

Affixal predicates in Nuuchah-nulth are obligatorily bound, and may never occur as non-suffixes. This is illustrated by the example in (4), which shows that it is impossible for the affixal predicate *-caas* ‘bet’ to appear without a host which it may suffix to. In this ungrammatical example, the nominal *kiʔuuk* ‘dishes’ occurs separately from the affixal predicate.

- (4) * caasitniʃaaʔ kiʔuuk huuʔakʔuyi
 caas-mit-niiʃ-ʔaaʔ kiʔuuk huuʔak-ʔuyi
 bet-PST-1PL.IND-HAB dishes early-ago
 We always used to bet dishes long ago.

This dissertation develops a Minimalist approach to the linearization of affixal predicates in Nuuchah-nulth and argues that suffixation provides a means of satisfying the requirement that linguistic outputs be linearized. According to the proposal, Nuuchah-nulth is a ‘proof-of-concept’ for the hypothesis that linearization is induced in stages corresponding to strictly minimal syntactic units (cf. Epstein et al. 1998). This analysis employs only the smallest necessary constructs for the syntax-to-phonology mapping.

The linearization of affixal predicates in Nuuchah-nulth may be observed to induce a ‘displacement effect’. Displacement effects are ubiquitous in natural language, and can be found at various levels in the grammar. When displacement applies at a syntactic level, words or phrases are ‘dislocated’, such that they are pronounced in one position in a sentence although they are interpreted in another. For example, in the English sentence *Who did Kyle see?* the word *who* is felt to be the object of the verb *see*, even though it occurs in initial position within the clause and not in canonical object position following the verb (parallel to *Sarah* in the sentence *Did Kyle see Sarah?*). In such contexts, transformational linguists analyse the fronted word as

having undergone an abstract form of movement. Considerable syntactic research lies in the examination of the displacement properties of words and larger constituents (eg. Ross 1967, Chomsky 1977).

Dislocation may also be examined at a sub-word level. In the case of Nuuchahnulth suffixation, the ‘piece’ of word which acts as a host for an affixal predicate is removed from the post-verbal position it would otherwise occupy. In (5a), the nominal *ʔaapinis* ‘apple’ serves as a host for the affixal predicate – *ʔic* ‘consume’. Non-nominal hosts are also available to affixal predicates. In (5b), the modifier *haʔum* ‘tasty’ acts as a host for the affixal predicate – *ʔic* ‘consume’, while the nominal *ʔaapinis* follows the initial predicate complex.

- (5) a. ʔaapiniʔicʔiʂʔaʔ
 ʔaapinis-ʔic-ʔiʂ-ʔaʔ
 apple-consume-3.IND-PL
 They are eating apples.
- b. haʔumʔicʔiʂʔaʔ ʔaapinis
 haʔum-ʔic-ʔiʂ-ʔaʔ ʔaapinis
 tasty-consume-3.IND-PL apple
 They are eating delicious apples.

I will argue that the post-verbal position of the nominal *ʔaapinis* ‘apple’ in (5b) is the standard orientation for objects in Nuuchahnulth. Thus, the ordering of *ʔaapinis* ‘apple’ as a pre-verbal host for the affixal predicate in (5a) represents a departure from the general linearization pattern.

What drives the displacement properties of affixation? This question is to be addressed here from the perspective of the linearization of affixal predicates in Nuuchahnulth. In a sense to be made more explicit over the course of this chapter, suffixation in Nuuchahnulth is triggered by the need to pronounce an affix. Affixes, unlike free morphemes, are bound elements which cannot be pronounced in isolation. When an affixal predicate attaches to a host, its boundedness requirement is met, and a well-formed word results (cf. Lasnik 1981). It is a consequence of the affixal predicate’s status as a suffix that the internal components (host and affix) of the resulting word are fixed in a particular (host-initial) order.

The next sections of this introductory chapter are organized into four parts. The following section, §1.1, presents an overview of the Minimalist framework which is employed for the analysis of affixal predicates. The theoretical back-drop is further developed in §1.2, in which the linearization of syntactic constructs is discussed. This leads to the central claim of this dissertation, introduced in §1.3: that the affixation pattern of Nuuchahnulth is a reflex of the linearization of linguistic outputs. In §1.4, I situate the discussion of Nuuchahnulth affixal predicates within the broader context of Wakashan linguistics. Finally, §1.5 outlines the form which the remaining chapters of this dissertation takes.

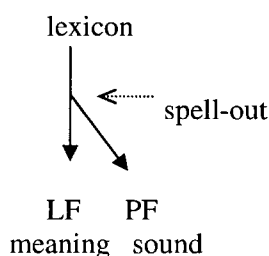
1.1 Theoretical assumptions

This section lays out the Minimalist theoretical framework which this dissertation adopts. Following Chomsky (1995, 2001), I pursue a strongly derivational approach to syntactic structure.

1.1.1 Interface requirements

The grammar is charged with the task of delivering linguistic expressions which are serviceable to two external systems: the system of thought, and the sensorimotor system (Chomsky 1995, 2001). The grammar thereby allows spoken languages to map an abstract form to meaning and to sound. According to the Minimalist approach, a linguistic expression exiting the generative system is viable only if it meets the interface requirements imposed by these external levels. In Chomsky's terminology, linguistic expressions must be 'legible' to each interface level, Logical Form (LF) and Phonetic Form (PF).

(6) *Model of the grammar*



In this system, the lexicon acts as the source of the elements which enter the computation. The lexicon codes the semantic, syntactic, and phonological properties which are specific to each lexical item. Lexical items enter the computation from the lexical array known as the numeration. Syntactic structures are composed using the lexical building blocks provided by the numeration, and are interpreted at the LF and PF interfaces at the point of *spell-out*.

(7) *Spell-out: map syntax to the interfaces*

Spell-out to the PF portion feeds the outputs of syntactic structure-building (Merge and Move) to the phonological system, where they receive a pronounceable form.

With the exception of the interfaces at LF and PF, no other levels exist in the Minimalist grammar. Representational levels such as 'deep structure' and 'surface structure', which existed in earlier principles-and-parameters models, are eschewed in favour of a more barebones model which contains only the conceptually necessary meaning/sound interfaces. This move away from representational levels corresponds to the minimalist ideal of paring down the grammar to those elements which are necessary design features of natural language. All constraints are abandoned save those which hold at the interfaces and "are motivated by the properties of the interface"

(Chomsky 1995: 171). These interface requirements are known as *bare output* conditions: external to the syntax, these interpretative conditions are mandated by the requirements of the conceptual and sensorimotor systems, and ensure that the requirements of lexical items are met over the course of the derivation.

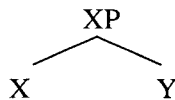
1.1.2 'Bottom-to-top' syntactic derivation

This dissertation adopts from Chomsky (1995, 2001) the notion that syntactic derivations are built up from 'bottom-to-top', through successive applications of two concatenative operations: Merge and Move. Merge operates on elements selected from the numeration, and conjoins pairs of items in a binary fashion:

(8) *Merge*: concatenate α with β , forming γ

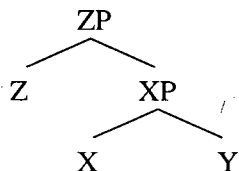
If X and Y are merged, the category label of one of these conjoined elements is projected. For example, in (9), the category of X is projected as X(P).

(9) *Merge* (X, Y)



Merge applies iteratively, building a syntactic structure by pairing the output of a prior instance of Merge with a lexical item freshly introduced from the numeration. In the following representation, Z is added to the structure of (9) via an additional application of Merge.

(10) *Merge* (Z, XP)



All binary merger creates two sisters – a pairing which Epstein et al. (1998) label *derivational sisterhood*. In the trees above, [X, Y] are derivational sisters, as are [Z, XP].

The operation of Move (or 'remerge') parallels Merge in that it also pairs two syntactic objects and projects a single category label (Kitahara 1994, 1995; Epstein et al. 1998). Move differs from pure Merge, however, in that it re-inserts a syntactic object already introduced in the derivation, rather than selecting a new item from the numeration. Like Merge, Move is an instance of binary concatenation. In this dissertation, I will abstract away from the differences between Move and Merge, and assume simply that Move can be captured by a restatement of the simple Move operation, such as in (11).

(11) *(re)Merge*: concatenate α (where α is an existing terminal) with β , forming γ

By reducing all operations of the syntax to operations of binary concatenation, non-branching nodes are eliminated from the syntax. That is, there will be no instances in which an element does not have a derivational sister (Epstein et al. 1998).

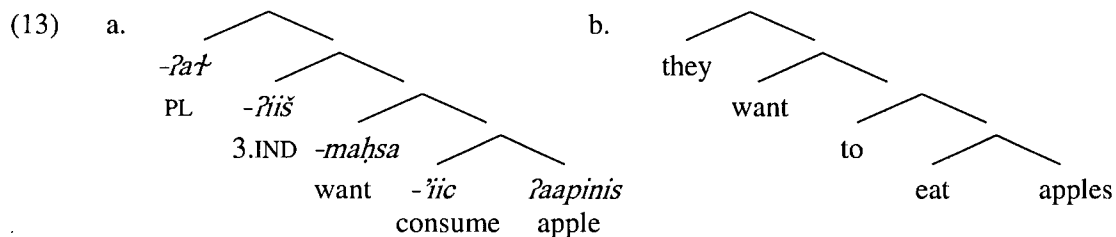
1.1.3 Syntax ‘all the way down’

Following Halle and Marantz (1993) and other work in the Distributed Morphology framework, I assume that word formation parallels sentence formation in that both occur outside of the lexicon. There is no independent module for word-forming operations: ‘morphology’ is a cover term for syntactic or postsyntactic processes. That is, concatenation of morphemes may apply through syntactic processes of head movement, or it may be conditioned by the post-syntactic interface with PF (Embick and Noyer 2001).

Under this view, a complex string such as the Nuuchahnulth sentence in (12a) has a syntactic structure similar to a sentence in which the individual morphemes are expressed as separate words, as in the English sentence in (12b).

- (12) a. $\text{ʔaapiniʔicmaḥsaʔiʃʔaʔ}$
 $\text{ʔaapinis-ʔic-maḥsa-ʔiʃ-ʔaʔ}$
 apple-consume-want.to-3.IND-PL
 They want to eat apples.
- b. They want to eat apples.

Syntactic structures for the Nuuchahnulth and English sentences are illustrated below.¹



In each case, the morphemes occupy syntactic terminals. The claim of this dissertation is that affixation requirements of bound morphemes are responsible for the distinct linearization patterns of the sentences in (12). In Nuuchahnulth, affixes are linearized attached to a host with which they may form a word. English, which lacks affixal predicates parallel to *-ʔic* “eat” or *-maḥsa* “want to”, linearizes these morphemes as independent words. According to the maxim of ‘syntax

¹ The trees in (13) abstract away from several syntactically relevant properties. For one, I assume that Nuuchahnulth makes use of a covert pronominal (*pro*) in cases such as (13a) in which the 3rd person argument is phonologically null. The third person plural ending *-ʔiʃʔaʔ* is not to be taken to be equivalent to English “they”. I refer the reader to Chapter 3 for discussion of Nuuchahnulth clausal structure.

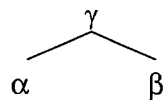
all the way down', the affixal or non-affixal status of the morphemes has no consequence for syntactic representation.

1.2 Linearization

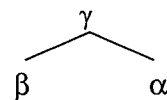
In the theoretical framework I have adopted, there are two sets of requirements which must be met over the course of the derivation by elements entering the syntactic computation. In the bifurcated model of the Minimalist grammar, requirements may be necessitated by the interface to LF, or the interface to PF.

With respect to the PF branch, how must elements be arranged so that the sensorimotor systems can make use of them? Crucially, a (spoken) linguistic expression must be *sequentially ordered* so that it may be represented as a speech stream (Kayne 1997). Linearization is a bare output condition on PF (Chomsky 1995). A linearization scheme is not provided by the inherent mechanics of the syntax. As described in §1.1.2, syntactic structure-building reduces to two concatenative operations, Merge and Move, which are not inherently oriented for directionality. When Merge unites two elements, α and β , there is no restriction whether α must precede β , or whether α follows β . All that binary concatenation requires is that α combine with β , joining an unordered set of $\{\alpha, \beta\}$. Given the unordered nature of binary concatenation, the representations in (14) are therefore to be interpreted as syntactically equivalent.

(14) a.



b.



1.2.1 Proposal: local spell-out

If one or the other of the nodes $\{\alpha, \beta\}$ are understood to be an affix, then a specific type of linearization requirement is imposed on the orientation of these elements. I hypothesize that this requirement applies at spell-out, the point at which syntactic structures such as (14) take on a phono-temporal form. Affixation induces a particular linearization of terminal elements: if an element α is an affix, it must be realised as a suffix ($-\alpha$) or as a prefix ($\alpha-$). Suffixation occurs when the affix is pronounced to the right of a host with which it forms a word; prefixation takes place when the affix forms a word with a host by attaching to its left. I do not consider 'infix' to be a distinct boundedness requirement, as I assume that infixation is reducible to either prefixation or suffixation. In Nuuchahnulth, for example, the plural 'infix' $-t-$ (eg. *ʔi-t-niiʔ* "dogs") can be analysed as a suffix which is positioned prosodically following the first syllable of its host (Stonham 1999, Wojdak 2002).

I take the choice of prefixation or suffixation for a given bound element to be a spell-out convention, relatable to language- or morpheme- specific considerations.² The logical possibilities for linearization of the syntactic terminals in (14) are listed in the following table:

(15) *Linearization of bound and free elements*

	α	$-\alpha$	$\alpha-$
β	$\alpha\beta$ $\beta\alpha$	$\beta-\alpha$	$\alpha-\beta$
$-\beta$	$\alpha-\beta$	$-\alpha-\beta$ $-\beta-\alpha$	$\alpha-\beta$
$\beta-$	$\beta-\alpha$	$\beta-\alpha$	$\alpha-\beta$ $\beta-\alpha$

In three cases (shaded in the above table), there is more than one option available for linearization. When neither α nor β is an affix, affixation cannot serve as an ordering mechanism. When both α and β are suffixes, their relative orientation is indeterminate; the same sort of indeterminacy applies when both α and β are prefixes. In these indeterminate cases, one or the other of the affixes does not receive its required type of host. In the case of $(-\alpha-\beta)$, for example, the element $-\alpha$ is not bound as a suffix. Indeterminacy in this sense therefore entails that the affixation requirement of the elements is not met: no appropriate host has been provided for the affixes. As such, there is no interpretable output for the linearization of the affixes.

The remaining, fully specified, orientations of affixes have just two surface realisations: $\alpha-\beta$ or $\beta-\alpha$. For each of these linearization patterns, there are three distinct types of underlying bound/free dependencies. These structural ambiguities with respect to the surface forms of $\alpha-\beta$ and $\beta-\alpha$ are listed below.

(16) *Structural ambiguities with respect to outputs*

output: $\alpha-\beta$	output: $\beta-\alpha$
a. $\alpha, -\beta$ (α is free; β is a suffix)	d. $\alpha, \beta-$ (α is free; β is a prefix)
b. $-\alpha, \beta$ (α is a prefix; β is free)	e. $-\alpha, \beta$ (α is a suffix; β is free)
c. $\alpha-, -\beta$ (α is a prefix; β is a suffix)	f. $-\alpha, \beta-$ (α is a suffix; β is a prefix)

Thus, although the syntactic device of binary concatenation provides no instructions for linearization, a restricted set of linearizations arises when the merged element is an affix. This linearization is, by its very nature, non-syntactic: the syntax itself can be assumed to be

² As described in Chapter 3, the status of an affix as a prefix or a suffix is determined for a language learner during the process of acquisition, from salient evidence in the input. This can be considered to be on par with syntactic headedness conventions, a topic I return to in Chapter 3.

unordered. I refer to the means by which the relative ordering of affixes is fixed as *local spell-out*. This mechanism provides the input to interpretation at PF (and LF) based on strictly minimal syntactic domains. This linearization mechanism is defined in the following statement:

(17) *Local spell-out*: for Merge (α , β), spell-out (α , β)

This mechanism is ‘local’ in that it is hypothesized to apply at each minimal step of the syntactic derivation, to derivational sisters conjoined by Merge (see also Epstein et al. 1998, Matushansky *to appear*). It is inherently a pairwise function, because each step of the derivation is an operation of binary concatenation.

In the Minimalist framework, the necessity of orienting an affix with respect to a host is a consequence of spell-out to PF. Affixes require linearization so that the arrangement may be phono-temporally ordered. An earlier formulation of this affixation requirement is the Stranded Affix Filter of Lasnik (1981, 2000). Although this filter does not make reference to phono-temporal sequencing, it does capture the notion that a derivation is not viable if an affix does not find a host. A mechanical apparatus for affixation is supplied by the Morphological Merger operation of Marantz (1988, 1989; see also Bobaljik 1994), and its more recent incarnations, Lowering and Local dislocation (Embick and Noyer 2001). In each of these variations, an affixation rule forces two elements to ‘switch places’, with a single word resulting.

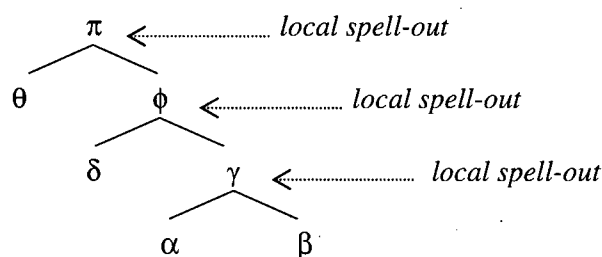
(18) *Morphological Merger*: $X \dots Y \rightarrow [Y + X]$

In the Minimalist program, bare output conditions are adopted instead of derivational filters such as the Stranded Affix Filter or rules such as Morphological Merger. The local spell-out hypothesis provides a Minimalist alternative to these filter- or rule-based mechanisms for affixation.³ In Chapter 2, I return to discussion of how the local spell-out model captures affixation possibilities not handled by Morphological Merger.

In the PF branch, spell-out necessarily induces linearization: linguistic outputs must be linearized. Thus, the two elements treated by local spell-out inevitably undergo linearization with respect to each other. According to the local spell-out hypothesis, the PF branch receives directions to resolve affixation requirements each time the syntactic tree is expanded, as indicated in (19). We can take the diagram in (19) to be the output of three successive applications of Merge: the first uniting α and β (Merge α , β); the second uniting δ and γ (Merge δ , γ); the third uniting θ and ϕ (Merge θ , ϕ).

³ An additional type of output ‘filter’ on the positioning of affixes are Optimality Theory constraints on alignment. In Optimality Theory, constraint rankings determine whether an affix is right-aligned to a host as a suffix by AlignR[Affix] or left-aligned to a host as a prefix by AlignL[Affix]. A minimalist solution for the positioning of affixes with respect to hosts is developed in Chapter 3.

(19) *Iterative application of local spell-out*



For each application of Merge, local spell-out applies to the derivational sisters. The interface requirements of elements introduced in the derivation are thus subject to aggressive interpretation, in which PF and LF needs are assessed at each step of the derivation. This entails that syntactic outputs are richly ‘phonologized’ over the course of the derivation, via addition of directions for pair-wise interpretations at PF (Epstein et al. 1998).

According to the proposal, spell-out enriches the derivation, due to iterative interpretations at the interfaces. This echoes the argument of Fox and Pesetsky (*to appear*), who hypothesize that the sole function of spell-out is to add information. By their *Order Preservation hypothesis*, information established in one cycle of spell-out is never deleted over the course of the derivation. This determines that linearization is established cumulatively:

Each time a new Spell-out domain D' is constructed, Spell-out linearizes the material in D' and adds information about its linearization to the information cumulatively produced by previous applications of Spell-out.

(Fox and Pesetsky to appear)

Fox and Pesetsky argue for the Order Preservation hypothesis in the context of successive-cycle syntactic movement, assuming a model in which spell-out applies at certain designated syntactic nodes, rather than at each instance of Merge. This timing of spell-out contrasts with the present proposal, in which local spell-out applies incrementally, at each step of the syntactic derivation. The next section compares the local spell-out hypothesis to alternative models of the timing of spell-out.

1.2.2 Alternative spell-out models

There are three logical possibilities for the point at which spell-out occurs. Mapping of the syntax to the interfaces may apply once, multiple times, or at every stage possible in the derivation. The third option corresponds to the local spell-out hypothesis.

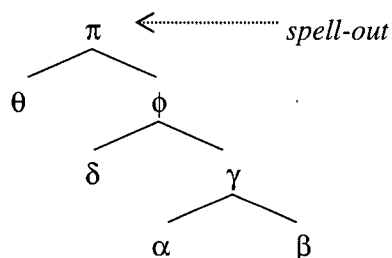
(20) *Timing of spell-out*

- a. SINGLE SPELL-OUT HYPOTHESIS: root branch node
- b. MULTIPLE SPELL-OUT HYPOTHESIS: multiple branch nodes
- c. LOCAL SPELL-OUT HYPOTHESIS: every branch node

This section briefly compares the characteristics of each model, and discusses a unique prediction of the local spell-out hypothesis.

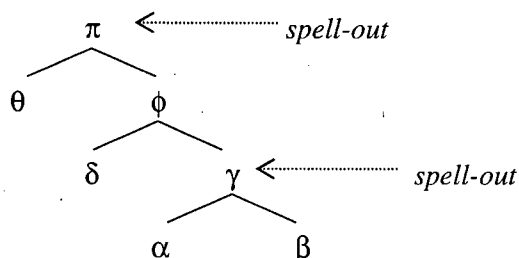
If spell-out is restricted to applying to the root branch node, then spell-out applies once per utterance. This represents the traditional view, in which mapping between syntax and phonology takes place at a single point, after the completion of the syntactic derivation (Chomsky 1995). In the following diagram, spell-out applies at π , the root node.

(21) *Single spell-out hypothesis*



The multiple spell-out hypothesis was introduced as an alternative to the single spell-out hypothesis (Uriagereka 1999, Chomsky 2001). In a multiple spell-out system, it is proposed that spell-out occurs once the derivation reaches certain designated syntactic nodes, such as CP, vP and possibly DP (Chomsky 2001, Fox and Pesetsky *to appear*). Spell-out thus applies cyclically over the course of a derivation. This is represented abstractly in the following diagram, in which spell-out applies at the node π , as well as at the mid-derivational point γ . Spell-out does not apply at every branching node: for example, in (22), spell-out does not occur at the point ϕ . Instead, δ , the terminal node of ϕ , is not spelled-out until the higher spell-out node of π is reached.

(22) *Multiple spell-out hypothesis*



In the terminology of Chomsky (2001), spell-out is induced at distinct *phases* of the derivation. A node such as γ belongs to a phase separate from the mid-derivational point ϕ . A noted

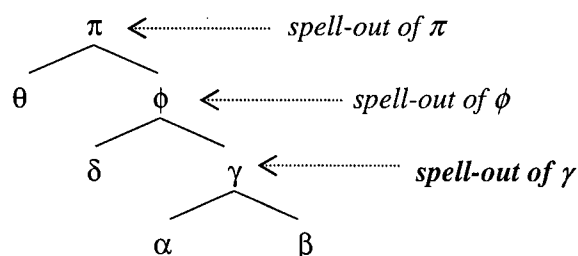
challenge for the multiple spell-out hypothesis is the empirical task of determining which derivational points are equivalent to spell-out nodes, and which are not (Legate 2003, Matushansky 2005).

Multiple spell-out entails that phonological operations have access to mid-derivational units formed by syntactic structure-building. By this view, PF and LF operations are limited by the same derivational units which constrain the syntax, because mid-derivational constructs created by the syntax are translated simultaneously to the PF and LF components (Chomsky 2001; Svenonius 2001, 2004). The local spell-out hypothesis takes this isomorphism one step further by imposing phonological-semantic integrity at each step of the derivation (Epstein et al. 1998).

Where the local spell-out and multiple spell-out hypotheses diverge is the stages at which spell-out to the interfaces obtains. In a multiple spell-out system, only certain syntactic nodes – such as the sisters to CP, ν P (Chomsky 2001) and possibly DP (Fox and Pesetsky *to appear*) – are spell-out domains. With the local spell-out hypothesis, there is no such stipulation. Instead, PF and LF requirements of lexical elements are assessed aggressively over the course of the derivation: interpretation at the interfaces applies after each step of the syntactic derivation, rather than in larger derivational chunks.

A consequence of the local spell-out hypothesis is that derivational sisterhood is predicted to be a uniquely privileged relationship for relationships established at spell-out. Specifically, lexical requirements of elements must be met at the strictly minimal stage of the derivation in which only the element and its derivational sister are present. Let us take the linearization of affixes as an illustration of this prediction. By hypothesis, the linearization of affixes applies at spell-out, due to the PF requirement that linguistic outputs be temporally ordered. According to the local spell-out hypothesis, if an element α takes β as its derivational sister, then α is necessarily linearized at spell-out with respect to β . If α is lexically specified as an affix, then for the tree in (23), spell-out of γ induces a linearization in which the affix α is linearized with β as its host.

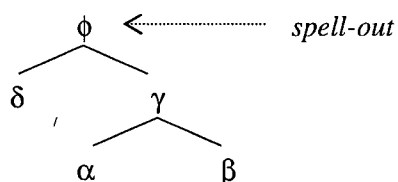
(23) *Local spell-out of derivational sisters*



According to the local spell-out hypothesis, elements which are not the derivational sister of α are ineligible to act as the host of α . Thus, it is predicted that elements such as δ or θ should not serve as the host for α : these elements are not derivational sisters of α . Only derivational sisters of affixes are present at the point of local spell-out of the affix.

In contrast, for the single spell-out and multiple spell-out models, derivational sisterhood is not predicted to be a uniquely privileged relationship. Both the single and multiple spell-out models allow for the possibility that certain nodes are not spell-out points. In (24), for example, spell-out applies at ϕ , but it does not apply at γ .

(24) *Delayed spell-out: single and multiple spell-out*



If α in (24) is an affix, then it is predicted that there are multiple elements which are available to serve as the host for the affix. All else being equal, if spell-out does not apply until ϕ , then single and multiple spell-out models predict that δ or β should be equally eligible as hosts for α . Thus, for these models, the derivational sisterhood which α shares with β does not guarantee that α will be uniquely linearized relative to β at spell-out.

In the next section, I introduce the empirical grounds on which the local spell-out hypothesis will be tested. Over the course of this dissertation, it will be demonstrated that affixation in Nuu-chah-nulth is sensitive to derivational sisterhood. Affixal predicates in Nuu-chah-nulth find a host at spell-out which is chosen from the phrase with which they were syntactically merged. Moreover, there is critical evidence that this sensitivity to derivational sisterhood is not reducible to an independent effect such as directionality: in Nuu-chah-nulth, β is not chosen as the host for α simply because it is right-adjacent to α . Instead, I will argue that the Nuu-chah-nulth facts fall out elegantly from a model in which linearization consistently occurs between derivational sisters.

1.3 Affixal predicates in Nuu-chah-nulth

Affixal predicates in Nuu-chah-nulth participate in two types of bound/free dependencies. An affixal predicate ($-\alpha$) suffixes to a free (β) or bound ($\beta-$) host. In either case, the surface realisation is $\beta-\alpha$. The place of these Nuu-chah-nulth linearization patterns within the typology of

bound/free dependencies is indicated in (25). The Nuu-chah-nulth behaviour corresponds to (25e-f). -

(25) *Typology of bound/free dependencies*

output: α - β	output: β - α
a. α , - β (α is free; β is a suffix)	d. α , β - (α is free; β is a prefix)
b. α -, β (α is a prefix; β is free)	e. - α , β (α is a suffix; β is free)
c. α -, - β (α is a prefix; β is a suffix)	f. - α , β - (α is a suffix; β is a prefix)

An illustration of the dependency of (25e) is supplied by the following examples. In (26a), the affixal predicate *-siik* “make” suffixes to the host *tučʔin* “dress”, a free noun. In (26b), the affixal predicate *-mahsa* “want to” suffixes to the host *waʔ-siʔ* “go home (PERF)”, a verbal complex which is likewise a non-affixal host.

- (26) a. *nupʔititsa* *tučʔinsiik*
 nupʔit-mit-sa *tučʔin-siik*
 once-PST-1SG.DEP dress-make
 I made a dress once.
- b. *waʔsiʔmahsak*
 waʔ-siʔ-mahsa-k
 go.home-PERF-want.to-2SG.Q
 Do you want to go home?

Descriptively, these suffixation patterns may be labeled as ‘noun incorporation’, and ‘verb incorporation’, respectively. In the first case, the affixal predicate suffixes to (or ‘incorporates’) a noun, while in the second case, it suffixes to (or ‘incorporates’) a verb. However, despite the difference in these descriptive labels of noun and verb incorporation, both types of incorporation share an identical phonological dependency: the affixal predicate suffixes to a free host.

In contrast, an example of the dependency of (25f) is given in (27). Here, the affixal predicate *-iic* “consume” suffixes to a bound nominal host, *suuʔ* “spring salmon”. This pattern of suffixation to a noun may also be considered to be a type of noun incorporation.

- (27) *suuʔiicsiš*
 suuʔ-iic-siis
 salmon-consume-1SG.IND
 I’m eating salmon.

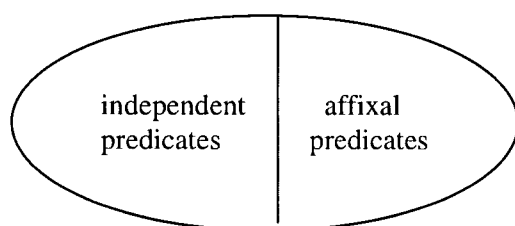
Superficially, this pattern resembles (26a), since in each case, the affixal predicate has suffixed to a noun. However, (26a) and (27) differ in that in (26a), the nominal host *tučʔin* “dress” is free, while in (27), the nominal host *suuʔ* “spring salmon” is bound.

Bound hosts in Nuu-chah-nulth, such as *suuʔ* “spring salmon” in (26), belong to a closed-class set of nominals which have been referred to in the Nuu-chah-nulth literature as ‘combining forms’ (Rose 1981: 287, Davidson 2002). These bound nominals are often truncated

versions of free-standing nominals in the language (Rose 1981). For example, the bound nominal *čapx* “man” is a truncated allomorph of the free-standing nominal *čakup* “man”. Other ‘combining forms’ are equivalent to the free form minus its aspectual or ‘absolutive’ affix (Rose 1981: 286-287). The bound nominal *suuḥ* “spring salmon”, for example, is related to the free form *suuḥ-aa* “spring salmon”, which is inflected for continuative aspect *-(y)aa* (CONT). Another common pattern for bound nominals, in particular vowel- or nasal-final forms, is the stem plus a final *-q* (Rose 1981). An example of this type of alternation is *taanaltaanaq* “money”, in which the bound form ends with a *-q*. For the bound/free alternants of Nuuchahnulth, the bound form occurs if and only if it is suffixed to an affixal predicate. However, not all free nominals have a bound alternant. In fact, for the youngest generation of Nuuchahnulth speakers, free nominal forms are often preferred over bound variants in the case of alternations with truncated allomorphs.⁴ For the remainder of this dissertation, I set aside the issue of alternation of bound and free nominals, and focus instead on the properties of affixal predicates.

Affixal predicates in Nuuchahnulth do not show an allomorphic alternation with free predicates. Instead, free predicates constitute a distinct class, unrelated in form to affixal predicates.

(28) *Free and bound classes of predicates in Nuuchahnulth*



I label this class of free elements *independent predicates*. While affixal predicates require suffixation to a host, independent predicates never occur as suffixes. For example, although an affixal predicate such as *-siik* “make” incorporates a host, this option is unavailable to a non-affixal predicate such as *ḥi-čič* “sew (PERF)”. In (29a), the affixal predicate *-siik* “make” suffixes to *ḥučḥin* “dress”. As shown in (29b), the independent predicate *ḥi-čič* “sew (PERF)” cannot suffix to this nominal.

- (29) a. ḥučḥinsiikitsiš
 ḥučḥin-siik-mit-siš
 dress-make-PST-1SG.IND
 I made a dress.

⁴ As Nakayama (2000: 39) notes, this is testament to the productive nature of Nuuchahnulth incorporation. If affixal predicates and their hosts constituted lexicalized chunks, then such novel forms would be unanticipated.

- b. * $\text{tučʔin}^{\text{ni}}\text{či}\text{χitsi}\text{š}$
 $\text{tučʔin-ni-či}\text{χ-mit-si}\text{š}$
 dress-sew-PERF-PST-1SG.IND
 I sewed a dress.

Affixal predicates require a host which they may suffix to. The ungrammaticality of (30a) arises because the affixal predicate *-siik* “make” fails to find an appropriate host. Independent predicates, in contrast, do not occur as suffixes. In (30b), the independent predicate $\text{ni-či}\text{χ}$ “sew (PERF)” appears separately from the nominal $\text{tučʔin}\text{χakqs}$ “my dress”.

- (30) a. * $\text{siikitsi}\text{š}$ $\text{tučʔin}\text{χakqs}$
 $\text{siik-mit-si}\text{š}$ $\text{tučʔin-}\text{χak-qs}$
 make-PST-1SG.IND dress-POSS-1SG.PS
 I made my dress.
- b. $\text{ni}\text{či}\text{χitsi}\text{š}$ $\text{tučʔin}\text{χakqs}$
 $\text{ni-či}\text{χ-mit-si}\text{š}$ $\text{tučʔin-}\text{χak-qs}$
 sew-PERF-PST-1SG.IND dress-POSS-1SG.PS
 I sewed my dress.

Thus, affixal and independent predicates can be seen to have distinct behaviours.

A similar distinction can be drawn between the affixal predicate *-maḥsa* “want to” and the independent predicate *ḥapaak* “willing to”. As noted earlier, the affixal predicate *-maḥsa* “want to” takes a verbal host, in a suffixation pattern I descriptively labeled ‘verb incorporation’. This is shown in (31a), in which the affixal predicate suffixes to $\text{wat-ši}\text{χ}$ “go home (PERF)”. This example may be contrasted with the one in (31b), which shows the independent predicate *ḥapaak* “willing to”. As an independent predicate, *ḥapaak* “willing to” is incompatible with being linearized as a suffix. As shown in (31b), it is ungrammatical for the independent predicate to suffix to the verbal host $\text{wat-ši}\text{χ}$ “go home (PERF)”.

- (31) a. $\text{watši}\text{χmaḥsak}$
 $\text{wat-ši}\text{χ-maḥsa-k}$
 go.home-PERF-want.to-2SG.Q
 Do you want to go home?
- b. * $\text{watši}\text{χḥapaakk}$
 $\text{wat-ši}\text{χ-ḥapaak-k}$
 go.home-PERF-willing-2SG.Q
 Are you willing to go home?

The inverse pattern is shown in (32), in which the verb $\text{wat-ši}\text{χ}$ “go home (PERF)” follows the predicate, as a separate word. An affixal predicate is impossible in an environment such as (32), since this would entail that it would not be linearized as a suffix. The ungrammaticality of (32a) confirms that the affixal predicate *-maḥsa* “want to” must appear as a suffix. In contrast, it is grammatical for the independent predicate *ḥapaak* “willing to” to occur in this environment. As (32b) shows, *ḥapaak* “willing to” is not a suffix.

- (32) a. * maḥsak waṭṣiṭ
 maḥsa-k waṭ-ṣiṭ
 want.to-2SG.Q go.home-PERF
 Do you want to go home?
- b. ṣapaakk waṭṣiṭ
 ṣapaak-k waṭ-ṣiṭ
 willing-2SG.Q go.home-PERF
 Are you willing to go home?

I hypothesize that the classes of affixal and independent predicates are lexically differentiated. In particular, I propose that affixal predicates in Nuu-chah-nulth are marked in the lexicon with an affixation requirement, [affix].

- (33) [affix]: $\alpha \neq \omega$

This lexical specification states that the morpheme (α) is not equivalent to a phonological word (ω). The consequence of this requirement is that the affix requires a host with which it may form a phonological word. This lexical requirement must be met over the course of the derivation. Specifically, since this lexical requirement involves morpho-phonological instructions, this requirement must be met in the PF branch. Sample lexical entries for affixal and independent predicates in Nuu-chah-nulth are given in (34-35), which indicate the properties of the predicates which are idiosyncratic to the lexical items.⁵

- (34) *lexical entries for affixal predicates*

- a. -*siik* “make”: $\left[\begin{array}{c} \text{MAKE} \\ /siik/ \\ \text{affix} \end{array} \right]$
- b. -*maḥsa* “want to”: $\left[\begin{array}{c} \text{WANT TO} \\ /maḥsa/ \\ \text{affix} \end{array} \right]$

- (35) *lexical entries for independent predicates*

- a. *ṇi* “sew”: $\left[\begin{array}{c} \text{SEW/} \\ /ṇi/ \end{array} \right]$
- b. *ṣapaak* “willing to”: $\left[\begin{array}{c} \text{WILLING TO} \\ /ṣapaak/ \end{array} \right]$

To foreshadow the content of the following chapters, we will see how the affixation requirement of Nuu-chah-nulth is met at spell-out, resulting in a type of suffixation I term *PF Incorporation*. This incorporation process is sensitive to linear adjacency. That is, an affixal

⁵ This abstracts away from the families of features (formal, semantic, phonological) which lexical properties fall into.

predicate incorporates any element which abuts it, showing an insensitivity to syntactic constituency (eg. the Coordinate Structure Constraint), as well as syntactic category. Yet, this condition on string adjacency will be shown to exist hand-in-hand with a ‘complement’ effect with incorporation: an affixal predicate only incorporates an element from its syntactic complement, and not from projections which c-command the predicate. I will argue that the local spell-out hypothesis allows an elegant means of reconciling these dual sensitivities to string adjacency and syntactic configuration.

This dissertation explicitly argues against a ‘hybrid’ treatment of PF as a module operating both on hierarchically- and linearly-arranged constructs (*contra* Embick and Noyer 2001). Rather, I illustrate that the seemingly syntactic complement restriction on incorporation is a by-product of the derivation. By adopting the notion that syntax-to-PF mapping occurs at each instance of syntactic merge (Epstein et al. 1998), local spell-out is predicted to apply only to derivational sisters. This derives the complement effect as an epiphenomenal consequence of the mechanism of spell-out.

We now turn to a discussion of how this study of the linearization properties of affixal predicates in Nuuchahnulth fits in with existing research on the Wakashan language family.

1.4 Research context

Nuuchahnulth is a dialectally-diverse language spoken along western Vancouver Island in British Columbia, Canada (see APPENDIX A). The data presented here come from original fieldwork on Ahousaht, a central Nuuchahnulth dialect spoken on Flores Island, off the west coast of Vancouver Island.

The next sections present an overview of existing research on the language, followed by a discussion of the methodology for the present study.

1.4.1 Previous literature

The linguistic study of Nuuchahnulth has been spurred by three major waves of fieldwork activity, conducted over the course of the last century. The roots of Nuuchahnulth linguistics are in the work of Edward Sapir, who engaged in intensive fieldwork on the language in 1910 and 1913-14. Working together with Nuuchahnulth speaker Alex Thomas and Sapir’s one-time student Morris Swadesh, Sapir oversaw the collection of extensive textual materials, which were published in part as *Nootka Texts* (Sapir and Swadesh 1939). This project yielded a concise dictionary of the southern Nuuchahnulth dialect Tseshaht and a brief but foundational grammatical description of this dialect, published together as an appendix to *Nootka Texts*. A

second volume of texts was published as Sapir and Swadesh (1955), while additional linguistic notes appeared in Sapir (1911b, 1924, 1929), and Swadesh (1939, 1948). The material collected during the Sapir-Thomas collaboration forms the empirical basis for much subsequent analytic work on the language, including Stonham (1999, 2004), and the Nuuchahnulth component of Davidson (2002). A dictionary of the Tseshaht dialect has also been published (Stonham 2005), based on Sapir's fieldnotes. Textual materials which were residual to the original two published volumes of the Sapir-Thomas texts (Sapir and Swadesh 1939, 1955) were recently brought to light with the appearance of Sapir et al. (2000, 2004). The final two installments of the Sapir-Thomas series are due to be published in the near future (Eugene Arima and Terry Klokaid, p.c.).

After a lull in linguistic fieldwork on Southern Wakashan stretching from the 1920s into the 1960s, a second wave of activity ensued with research expanding to Ditidaht (eg. Haas 1969, 1972; Klokaid 1976, 1978a,b) and Makah (eg. Jacobsen 1969, 1979; Renker 1987), as well as a subset of Nuuchahnulth dialects (Paik 1968, Rose and Carlson 1984, Kess and Kess 1986, among others). This period saw the completion of the first grammar of Nuuchahnulth, based on the northern dialect Kyuquot (Rose 1981).

Recent years have seen a renewed period of concerted fieldwork, largely in response to the advancing age of the last fluent speakers of the Southern Wakashan languages. This ongoing wave of activity has to date produced three Ph.D. dissertations on Southern Wakashan: one is a treatment of grammatical properties of the Ahousaht dialect of Nuuchahnulth (Nakayama 1997), another addresses this dialect's morpho-phonology (Kim 2003), and the third is a comparison of Makah grammar to that of the Tseshaht dialect of Nuuchahnulth (Davidson 2002). Other publications include Nakayama (1998, 2001), Davis and Sawai (2001) and Wojdak (2001). This renewal of research activity is marked with the forthcoming publication of a special edition of the *Canadian Journal of Linguistics* devoted to Wakashan linguistics (Davis and Wojdak (eds.) *in prep*).

The topic of affixal predicates – or 'lexical suffixes', as they have frequently been labeled – is a recurrent theme in research on Nuuchahnulth. The role of these morphemes in Nuuchahnulth was initially highlighted in Sapir's (1921) discussion of Nuuchahnulth polysynthesis, as well as in Swadesh's (1939) article entitled *Nootka Internal Syntax*. Inventories of these predicates are found in Sapir and Swadesh (1939), Rose (1981) and Davidson (2002), while detailed descriptive treatments of their suffixation patterns are discussed in Rose (1981) and Nakayama (1997, 1998, 2001). To my knowledge, the first reference to the word formation properties of Southern Wakashan affixal predicates as a type of incorporation is found in Klokaid (1976). A noun incorporation analysis in terms of syntactic head movement is developed in Woo

(2000), Davis and Sawai (2001), Yiu (2001), and Stonham (2004). An alternative to the noun incorporation analysis is presented by Waldie (2004), who analyses the suffixation as a type of denominal verb formation. While suffixation to nominals ('noun incorporation') has been the primary focus of this previous literature, suffixation to verbs ('verb incorporation') has been relatively under-investigated. cursory treatments are found in Rose (1981) and Nakayama (1997, 1998). The topic of Nuuchahnulth verb incorporation plays a central role in the present study.

1.4.2 Methodology

This study is based on fieldwork conducted during 2000 to 2005 with fluent speakers of Nuuchahnulth. Sentences and short stretches of discourse were elicited in a series of person-to-person interviews. This elicitation method is crucial to syntactic research as it allows for the targeted study of phenomena which may be rare in texts. Moreover, syntactic elicitations permit access to native speaker intuitions about grammaticality, which are otherwise inaccessible in the format of textual analysis. The data obtained in elicitation sessions were transcribed and subsequently inputted to a computer database. Some recordings were also made to supplement the transcriptions. Transcriptions were proofread by Mary Jane Dick, a fluent speaker of the Ahousat dialect of Nuuchahnulth who has formal training in linguistics.

The majority of the data in this dissertation has its genesis in a project I began in 2002 with Mary Jane Dick to document Ahousat usages of the 'lexical suffixes' catalogued in Sapir and Swadesh (1939), Rose (1981) and Davidson (2002). Mary Jane Dick worked independently and with her mother, Sarah Webster, to create illustrative example sentences for more than two hundred suffixes (see APPENDIX D for a sample). These example sentences were an invaluable resource, and provided a large data set which formed the basis for follow-up elicitation sessions during 2002 to 2005. Many of the 'lexical suffix' example sentences presented in this dissertation were used as input to an Ahousat dictionary project currently underway at the University of British Columbia.

The two primary language consultants for this project are Mary Jane Dick and her mother, Sarah Webster, who are each native speakers of the Ahousat dialect. Both were born in Ahousat, British Columbia – Sarah in 1924, and Mary Jane in 1945. Nuuchahnulth was the dominant household language while both were young, influenced by the fact that Mary Jane's grandparents and great-grandparents, who Mary Jane spent about half her time with while growing up, were monolingual Nuuchahnulth speakers. Both Sarah and Mary Jane began to learn English when they started schooling. Sarah attended residential school in Ahousat, while

Mary Jane went to a school in Ahousat until the age of twelve, when she moved away from her family to attend residential school in Port Alberni. Currently, the two usually see each other daily and try to use their language as much as possible with each other.

This study benefited from elicitations with several secondary language consultants, who are speakers of Ahousaht or other Nuuchah-nulth dialects. Katherine Fraser is a speaker of the Ahousaht dialect and has formal training in linguistic analysis. She is in her 60s. Caroline Little, also a speaker of the Ahousaht dialect, is in her 80s. Barbara Touchie, who is in her 70s, is a speaker of the Ucluelet dialect, while her older brother, Archie Thompson self-identifies as a speaker of the Toquaht dialect. Josephine Thompson, a speaker of the Ahousaht dialect, is in her 70s. Barney Williams, Sr., who is in his 80s, identifies with both the Tla-o-qui-aht and Chickliset dialects. His son, Barney Williams, Jr., is in his 60s and speaks the Tla-o-qui-aht dialect. Each of these language consultants speaks Nuuchah-nulth as their first language, and English as their second. Sessions with secondary language consultants were used to confirm various patterns indicated by primary language consultants.

1.5 Outline of the dissertation

There are five chapters which follow this introduction. This section gives an overview of their contents.

Chapter 2 argues that local spell-out gives rise in Nuuchah-nulth to a specific type of dependency termed *PF incorporation*. I argue that mid-derivational units constructed in the syntax form minimal spell-out domains for the post-syntactic linearization mechanism for affixal predicates. An affixal predicate is linearized as a suffix to the first word in its derivational sister, a condition I label the *string adjacency effect*. The linearization shows an insensitivity to syntactic category and an absence of LF effects. However, opacity effects come into play in that an affixal predicate cannot incorporate a host across a DP or CP. Instead, when an affixal predicate has a DP or CP as its complement, an expletive host surfaces to rescue the potentially stranded affix.

Chapter 3 presents in detail the Nuuchah-nulth clausal architecture which underlies PF incorporation. I argue that Nuuchah-nulth has a syntactically underived VSO word order, with arguments introduced within the verbal projections. The phenomenon of possessor raising is introduced as a diagnostic for a syntactic asymmetry between subject and object. With respect to the linear ordering, I present the argument that linearization of syntactic terminals is achieved exclusively at PF, as syntactic constructs are not inherently ordered for directionality. Furthermore, I argue that syntactic c-command does not unambiguously determine linear

precedence (*contra* Kayne 1994). Instead, directionality is imposed at the point of spell-out via choice of one of two logically possible linearizations. Regularities in directionality (i.e. left or right syntactic ‘headedness’) are proposed to be spell-out conventions which arise through the process of language acquisition.

Chapter 4 gives an inventory of the argument structures of affixal predicates which take nominal complements. The structure-building operation of Merge generates the nominal arguments of affixal predicates in a range of orientations, from unaccusative to extended unaccusative, transitive to ditransitive. Unergatives, however, are absent from the inventory of affixal predicates in Nuuchahnulth – a systematic gap which I attribute to the need for an affixal predicate to have a phonologically distinct complement. For each type of affixal predicate, the predicate incorporates a host from its complement, often giving rise to noun incorporation. I present a range of independent diagnostics for syntactic structure, including possessor raising and subject agreement.

Chapter 5 surveys types of affixal predicates which take verbal complements. I propose that these predicates fall into two general classes: affixal main predicates and affixal auxiliary predicates, both of which permit verb incorporation. The PF incorporation pattern of these predicates may result in incorporation in contexts in which the complement is a reduced verbal projection (vP), rather than an ‘edged’, fully inflected clause (CP). Given that these PF incorporation contexts are monoclausal, a range of ‘restructuring’ effects are predicted, including ‘long’ wh-movement and ‘long’ possessor raising.

Chapter 6 contains concluding remarks. A brief summary of the dissertation is given, followed by discussion of theoretical and typological implications of the analysis. On the theoretical side, I present general implications for the characteristics of the PF branch. I also illustrate consequences which local spell-out has for the question of the grammatical locus of head movement operations. A final theoretical implication is the prediction that ‘inside-out’ dependencies, the antithesis of Nuuchahnulth affixation, should be possible only through post spell-out linearization, and should not be available through local spell-out. The Northern Wakashan language Kwakw’ala is presented as evidence for affixation which arises by post spell-out linearization, at a derivationally later stage than that at which Nuuchahnulth affixation occurs. Additional typological factors are also considered. I state that there is a range of variation attested in noun incorporation dependencies cross-linguistically. Furthermore, the ‘lexical suffixes’ of the Pacific Northwest *sprachbund* do not, as has been previously assumed, reduce to a single type of ‘bound nominal’ pattern (Gerds 1998).

We now turn to the chapter on PF Incorporation.

CHAPTER 2

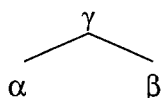
PF Incorporation

*So I find words I never thought to speak
In streets I never thought I should revisit
~ T.S. Eliot Four Quartets no. 4*

2.0 Introduction

In the previous chapter, the observation was made that a syntactic construct, formed derivationally by binary concatenation, is not inherently ordered. In the syntax, the elements joined by Merge are an unordered set $\{\alpha, \beta\}$.

(1)



Before such a derivational output can be pronounced, however, the elements occupying the terminal positions α and β must be linearized. I hypothesize that it is strictly non-syntactic principles in the grammar which are responsible for ordering them. In Chapter 1, I proposed that affixation requirements constitute one means by which the linguistic elements α and β may be ordered. If one or the other of α and β is an affix, a restricted set of linearization patterns arises: either $\alpha\beta$ or $\beta\alpha$. These orderings emerge in the syntax-to-PF mapping, via local spell-out. Repeated from Chapter 1, this claim may be defined as the following:

(2) *Local spell-out:* for Merge (α, β) , spell-out (α, β)

The need for an affix to be linearized with respect to its host is a bare output condition on PF – the portion of the grammar sensitive to temporal sequencing.

The Wakashan language Nuuchah-nulth was introduced as the empirical grounds on which the local spell-out hypothesis will be assessed. This chapter develops the notion that spell-out induces in Nuuchah-nulth a particular arrangement which I refer to as *PF incorporation*. In this linearization, an affixal predicate $-\alpha$ suffixes to a host $\beta(-)$, yielding an ordered pair of $\beta\alpha$. The affixal predicate ‘incorporates’ its host in order to achieve a pronounceable form, that of a linearized affix. In (3), this pattern is exemplified by the string $k^waaq-caaqa$ ‘busy with spawned herring eggs’, which is comprised of the affixal predicate $-caaqa$ ‘busy with’ and its nominal host k^waaq ‘spawned herring eggs’.

- (3) $k^waaqcaaqa?i\check{s}$ $\text{ʔaahuu\text{?}ath}$
 $k^waaq\text{-}caaqa[+L]\text{-}?i\check{i}\check{s}$ $\text{ʔaahuu\text{'s}ath}$
 s.h.eggs-**busy.with**-3.IND place.name-from
 The Ahousahts are busy with spawned herring eggs.

According to my analysis, the string $k^waaq\text{-}caaqa$ emerges as a reflex of the need to linearize the affixal predicate $\text{-}caaqa$ “busy with”. The host chosen for the affix is its derivational sister, the nominal k^waaq “spawned herring eggs”.

- (4)
- | | |
|--|------------------------------------|
| \swarrow
$\text{-}caaqa$
busy with | \searrow
k^waaq
s.h.eggs |
|--|------------------------------------|

The resulting dependency is a case of PF Incorporation.

The term ‘incorporation’ has a lengthy history in Amerindian linguistics. In the context of what has been referred to as ‘noun incorporation’, this label applies to instances in which a noun and verb are combined into a single word. Over the past century, a series of high-profile debates have occurred regarding this phenomenon. Kroeber (1909, 1911) and Sapir (1911a) capitalize on the free-bound contrast to make a distinction between noun incorporation languages in which the verb is a free stem, and those ‘verbalizing suffix’ languages in which the verb is bound. This notion resurfaces in an exchange between Mithun (1984, 1986) and Sadock (1980, 1986). My contribution to this discussion is to specify a four-way range of affixation relationships which exist in the typology of noun-verb dependencies. If the noun and verb are each either free or bound, four patterns of dependencies are available: a bound noun may affix to a bound verb or to a free verb; a free noun, in turn, may affix to a bound verb or to a free verb. Thus, the affixation dependencies of ‘noun incorporation’ are not a uniform phenomenon (see Chapter 6 for further discussion).¹

(5) *Affixation dependencies of noun incorporation*

	<i>bound noun</i>	<i>free noun</i>
<i>bound verb</i>	N-V (Nuu-chah-nulth)	N-V (Nuu-chah-nulth)
<i>free verb</i>	N-V	N-V

Nuu-chah-nulth instantiates two of these four options. In a Nuuchah-nulth complex denoted by $\beta\text{-}\alpha$, the bound verb $\text{-}\alpha$ takes a bound or free nominal $\beta\text{-}$ as its host. Because the affixal predicate is obligatorily bound, it can never go without a host. The example in (6a)

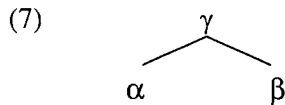
¹ Baker (1988: 143) reaches a similar conclusion, although he proposes a three-way morphological distinction: root noun and root verb, root noun and affixal verb, and affixal noun and root verb. Baker does not discuss the possibility of an affixal noun attaching to an affixal verb.

indicates a grammatical instance of the affixal predicate *-ʔaap* “buy” suffixing to the bound nominal *maḥtʰa-* “house”. In (6b), even though the free form of “house”, *maḥtʰii*, is used, it is not possible for the noun and verb to be separated.

- (6) a. *maḥtʰaʔamitʔiš* *čakup*
 maḥtʰa-ʔaap-mit-ʔiš *čakup*
 house-**buy**-PST-3.IND man
 A man bought a house.
- b. * *ʔaamitʔiš* *maḥtʰii čakup*
 ʔaap-mit-ʔiš *maḥtʰii čakup*
 buy-PST-3.IND house man
 A man bought a house.

The example in (6b) is ruled out because the affixal predicate *-ʔaap* “buy” must be linearized as a suffix.

A purely syntactic account of incorporation does not capture the significance of the bound or free status of the verb in affixation contexts.² In languages in which the ‘incorporating verb’ is obligatorily bound, two logical possibilities emerge for the host for the verb, according to the local spell-out hypothesis. As previously noted, if α in (7) is a bound verb, then β may take on the role of host for α at spell-out.



There is a logically possible alternative, however: an expletive host may be inserted at the point of spell-out to act as ‘dummy’ placeholder for the bound verb. This predicted pattern is attested in Nuu-chah-nulth, which utilizes an expletive host, *ʔu-*, in contexts in which the affixal predicate has not incorporated a host.³ An example is given below, in which *ʔu-* acts as a host for the affixal predicate *-ʔaap* “buy”.

- (8) a. *ʔuʔaamitʔiš* *maḥtʰii čakup*
 ʔu-ʔaap-mit-ʔiš *maḥtʰii čakup*
 \emptyset -**buy**-PST-3.IND house man
 A man bought a house.
- b. *maḥtʰaʔamitʔiš* *čakup*
 maḥtʰa-ʔaap-mit-ʔiš *čakup*
 house-**buy**-PST-3.IND man
 A man bought a house.

² Baker (1988: 72) argues that it is a morphological component of the grammar which determines whether (syntactic) incorporation is obligatory in some cases, or forbidden in others. This is ascribed to a filtering effect of the morphology.

³ In the framework of Distributed Morphology, *ʔu-* in Nuu-chah-nulth qualifies as a ‘dissociated’ morpheme - that is, one that is inserted at the point of spell-out (Embick 1997, Noyer and Embick 2001).

In (8a), ‘*ʔu*-support’ occurs as an alternative to the noun incorporation of (8b). This expletive is also employed in cases in which the affixal predicate takes a sentential complement. In (9a), for example, the expletive *ʔu*- appears as a host for the affixal predicate *-cuk* “necessary”. In (9a), the predicate takes the conditional complement *čukʷiʔatquu qaawicʔi* “that the potatoes be washed”. For the predicate *-cuk* “necessary”, the presence of *ʔu*- alternates with the incorporation strategy. In (9b), the affixal predicate *-cuk* “necessary” suffixes to the verbal host, *ču-kʷiʔ* “wash (PERF)”, rather than to the expletive *ʔu*.

- (9) a. *ʔucukʔiʃ* *čukʷiʔatquu* *qaawicʔi*
 ʔu-cuk-ʔiʃ *ču-kʷiʔ-at-quu* *qaawic-ʔi*
 Ø-**need**-3.IND wash-PERF-PAS-3.COND potato-DET
 It is best to wash the potatoes. (*lit*: “it is necessary that the potatoes be washed”)
- b. *čukʷiʔcukʔiʃ* *qaawicʔi*
 ču-kʷiʔ-cuk-ʔiʃ *qaawic-ʔi*
 wash-PERF-**need**-3.IND potatoes-DET
 The potatoes need washing.

The expletive *ʔu*- in Nuu-chah-nulth is regularly used in the citation forms of affixal predicates, as in *ʔu-ʔaap* “buy” and *ʔu-cuk* “need”. From this point onwards, I adopt the convention of writing affixal predicates in their citation forms when I mention them.

Greenlandic (Eskimo) provides another example of this expletive pattern. In the Greenlandic language, ‘incorporating verbs’ are suffixes, just as in Nuu-chah-nulth (Waldie 2004). There is a ‘placeholder’ morpheme, *pi-*, in Greenlandic which surfaces in contexts in which no incorporation occurs (Sadock 1980). The following examples are adapted from Sadock (1980: 306, ex. 18a and 307, ex. 24).

(10) *Greenlandic*

- a. *Qimme-qar-poq*
 dog-have-3SG.IND
 He has a dog.
- b. *Qimmi-mik* *pe-qar-poq*
 dog-INST Ø-have-3SG.IND
 He has a dog.

In (10a), incorporation unites the nominal *qimme* “dog” with the suffixal verb *-qar* “have”. In (10b), in contrast, no incorporation of the nominal occurs, and instead, the bound verb is attached to the empty form *pi-* (surfacing as *pe-*).

Unlike languages with bound verbs, languages with free verbs make no use of an expletive host for a verb in contexts in which incorporation fails to apply. An example of a noun-incorporating language with free verbs is Mohawk (Iroquoian). The incorporation pattern of Mohawk is indicated in (11a), in which the nominal *-nuhs-* “house” is incorporated into the

inflected verb *ye-nuhwe'-s* “like 3FS/3N”. Note that in Mohawk the verb can surface detached from the noun, as in (11b).

(11) *Mohawk* (examples from Postal 1962, as cited in Baker 1988: 81-82, ex. 14a-b)

- a. Yao-wir-a'a ye-nuhs-nuhwe'-s
 PRE-baby-SUF 3FS/3N-house-like-ASP
 The baby house-likes.
- b. Yao-wir-a'a ye-nuhwe'-s ne ka-nuhs-a'
 PRE-baby-SUF 3FS/3N-like-ASP DET PRE-house-SUF
 The baby likes the house.

When incorporation of the noun into the verb does not occur, there is no process similar to *ʔu*-support for the Mohawk verb. The inflected verb *ye-nuhwe'-s* “like” in (11b) does not receive an expletive host. This difference symbolizes a key contrast between the Nuu-chah-nulth and Mohawk patterns of incorporation. The contrasting patterns fall out from an analysis which takes into account the underlying bound/free status of the verb in affixation contexts.

To summarize, we have seen two ways in which the affixation requirement of an affixal predicate may be met in Nuu-chah-nulth. On one hand, local spell-out may attach an affixal predicate to an incorporated host, yielding PF Incorporation. On the other hand, the expletive element *ʔu*- may be introduced at spell-out as a host. Under this view, *ʔu*-insertion receives an analysis similar to that which Lasnik (1981, 2000) proposes for *do*-support in English: the ‘dummy’ *do* is inserted to meet the requirements of a potentially stranded affix. Over the following chapters, we will return to the discussion of *ʔu*-support as a spell-out solution which applies in cases in which an ‘edge’ separates the affixal predicate from a potential incorporable host. In Nuu-chah-nulth, the use of an expletive host for an affixal predicate is obligatory when the predicate takes a DP or CP complement: incorporation of a host cannot occur across these ‘edged’ domains. For example, the use of the expletive is necessary when the object of an affixal predicate is marked with a determiner. The example in (12a) shows a grammatical instance of incorporation when the nominal host *ʔučʔin* “dress” is bare. Incorporation is impossible when the determiner *-ʔii* appears, either in (12b) as the host for the affixal predicate, or in (12c) when suffixed to the nominal. The example in (12d) shows the mandatory *ʔu*-support which occurs when the nominal is marked with the determiner.

- (12) a. ʔučʔinsiikitsiš
 ʔučʔin-siik-mit-siis
 dress-make-PST-1SG.IND
 I made a dress.

- b. * $\text{tuc}^h\text{in}^h\text{isiikitsi}\check{s}$
 $\text{tuc}^h\text{in}^h\text{-}\text{?ii-siik-mit-si}\check{s}$
dress-DET-make-PST-1SG.IND
I made the dress.
- c. * $\text{?isiikmitsi}\check{s}$ tuc^hin^h
 $\text{?ii-siik-mit-si}\check{s}$ tuc^hin^h
DET-make-PST-1SG.IND dress
I made the dress.
- d. $\text{?usiikitsi}\check{s}$ $\text{tuc}^h\text{in}^h\text{?i}$
 $\text{?u-siik-mit-si}\check{s}$ $\text{tuc}^h\text{in}^h\text{-?ii}$
 \emptyset -make-PST-1SG.IND dress-DET
I made the dress.

We return to the topic of ‘edge’ effects in PF Incorporation in §2.5.

For either expletive insertion or incorporation of a host, the satisfaction of the affixation requirement in Nuu-chah-nulth constitutes a bare output operation on PF. An inserted or incorporated host allows the bound predicate to meet its linearization requirement. Bound status and linearization are not relevant to the syntax proper; instead, these are conditions on phonological representation.

We now turn to discussion of the trademark properties of PF Incorporation in Nuu-chah-nulth which serve to corroborate the claim that this phenomenon is derived post-syntactically, at the point of spell-out. In §2.1, independent evidence is presented in support of the claim that an affixal predicate and its host, whether incorporated or expletive, share an intimate phonological relationship. This is followed in §2.2 with discussion of the observation that incorporation in Nuu-chah-nulth is sensitive to string adjacency, rather than to syntactic c-command. In turn, §2.3 argues that incorporation in Nuu-chah-nulth displays an insensitivity to syntactic category, in the sense that the hosts of incorporation come from a range of lexical and functional categories. Evidence is presented in §2.4 that incorporation in Nuu-chah-nulth shows an absence of LF effects. In §2.5, I illustrate that incorporation in Nuu-chah-nulth, although otherwise insensitive to syntactic and semantic properties, cannot cross a DP or CP. I attribute this ‘edge’ effect to the status of DPs and CPs as saturated domains (cf. the notion of ‘phase’ in Chomsky 2001). The chapter concludes with §2.6, which sums up evidence against a strictly syntactic view of Nuu-chah-nulth affixation.

2.1 Morpho-phonological dependency

The analysis which I am proposing states that an affixal predicate is united with a host at spell-out so that it may be linearized. According to the local spell-out hypothesis, an affixal predicate $-\alpha$ is spelled-out with its host $\beta(-)$, inducing a $\beta-\alpha$ linearization.

(13) *Local spell-out:* for Merge (α , β), spell-out (α , β)

This section presents independent evidence for a phonological dependency between α and β . This evidence comes from the morpho-phonological ‘subcategorization’ of affixal predicates.

In Nuu-chah-nulth, a striking property of bound morphemes is their ability to prosodically condition their hosts (Sapir and Swadesh 1939, Rose 1981, Davidson 2002, Kim and Wojdak 2002, Kim 2003). For example, the repetitive iterative suffix $-(y)a$ [+R+L] (ITER) causes vowel lengthening and reduplication of the first syllable of a monosyllabic root, as well as vowel lengthening of both the base and the reduplicant (Sapir and Swadesh 1939, Wojdak 2002, Kim and Wojdak 2002).

- | | | | |
|---------|--|----|---|
| (14) a. | $\dot{c}uus\dot{c}uusa$
$\dot{c}us-a[+R+L]$
dig-ITER
‘digging continuously’ | b. | $kii\dot{\lambda}kii\dot{\lambda}a$
$ki\dot{\lambda}-a[+R+L]$
break-ITER
‘breaking continuously’ |
|---------|--|----|---|

Affixal predicates share this ability to prosodically condition their hosts, while independent predicates never induce prosodic conditioning. Each affixal predicate is associated with a characteristic pattern, although many affixal predicates are ‘neutral’ in that they do not impose changes on their host. A given affixal predicate may induce reduplication, a long vowel, a short vowel, or some combination of the three. (I refer the reader to Kim and Wojdak 2002 and Kim 2003 for a thorough description of which combinations are available.)

(15) *Prosodic conditioning*

- | | | |
|----|------|---------------|
| a. | [+R] | reduplication |
| b. | [+L] | long vowel |
| c. | [+S] | short vowel |

Both the expletive morpheme $\dot{\lambda}u-$ and incorporated hosts are affected by the prosodic requirements of affixal predicates.

The examples below illustrate the behaviour of the affixal predicate $\dot{\lambda}uu-h\acute{w}a\dot{\lambda}$ ‘use’, which triggers vowel lengthening of the first syllable of its host. In (16a), the vowel of the expletive morpheme $\dot{\lambda}u-$ is lengthened to $\dot{\lambda}uu-$, while in (16b) the first vowel of $yax\acute{y}ak$ ‘broom’ is lengthened to $yaax\acute{y}ak$.

(16) *Long initial vowel (+L)*

- | | | |
|----|---|---|
| a. | $\dot{\lambda}uu\dot{h}\acute{w}a\dot{\lambda}\dot{\lambda}i$
$\dot{\lambda}u-h\acute{w}a\dot{\lambda}[+L]-\dot{\lambda}i$
$\emptyset-use-2SG.IMP>3OBJ$
Use a broom! | $yax\acute{y}ak$
$yax\acute{y}ak$
broom |
|----|---|---|

- b. yaax^yakhwaʔi
 yax^yak-hwaʔ[+L]-ʔii
 broom-use-2SG.IMP>3OBJ
 Use a broom!

The following example shows how reduplication is triggered by the affixal predicate *ʔuʔu-q* “travel with (in a vessel)”. In (17a), the expletive morpheme *ʔu-* surfaces as *ʔuʔu-*, while in (17b), the morpheme *ʔuuš* “someone” appears as *ʔuuʔuuš*. In this pattern, the first consonant and vowel of the host are reduplicated. The vowel length of the reduplicant is determined by the underlying vowel length of the morpheme which serves as the base.

(17) *Reduplication with neutral vowel length (+R)*

- a. ʔuʔuqʔiš Louis huupuuk^wasukʔi Robin
 ʔu-q[+R]-ʔiis Louis huupuuk^was-uk-ʔi Robin
 Ø-travel.with-3.IND Louis car-POSS-3.PS Robin
 Louis is travelling in Robin's car.
- b. ʔuuʔuušqʔiš Louis
 ʔuuš-q[+R]-ʔiis Louis
 someone-travel.with-3.IND Louis
 Louis is travelling with someone (eg. in his canoe).

Some affixal predicates impose restrictions on vowel length as well as inducing reduplication. For example, the affixal predicate *ʔuʔuu-sapi* “depend on” requires reduplication, as well as a short vowel in the reduplicant and a long vowel in the initial syllable of the base. In the examples below, the expletive surfaces as *ʔuʔuu-* (18a), while *ʔuuš* “someone” surfaces as *ʔuʔuuš* (18b).

(18) *Reduplication with short initial vowel & long second vowel (+R+S+L)*

- a. ʔuʔuusapiʔiš Louis ʔin ʔayanak taana waaʔak Vancouver.
 ʔu-sapi[+R+S+L]-ʔiis Louis ʔin ʔaya-naak taana waaʔak Vancouver.
 Ø-depend.on-3.IND Louis COMP many-have money go.to Vancouver
 Louis is depending on having a lot of money in going to Vancouver.
- b. ʔuʔuušsapiʔiš
 ʔuuš-sapi[+R+S+L]-ʔiis
 someone-depend.on-3.IND
 S/he is depending on someone.

In contrast, the predicate *ʔuʔu-sum* “want” triggers reduplication with a short vowel in both the reduplicant and the initial syllable of the base. In (19a), the expletive appears as *ʔuʔu-*, while in (19b) the morpheme *taanaq-* “money” surfaces as *tatanaq-*.

(19) *Reduplication with short initial vowel & short second vowel (+R+S+S)*

- a. ʔuʔusumʔiš Louis taanaakʔi
 ʔu-sum[+R+S+S]-ʔiis Louis taana-ʔak-ʔii
 Ø-want-3.IND Louis money-POSS-DET
 Louis wants his money.

- | | | |
|----|---------------------------------|-------|
| b. | tatanaqsumʔiš | Louis |
| | taanaq- <u>sum</u> [+R+S+S]-ʔiš | Louis |
| | money- <u>want</u> -3.IND | Louis |
| | Louis wants money. | |

It is also possible for an affixal predicate to require reduplication of the first syllable of the base, as well as a long vowel in the initial syllable of base. In this pattern, the reduplicated syllable retains the underlying vowel length of the base. This is demonstrated in (20) with the predicate *ʔuʔuu-yuk* “cry for”. In (20a), the host is reduplicated to *ʔuʔuu-*, while in (20b), the host is reduplicated to *siisii-* “you (pl)”.

(20) *Reduplication with neutral initial vowel & long second vowel (+R+L)*

- | | | | | |
|----|---|--------------------|--------|------|
| a. | ʔuʔuu-yukʔanitwaʔišʔaʔ | nanaʔiiqsak | ʔuhʔat | Kyle |
| | ʔu- <u>yuk</u> [+R+L]-ʔat-mit-waʔiš-ʔaʔ | naʔiiqsu-[+R]-ʔak | ʔuhʔat | Kyle |
| | Ø- <u>cry.for</u> -PAS-PST-3.QUOT-PL | aunt/uncle-PL-POSS | by | Kyle |
| | Kyle was crying for his uncles/aunts. (<i>lit</i> : “his uncles were cried for by Kyle”) | | | |
| b. | siisii-yukʔanitwaʔicuuš | Kyle | | |
| | sii- <u>yuk</u> [+R+L]-ʔat-mit-waʔicuuš | Kyle | | |
| | you- <u>cry.for</u> PAS-PST-2PL.QUOT | Kyle | | |
| | Kyle was crying for you (pl). (<i>lit</i> : “you (pl) were cried for (by) Kyle”) | | | |

Only in the ‘neutral’ pattern is the host prosodically unaffected by the affixal predicate. As the examples in (21) show, the phonemically contrastive vowel lengths of the host are unaltered by the affixal predicate *-uʔaaʔ* “find”, and no reduplication is triggered. Accordingly, in (21a), *ʔu-* surfaces without reduplication or a change in vowel length, and in (21b), the same applies for *taanaq-* “money”.

(21) *Neutral pattern*

- | | | |
|----|-----------------------------|-------|
| a. | ʔuyuʔaaʔsiš | taana |
| | ʔu- <u>uʔaaʔ</u> -siiš | taana |
| | Ø- <u>find</u> -1SG.IND | money |
| | I found money. | |
| b. | taanaquʔaaʔsiš | |
| | taanaq- <u>uʔaaʔ</u> -siiš | |
| | money- <u>find</u> -1SG.IND | |
| | I found money. | |

Many affixal predicates in the language display this neutral pattern, and impose no prosodic conditioning (see Sapir and Swadesh 1939).

Kim (2003) provides an analysis of the reduplicative patterns triggered by affixal predicates within the framework of Optimality Theory. I present here a brief sketch of the form which an analysis of prosodic conditioning may take if it is articulated in accordance with Minimalist assumptions. I assume that the prosodic ‘subcategorization’ of affixal predicates is

specified at the level of the lexical entry. A predicate such as *ʔuu-hwaʔ* “use” has the following lexical entry:

- (22) *-hwaʔ* “use”: $\left[\begin{array}{c} \text{USE} \\ /hwaʔ/ \\ \text{affix} \\ [+L] \end{array} \right]$

Together with lexical semantics, phonemic representation, and information on the affixal status of this morpheme, this lexical entry contains a specification for a [+L] feature. This vowel lengthening requirement forms a condition on convergence at the PF interface. When the affixal predicate *ʔuu-hwaʔ* “use” is spelled-out to PF, the lengthening requirement is obligatorily realised on its host. If *yaxyak* “broom” is the derivational sister of the affixal predicate, then as a host, it must undergo vowel lengthening.

- (23)
-

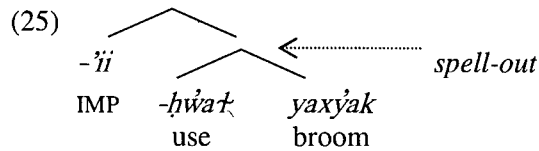
This induces a form of *yaaxyak-hwaʔ* “broom-use” at PF.

I present this prosodic conditioning as independent evidence for the idea that local spell-out links an affixal predicate with its host at spell-out to PF. The prosodic conditioning of a host by an affixal predicate indicates that the two must be interpreted together at PF. In effect, prosodic conditioning leaves a detectable ‘footprint’ of local spell-out. The local spell-out hypothesis offers a maximally restrictive prediction regarding which morphemes may be treated by prosodic conditioning, and which may not. According to this hypothesis, the only element which may be prosodically influenced is the derivational sister of the element which is specified for a prosodic requirement. For instance, for (24), the local spell-out hypothesis determines that the morpheme *yaxyak* “broom” is eligible for prosodic conditioning by the affixal predicate *ʔuu-hwaʔ* “use”, but the imperative marker *-’ii* (2SG.IMP>3OBJ) is ineligible.

- (24) *yaaxyakhwaʔʔi*
yaxyak-hwaʔ [+L] -’ii
 broom-use-2SG.IMP>3OBJ
 Use a broom!

According to the local spell-out hypothesis, a derivational sister to the affixal predicate is present at the point of spell-out of the affix, but the imperative marker is not. In the diagram in (25), the imperative marker is shown to occupy a higher position in the tree than the affixal predicate *ʔuu-hwaʔ* “use”, and its complement *yaxyak* “broom”. (See Chapter 3 for motivation for this syntactic representation.) Through local spell-out, the lengthening requirement of the affixal predicate is interpreted with *yaxyak* “broom”, and not the imperative marker *-’ii*

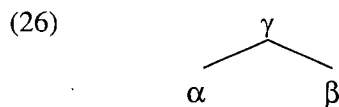
(2SG.IMP>3OBJ). Thus, only *yaxy'ak* “broom” is compatible with prosodic conditioning by the affixal predicate.



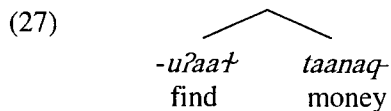
In contrast, the single spell-out and multiple spell-out hypotheses open the door for the possibility that morphemes from the derivation *other than derivational sisters* may be prosodically influenced by the affixal predicate. With these delayed spell-out models, an additional stipulation would be required to rule out why the imperative marker is not equally eligible for prosodic conditioning.⁴ Note that both the nominal *yaxy'ak* “broom” and the imperative marker -ʔi are wordmates of the affixal predicate. In contrast, the local spell-out hypothesis makes the correct generalisation as a direct prediction of the model.

2.2 Linearization is local

When an element reaches spell-out, it must be linearized with respect to its neighbour. This is the essence of the local spell-out proposal. In the discussion up until this point, the locality constraint on this linearization process has been trivial in that only two syntactic terminals, α and β , were represented as the input to the spell-out rule:



The syntactic configuration in (26) can be considered to be the basic step of the syntactic derivation, equivalent to a single application of Merge (α , β). An example of this simple arrangement is when an affixal predicate selects a bare noun complement, as in *taanaq-uʔaaʔ* “find money” (from 21b).

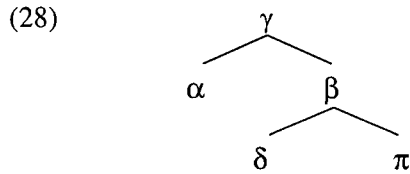


The linearization forced at spell-out for *taanaq-uʔaaʔ* is a case of PF Incorporation. (We will return to the topic of the nominal complements of affixal predicates in Chapter 4.) In this

⁴ A possible stipulation could be directionality. That is, prosodic conditioning should only affect a morpheme to the left of the affixal predicate. The *local spell-out* hypothesis does not need to resort to directionality in determining the site of prosodic conditioning. Chapter 3 discusses problematic aspects of a directionality-sensitive mechanism for affixation.

section, we take a first step towards defining the linearization of more complex syntactic constructs.

As we will see in this section, the linearization of affixal predicates in Nuu-chah-nulth is strictly local. For an explanation of this locality constraint, consider the following syntactic construct:



This configuration is derived via two separate applications of binary concatenation. In the first, δ and π are selected from the numeration $[\alpha, \delta, \pi]$ and are joined through Merge (δ, π) . The output of Merge (δ, π) is β , the abstract node label designating the contents of the pairing. For the second concatenation, α is introduced from the numeration. This concatenation unites α with β , through Merge (α, β) . The syntactic output of this sequence of operations is γ , the root node label.

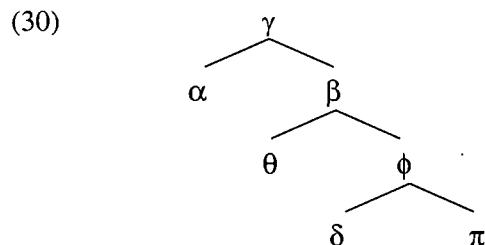
According to the local spell-out hypothesis, after the first round of Merge, δ and π are spelled-out. Let us assume that a consequence of spell-out is that the two elements, δ and π , are ordered with respect to each other. (The means by which this ordering takes place is addressed in Chapter 3, but for now we can adopt this assumption.) Take this ordering to be specified first-to-last as $\langle \delta, \pi \rangle$. When the next element, α , enters the computation and attains spell-out, α must be linearized with respect to β , just as it was in the simpler case of (26).

(29) *Local spell-out:* for Merge (α, β) , spell-out (α, β)

With the derivation in (28), however, β is not a simplex construct; in (28), β is equal to the linearized object $\langle \delta, \pi \rangle$.

Assume that α is an affixal predicate $(-\alpha)$. At spell-out to PF, an ordering of α with respect to β requires that the affixal predicate $(-\alpha)$ must be linearized relative to the ordered object $\langle \delta, \pi \rangle$. The claim that this section makes is that spell-out of this arrangement consistently yields in Nuu-chah-nulth a linearization of $\langle (\delta-\alpha), \pi \rangle$: an affixal predicate in Nuu-chah-nulth only ever suffixes to the element at the initial edge of its derivational sister. For the linearized object $\langle \delta, \pi \rangle$, the host for an affixal predicate is identified as δ . The alternative of $\langle \delta, (\pi-\alpha) \rangle$ never arises in Nuu-chah-nulth.

Furthermore, when even larger derivational samples $\langle \theta, \delta, \pi \rangle$ are considered, we will also see that linearization never ‘skips’ a potential host. Take $\langle \theta, \delta, \pi \rangle$ to be the linearized object specified after two initial applications of Merge. These two concatenations (and corresponding local spell-outs) are following by a third application of Merge, introducing the affixal predicate α .



At the spell-out point of γ , the affixal predicate $-\alpha$ must be linearized with respect to the ordered object $\langle \theta, \delta, \pi \rangle$. Affixation is based on linear adjacency in that the resulting linearization is $\langle (\theta-\alpha), \delta, \pi \rangle$ and not $\langle \theta, (\delta-\alpha), \pi \rangle$. It is the single leftmost element which can serve as the host for the affixal predicate. I label this constraint in Nuu-chah-nulth the *string adjacency effect*:

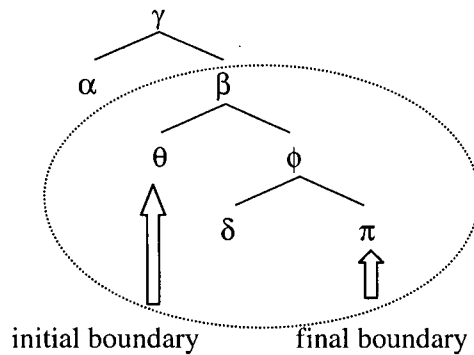
(31) *string adjacency effect*:

An affixal predicate must be linearized as a suffix to an immediately adjacent element.

Which property of the grammar is responsible for this adjacency requirement? I consider this effect to be a reflex of the spell-out of the affix. It arises from local spell-out, in which the affixal predicate is evaluated relative to its derivational sister. The simplest view of the interpretative capacity of spell-out is that it operates without recourse to counting. Formally, linearization is therefore insensitive to ordinal position within a complex string $\langle \theta, \delta, \pi \rangle$. Instead, the linearization mechanism attends to the *boundaries* of the string (cf. Klavans 1985). The initial element of the derivational sister is chosen as host for an affixal predicate in Nuu-chah-nulth due to its peripheral position in the string. This derives the string adjacency effect.

The string adjacency effect follows from the need to linearize an affix with respect to the boundary of its derivational sister. In Nuu-chah-nulth, affixes select as host the element at the left periphery of their derivational sister. There also exists a logically possible alternative: orientation to the right boundary of a derivational sister. Although this option does not arise in Nuu-chah-nulth, it may be observed in languages with ‘phrasal’ affixes which are positioned relative to the final element in a syntactic constituent (Klavans 1985). The two options for orientation of an affix at the boundaries of its derivational sister are illustrated in (32). In (32), the affix α has as its derivational sister the linearized object $\langle \theta, \delta, \pi \rangle$.

(32) *Peripheral positions within the derivational sister of an affix*



Orientation to the initial boundary will yield a string adjacency effect in which the element θ will be selected as host for α , as in Nuu-chah-nulth. Positioning relative to the final boundary will determine that π is chosen as host for α . Thus, the local spell-out model predicts two alternative orientations for choice of hosts. These alternative realisations appear to be attested cross-linguistically (Klavans 1985). This prediction distinguishes the local spell-out model from Marantz's (1988, 1989) Morphological Merger, which allows only string adjacent elements to be chosen as host for an affix.

In the following sub-sections, we will see empirical evidence for the string adjacency effect in Nuu-chah-nulth affixation. I start in §2.2.1, by showing that affixation in Nuu-chah-nulth does not skip potential hosts, but instead feeds a potentially iterative affixation process. In §2.2.2, I present evidence that PF incorporation targets non-heads of a syntactic constituent, so long as these elements are positioned at spell-out contiguous to the affixal predicate. In §2.2.3, it is shown that this operation breaks up coordinated objects – disregarding the Coordinate Structure Constraint – by targeting the conjoined element which abuts the affixal predicate at spell-out.

2.2.1 Iterativity

This section discusses the make-up of complex strings of dependencies. Consider (33), in which a sequence of affixes (including two affixal predicates, *-ʔiɦ* “try to” and *-maɦsa* “want to”) are suffixed to the verb *ɦuɦtak* “know”.

- | | | | |
|------|--|------|-------------------|
| (33) | ɦuɦtakšiiɦmaɦsaʔiš | Lucy | quuquuʔaca |
| | ɦuɦtak-šiiɦ-ʔiɦ[+L]-maɦsa-ʔiš | Lucy | quuʔac-[+R]-(y)a |
| | know-PERF- <u>try.to</u> - <u>want.to</u> -3.IND | Lucy | person-speak-CONT |
| | Lucy wants to learn how to speak Nuu-chah-nulth. | | |

I have described the process of affixation in Nuu-chah-nulth as one applying to pairs of items: an affix and a host. If the linearization specified by local spell-out is a pairwise function, then how

can complex sequences such as (33) be formed? It seems on the surface that there are many affixes, and only a single host (the verb *huhtak* “know”). If the host for an affixal predicate must be linearly adjacent to the affixal predicate, then why is that *-maḥsa* “want to” is attaching to another bound element (*-’iiḥ* “trying to”), and not attaching directly to the free form *huhtak* “know”? Strictly speaking, the affixal predicates *-maḥsa* and *-’iiḥ* cannot be serving as hosts for each other. Each of these affixal predicates are suffixes, so if they must find a host between them, then one will necessarily be left without. Recall from Chapter 1 that the combination of two suffixes, $-\alpha$ and $-\beta$, results in an indeterminate ordering of $(-\alpha-\beta)$ or $(-\beta-\alpha)$. If the ordering of $(-\alpha-\beta)$ is selected, the result fails in that the element $-\alpha$ is not bound as a suffix. Conversely, if the ordering of $(-\beta-\alpha)$ is picked, then $-\beta$ fails to appear as a suffix. Thus, the relationship between the elements is inherently incompatible, and as such, no dependency obtains.

The solution to this problem is iterative application of local spell-out, an idea first introduced in Chapter 1. In the framework I am assuming, spell-out applies for each occurrence of Merge. By the Order Preservation hypothesis (Fox and Pesetsky *to appear*), spell-out of later cycles adds information to previous cycles. A consequence of this proposal is that successive applications of local spell-out enforce a build-up of hosts, induced when one affixal predicate finds a host, and then this affix-host complex in turn serves as the host for another affix. We can take the data in (34) as an illustration of this process.

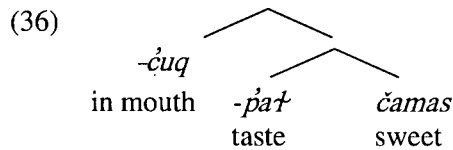
- (34) *čamaspaṭčuqšiʔin*
čamas-paṭ-čuq-šiʔ-in
 sweets-taste-in.mouth-PERF-1PL.IMP
 Let us put something sweet in our mouths.

In (34), there are two affixal predicates: *paṭ* “taste of” and *čuq* “in mouth”. The affixal predicates are followed by the perfective marker *-šiʔ* (PERF), and the imperative marking *-in* (1PL.IMP).

Recall that syntactic derivations are built from bottom-to-top. We assume the first step of the syntactic derivation to be one in which the predicate *paṭ* “taste of” joins with *čamas* “sweet” via Merge (*paṭ*, *čamas*). (In Chapter 4, I show in detail how arguments of affixal predicates such as *paṭ* “taste of” are syntactically introduced.)

- (35)
- | | |
|------------------------------------|-------------------------------------|
| \swarrow
<i>-paṭ</i>
taste | \searrow
<i>čamas</i>
sweet |
|------------------------------------|-------------------------------------|

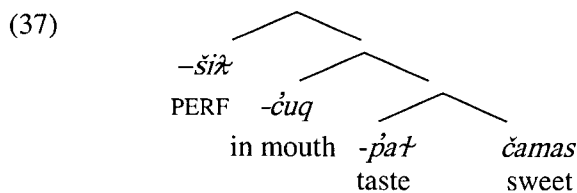
Because *-paṭ* is a suffix, this arrangement must be linearized at spell-out as *<čamas-paṭ>* “sweet-tasting”. Successive steps of Merge result in a longer string of morphemes. In the next stage, *-čuq* “in mouth” is merged into the derivation, via Merge (*čuq*, *čamas-paṭ*).



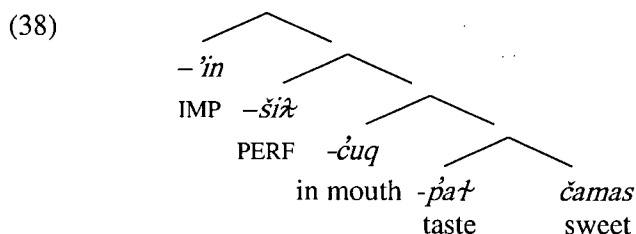
Because $-\dot{c}uq$ is a suffix, this string is linearized as $\langle \dot{c}amas\dot{p}a\dot{t}-\dot{c}uq \rangle$ “something sweet tasting in the mouth”.

Suffixes introduced later in the derivation *follow* a previously positioned suffix. “Tucking in” of suffixes is not permitted, ruling out a form such as * $\langle \dot{c}amas-\dot{c}uq-\dot{p}a\dot{t} \rangle$, in which a later suffix ($-\dot{c}uq$ “in mouth”) would adjoin directly to the original host of the derivation ($\dot{c}amas$ “sweet”), rather than falling outside the last suffix ($-\dot{p}a\dot{t}$ “taste of”). The impermissibility of “tucking in” follows directly from the role that local spell-out plays in fixing phonological content. If suffixes were to adjoin cyclically to the original host, rather than the last suffix, then this would disrupt the placements of earlier cycles. Under the local spell-out model, linearization is fixed at each cycle.

Returning to the derivation of (34), the non-predicative suffixes, $-\dot{s}i\dot{\lambda}$ (PERF) and $-\dot{y}in$ (1PL.IMP), are also eligible for positioning through local spell-out. When the perfective suffix $-\dot{s}i\dot{\lambda}$ (PERF) is merged into the derivation, it is spelled-out to PF with its derivational sister $\dot{c}amas\dot{p}a\dot{t}\dot{c}uq$, inducing a linearization of $\langle \dot{c}amas\dot{p}a\dot{t}\dot{c}uq-\dot{s}i\dot{\lambda} \rangle$ “put something sweet tasting in the mouth”.



Finally, the imperative suffix $-\dot{y}in$ (1PL.IMP) is then positioned at spell-out after it has been merged.



As a suffix, the imperative marker $-\dot{y}in$ (1PL.IMP) is spelled-out following the previously linearized components. The resulting arrangement is $\langle \dot{c}amas\dot{p}a\dot{t}\dot{c}uq\dot{s}i-\dot{y}in \rangle$ “let us put something sweet in our mouths”. The principles of iterative local spell-out therefore resemble the effects of the Mirror Principle of Baker (1988): the left-to-right arrangement of suffixes reflects the first-to-last steps of the syntactic derivation. Suffixes introduced later in the derivation will be linearized

towards the end of the word. In effect, each step of the syntax induces a ‘phonologization’ of the elements of the syntactic tree.

According to my proposal, the ‘phonologizing’ effects of local spell-out are reflected in a sensitivity to string adjacency at PF. Sensitivity to linear ordering is a property of the phonological system, not the syntax (Chomsky 1995, Fox and Pesetsky *to appear*). As I have described, the syntax does not operate on the basis of linear arrangements: it is simply a device of binary concatenation. The next sections add weight to the argument that PF Incorporation is a non-syntactic phenomenon. The data which I will present demonstrates that PF Incorporation operates in Nuu-chah-nulth on linearly adjacent items, irrespective of their internal syntactic structure.

2.2.2 Modifier incorporation

The analysis predicts that any element which is string adjacent to an affixal predicate should be chosen to act as its host; elements which are not linearly adjacent to the affix should not be eligible as hosts. Here, we examine the phenomenon of modifier incorporation as evidence for sensitivity to string adjacency in Nuu-chah-nulth incorporation. The prediction is that a modifier will serve as host for an affixal predicate if it occurs at the left-periphery of its derivational sister. Two types of modifier incorporation will be considered in detail: the first, targetting adjectives; the second, targetting adverbials.

2.2.2.1 Adjective incorporation

Within nominal phrases in Nuu-chah-nulth, there is a strict ordering relationship between constituent elements, such that a modifier necessarily precedes the nominal. (In Chapter 3, I will attribute this regularity to a spell-out ‘convention’.) A modifier such as *haʔum* ‘tasty’ must obligatorily precede a nominal such as *ʔaapinis* ‘apples’.

- (39) a. ʔuʔiicʔiʃʔaʔ haʔum ʔaapinis
 ʔu-ʔiic-ʔiʃʃ-ʔaʔ haʔum ʔaapinis
 Ø-**consume**-3.IND-PL tasty apples
 They are eating delicious apples.
- b. * ʔuʔiicʔiʃʔaʔ ʔaapinis haʔum
 ʔu-ʔiic-ʔiʃʃ-ʔaʔ ʔaapinis haʔum
 Ø-**consume**-3.IND-PL apples tasty
 They are eating delicious apples.

Let us assume for the present discussion that in (39), the affixal predicate *ʔu-ʔiic* ‘consume’ takes the nominal phrase *haʔum ʔaapinis* ‘tasty apples’ as its complement. (Chapter 4 provides an analysis of the syntactic configuration of arguments of affixal predicates.) In this section, we will

see that, as predicted, the choice of host for an affixal predicate is determined by the linear ordering of elements with the nominal phrase that it takes as a complement. An affixal predicate incorporates whatever host is “leftmost in the order Q[uantifier] > Q[quantity] > A[djective] > N[oun]” (Rose 1981: 294). In other words, an affixal predicate obligatorily attaches to the element in the complement which is *string adjacent* to the affixal predicate.

It is this sensitivity to linear ordering which determines that PF Incorporation is not ‘noun incorporation’ in a strict sense. Although affixal predicates may select a noun as host in a simplex nominal complement, this preference switches once a pre-nominal constituent enters the picture. For example, although the nominal *ʔaapinis* “apples” is the host for *ʔu-ʔiic* “consume” in (40a), it cannot act as a host when the prenominal modifier *haʔum* “tasty” appears, as in (40b).

- (40) a. ʔaapiniʔicʔiʃʔaʔ
 ʔaapinis-ʔiic-ʔiʃ-ʔaʔ
 apples-consume-3.IND-PL
 They are eating apples.
- b. * ʔaapiniʔicʔiʃʔaʔ haʔum
 ʔaapinis-ʔiic-ʔiʃ-ʔaʔ haʔum
 apples-consume-3.IND-PL tasty
 They are eating delicious apples.

In this context, the adjective is necessarily chosen to be the host, rather than the modified noun.

In (41), the affixal predicate *ʔu-ʔiic* “consume” suffixes to the modifier *haʔum* “tasty”.

- (41) haʔumʔicʔiʃʔaʔ ʔaapinis
 haʔum-ʔiic-ʔiʃ-ʔaʔ ʔaapinis
 tasty-consume-3.IND-PL apples
 They are eating delicious apples.

Quantifiers, which like adjectives necessarily precede a nominal, show a parallel pattern of being selected as host for the affixal predicate. The example in (42a) shows this quantifier-initial order. An affixal predicate incorporates a quantifier (42b), rather than the quantified noun (42c).

- (42) a. ʔuʔisʔiʃ ʔaya mʔuksʔi
 ʔu-ʔis-ʔiʃ ʔaya mʔuksʔi
 Ø-on.beach-3.IND many rocks
 There's lots of rocks on the beach.
- b. ʔayiisʔiʃ mʔuksʔi
 ʔaya-ʔis-ʔiʃ mʔuksʔi
 many-on.beach-3.IND rocks
 There's lots of rocks on the beach.
- c. * mʔuksʔiʔisʔiʃ ʔaya
 mʔuksʔi-ʔis-ʔiʃ ʔaya
 rock-on.beach-3.IND many
 There's lots of rocks on the beach.

This sensitivity to linear adjacency extends to object *wh*-questions formed by incorporation into an affixal predicate. In “which”-questions, the *wh*-word hosts the predicate, while the restriction is stranded (Davis and Sawai 2001):

- (43) waayaɬamith Louis č’upč’upšum†
 waayaq-’aap-mit-h Louis č’upč’upšum†
 which-buy-PST-3.Q Louis sweater
 Which sweater did Louis buy?

The restriction which Nuu-chah-nulth incorporation has on targeting the “leftmost” element (Rose 1981: 295) is not in the vocabulary of the syntax. This is because incorporation in Nuu-chah-nulth is not a syntactic process. In the Minimalist model I have adopted, syntactic processes operate on the basis of hierarchical relationships – created by binary concatenation – while PF processes operate on the basis of linearly-defined relationships. In the terminology of Lasnik (2000), the two types of analyses may be teased apart in contexts in which *linear* adjacency does not correspond to *hierarchical* adjacency. Let us consider how these types of adjacency differ.

Syntactic head movement is possible only in accordance with the Head Movement Constraint, a restriction which operates on the basis of hierarchical dominance relations within a syntactic tree:

- (44) *Head Movement Constraint* (Travis 1984):

A head Y may only move to X if Y is the sister of X.

Matushansky (*to appear*) terms this relation a ‘head-of-the-complement’ locality. Following Matushansky, I will assume that it is fundamentally a syntactic restriction, as the same notion of locality is at play in c-selection, the means by which heads select the syntactic category of their complement.⁵ Indeed, as Matushansky argues, head movement is possible only between elements when one is c-selected by the other.

For the tree in (45), Y is the sister of X, but Z is not. In other words, Y is the head of the complement of X.

- (45)
-
- ```

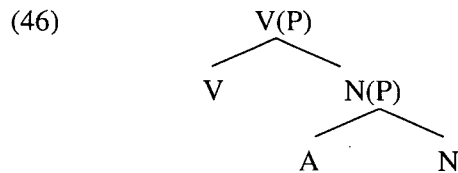
graph TD
 XP["X(P)"] --- X["X"]
 XP --- YP["Y(P)"]
 YP --- ZP["Z(P)"]
 YP --- Y["Y"]

```

<sup>5</sup> Matushansky’s (*to appear*) proposal counters the speculation of Chomsky (2001) that head movement is non-syntactic. See also Donati (2003) and Suranyi (2003), among others for related arguments that head movement is a syntactic phenomenon. I return to this topic in Chapter 6.

Thus, according to the Head Movement Constraint, only Y should be eligible to move to X.<sup>6</sup> Movement of Z to X should be impossible. The ‘head-of-the-complement’ locality of head movement is a hierarchically-defined restriction. This locality is sensitive to which element of a syntactic pairing is the one to project. In (45), Y projects after Merge (Z, Y). Therefore, Y is the head of the complement. Y may be said to be ‘hierarchically’ adjacent to X, because it is Y(P) which is united with X in the next round of structure-building, Merge (Y, X). This notion of hierarchical adjacency is not equivalent to linear adjacency. If the syntactic object of (45) is realised as the linearized object <X, Z, Y>, then X shares a linear adjacency to Z *despite the fact that Z is not hierarchically adjacent to X*.

As Baker (2003: 152) describes, it is a consequence of this hierarchical adjacency restriction that adjective incorporation is explicitly forbidden syntactically: “the head movement constraint implies that one can never incorporate the attributive modifier of a noun to form a  $A_k + V$  [ $_{NP} t_k N$ ] structure...” Thus, even if an adjective in complement position of a verb is linearly adjacent to the verb (‘leftmost’ of the complement), it is not ‘hierarchically adjacent’ to the verb in the syntax. In the tree in (46), the N is the head-of-the-complement of V; A is not the head-of-the-complement.



By the Head Movement Constraint, it is therefore predicted to be impossible for A to move to V.

In contrast, operations which are processed on the basis of linear adjacency are not restricted by head-of-the-complement locality. Let us consider the case of adjectival incorporation in Nuu-chah-nulth as evidence that incorporation operates on the basis of linear adjacency within derivational sisters, and not on the basis of hierarchical adjacency. Recall from the preceding discussion that an affixal predicate incorporates an adjective contained in its complement. I repeat here the example of adjective incorporation shown earlier in (41).

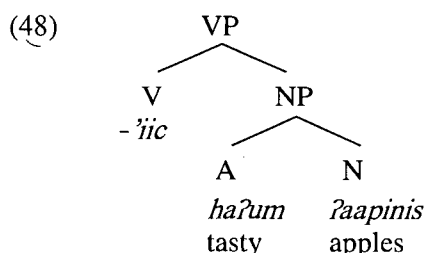
- (47)    haʔumʔicʔiʃʔaʔ            ʔaapinis  
           haʔum-ʔiic-ʔiʃ-ʔaʔ        ʔaapinis  
           tasty-**consume**-3.IND-PL apples  
           They are eating delicious apples.

<sup>6</sup> I wait until Chapter 6 to discuss a particular technical implementation of syntactic head movement. The traditional model of head adjunction (Travis 1984, Baker 1988) is at odds with the Minimalist requirement that movement (remerge) only be effected at the root node (the Extension Condition). Suranyi (2003) and Matushansky (*to appear*) each offer analyses of syntactic head movement compatible with the Extension Condition. Either of these approaches would be compatible with my assumptions here. See Chapter 6 for further discussion of syntactic head movement.



It is impossible for an affixal predicate to incorporate the noun if an adjective is present. Crucially for our discussion, it was also noted that an adjective necessarily precedes a modified noun in Nuuchahnulth. Thus, where  $\delta$  is a modifier and  $\pi$  a noun, the affixal predicate  $-\alpha$  is attached to the leftmost element in its complement  $\langle \delta, \pi \rangle$ , yielding a linearization of  $\langle (\delta-\alpha), \pi \rangle$ .

Adjective incorporation is an ideal test case for determining whether linearization of affixal predicates is a syntactic or PF phenomenon because for an adjective contained within the complement of a verb, *linear* adjacency between the adjective and verb does not correspond to *hierarchical* adjacency. That is, while an adjective may directly follow a verb, it is the noun which heads the complement that is hierarchically adjacent to the verb, not the adjective contained within the complement. The diagram in (48) shows the proposed syntactic structure for a modified noun phrase *haʔum ʔaapinis* ‘tasty apples’ which occurs as the complement of the affixal predicate *ʔu-ʔiic* ‘consume’.



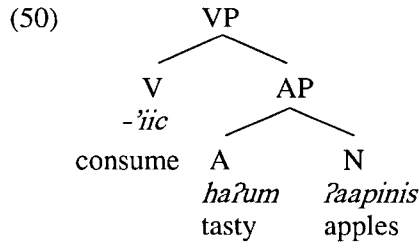
If incorporation in Nuuchahnulth were a case of syntactic head movement, then the movement should be in accordance with the Head Movement Constraint. This constraint determines that for (48), the noun should incorporate, but the adjective should not. This is not what occurs in Nuuchahnulth incorporation. In Nuuchahnulth, it is ungrammatical if the noun incorporates into the affixal predicate when an adjective is present. This is shown in (49a), in which the affixal predicate *-ʔiic* ‘consume’ incorrectly suffixes to the noun *ʔaapinis* ‘apples’. As indicated in (49b), the affixal predicate must instead suffix to the adjective *haʔum* ‘tasty’.

- (49)
- |    |   |                                   |          |
|----|---|-----------------------------------|----------|
| a. | * | ʔaapiniʔiicʔiʃʔaʔ                 | haʔum    |
|    |   | ʔaapinis-ʔiic-ʔiʃʔaʔ              | haʔum    |
|    |   | apples- <u>consume</u> -3.IND-PL  | tasty    |
|    |   | They are eating delicious apples. |          |
| b. |   | haʔumʔiicʔiʃʔaʔ                   | ʔaapinis |
|    |   | haʔum-ʔiic-ʔiʃʔaʔ                 | ʔaapinis |
|    |   | tasty- <u>consume</u> -3.IND-PL   | apples   |
|    |   | They are eating delicious apples. |          |

This behaviour follows from the generalisation that an affixal predicate suffixes to whatever element is left-most in the noun phrase. The fact that incorporation in Nuuchahnulth targets whatever element is contiguous to the affixal predicate, irrespective of hierarchical adjacency, is

predicted by a local spell-out analysis in which incorporation operates on the basis of string adjacency. However, such behaviour is not predicted by a syntactic head movement analysis of incorporation.

In order to maintain a syntactic head-movement analysis of Nuu-chah-nulth incorporation, it would become necessary to abandon a noun-headed analysis of the complement phrase, in favour of a representation in which modifiers head the phrase containing the nominal (Stonham 2004). Such an analysis is represented in (50).



However, this putative case of syntactic incorporation is at odds with independent evidence for the nominal headedness of adjective-noun combinations. This independent evidence comes from categorial restrictions on modification in Nuu-chah-nulth.

Modification in Nuu-chah-nulth is sensitive to a constraint on syntactic category (Wojdak 2000, 2001). While adjectives may modify a noun (such as *haakʷaaʔ* “girl”), an adjective (such as *ʔaaphii* “friendly”) is barred from serving as the modifier of another adjective.

- (51)
- |    |                                             |                    |           |
|----|---------------------------------------------|--------------------|-----------|
| a. | ʔuʔukʷinkitsiš                              | [qʷaʕaʔaqʔi        | haakʷaaʔ] |
|    | ʔu-kʷink-[+R]-mit-siš                       | [qʷaʕaʔ-aq[+S]-ʔii | haakʷaaʔ] |
|    | Ø-with-speak-PST-1SG.IND                    | beautiful-AUG-DET  | girl      |
|    | I talked with the beautiful girl.           |                    |           |
| b. | * ʔuʔukʷinkitsiš                            | [qʷaʕaʔaqʔi        | ʔaaphii]  |
|    | ʔu-kʷink-[+R]-mit-siš                       | [qʷaʕaʔ-aq[+S]-ʔii | ʔaaphii]  |
|    | Ø-with-speak-PST-1SG.IND                    | beautiful-AUG-DET  | friendly  |
|    | I talked with the beautiful friendly (one). |                    |           |

The distinction between nominals and adjectives in such modification constructions is clearly not reducible to a non-categorial difference such as a contrast between stage-level and individual-level predication (Kratzer 1995) or between transitives and intransitives. Lexical items like *haakʷaaʔ* “girl” and *qʷaʕaʔ* “beautiful” are each one-place predicates which denote individual-level (non-transient) properties. Thus, I follow the argument which Demirdache and Matthewson (1995) make for Salish in proposing that the factor which distinguishes the class of these lexical items is inherent lexical category.

The argument for the headedness of adjective-noun combinations comes from consideration of iteratively modified forms such as (52).

- (52)    ?u?uk<sup>w</sup>inkitsiš                      [q<sup>w</sup>ačat<sup>+</sup>aq?i                      ?aaphii    haak<sup>w</sup>aaʔ]  
          ?u-k<sup>w</sup>ink-[+R]-mit-siiš            [q<sup>w</sup>ačat<sup>+</sup>-aq[+S]-?ii            ?aaphii    haak<sup>w</sup>aaʔ]  
          Ø-with-speak-PST-1SG.IND       beautiful-AUG-DET            friendly girl  
          I talked with the beautiful friendly girl.

Given the category-sensitive restriction in (53a-b), we can deduce for an iteratively modified form that adjectival headedness of the phrase is ruled out (54b), in favour of nominal headedness (54a). In other words, since we know that adjective-adjective modification is impossible, it must be the case that the internal constituent denoted by bracketing in (54) must be behaving syntactically as a noun phrase, and not an adjective phrase. If the internal constituent in (54) were behaving as an adjective phrase, then it would be incorrectly predicted that combination with another adjective, as in (54b), should be impossible.

- (53)    a.        AP + NP                      ⇒        (54)    a.        AP + <sub>NP</sub>[AP + NP]  
              b.        \* AP + AP                      ⇒        b.        \* AP + <sub>AP</sub>[AP + NP]

Thus, in an adjectivally-modified noun, it must be the noun that is the head of the phrase.

This, in turn, implies that incorporation in Nuu-chah-nulth applies to morphemes (eg. adjectives) which are not the syntactic heads of the complement of an affixal predicate. This constitutes strong evidence against a syntactic account of Nuu-chah-nulth incorporation in which the head movement is subject to the Head Movement Constraint. Adjectives are not hierarchically adjacent to the affixal predicate; however, they do maintain a linear adjacency to the affixal predicate. A linearization mechanism sensitive to linear adjacency, but not hierarchical adjacency, predicts this incorporation pattern in Nuu-chah-nulth.

#### 2.2.2.2 Adverbial incorporation

This sensitivity to linear ordering may also be observed with affixal predicates which take verbal, rather than nominal, complements. Affixal predicates in this class include *-qaath* “claim” and *?u-ʃiʔ* “come upon”. These predicates allow incorporation of a verb from their logical complement.

- (55)    a.        waʔʃiʔqathitsiš  
              waʔ-ʃiʔ-qaath-mit-siiš  
              go.home-PERF-claim-PST-1SG.IND  
              I claimed I went home.  
              b.        waʔiçʃiʔitsiš                      Ken  
              waʔiç-ʃiʔ-mit-siiš                      Ken  
              sleep-come.upon-PST-1SG.IND    Ken  
              I came upon Ken sleeping.

This section considers the pattern of adverbials which respect to these ‘verb-incorporating’ affixal predicates.

Outside of incorporation contexts, adverbials in Nuu-chah-nulth split into two classes, depending on whether they are subject to flexible or rigid positioning relative to the main predicate. ‘High’ adverbials (Cinque 1999), such as subject-oriented or temporal adverbs, have a flexible order, and may either precede or follow a main predicate. This class includes subject-oriented *qʷaʔuuḥ* “purposely” and temporals *čaani* “first” and *naʔiik* “immediately”. The two patterns for these flexibly-positioned adverbs are shown in the examples below. In each of the (a) cases, the adverb appears before the main verb. In the (b) examples, the adverb follows the main verb. (Inflectional morphemes, as ‘second position’ enclitics, suffix to whatever word is first in the clause.)

- (56) a. *qʷaʔuuḥʔiš* Florence *nunuuk*  
*qʷaʔuuḥ-ʔiš* Florence *nunuuk*  
 purposely-3.IND Florence sing  
 Florence is purposely singing.
- b. *nunuukʔiš* Florence *qʷaʔuuḥ*  
*nunuuk-ʔiš* Florence *qʷaʔuuḥ*  
 sing-3.IND Florence purposely  
 Florence is purposely singing.  
 (context: *Florence’s neighbour kept her awake last night and now she wants to get even by being loud*)
- (57) a. *čaaniʔaqʔʔiš* *waʔiç*  
*čaani-ʔaqʔ-ʔiš* *waʔiç*  
 first-FUT-3.IND sleep  
 He will sleep first (i.e. before doing something else).
- b. *waʔiçʔaqʔʔiš* *čaani*  
*waʔiç-ʔaqʔ-ʔiš* *čaani*  
 sleep-FUT-3.IND first  
 He will sleep first (i.e. before doing something else).
- (58) a. *naʔiikʔaqʔsiš* *waʔšiʔ* *hawiiʔaʔquu*  
*naʔiik-ʔaqʔ-siš* *waʔ-šiʔ* *hawii-ʔaʔ-quu*  
 immediately-FUT-1SG.IND go.home-PERF finish-TEMP-3.COND  
 I will immediately go home when it’s finished.
- b. *waʔšiʔaqʔsiš* *naʔiik* *hawiiʔaʔquu*  
*waʔ-šiʔ-ʔaqʔ-siš* *naʔiik* *hawii-ʔaʔ-quu*  
 go.home-PERF-FUT-1SG.IND immediately finish-TEMP-3.COND  
 I will immediately go home when it’s finished.

Manner adverbials, in contrast, belong to a second class which must rigidly precede the main predicate. These manner adverbials include *wityʔax* “slowly”, *čamaqʔ* “properly” and *ḥacuk* “(sleep) deeply”. As shown in the following (a) examples, it is grammatical for the manner adverbial to precede the predicate it modifies. In the (b) examples, in contrast, ungrammaticality arises when the manner adverbial follows the main predicate.

- (59) a. wityaxits                      waatšix  
wityax-mit-s                      waṭ-[+L]-šix  
slow-PST-1SG.ABS              go.home-CONT-PERF  
I was going home slowly.
- b. \* waatšixits                                      wityax  
waṭ-[+L]-šix-mit-s                              wityax  
go.home-CONT-PERF-PST-1SG.ABS              slow  
I was going home slowly.
- (60) a. čamaqʔiṣ              titiqs      Florence  
čamaqʔ-iṣ              titiqs      Florence  
properly-3.IND dry      Florence  
Florence is drying dishes properly.
- b. \* titiqsṣiṣ              čamaqʔ              Florence  
titiqs-iṣ              čamaqʔ              Florence  
dry-3.IND              properly              Florence  
Florence is drying dishes properly.
- (61) a. ʔacukʷitṣiṣ                      waṣiṣ      Ken  
ʔacuk-mit-ṣiṣ                      waṣiṣ      Ken  
deeply-PST-3.IND                  sleep      Ken  
Ken was sleeping deeply.
- b. \* waṣiṣitṣiṣ                      ʔacuk      Ken  
waṣiṣ-mit-ṣiṣ                      ʔacuk      Ken  
sleep-PST-3.IND                  deeply      Ken  
Ken was sleeping deeply.

What is the suffixation pattern of affixal predicates which take adverbially-modified complements? Rose (1981: 296) makes the following general statement about sentential complements: “[p]arallel to NP incorporation, it is the left-most and highest constituent of the clause governed by the suffix which serves as base to the suffix”. Rose’s generalisation makes the correct predictions about the incorporation pattern of adverbials. In the case of flexibly positioned adverbials, an affixal predicate has the option of attaching to either the adverbial or the verb. In the following (a) examples, the affixal predicate suffixes to the adverbial; in the (b) examples, suffixation is to the verb.

- (62) a. qʷaṣuḥqathṣiṣ                      taṣiṣ      Ken  
qʷaṣuḥ-qaath-ṣiṣ                      taṣiṣ      Ken  
purposely-claim-3.IND sick      Ken  
Ken is pretending to be sick on purpose.
- b. taṣiṣqathṣiṣ                      Ken      qʷaṣuḥ  
taṣiṣ-qaath-ṣiṣ                      Ken      qʷaṣuḥ  
sick-claim-3.IND                      Ken      purposely  
Ken is pretending to be sick on purpose.

- (63) a. čaaniwɪtassiš                      waʔiç  
           čaani-wɪtas-siiš              waʔiç  
           first-gonna-1SG.IND      sleep  
           I'm gonna sleep first (ie. before doing something else)
- b. waʔiçwɪtassiš                      čaani  
           waʔiç-wɪtas-siiš              čaani  
           sleep-gonna-1SG.IND      first  
           I'm gonna sleep first (ie. before doing something else)
- (64) a. naʔiikqathitsiš                      waʔšiʔ              ʔatquu      wiktumsa  
           naʔiik-qaath-mit-siiš              waʔ-šiʔ              ʔatquu      wik-tum-sa  
           immediately-claim-PST-1SG.IND go.home-PERF although NEG-PST-1SG.DEP  
           I claimed I went home immediately, but I didn't.
- b. waʔšiʔqathitsiš                      naʔiik              ʔatquu      wiktumsa  
           waʔ-šiʔ-qaath-mit-siiš              naʔiik              ʔatquu      wik-tum-sa  
           go.home-PERF-claim-PST-1SG.IND immediately although NEG-PST-1SG.DEP  
           I claimed I went home immediately, but I didn't.

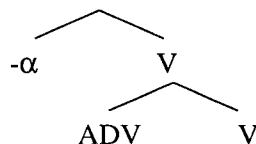
For adverbials which rigidly precede a predicate, however, the choice of host for the affixal predicate is inflexible: the affix must attach to the adverbial, rather than to the verb. As the following (a) examples illustrate, it is grammatical for the affixal predicate to attach to the manner adverbial. However, the (b) examples show that it is ungrammatical for the affixal predicate to suffix to the verb, rather than the manner adverbial.

- (65) a. wityaxmaḥsasiš                      waatšiʔ  
           wityax-maḥsa-siiš              waʔ-[+L]-šiʔ  
           slow-want.to-1SG.IND      go.home-CONT-PERF  
           I want to go home slowly.
- b. \* waatšiʔmaḥsasiš                      wityax  
           waʔ-šiʔ[+L]-maḥsa-siiš              wityax  
           go.home-CONT-PERF-want.to-1SG.IND      slow  
           I want to go home slowly.
- (66) a. čamaqʔqathʔiš                      titiqs      Florence  
           čamaqʔ-qaath-ʔiis              titiqs      Florence  
           properly-claim-3.IND      dry      Florence  
           Florence is pretending to dry dishes properly.
- b. \* titiqsqathʔiš                      čamaqʔ              Florence  
           titiqs-qaath-ʔiis              čamaqʔ              Florence  
           dry-claim-3.IND              properly              Florence  
           Florence is pretending to dry dishes properly.
- (67) a. ḥacukʔiʔitsiš                      waʔiç      Ken  
           ḥacuk-iʔ-mit-siiš              waʔiç      Ken  
           deeply-come.upon-PST-1SG.IND      sleep      Ken  
           I came upon Ken in a deep sleep.

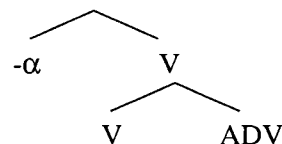
- b. \*    waʔičʃiʔitsiʃ                      ʔacuk    Ken  
          waʔič-~~ʃiʔ~~-mit-siiʃ                ʔacuk    Ken  
          sleep-come.upon-PST-1SG.IND   ʔacuk    Ken  
          I came upon Ken in a deep sleep.

This difference in the incorporation pattern of the two sets of adverbials follows from an analysis in which PF incorporation is sensitive to the linear ordering of elements. If an adverbial permits a postverbal syntactic positioning, then it is possible for the verb to serve as a host for the affixal predicate at local spell-out. However, if an adverbial is only ever linearized preceding the verb, then the verb will not be in the ‘leftmost’ position which allows it to be string adjacent to the affixal predicate at spell-out. The distinct linearization schemes of preverbal and postverbal adverbials are indicated in (68), in which the verbal phrase is the derivational sister to an affixal predicate  $-\alpha$ . Variably positioned adverbs allow either ordering, while manner adverbials require (68a).<sup>7</sup>

(68) a. *preverbal adverbials*



b. *postverbal adverbials*



At spell-out, the affixal predicate  $-\alpha$  requires a host. In the case of preverbal adverbials as in (68a), it is the adverbial which is contiguous to the affixal predicate; as such, the adverbial acts as the host for the affixal predicate. For postverbal adverbials such as (68b), however, it is the verb which is ‘leftmost’ in the phrase; consequently, the verb is selected as the host for the affixal predicate. Thus, adverbial incorporation in Nuu-chah-nulth shows a sensitivity to independently attested restrictions on linear ordering.

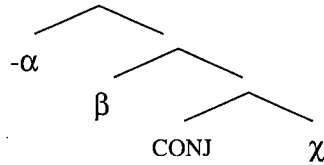
### 2.2.3 Coordinated objects

According to the local spell-out hypothesis, an affix is linearized with respect to an immediately neighbouring element. The host for an affix is necessarily selected from the derivational sister of the affix. Since this linearization process operates on the basis of string adjacency, the analysis predicts that the internal syntactic structure of the derivational sister to the affix should be irrelevant to the linearization process. This section concerns the specific prediction of this model for coordinated structures. The string adjacency effect determines that an affix should be linearized relative to a linearly adjacent element in a coordinated object. In the following

<sup>7</sup> This raises the question of what determines this ordering. See Chapter 3 for discussion.

diagram, a conjunction (CONJ) joins two conjuncts,  $\beta$  and  $\chi$ . This coordinated phrase is the derivational sister of the affix  $-\alpha$ .

(69)



The prediction of the analysis is that in Nu-chah-nulth  $\beta$  should act as the host of the affix  $-\alpha$ . This section illustrates that this prediction holds.

The behaviour of coordinated objects provides evidence that PF incorporation operates on the basis of linear adjacency in Nu-chah-nulth. The conjunction *ʔuhʔiis* (CONJ) is used exclusively to conjoin nominals in Nu-chah-nulth. Its use is shown in (70), in which it appears between the two conjuncts, *haak<sup>w</sup>aaʔ* “girl” and *maʔiʔqac* “boy” in (70a) and *Bill* and *Mary* in (70b).

- (70) a.    *naačʔiihamitsiʃ*                      *haak<sup>w</sup>aaʔ*                      *ʔuhʔiis*    *maʔiʔqac*  
              *naač-ʔiiha-mit-siiʃ*                      *haak<sup>w</sup>aaʔ*                      *ʔuhʔiis*    *maʔiʔqac*  
              see-glimpse-PST-1SG.IND                      girl                      CONJ    boy  
              I caught a glimpse of a girl and a boy.
- b.    *huuʔhuuʔamitʔiʃ*                      *Bill*    *ʔuhʔiis*    *Mary*  
              *huuʔ-a[+R]-mit-ʔiʃ*                      *Bill*    *ʔuhʔiis*    *Mary*  
              dance-ITER-PST-3.IND    *Bill*    CONJ    *Mary*  
              Bill and Mary were dancing.

First, we must note that the Coordinate Structure Constraint (CSC) is operative in syntactic movement in Nu-chah-nulth, as with the *wh*-questions shown below. The examples in (71) are object *wh*-questions, while the examples in (72) are subject *wh*-questions. In the (a) examples, we have a grammatical case of *wh*-movement which does not make use of conjunction. In the (b) and (c) examples, however, it is shown that it is ungrammatical for *wh*-movement to target a single conjunct of the argument. These CSC-violating examples are ruled out in Nu-chah-nulth, parallel to the English cases which are given as their literal translation.

- (71) a.    *ʔaačačiʔitk*                      *naačʔiiha*  
              *ʔačaq-čiʔ[+L]-mit-k*                      *naač-ʔiiha*  
              who-AUX-PST-2SG.Q    see-glimpse  
              Who did you catch a glimpse of?
- b.    \* *ʔaačačiʔitk*                      *naačʔiiha*                      *ʔuhʔiis*    *maʔiʔqac*  
              *ʔačaq-čiʔ[+L]-mit-k*                      *naač-ʔiiha*                      *ʔuhʔiis*    *maʔiʔqac*  
              who-AUX-PST-2SG.Q    see-glimpse    CONJ    boy  
              (*lit*: “Who did you catch a glimpse of and a boy?”)



- c. \* ʔaačaci+itk                      ʔaačp̄iiha                      ʔaakʷaaʔ                      ʔuhʔiis  
 ʔačaq-čit[+L]-mit-k                      ʔaač-p̄iiha                      ʔaakʷaaʔ                      ʔuhʔiis  
 who-AUX-PST-2SG.Q                      see-glimpse                      girl                      CONJ  
 (lit: “Who did you catch a glimpse of a girl and?”)
- (72) a. ʔačaqith                      huut+huuta  
 ʔačaq-mit-h                      huut-a[+R]  
 who-PST-3.Q                      dance-ITER  
 Who was dancing?
- b. \* ʔačaqith                      huut+huuta                      ʔuhʔiis Mary  
 ʔačaq-mit-h                      huut-a[+R]                      ʔuhʔiis Mary  
 who-PST-3.Q                      dance-ITER                      CONJ Mary  
 (lit: “Who and Mary was dancing?”)
- c. \* ʔačaqith                      huut+huuta                      Bill                      ʔuhʔiis  
 ʔačaq-mit-h                      huut-a[+R]                      Bill                      ʔuhʔiis  
 who-PST-3.Q                      dance-ITER                      Bill                      CONJ  
 (lit: “Bill and who was dancing?”)

In the case of PF incorporation, however, a different pattern emerges. In the Ahousaht dialect of Nuuchah-nulth, the first word of a coordinated object is chosen to host an affixal predicate, in striking contrast to the pattern of CSC-obeying syntactic movement. Examples of this characteristic of PF Incorporation are shown below. For example, in (73b), the affixal predicate *ʔu-kʷistap* “take away” incorporates the nominal *hamuut* “bones”, leaving stranded the remainder of the conjunction *ʔuhʔiis kuuna* “and gold”. Additional cases of incorporation targetting the first word of the conjunct are shown in (74b) and (75b).

- (73) a. ʔukʷistamitʔiis                      mamaʔni                      hamuut                      ʔuhʔiis                      kuuna  
 ʔu-kʷist-ap-mit-ʔiis                      mamaʔni                      hamuut                      ʔuhʔiis                      kuuna  
 Ø-move.away-TR-PST-3.IND                      white.people                      bones                      CONJ                      gold  
 White people took away the bones and gold.
- b. hamuutkʷistamitʔiis                      mamaʔni                      ʔuhʔiis                      kuuna  
 hamuut-kʷist-ap-mit-ʔiis                      mamaʔni                      ʔuhʔiis                      kuuna  
 bones-move.away-TR-PST-3.IND                      white.people                      CONJ                      gold  
 White people took away the bones and gold.
- (74) a. ʔuʔaamitsiis                      čʔapac                      ʔuhʔiis                      čima  
 ʔu-ʔaap-mit-siis                      čʔapac                      ʔuhʔiis                      čima  
 Ø-buy-PST-1SG.IND                      canoe                      CONJ                      net  
 I bought a canoe and a net.
- b. čʔapacʔamitsiis                      ʔuhʔiis                      čima  
 čʔapac-ʔaap-mit-siis                      ʔuhʔiis                      čima  
 canoe-buy-PST-1SG.IND                      CONJ                      net  
 I bought a canoe and a net.
- (75) a. ʔuʔaaʔasči                      ʔiʔiickuk                      ʔuhʔiis                      ʂuukʷaa  
 ʔu-ʔaa-ʔas-či                      ʔiʔiickuk                      ʔuhʔiis                      ʂuukʷaa  
 Ø-buy-go-2SG.GO                      flour                      CONJ                      sugar  
 Go buy flour and sugar!

- b.       $\text{ʔiʔickuk} \text{haa} \text{ʔas} \text{či}$                        $\text{ʔuh} \text{ʔiis}$      $\text{ʃuuk}^{\text{waa}}$   
           $\text{ʔiʔickuk} \text{-} \text{haa} \text{-} \text{'as} \text{-} \text{či}$                        $\text{ʔuh} \text{ʔiis}$      $\text{ʃuuk}^{\text{waa}}$   
          flour-**buy-go**-2SG.GO                      CONJ    sugar  
          Go buy flour and sugar!

Under a purely syntactic analysis of incorporation, examples such as (73b-75b) should be banned by the Coordinate Structure Constraint. However, since sensitivity to linear order is a property of phonology (Chomsky 1995), this behaviour is directly predicted under an account which derives these linearizations from spell-out to PF.<sup>8</sup>

In this section, we saw that the linearization of affixal predicates in Nuuchahnulth shows an insensitivity to syntactic constituency. The next section discusses the observation that this process is similarly blind to syntactic category.

### 2.3 Insensitivity to syntactic category

The local spell-out hypothesis states that an affix is linearized with whatever element is adjacent to the affix at the point of spell-out. This linearization is predicted to be insensitive to syntactic category, as the process is attuned to string adjacency. As this section demonstrates, PF Incorporation in Nuuchahnulth is unselective for syntactic category.

Affixal predicates which select nominal complements may incorporate a noun, adjective, quantifier, *wh*-pronoun or relative pronoun. This range of possible hosts is illustrated in (76) for the affixal predicate *ʔu-ʔaap* ‘buy’.

- (76) a.       $\text{čupčupšum} \text{ʔamitsi} \text{š}$   
           $\text{čupčupšum} \text{ʔ-} \text{'aap} \text{-mit-siis}$   
          sweater-**buy**-PST-1SG.IND  
          I bought a sweater. (noun)
- b.       $\text{ʔih} \text{ʔaamitsi} \text{š}$                        $\text{čupčupšum} \text{ʔ}$      $\text{si} \text{ʔa}$   
           $\text{ʔih} \text{-} \text{'aap} \text{-mit-siis}$                        $\text{čupčupšum} \text{ʔ}$      $\text{si} \text{ʔa}$   
          red-**buy**-PST-1SG.IND    sweater    1SG  
          I bought a red sweater. (adjective)

<sup>8</sup> Additional evidence comes from the Tseshaht dialect of Nuuchahnulth. In Tseshaht, the first component of a complex numeral is selected as the host for an affixal predicate, while the other elements are stranded (Stonham 1998, 2004). The following example is adapted from Stonham (1998: 391, ex. 21b)

- (i)       $\text{hayu} \text{ʔisuksi}$                        $\text{ʔi} \text{š}$      $\text{suč} \text{'iiq}$   
           $\text{hayu} \text{-iiq-} \text{'iis} \text{-uk-si}$                        $\text{ʔi} \text{š}$      $\text{suč} \text{'a-i iq}$   
          ten-score-**consume**-POSS-1SG.IND    CONJ    five-score  
          I spent three hundred (dollars) on him. (Tseshaht dialect)

This constitutes a difference between the Tseshaht and Ahousaht dialects, since in the Ahousaht dialect, parallel examples with coordinated numerals are ungrammatical. In Ahousaht, coordinated numbers act as impenetrable chunks for incorporation, perhaps indicating lexicalization.

- c. hiʔaapʔatukʔiʃ nuutinum(minh)  
 hiʃ-ʔaap-ʔat-uk-ʔiʃ nuutinum(-mih)  
 all-buy-PASS-POSS-3.IND necklace(-PL)  
 All his/her necklaces were bought. (quantifier)
- d. ʔaqiʔamith Louis  
 ʔaqi-ʔaap-mit-h Louis  
 what-buy-PST-3.Q Louis  
 What did Louis buy? (wh-pronoun)
- e. kʷinʔaʔitʔiʃ John ʔuʃʔin yaʃaamitʔitk  
 kʷinʔaʔ-mit-ʔiʃ John ʔuʃʔin yaq-ʔaap-mit-ʔitk  
 like-PST-3.IND John dress REL-buy-PST-2SG.RL  
 John liked the dress you bought. (relative pronoun)

In a strict sense, PF incorporation is therefore not equivalent to ‘noun incorporation’. Although an affixal predicate (which takes a nominal complement) is capable of incorporating a noun, elements with a range of other syntactic categories can serve the role of host.

The same is true for the phenomenon described as ‘verb incorporation’ in Nuuchahnulth. As previously discussed, adverbial modifiers show the ability to incorporate, along with verbs.

- (77) a. kamatqumahsaʔiʃ Florence  
 kamatq-uk-mahsa-ʔiʃ Florence  
 run-DUR-want.to-3.IND Florence  
 Florence wants to run.
- b. ʔaʔixmahsaʔiʃ kamatquk Florence  
 ʔaʔix-mahsa-ʔiʃ kamatq-uk Florence  
 fast-want.to-3.IND run-DUR Florence  
 Florence wants to run fast.

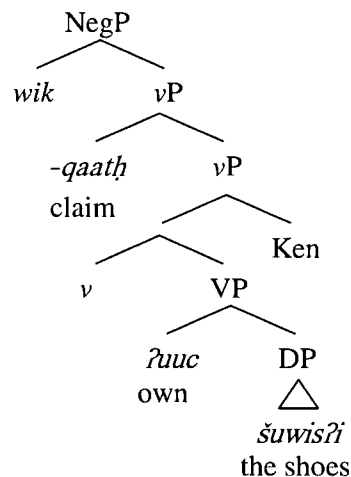
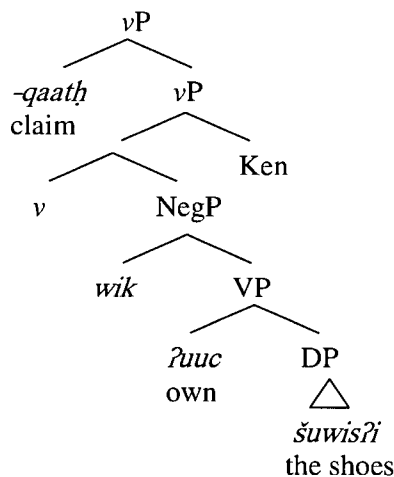
The negative particle *wik* can also incorporate into an affixal predicate. However, this pattern is restricted by constraints on string adjacency, and is thus indirectly conditioned by syntactic structure. In Nuuchahnulth, suffixation to the negative particle *wik* applies only with ‘low’ scope negation, and not with ‘high’ scope negation. In the ‘low’ negation example of (78a), the affixal predicate –*qaath* ‘claim’ suffixes to *wik* NEG; in the ‘high’ negation example of (78b), the affixal predicate instead suffixes to the verb *ʔu-uc* ‘own’.

- (78) a. wikqaathʔiʃ Ken ʔuuc šuwisʔi  
 wik-qaath-ʔiʃ Ken ʔu-ic šuwis-ʔii  
 NEG-claim-3.IND Ken Ø-own shoes-DET  
 Ken claims he doesn’t own the shoes. (‘low’ negation)
- b. wikʔiʃ ʔuucqath Ken šuwisʔi  
 wik-ʔiʃ ʔu-ic-qaath Ken šuwis-ʔii  
 NEG-3.IND Ø-own-claim Ken shoes-DET  
 Ken doesn’t claim to own the shoes. (‘high’ negation)

As with adverbial incorporation, the availability of *wik* NEG to incorporate relates to linear ordering restrictions on the incorporation process. As Rose (1981: 296) describes, “it is the left-most and highest constituent of the clause governed by the suffix which serves as base to the suffix”. With ‘low’ negation, *wik* NEG hosts the affixal predicate, while in ‘high’ negation, a verb takes over as host. This can be related to the spell-out position of the respective hosts. In ‘low’ negation, the negative particle *wik* appears in the derivational sister to the affixal predicate *-qaath* “claim”, and is spelled-out adjacent to the affixal predicate. (Chapter 5 provides argumentation for the syntactic representation that I assume here.) In ‘high’ negation, however, the verb *ʔu-uc* “own” is spelled-out adjacent to the affixal predicate. Only in ‘low’ negation does the negation particle *wik* (NEG) fall into a position where it is the left-most element in the derivational sister of *-qaath* “claim”, as shown in (79a). In (79b), in contrast, it is the verb *ʔu-uc* “own” which is the left-most element of the derivational sister of *-qaath* “claim”.

(79) a. ‘low’ negation

b. ‘high’ negation



Thus, due to the string adjacency effect on incorporation, for (79a), a linearization of *<wik-qaath ʔuuc šuwisʔi Ken>* is anticipated. In (79b), the ordering of *<ʔuucqaath šuwisʔi Ken>* is predicted. This linearization mechanism is not sensitive to a difference in syntactic category between the negation host *wik* (NEG) and the verbal host *ʔu-uc* “own”.

## 2.4 Absence of LF effects

Under a model in which LF effects are restricted to the narrow syntax (Chomsky 1995), spell-out to PF is predicted to have no semantic effects. This section considers two domains in which an observable LF effect is absent from Nuuchah-nulth PF Incorporation: first, the referentiality of the incorporee (§2.4.1), and secondly, scopal effects (§2.4.2).

### 2.4.1 Discourse transparency

In many languages in which verbs affix to nouns, the incorporated noun shows distinct referential properties from independent nouns in the language (Mithun 1984). As Mithun reports, in Huastla Nahuatl, when a noun is first introduced in the discourse, it must not be incorporated. The following examples are from Mithun (1984: 860-861, ex. (58)).

(80) *Nahuatl*

- A: askeman ti-'-kwa nakatl.  
 never you-it-eat meat  
 You never eat meat.
- B: na' ipanima ni-naka-kwa.  
 I always I-meat-eat  
 I eat it (meat) all the time.

In the first portion of this discourse, the noun *nakatl* "meat" occurs independently of the verb *kwa* "eat". In the following sentence, however, *naka* "meat" is able to be incorporated into the verb. The incorporability of the noun corresponds to its discourse role in Nahuatl. The restriction that incorporated nouns may not appear as the initial token of the argument suggests that incorporated nouns in Nahuatl cannot establish a discourse referent.

If incorporation in Nuuchahnulth occurs at spell-out to PF, then it is predicted that incorporated nouns should be discourse transparent. That is, the spell-out properties of the noun should have no consequence for discourse effects. Indeed, as this section demonstrates, incorporation of an element into an affixal predicate in Nuuchahnulth does not affect this element's ability to occur as a discourse antecedent (Rose 1981). The referentiality of a Nuuchahnulth incorporee is indicated in examples (81a-c). In (81a), the incorporated bound nominal *čapx*- "man" serves as the discourse referent for the pronoun (*pro*) "he" in *ʔaphiqaqitʔiš* "He was very friendly". Similarly, the free nominal *siičpax* "cougar" which is incorporated into the affixal predicate in (81b) acts as the antecedent for the object of the following sentence, *qaaccʔaŋakuuʔitwaʔiš John* "John saw three (of them)". A final example of the discourse transparency of incorporated elements is given in (81c), in which *ʔaʔtaqumʔ* "two kinds" is incorporated into the affixal predicate, but still serves as the discourse referent for the following question *waayaqʔhwaʔmaʔsak* "Which of them do you want to use?".

- (81) a. čapxčasitsiš hintšiʔ ʔaphiqaqitʔiš  
 čapx-čas-mit-siš hint-šiʔ ʔaphiiq-aq[+S]-mit-ʔiš  
 man-beside-PST-1SG.IND come-PERF friendly-AUG-PST-3.IND  
 I was (sitting) beside a man coming this way. He was very friendly.

- b. sičpaxpaʔiʃ                      qaaccənaʔakuuʔitwaʔiʃ                      John  
 siičpax-paʔ[+S]-ʔiʃ              qaaccə-naʔakuuʔ[+L]-mit-waʔiʃ              John  
 cougar-around-3.IND      three-observe-PST-3.QUOT              John  
 There's cougars around. John saw three (of them).
- c. ʔaʔtaqumʔnaksiʃ                      waayaqʔwəʔmaʔsak  
 ʔaʔ-taqumʔ-naak-siiʃ              waayaq-hwaʔ[+L]-maʔsa-k  
 two-kinds-have-1SG.IND              which-use-want-2SG.Q  
 I have two sets (eg. of lahal bones). Which (of them) do you want to use?

The referentiality of the incorporee follows if PF Incorporation has no LF consequences.

## 2.4.2 Absence of scopal effects

Additional evidence for the lack of an LF effect comes from quantifier scope. As predicted by the local spell-out hypothesis, the choice of host for an affixal predicate should have no effect on scope at LF. As predicted, the surface order derived by PF incorporation appears to have no consequence for quantifier scope. Quantified subjects are ambiguous between wide and narrow scope over objects if the object is incorporated into the affixal predicate or if it is not.<sup>9</sup> In (82), the object *muunaa* "engine" of the affixal predicate *ʔuu-taq* "fix, work on" hosts the predicate, and linearly precedes the quantifier *hiʃuk* "all". Both a wide scope and a narrow scope interpretation of the quantifier are permitted.

- (82) a. muunaataqitʔiʃ                      *hiʃuk*                      čaakupiih.                      čaawacnaʔaaʔʔaʔ  
 muunaa-taq[+L]-mit-ʔiʃ              *hiʃuk*                      čakup-iih[+L]              čawa-naʔaaʔ[+L]-Ø-ʔaʔ  
 motor-fix-PST-3.IND              all-DUR              man-PL              one-handle-3.ABS-PL  
*All the men were working on an engine. They were all working on the same one.*
- b. muunaataqitʔiʃ                      *hiʃuk*                      čaakupiih                      čačawanak  
 muunaa-taq[+L]-mit-ʔiʃ              *hiʃ-uk*                      čakup-iih[+L]              čawa-nak-Ø-[+R]  
 motor-fix-PST-3.IND              all-DUR              man-PL              one-have-3.ABS-PL  
*All the men were working on an engine. They each had one.*

In the following example, *muunaa* "engine" does not host the affix, and it instead appears below the quantifier. Here, the expletive *ʔu-* hosts the affixal predicate *ʔuu-taq* "fix, work on". Still, both scope interpretations are possible.

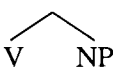
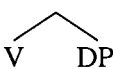
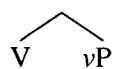
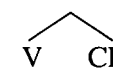
- (83) a. ʔuutaqitʔiʃ                      *hiʃuk*                      čakupiih                      muunaa                      čaawacnaʔaaʔʔaʔ  
 ʔu-taq[+L]-mit-ʔiʃ              *hiʃ-uk*                      čakup-iih[+L]              muunaa                      čawa-naʔaaʔ[+L]-Ø-ʔaʔ  
 Ø-fix-PST-3.IND              all-DUR              man-PL              motor                      one-handle-3.ABS-PL  
*All the men were working on an engine. They were all working on the same one.*
- b. ʔuutaqitʔiʃ                      *hiʃuk*                      čakupiih                      muunaa                      čačawanak  
 ʔu-taq[+L]-mit-ʔiʃ              *hiʃ-uk*                      čakup-iih[+L]              muunaa                      čawa-nak-Ø-[+R]  
 Ø-fix-PST-3.IND              all-DUR              man-PL              motor                      one-have-3.ABS-PL  
*All the men were working on an engine. They each had one.*

<sup>9</sup> The source of this ambiguity remains to be determined.

The equivalence of (82a)-(83a) and (82b)-(83b), respectively, follows under an analysis in which spell-out to PF has no LF effect.

## 2.5 Edge effects

As I have described, an affixal predicate in Nuu-chah-nulth incorporates a host chosen from its derivational sister, the complement of the verb. This linearization process has been stated to be insensitive to syntactic category. All else being equal, we should therefore expect that incorporation should be possible when any of NP, DP,  $\nu$ P or CP are the complements of the affixal predicate.

- (84) a.  b.  c.  d. 

This section presents evidence that not all else is equal. I hypothesize that DP and CP differ from other projections in that they are ‘self-contained’ units in the derivation (cf. the notion of ‘phase’ in Chomsky 2001). The borders of DPs and CPs therefore constitute ‘edges’.<sup>10</sup> Intuitively, this corresponds to the propositional independence of DPs and CPs. In (85), the DP and CP qualify equally as the propositional complement of *recall*.

- (85) a. I recalled [the city’s destruction]<sub>DP</sub>.  
b. I recalled [that the city was destroyed]<sub>CP</sub>.

Furthermore, a variety of syntactic evidence has been presented for an inherent symmetry between D and C, to the exclusion of other categories (Abney 1987, Szabolcsi 1994). According to these analyses, DPs and CPs possess parallel functional structures.

According to the *DP/CP edge* hypothesis, when a D(P) or C(P) is spelled-out, the contents of these phrases become inaccessible to further derivational manipulations (cf. Chomsky 2001, Svenonius 2004, Fox and Pesetsky *to appear*). In effect, the details of the make-up of DPs and CPs are removed from the workspace of the computation. An attractive conceptual consequence of this postulation is that it reduces the amount of information held in active memory (Chomsky 2001, Matushansky 2005). As this section demonstrates, Nuu-chah-nulth lacks any ‘escape hatch’ at the edge of CP or DP, which would allow a host for affixation to cross these opaque derivational domains.

In Nuu-chah-nulth, there is independent prosodic evidence that DPs and CPs constitute phonologically ‘impenetrable’ domains. This evidence comes from the two distinct cliticization domains found in Nuu-chah-nulth. (See Chapter 3 for further discussion.) Clitic strings may be

<sup>10</sup> Thanks to Rose-Marie Déchaine for suggesting this term. See Svenonius (2004) for a related usage.

built up within a DP, or at a clausal level which excludes the DP(s). In (86), there are two independent cliticization domains, indicated by bracketing. In the CP domain, the clitics *-mit* (PST) and *-siis̃* (1SG.IND) are found; in the DP domain are placed the clitics *-uk* (POSS) and *-ʔiitk* (2SG.PS).

- (86) [hiixtaqčimitsiis̃]<sub>CP domain</sub> [huupuuk<sup>w</sup>asukʔiitk]<sub>DP domain</sub>  
 hiixtaq-čip-mit-siis̃ huupuuk<sup>w</sup>as-uk-ʔiitk  
 have.accident-BEN-PST-1SG.IND car-POSS-2SG.PS  
 I had an accident with your car.

As presented in more detail in Chapter 3, these DP-level and CP-level clitics may each be described as ‘second position’ morphemes: they occur (potentially in a string) at the left edge of the phrase, attached in ‘second position’ as a suffix to a host. The *DP/CP edge* hypothesis presents a straightforward means of explaining how two sets of items (DP-level and CP-level clitics) may each equally be described as ‘second position’ morphemes, when in fact they occur in distinct positions in the clause. According to the *DP/CP edge* hypothesis, there is no single ‘second position’ in a clause, because the derivation is considered in distinct chunks: CPs and DPs are independent domains, and each have their own ‘second position’.

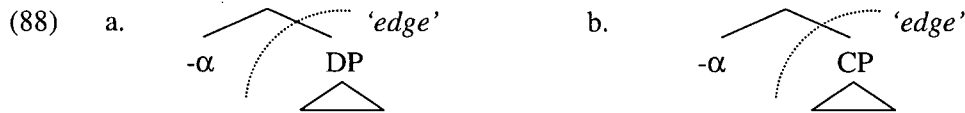
The derivational independence of CP is also reflected in a ban on long-range syntactic movement in Nuu-chah-nulth. Nuu-chah-nulth lacks cross-clausal *wh*-movement (Davis and Sawai 2001). The example in (87b) shows an ungrammatical attempt at *wh*-questioning the subject of an embedded clause which occurs as the complement of the matrix predicate *ʔaaquk* ‘believe’.

- (87) a. ʔaaquk<sup>w</sup>iʔaʔʔiis̃ John ʔin kuuwiʔiʔiʔuk Mary čʔapac  
 ʔaaquk-čič-ʔaʔ-ʔiis̃ John ʔin kuuwiʔiʔ-mit-ʔiʔuk Mary čʔapac  
 believe-PERF-TEMP-3.IND John COMP steal-PST-3.DEP Mary canoe  
 John believes that Mary stole the canoe. (adapted from Davis and Sawai 2001: 133)
- b. \*ʔačaqh ʔaaquk<sup>w</sup>iʔaʔ John ʔin kuuwiʔiʔiʔuk čʔapac  
 ʔačaq-ʔ ʔaaquk-čič-ʔaʔ John ʔin kuuwiʔiʔ-mit-ʔiʔuk čʔapac  
 who-3.Q believe-PERF-TEMP John COMP steal-PST-3.DEP canoe  
 Who does John believe stole the canoe? (adapted from Davis and Sawai 2001: 133)

Instead, as I describe in Chapter 5, ‘long’ *wh*-movement in Nuu-chah-nulth only occurs in monoclausal ‘restructuring’ environments.

In §2.5.1 and §2.5.2, I show that PF Incorporation can never cross a DP or CP in Nuu-chah-nulth. According to my proposal, the members of DPs and CPs are inaccessible as hosts for an affixal predicate when the contents of the DP or CP are removed from active memory. This ‘edge’ effect is schematized in (88).





When an affix  $-\alpha$  taking a DP or CP complement is spelled out in Nuu-chah-nulth, the expletive  $\text{ʔu-}$  must surface in order to provide a host for the affix and rescue the derivation. In 2.5.3, I discuss the source of this opacity effect under the local spell-out model.

### 2.5.1 DP edges and the bare nominal requirement

In Nuu-chah-nulth, an affixal predicate may not suffix to an element of its complement when the phrase contains the determiner  $-\text{ʔii}$ . The consequence of this restriction is a bare nominal requirement on incorporation. In (89a), incorporation targets the bare nominal  $\text{ʔuʔʔin}$  “dress”. The example in (89b) indicates that a nominal marked with the determiner  $-\text{ʔii}$  cannot be incorporated. Furthermore, as shown in (89c), the determiner cannot itself act as a host for the affixal predicate. When the nominal complement of an affixal predicate is definite,  $\text{ʔu-}$  support must occur, as shown in (89d).

- (89) a.  $\text{ʔuʔʔinʔʔiikitsiʃ}$   
 $\text{ʔuʔʔin-siik-mit-siʃ}$   
 dress-make-PST-1SG.IND  
 I made a dress.
- b. \*  $\text{ʔuʔʔinʔʔiikitsiʃ}$   
 $\text{ʔuʔʔin-ʔii-siik-mit-siʃ}$   
 dress-DET-make-PST-1SG.IND  
 I made the dress.
- c. \*  $\text{ʔʔiikmitsiʃ}$   $\text{ʔuʔʔin}$   
 $\text{ʔii-siik-mit-siʃ}$   $\text{ʔuʔʔin}$   
 DET-make-PST-1SG.IND dress  
 I made the dress.
- d.  $\text{ʔʔiikitsiʃ}$   $\text{ʔuʔʔinʔʔi}$   
 $\text{ʔu-siik-mit-siʃ}$   $\text{ʔuʔʔin-ʔii}$   
 $\emptyset$ -make-PST-1SG.IND dress-DET  
 I made the dress.

This restriction may be attributed to the identity of DPs as ‘edged’ units in the syntax. The presence of a determiner, as in (89b-c) imposes an ‘edge’ between the affixal predicate and its complement. In such contexts, an expletive host must be inserted to satisfy the affixal predicate’s affixation requirement, as in (89d).

This restriction on incorporation in contexts with the determiner  $-\text{ʔii}$  can also be illustrated in the following stretch of discourse. The sentences in (90) establish the context for the test sentences in (91).

- (90)    waʔaakitsiš            naquwas            ʔathiiimitʔi            ʔukʷink            ʔuušhýumsukqs            Lucy  
           waʔaak-mit-siš        naqu-was            ʔathii-mit-ʔii        ʔu-kʷink            ʔuušhýums-uk-qš        Lucy  
           go.to-PST-1SG.IND    drink-building    night-PST-DET    Ø-with            friend-POSS-1SG.PS    Lucy  
           I went to the bar last night with my friend Lucy.

qacčiiʔitʔiš            čaakupiih            mamaʔni            huwayiiʔath            ʔuhʔiš            quuʔas  
 qacča-iit-mit-ʔiš        čakup-iih[+L]        mamaʔni            huwayiiʔath            ʔuhʔiš            quuʔas  
 three-AUX-PST-3.IND    man-PL            white            black            and            First.Nations  
 There were three guys there: a white guy, a black guy, and a First Nations guy.

This context establishes the nominal *huwayiiʔath* “black person” as discourse-familiar. In this context, the preferred means of stating “Lucy liked the black man” is with an expletive host for the affixal predicate *ʔuu-ʔatsumhi* “to like”, as in (91a). It is marked for the definite nominal *huwayiiʔath* “black person” to be incorporated, as shown in (91b). Furthermore, it is not possible to incorporate the nominal if it is marked by the determiner *-ʔii*, as in (91c).

- (91)    a.            ʔuuʔatsumhimitʔiš            Lucy            huwayiiʔathʔi  
                  ʔu-ʔatsumhi[+L]-mit-ʔiš        Lucy            huwayiiʔath-ʔii  
                  Ø-like-PST-3.IND            Lucy            black.person-DET  
                  Lucy liked the black man.
- b.    #            huwayiiʔathʔatsumhimitʔiš            Lucy  
                  huwayiiʔath-ʔatsumhi-mit-ʔiš        Lucy  
                  black.person-like- PST-3.IND            Lucy  
                  Lucy liked the black man.
- c.    \*            huwayiiʔathʔiiʔatsumhimitʔiš            Lucy  
                  huwayiiʔath-ʔii-ʔatsumhi-mit-ʔiš        Lucy  
                  black.person-DET-like-PST-3.IND            Lucy  
                  Lucy liked the black man.

The restriction that a discourse-familiar nominal cannot serve as a host for the affixal predicate follows from the bare nominal requirement on Nuu-chah-nulth incorporation. I attribute this effect to the status of DP as an ‘edged’ constituent.

## 2.5.2 CP edges and ‘restructuring’ effects

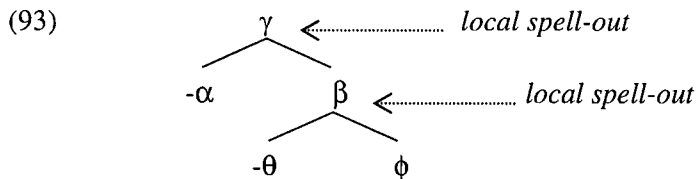
Parallel examples may be supplied for the ban on incorporation across CPs. Incorporation is possible only out of uninflected complements, not full CPs (see Chapter 5). In (92a), an example is given showing a full CP complement for the affixal predicate *ʔuu-ʔakuuʔ* “observe”. This full complement contains the complementizer *ʔin* (COMP), the past tense marker *-mit* (PST), and the dependent mood inflection *-suuk* (2SG.DEF). In contexts of verb incorporation, it is ungrammatical for such clausal demarcations to appear, as indicated in (92b). The grammatical instance of verb incorporation in (92c) shows no complementizer, no tense marking, and no dependent mood inflection. In effect, the clausal content of (92c) is ‘restructured’ to exclude these clausal demarcations.

- (92) a.  $\gamma$ uñakuuḥitsiš  $\gamma$ in tuuxtuux<sup>w</sup>amitsuk  
 $\gamma$ u-ñakuuh[+L]-mit-siiš  $\gamma$ in tuux<sup>w</sup>-a[+R]-mit-suuk  
 $\emptyset$ -observe-PST-1SG.IND COMP jump-IT-PST-2SG.DEP  
 I observed that you were jumping.
- b. \* tuuxtuux<sup>w</sup>amitñakuuhitsiš ( $\gamma$ in) suḡa  
 tuux<sup>w</sup>-a[+R]-mit-ñakuuh[+L]-mit-siiš ( $\gamma$ in) suḡa  
 jump-IT-PST-observe-PST-1SG.IND (COMP) you  
 I observed you jumping.
- c. tuuxtuux<sup>w</sup>añakuuhitsiš suḡa  
 tuux<sup>w</sup>-a[+R]-ñakuuh[+L]-mit-siiš suḡa  
 jump-IT-observe-PST-1SG.IND you  
 I observed you jumping.

In Chapter 5, I will analyse the verb incorporation case in (92c) as having a  $\nu$ P complement, rather than the sort of CP complement in (92a). The inability of incorporation to occur across a CP complement may be attributed to the status of CP as an ‘edged’ domain. When no CP is present, there is no demarcation imposed between the affixal predicate and its complement.

### 2.5.3 Comparison to ‘phasal’ spell-out

According to the local spell-out hypothesis, the spell-out mechanism applies iteratively over the derivation, directing the outputs of syntactic concatenation to interpretation at the LF and PF interfaces. A characteristic of this model is that the mapping mechanism of spell-out does not remove the interpreted elements from the derivation. As first discussed in Chapter 1, the Order Preservation hypothesis (Fox and Pesetsky *to appear*) states that information established by spell-out is not deleted over the course of the derivation. As was shown in §2.2.1, the proposed iterative spell-out model allows a build-up of affixes, which occurs when one affix finds a host at spell-out, and this affix-host complex in turn serves as a host for another affixal at a subsequent application of spell-out. In (93), if  $-\alpha$  and  $-\theta$  are each suffixes, then a linearization of  $\phi$ - $\theta$  is induced in a first round of local spell-out. Next, when  $-\alpha$  is spelled-out, its host is this complex, yielding a linearization of  $\phi$ - $\theta$ - $\alpha$ .



If spell-out removed an affix and its host from the derivation, then such strings of affixes would be predicted to be impossible with iterative spell-out. That is, if spell-out occurred at  $\beta$ , and if this spell-out removed the contents of  $\beta$  from the derivation, then  $-\alpha$  and  $-\theta$  would be predicted to

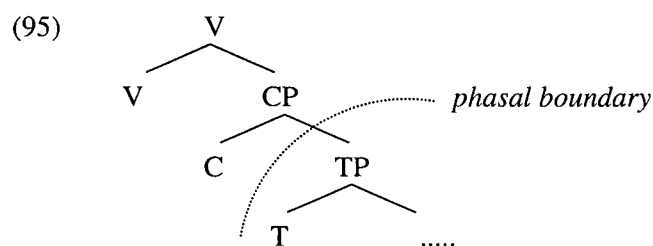
belong to distinct phonological domains. Instead, I assume that spell-out enriches the derivation by establishing cumulative linearization (see also Fox and Pesetsky *to appear*).

A contrasting view is offered by Chomsky (2001), who hypothesizes that spell-out to the interfaces has the effect of removing spelled-out elements from the derivation. According to Chomsky (2001), spell-out domains form phonologically and semantically opaque *phases*. These spell-out domains are assumed to be the sisters to C(P) and  $v$ (P), although Chomsky leaves open the possibility that other categories, such as the sister of D(P) (Fox and Pesetsky *to appear*), belong to this set. According to this phase hypothesis, when a spell-out node (eg. C(P),  $v$ (P)) is reached in the derivation, the sister to the node is made inaccessible to further operations. This inaccessibility is imposed by the *Phase Impenetrability* hypothesis (Chomsky 2001), as defined below.

(94) *Phase Impenetrability*

If XP is spelled-out, the sister to X may not be accessed later in the derivation.

The diagram in (95) illustrates how C(P) imposes a boundary between two phases of the derivation.



In (95), the V and C remain active in the derivation, while the sister to C – TP – is made inaccessible to operations after spell-out. According to this analysis, the spell-out node of C(P) is an ‘escape hatch’ for movement in that it forms a potential link for movement across the phasal boundary: elements within the CP phase may move to C(P) to carry on in the derivation. D(P) likewise functions as an ‘escape hatch’, under the view that it is a designated spell-out node.

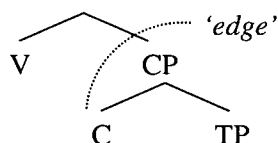
Assuming that the complementizer *ʔin* (COMP) in Nuuchahnulth occupies C, the Phase Impenetrability hypothesis makes an incorrect prediction regarding the spell-out properties of affixal predicates. According to this hypothesis, the head of a spell-out domain (eg. C,  $v$ ) should be available to the higher phase. For example, in (95), C will attain spell-out along with V when the next phase is reached. This predicted behaviour does not appear to occur in Nuuchahnulth. When an affixal predicate takes a CP complement, the complementizer is not available as a host to the affixal predicate. In (96a), it is shown to be ungrammatical for *ʔin* (COMP) to host the

affixal predicate *-ñaakuuh* “observe”. Instead, when the affixal predicate takes a CP complement, the expletive *ʔu-* mandatorily hosts the affix, as in (96b).

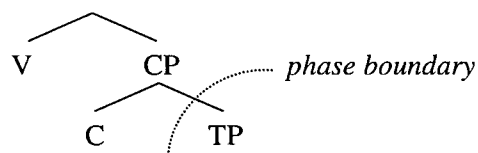
- (96) a. \* *ʔiinñaakuuhitsiš*                      *tuuxtuuxʷamitsuk*  
           *ʔin-ñaakuuh[+L]-mit-siš*            *tuuxʷ-a[+R]-mit-suuk*  
           COMP-**observe**-PST-1SG.IND    jump-IT-PST-2SG.DEP  
           I observed that you were jumping.
- b. *ʔuunñaakuuhitsiš*                      *ʔin tuuxtuuxʷamitsuk*  
       *ʔu-ñaakuuh[+L]-mit-siš*            *ʔin tuuxʷ-a[+R]-mit-suuk*  
       Ø-**observe**-PST-1SG.IND        COMP jump-IT-PST-2SG.DEP  
       I observed that you were jumping.

This behaviour is unexpected if the affixal predicate and the complementizer were spelled-out together. Thus, the technical implementation of the Phase Impenetrability hypothesis for CPs appears to be problematic for Nuu-chah-nulth. This problem is avoided under the current DP/CP edge proposal, since, by this analysis, CP is an altogether distinct domain of the derivation.

(97) a. *DP/CP edge* hypothesis:



b. *Phase Impenetrability* hypothesis

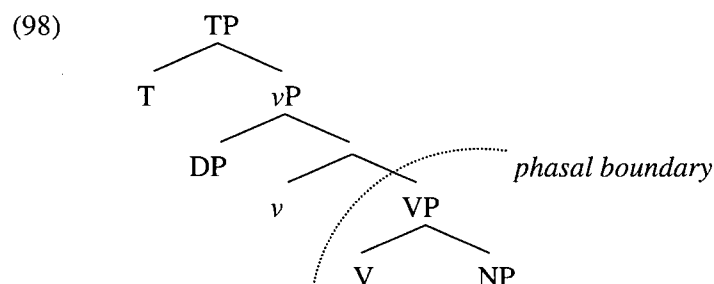


Under the local spell-out model, what forces this special status of DP and CP? If spell-out proceeds phase-by-phase (Chomsky 2001), the privileged behaviour of DP and CP falls out directly from their status as designated spell-out nodes. With local spell-out, in contrast, DP and CP are not special spell-out units: every instance of syntactic Merge induces spell-out. Tentatively, the opacity effects associated with DP and CP in Nuu-chah-nulth may reduce to parametric variation in choice of spell-out domains. According to this idea, choice of phasal or local spell-out is linked to whether DP and CP are ‘active’ derivational nodes in the language.<sup>11</sup> If spell-out in a language proceeds cyclically at the level of DP and CP phases, then the active status of the DP and CP nodes corresponds to the existence of ‘escape hatches’ at the boundaries of each phase – allowing these units to form transparent domains for movement (Chomsky 2001). Languages with local spell-out show contrasting behaviour. In Nuu-chah-nulth, ‘escape hatches’ appear to be lacking in that DP and CP are opaque domains. Affixation cannot occur across a DP or CP in Nuu-chah-nulth; nor can syntactic movement cross a CP (Davis and Sawai 2001; see

<sup>11</sup> Thanks to Henry Davis (p.c.) for bringing up this idea.

Chapter 5).<sup>12</sup> In effect, DP and CP are inert in Nuu-chah-nulth. Their impoverished role may be related to their failure to act as designated spell-out nodes.

The spell-out properties of  $\nu$ P are unlike that of DP and CP in Nuu-chah-nulth. The  $\nu$ P domain is transparent for affixation: no ‘edge’ effects occur. These transparency effects are inconsistent with a phasal spell-out analysis of Nuu-chah-nulth. According to Chomsky (2001),  $\nu$ P is a phase. Thus, assuming phasal spell-out, the sister to  $\nu$ P is predicted to be an impenetrable domain, separated from  $\nu$ P and higher categories by a phasal boundary.



A consequence of the phasal boundary in (98) is that the spell-out of V and NP should be fully independent from the spell-out of categories higher than  $\nu$ . By the Phase Impenetrability hypothesis, a phasal boundary entails that lower portions of a derivation are inaccessible to higher ones. In Nuu-chah-nulth, however, the independence of the phasal domains in (98) does not occur. What is observed in Nuu-chah-nulth is a cumulative build-up of affixes and hosts (§2.2.1), which appears to be insensitive to a  $\nu$ P ‘boundary’. An affixal predicate and its host forms a single phonological domain (a word) together with a range of affixal morphemes, including tense and agreement clitics. In (99), for example, the affixal predicate –*int* ‘serve’ and its host *čamas* ‘sweets’ forms a word with the tense morpheme –*mit* (PST) and the agreement morpheme –*siiš* (1SG.IND).

- (99) *čamayintitsiš*  
*čamas-’int-mit-siiš*  
 sweets-serve-PST-1SG.IND  
 I served sweets.

I will argue in Chapter 3 that tense and agreement morphemes in Nuu-chah-nulth are clitics whose position is not determined by raising of the verb. Thus, the single phonological domain which tense and agreement morphemes occupy along with the verb is not predicted to exist, according to an analysis in which tense and agreement have a spell-out domain fully independent from the verb and its complement.

<sup>12</sup> Additional research is required into movement out of DPs. A prediction is that possessor raising should not be possible out of a DP. The determiner –*ni* is obligatorily absent in possessor-raising contexts (Ravinski 2005).

(100)    hacuk<sup>s</sup>iʔitsiš                      waʔič    Ken  
              hacuk-~~siʔ~~-mit-siiš          waʔič    Ken  
              deeply-**come.upon**-PST-1 SG.IND    sleep    Ken  
              I came upon Ken in a deep sleep.

(101)

```

graph TD
 vP1[vP] --- v1[v]
 vP1 --- VP1[VP]
 VP1 --- V[V]
 V --- fiʔ[-fiʔ]
 fiʔ --- find[find]
 VP1 --- vP2[vP]
 vP2 --- v2[v]
 v2 --- VP2[VP]
 VP2 --- ADV[ADV]
 ADV --- hacuk[hacuk]
 hacuk --- deeply[deeply]
 VP2 --- VP3[VP]
 VP3 --- waʔiʔ[waʔiʔ]
 waʔiʔ --- sleep[sleep]

```

Diagram illustrating the syntactic structure of the sentence "Ken found the book deep sleep" (Example 101). The structure is a hierarchical tree diagram showing the following components and their relationships:

- vP** (Verb Phrase) branches into **v** (verb) and **VP** (Verb Phrase).
- v** branches into **v** (verb) and **VP** (Verb Phrase).
- VP** branches into **V** (Verb) and **vP** (Verb Phrase).
- V** branches into **-fiʔ** (find).
- vP** branches into **v** (verb) and **VP** (Verb Phrase).
- VP** branches into **ADV** (Adverb) and **VP** (Verb Phrase).
- ADV** branches into **hacuk** (deeply).
- VP** branches into **waʔiʔ** (sleep).
- A dotted line labeled *phasal boundary* separates the first VP (find) from the second VP (deep sleep).

Assuming that the opacity effects of DP and CP in Nuuchahnulth relate to parametric variation in spell-out algorithms (i.e. phasal spell-out or local spell-out), a possible implication is that Universal Grammar does not accord the same privileged status for spell-out of *v*P as it does for DP and CP. In other words, in the local spell-out framework, the failure of *v*P to form a

special spell-out domain does not appear to render this unit derivationally inert. This matter requires further study.

## 2.6 Conclusion

In this chapter, evidence was presented for the analysis that the positioning of affixal predicates in Nuu-chah-nulth is a reflex of the need to linearize bound morphemes. According to the proposal, the affixal predicate must find a host from within its derivational sister at the point of spell-out. This derivational sister is a linearized object, leading to the restriction that suffixation operates on the basis of linear adjacency. I have argued that the notion of local spell-out elegantly captures the dual sensitivities which affixal predicates show to derivational sisterhood and linear adjacency. The syntax indirectly conditions the input to the linearization process through its composition of local spell-out domains. Two conditioning effects of the syntax were emphasized: the first is the locality requirement induced by the binary concatenation of the syntax, which yields a complement restriction in Nuu-chah-nulth; the second, the creation of DP and CP domains which form impenetrable domains for affixation processes.

A purely syntactic analysis of incorporation fails to predict the sensitivity which Nuu-chah-nulth affixal predicates have to linear adjacency. As described in §2.2.2, an affixal predicate incorporates a modifier, rather than the element which syntactically heads the complement. Such insensitivity to hierarchical adjacency is at odds with the syntactic incorporation process described by Baker (1988), as it violates the syntactic Head Movement Constraint (Travis 1984). Moreover, in §2.2.3, it was shown that incorporation in Nuu-chah-nulth displays an insensitivity to a restriction on extraction from a conjoined object, the Coordinate Structure Constraint. This constraint was shown to hold in Nuu-chah-nulth in contexts of true syntactic movement.

Just as a purely syntactic analysis of Nuu-chah-nulth fails, so too does a strictly phonological one. Note that the host for an affixal predicate cannot be defined in strictly phonological terms, such as a syllable or a prosodic foot (cf. Halpern 1992). Instead, the host is equivalent to a unit of the syntactic derivation (one of the two elements treated by syntactic Merge). As the following examples illustrate, hosts in Nuu-chah-nulth can be mono- or polysyllabic. The host in (102a), *ʔuuʃ* “someone”, is monosyllabic. The host in (102b), *quuʔac-* “(First Nations) person”, is bisyllabic. Finally, the host in (102c), *mamaʔniq-* “white person” is trisyllabic.



- (102) a.    ʔuuʃiʔaʔʔiʃ  
           ʔuuʃ-ʔiʔ-ʔaʔ-ʔiʃ  
           someone-**inside**-TEMP-3.IND  
           There's someone inside (the house) now.
- b.    quuʔaciʔʔiʃ  
           quuʔac-ʔiʔ-ʔiʃ  
           person-**inside**-3.IND  
           There's a person inside (the house).
- c.    mamaʔʔiqiʔʔiʃ  
           mamaʔʔiq-ʔiʔ-ʔiʃ  
           white.person-**inside**-3.IND  
           There's white people inside (the house).

Feet in Nuu-chah-nulth are maximally bisyllabic (Werle 2002, Stonham 2004). Thus, hosts for an affixal predicate may be equal to, smaller than, or larger than a prosodic foot. These mismatches with prosodic definitions lend support to a syntactically-constrained definition of the host (i.e. a derivational sister).

In the following chapters, it will be shown that the combinatory properties of affixal predicates are conditioned by their argument structure. The syntactic positions in which arguments are introduced indirectly constrains the post-syntactic linearization operation of affixation. Argument structure determines derivational sisterhood, which defines the domains which local spell-out applies to. The next chapter serves as an introduction to the clausal architecture of Nuu-chah-nulth.

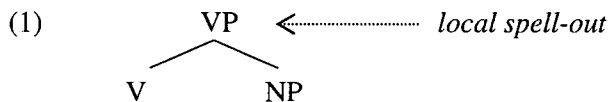
## CHAPTER 3

### Clausal architecture of Nuu-chah-nulth

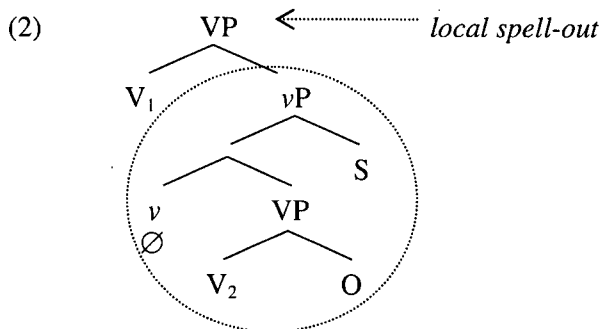
*Every phrase and every sentence is an end and a beginning...*  
 ~ T.S. Eliot *Four Quartets* no. 4

#### 3.0 Introduction

Up until this point, there has been an implicit assumption that affixal predicates take an argument as their derivational sister. The following chapters will provide evidence for such a conclusion. In this chapter, I take a first step towards this analysis by arguing that nominal arguments of Nuu-chah-nulth predicates are introduced within verbal projections of the affixal predicate. This analysis has consequences for the local spell-out hypothesis, since it determines that an argument (or part of an argument), as the derivational sister of an affixal predicate (V), will be selected as the host for an affixal predicate.



This chapter adopts the analysis that the ‘basic’ word order for Nuu-chah-nulth is VOS. I propose that this word order is not derived via movement; instead this ordering results from a syntactically underived predicate-initial system in which subjects appear in right-linearized specifier positions. The consequence of this analysis is that when an affixal predicate ( $V_1$ ) takes a propositional complement, the embedded verb ( $V_2$ ) precedes the embedded arguments as the leftmost element in the complement. This is represented in (2), in which the affixal predicate’s complement (circled in the diagram) is assumed to be equivalent to  $\nu$ P.



The  $v$  head is represented here as phonologically null ( $\emptyset$ ).<sup>1</sup> As such, the embedded verb ( $V_2$ ) is string adjacent to the affixal predicate, ( $V_1$ ). By the string adjacency effect, it therefore follows naturally that the verb ( $V_1$ ) should select the embedded verb ( $V_2$ ) as its host at spell-out.

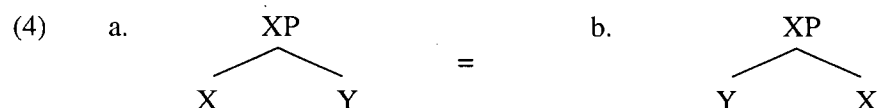
In the next section, we turn to a discussion of how the PF component is responsible for the linearization of syntactic terminals. According to the analysis, syntactic outputs are linearized at the point of spell-out.

### 3.1 Linearization of syntactic terminals

In the framework assumed for this dissertation, the syntax is equivalent to the structure-building operations of Merge or Move (“remerge”). The syntactic constructs formed by this binary concatenation are unspecified for linear order.

(3) *Merge*: concatenate  $\alpha$  with  $\beta$ , forming  $\gamma$

Therefore, if Merge ( $X, Y$ ) applies, this does not dictate that  $X$  must precede  $Y$ , or vice versa. Thus, although it is possible to pictorially represent Merge ( $X, Y$ ) as in (4a) or (4b), by default the two must be understood as syntactically equivalent representations.



Indeed, in fixing the right-left orientation of the elements  $X$  and  $Y$ , these two-dimensional diagrams unavoidably overspecify the *unlinearized* nature of the structure-building operations of the syntax.

Although the binary concatenation of Merge or Move fails to impose a linearization of the joined elements, it is nonetheless an unmistakable characteristic of natural language that linear orderings do exist. In the following English sentence, for example, *not* must precede *rushed*, and not the reverse.

- (5)    a.        I am [not rushed].  
           b.    \* I am [rushed not].

If linearization is not reducible to the structure-building properties of the syntax, then what is responsible for its effects? In the Minimalist model, the linear ordering of linguistic constructs constitutes a bare output condition at PF (Chomsky 1995). The temporal nature of speech determines that linguistic representations must be mapped to a linearized speech stream. Thus, the ‘phonologization’ of syntactic constructs entails that relative orderings must be imposed on the syntactic terminals  $\{\alpha, \beta\}$ . In the previous chapters, I introduced the idea that affixation is

<sup>1</sup> The  $v$  may be overt or covert in Nuu-chah-nulth. If overt, the  $v$  is realised as an aspectual suffix on the embedded verb.

one means by which ordering may be imposed on these elements. However, this linearization mechanism is only applicable if one or the other of  $\alpha$  and  $\beta$  is an affix. Nothing has yet been said about linearization in non-affixal contexts.

Linearization is itself necessary, but what particular linearization scheme of syntactic terminals is required? Two hypotheses may be distinguished, one of which attributes linearization to an invariant syntax-phonology mapping, and the other which opens the door to variations in linearization schemes for syntactic terminals:

(6) *PF Linearization mechanisms*

- (i) Universal linearization scheme
- (ii) Directionality conventions

In the following subsections, I will consider each of these possibilities in turn, and will make arguments for the latter.

### 3.1.1 A universal linearization scheme?

According to the Universal Base Hypothesis (Lakoff 1970, Bach 1968), all languages share a common architecture. With respect to linearization schemes, Kayne (1994) presents the hypothesis that languages have an invariant mapping algorithm from syntax to linear ordering. According to Kayne's Linear Correspondence Axiom (LCA), the structural relationships of the syntax unambiguously determine ordering in that asymmetric c-command entails precedence. Originally formalised as a syntactic filter, this principle has been recast in various Minimalist approaches as a post-syntactic principle which applies at spell-out (eg. Chomsky 1995, Dobashi 2003). This linearization scheme may be defined by the following statement:

(7) *Linear correspondence axiom*

If X asymmetrically c-commands Y, then the terminals in X precede the terminals of Y. This axiom entails that the sole linearization option available for a head projecting a specifier (Spec) and taking a complement (Comp) is that shown in (8a).

(8) *Linearization schemes*

|                                                                                                         |                                                                                                         |
|---------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| <p>(a) "Spec – Head – Comp"</p> <pre>       α      / \     γ   α        / \       α  β           </pre> | <p>(b) "Spec – Comp – Head"</p> <pre>       α      / \     γ   α        / \       β  α           </pre> |
| <p>(c) "Head – Comp – Spec"</p> <pre>       α      / \     α   γ    / \   α  β           </pre>         | <p>(d) "Comp – Head – Spec"</p> <pre>       α      / \     α   γ    / \   β  α           </pre>         |

C-command relationships of the above configurations can be evaluated according the following definition in (9), supplied by Reinhart (1979).<sup>2</sup>

(9) *X c-commands Y iff:*

- (i) The first branching node dominating X dominates Y, *and*
- (ii) X does not dominate Y, *and*
- (iii) X is not equal to Y

Asymmetric c-command, in turn, may be determined through reference to the following additional statement:

(10) *X asymmetrically c-commands Y iff:*

- (i) X c-commands Y, *and*
- (ii) Y does not c-command X

Let us now consider how the LCA applies to each of the linearization schemes in (8). The "Spec – Head – Comp" configuration of (8a) satisfies the LCA, since the specifier ( $\gamma$ ) both asymmetrically c-commands and precedes the head (terminal  $\alpha$ ); the head (terminal  $\alpha$ ), in turn, asymmetrically c-commands and precedes the complement ( $\beta$ ). Assuming transitivity of precedence relations, asymmetric c-command therefore exhaustively orders the terminals in (8a). The structure of (8b), in contrast, violates the precedence requirement with respect to sequencing

<sup>2</sup> Epstein (1999) proposes a derivational notion of c-command which follows from the properties of binary concatenation. According to derivational c-command, an element c-commands those elements with which it was paired in the course of a derivation. The choice of a representational or derivational view of c-command does not affect the point that I make here regarding the stipulative nature of the precedence relation associated with c-command.

the head ( $\alpha$ ) *following* the complement ( $\beta$ ). According to the LCA, since  $\alpha$  asymmetrically c-commands  $\beta$  in (8b),  $\alpha$  should precede  $\beta$ , not follow it. The configuration in (8c) shows a similar precedence violation involving the specifier ( $\gamma$ ). By the LCA, it is impermissible for  $\gamma$  to follow the head and complement, since this node asymmetrically c-commands them. Finally, the option in (8d) is ruled out by the LCA, since its linear ordering is the reverse of that required by the LCA. In (8d), nodes follow, not precede, the terminals they asymmetrically c-command.

If the LCA is adopted, directionality parameters are inapplicable as a means of accounting for different surface word orders. By the LCA, only a single linearization scheme is universally available, whether it applies to the base-generated syntax (as in the pre-Minimalist formulation originally proposed by Kayne 1994), or in the syntax-to-PF mapping (as in Chomsky 1995). The consequence of this proposal is that word order variations between languages (Greenberg 1966) must derive from different combinations of movement. Before discussing empirical challenges faced by this state of affairs, let us first consider a key conceptual problem facing the LCA hypothesis.

### 3.1.1.1 Conceptual problem

According to the Linear Correspondence Axiom, asymmetric c-command induces a linear ordering between syntactic terminals. Kayne (1994: 36) argues that this hierarchical relationship consistently results in a *precedence* relation. However, this particular linearization requirement is a stipulation. There is an alternative ordering which would equally allow a consistent mapping between asymmetric c-command and linear sequencing: if c-commanding terminals follow rather than precede other elements of the tree, then syntactic relations will also strictly determine linear correspondence. The two possible options for invariant mappings between c-command and ordering are given below.

(11) *Linear correspondence axiom (reformulated)*

option (i):

If X asymmetrically c-commands Y, then the terminals in X *precede* the terminals of Y.

option (ii):

If X asymmetrically c-commands Y, then the terminals in X *follow* the terminals of Y.

If the first option is selected, then a “Spec – Head – Comp” order is expected (8a). However, if the second option is chosen, then a “Comp – Head – Spec” order arises (8d). Note that the two configurations are mirror images of each other and whatever asymmetric c-command relations hold for one will necessarily hold for the other (see Uriagereka 2002 for discussion).

In a crucial sense, asymmetric c-command therefore *underspecifies* linear ordering. Kayne observes this complication, and rules out the “Comp – Head – Spec” order through an independent stipulation. According to Kayne (1994: 36-38), this alternative order is inconsistent with the asymmetry of time. However, I contend that this is simply a restatement of the phonotemporal mapping problem, rather than a solution. A linearization of any of (8a-d) would be compatible with forward-moving time, in that they each specify a temporal sequence for the terminals. Either of (8a) or (8d) allows an invariant branching-to-linearization mechanism. I therefore conclude that the conceptual motivation is lacking for a single, optimally ‘harmonic’ linearization scheme induced by the c-command relation of the syntax (cf. Uriagereka 2002).

### 3.1.1.2 Empirical problems

A range of empirical evidence has been put forth in argument against a universal “Spec – Head – Comp”. It goes beyond the scope of the present discussion to survey them fully, but I will point the reader in the appropriate directions. First, a universal linearization scheme has difficulty representing languages which appear to utilize a configuration which does not match “Spec – Head – Comp”. Japanese and Korean are two examples of languages which appear to have a general head-final word order (Whitman 1991). In a similar vein, an invariant mapping scheme is inadequate as an account of the variation which has been argued to exist *between* languages, such as the base VO-OV contrast proposed for Dutch and Basque, respectively (Vicente 2004, 2005). A uniform mapping scheme is also challenged by variation *within* a language, such as the ‘mirror’ effects in word order variation in Dutch prepositional phrases (Ackema and Neeleman 2002). Finally, apparent instances of right-ward movement to a specifier position (Beerman, LeBlanc and van Riemsdijk 1997) are problematic for a universal “Spec – Head – Comp” configuration.

### 3.1.2 Directionality is determined at spell-out

As argued in the previous sections, syntactic structures are indeterminate for linear ordering. Yet, linearization is a bare output constraint at the PF interface. An independent mechanism is therefore necessary for fixing the sequencing of non-affixal syntactic terminals. I present here the hypothesis that linearization schemes arise at the point of spell-out to PF (cf. Chomsky 1995). For Merge ( $\alpha$ ,  $\beta$ ), the syntactic object may be spelled-out as the phonological objects  $\langle \alpha, \beta \rangle$  or  $\langle \beta, \alpha \rangle$ .

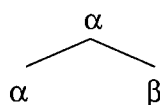
Regularities in linearization may be attributed to language-specific *directionality conventions*, as will be discussed in §3.1.2.1. In §3.1.2.2, these conventions are compared to the

notion of 'parameters'. I propose that these conventions are not specific to the linearization of non-affixal syntactic terminals, and argue in §3.1.2.3 that directionality conventions are also at play in the choice of a prefixation or suffixation pattern for affixal elements. This discussion concludes in §3.1.2.4 with a summary of the directionality conventions assumed for Nuu-chah-nulth.

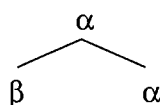
### 3.1.2.1 Directionality conventions

In many languages, syntactic objects and phonological objects stand in an implicational relationship. That is, a syntactic object may be consistently mapped to a particular linearization. The examples in (12) may be understood to be distinct linearization schemes for a syntactic object in which  $\alpha$  'heads' the phrase (owing to the fact that it is the category which projects its label). In a language in which syntactic form has implications for ordering, the syntactic object may be realised regularly as an  $\alpha$ -initial linearization; alternatively, it may be consistently ordered as an  $\alpha$ -final pattern.

(12) a.  $\alpha$ -initial



b.  $\alpha$ -final



These regularities in linearization may be attributed to language-specific ordering 'conventions' imposed at spell-out. The *directionality convention* hypothesis may be stated as follows:

(13) *Directionality convention*: Order ( $\alpha$ ,  $\beta$ ).

I propose that ordering conventions are established through language acquisition. The decision for an  $\alpha$ -initial or  $\alpha$ -final ordering is conditioned by the language which the learner is exposed to. I assume that an  $\alpha$ -initial or  $\alpha$ -final ordering may hold uniformly across the language or it may be specific for certain syntactic categories, dependent on the regularities of the language. In either case, the convention establishes one out of the two logically possible orderings as a regularity at spell-out. Languages with free word order, which show no regularity in ordering, are assumed to lack directionality conventions for spell-out: a linearization is necessarily induced at spell-out, but one linearization scheme is not preferable over the other. In other words, ordering is stipulated phrase by phrase and language by language.

Let us consider the contrast between English prepositions and Hungarian postpositions as an illustration of the proposed spell-out directionality conventions. Each of these language show regular patterns. In English, prepositions (eg. *above*, *beside*, *under*) reliably precede a nominal. In Hungarian, however, postpositions (eg. *fölött* "above", *mellett* "beside", *alatt* "under")



consistently follow a nominal. This difference is illustrated in (14) with *above/fölött*. In (14a), *above* precedes *the table*; in (14b), *fölött* “above” follows *az asztal* “the table”.

(14) a. The picture is above the table. (English)

b. A kép az asztal fölött van.  
 DET picture DET table above BE-3SG  
 The picture is above the table. (Hungarian)

It is ungrammatical for English prepositions to follow the nominal, as shown in (15a-b). Conversely, it is ungrammatical in Hungarian for postpositions to precede the nominal, as shown in (16a-b).

(15) English

- a. There are pictures everywhere: under, over and beside the table.  
 b. \* There are pictures everywhere: the table under, over and beside.

(16) Hungarian

- a. Képek vannak mindenütt: az asztal alatt, fölött, mellett.  
 picture-PL BE-3PL everywhere DET table under above beside  
 There are pictures everywhere: under, over, and beside the table.  
 b. \* Képek vannak mindenütt: alatt, fölött, mellett az asztal.  
 picture-PL BE-3PL every-where under above beside DET table  
 There are pictures everywhere: under, over, and beside the table.

How are the distinct English and Hungarian patterns derived? Note that if a preposition (P) is concatenated with a nominal (DP) via Merge (P, DP), then there are two logically possible linearizations for this unordered syntactic object: the preposition may precede (17a) or follow (17b) the nominal.



According to the directionality convention hypothesis, the difference between English prepositions and Hungarian postpositions reduces to a spell-out regularity in linearization. English learners adopt (17a) as a linearization convention, while Hungarian learners opt for (17b). When exposed consistently to preposition-initial examples in the input, language learners of English carry on the tradition through adoption of an  $\alpha$ -initial directionality convention; Hungarian learners, when faced with preposition-final input, establish an  $\alpha$ -final convention. In the case of English, the pattern for prepositions is consistent with the general head-initial nature of the language. In Hungarian, however, head-final pattern for prepositions may be viewed as a convention specific to this syntactic category: determiners, for example, are subject to a head-initial pattern, as in *az asztal* “the table”.

### 3.1.2.2 Comparison to headedness ‘parameters’

How does the notion of directionality ‘conventions’ compare to the principles-and-parameters options for headedness ‘parameters’? In the principles-and-parameters framework, learning a language entails selecting one of the possible settings made available by the parameters of Universal Grammar. By this view, language learners are ‘hard-wired’ (or innately endowed) with a variety of possible parameters. For example, Universal Grammar is postulated to allow either an  $\alpha$ -initial or  $\alpha$ -final setting for the headedness parameter(s).

(18) *Headedness parameter(s)*

- (i) SETTING A ( $\alpha$ -initial):       $\alpha$  precedes  $\beta$
- (ii) SETTING B ( $\alpha$ -final):       $\alpha$  follows  $\beta$

If a learner is exposed to English prepositions, then SETTING A is switched on. If a learner is exposed to Hungarian postpositions, then SETTING B is switched on.

What the directionality convention hypothesis shares with this notion of parameter-setting is the aspect of acquisitional choices. However, the directionality convention hypothesis diverges from the parameter hypothesis in the grammatical source of these choices. According to the directionality convention hypothesis, a language learner simply selects one of only two logically possible linearizations for a syntactic object at local spell-out: the choices are not innately endowed in the language faculty. This follows from the reasoning that the choices of  $\alpha$ -initial and  $\alpha$ -final orderings need not be designated biologically, because they are inherently learnable (Newmeyer 2005; Henry Davis, p.c.).

### 3.1.2.3 Affixation patterns

The previous discussion was concerned with the linearization schemes of non-affixal elements. According to the directionality convention hypothesis, a language learner selects one of the two logically possible linearizations when it comes time to order  $\alpha$  with respect to  $\beta$  at spell-out. This choice determines whether a language utilizes, for example, a head-initial or specifier-final pattern.

How does this specification of directionality for syntactic terminals compare with word-internal directionality? Within a word, a bound element may be designated as either prefixal or suffixal. A prefixal ordering linearizes the bound element to the left of its host; a suffixal ordering linearizes the bound element to the right of its host. I propose that the choice between a prefixation and a suffixation pattern is also a spell-out convention. In Nuuchahnulth, for example, affixal predicates are consistently linearized as suffixes. A language learner adopts this pattern over the logically possible alternative of ordering these affixal predicates as prefixes.

Note that the learner is exposed to abundant evidence for the language-specific choice of suffixation or prefixation in Nuu-chah-nulth. Thus, prefixation-suffixation patterns may be seen as a sub-type of directionality convention. If  $\alpha$  or  $\beta$  is an affix, then Order ( $\alpha$ ,  $\beta$ ) establishes a preference for a regular prefixation or suffixation linearization.

### 3.1.2.4 Directionality conventions of Nuu-chah-nulth

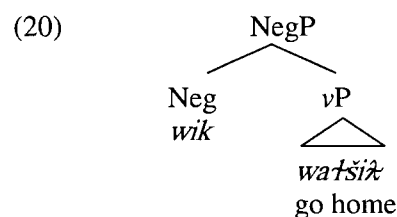
In this dissertation, five linearization regularities for spell-out are proposed for Nuu-chah-nulth. These order a specifier relative to a head (19a), a head relative to a complement (19b), an adjective relative to a noun (19c), and a manner adverb relative to a modified verb (19d). A final convention establishes the suffixation pattern of affixal elements in Nuu-chah-nulth (19e).<sup>3</sup>

(19) *Directionality conventions of Nuu-chah-nulth:*

- a. SPECIFIER-FINAL: a head precedes a specifier
- b. HEAD-INITIAL: a head precedes a complement
- c. ADJECTIVE-INITIAL: an adjective precedes a modified noun
- d. MANNER ADVERB-INITIAL: a manner adverb precedes a modified verb
- e. SUFFIXATION: a host precedes an affixal predicate

As determined by (19a), specifiers are realised in a ‘right-branching’ orientation in which they follow the head of the phrase. I will argue in §3.3 that this convention applies to subjects of clauses, inducing a subject-final ordering of VOS.

According to the convention of (19b), Nuu-chah-nulth has a head-initial pattern in which complements follow heads (Davis and Sawai 2001, Stonham 2004, Ravinski 2005, among others). In Nuu-chah-nulth, heads of phrases are often affixes, which may obscure this head-initial linearization pattern. However, non-affixal heads such as *wik* (NEG) show a consistent head-initial pattern with respect to their complements.<sup>4</sup>



In the following sentence, *wik* must precede, not follow, the phrase which it takes scope over, *watšič* ‘go.home (PERF)’.

<sup>3</sup> Clearly this is a heterogeneous set. Perhaps these regularities reduce to a more abstract characterization.

<sup>4</sup> For the sake of simplicity, I exclude tense (*-mit*) and subject agreement (*-siis*) from this diagram. I assume these morphemes are introduced in higher projections, and surface suffixed to *wik* in (11a) through *local spell-out* of these affixes.

- (21) a.      wikitsiš                      waʔšiʔ  
              wik-mit-siiš                waʔ-šiʔ  
              NEG-PST-1SG.IND          go.home-PERF  
              I didn't go home.
- b.    \*    waʔšiʔitsiš                      wik  
              waʔ-šiʔ-mit-siiš                wik  
              go.home-PERF-PST-1SG.IND      NEG  
              I didn't go home.

As was discussed in Chapter 2, a consistent ordering relation holds between an adjective and a modified noun in Nuuchahnulth (§2.2.2.1). This is captured by the convention of (19c). As shown in (22), the adjective *haʔum* “tasty” must obligatorily precede the nominal *ʔaapinis* “apples”.

- (22) a.      ʔuʔiicʔišʔaʔ                      haʔum ʔaapinis  
              ʔu-ʔiic-ʔiis-ʔaʔ                      haʔum ʔaapinis  
              Ø-consume-3.IND-PL          tasty apples  
              They are eating delicious apples.
- b.    \*    ʔuʔiicʔišʔaʔ                      ʔaapinis              haʔum  
              ʔu-ʔiic-ʔiis-ʔaʔ                      ʔaapinis              haʔum  
              Ø-consume-3.IND-PL          apples                tasty  
              They are eating delicious apples.

This initial ordering of the modifier also applies to manner adverbials, as determined by the convention of (19d). As discussed in Chapter 2, a manner adverbial such as *wityax* “slow” precedes a verb in Nuuchahnulth (§2.2.2.2).

- (23) a.      wityaxits                      waaʔšiʔ  
              wityax-mit-s                      waʔ-[+L]-šiʔ  
              slow-PST-1SG.ABS                  go.home-CONT-PERF  
              I was going home slowly.
- b.    \*    waaʔšiʔits                                      wityax  
              waʔ-[+L]-šiʔ-mit-s                      wityax  
              go.home-CONT-PERF-PST-1SG.ABS      slow  
              I was going home slowly.

The suffixation convention of (19e) determines that affixal predicates are linearized as suffixes to their hosts, and not as prefixes.<sup>5</sup> In (24), the affixal predicate –*aap* “buy” attaches to the right of its host, *mahta* “house”, and not to its left.

- (24) a.      mahtaʔamitʔiš                      čakup  
              mahta-aap-mit-ʔiis                      čakup  
              house-buy-PST-3.IND          man  
              A man bought a house.

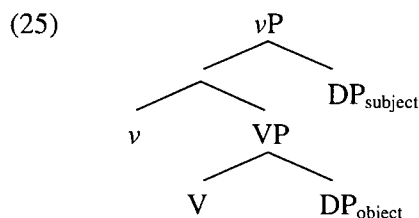
<sup>5</sup> This suffixation appears to hold for all bound forms in Nuuchahnulth, with the exception of bound nominals which host an affixal predicate (§1.3).

- b. \* ʔaamaḥʔamitʔiš      čakup  
       ʔaap-maḥʔa-mit-ʔiš      čakup  
       buy-house-PST-3.IND      man  
       A man bought a house.

In the next section, we return to the topic of the linearization schemes for syntactic terminals, with a focus on the syntactic structure of Nuuchahnulth.

### 3.2 Configurationality

Before discussing evidence for a VOS linearization scheme in Nuuchahnulth in §3.3, let us first cover background topics on the position of arguments in a Nuuchahnulth clause. In this section, I argue that Nuuchahnulth is a ‘configurational’ language (cf. Hale 1983, Baker 1996) in which DP arguments are introduced with the verbal projections, as in (25).



This section addresses the basic structural properties of the Nuuchahnulth language, and argues for a syntactic asymmetry between subjects and objects.

#### 3.2.1 Partial head marking

In ‘partial head marking’ languages, agreement morphology associated with a predicate obligatorily registers some, but not all, arguments of the predicate. Nuuchahnulth is a partial head marking language (Davis and Wojdak *to appear*): portmanteau mood/agreement enclitics agree with *subjects* (Rose 1981, Davidson 2002). Objects are not registered via agreement, with the exception of a limited form of object marking which appears in imperative environments (see Davidson 2002). The subject agreement paradigm for the Ahousaht dialect is indicated in the following table (see APPENDIX C). This table is closely based on Nakayama (1997, 2001), although I distinguish between “absolute” and “dependent” moods, as well as propose a “confirmation” mood. For third persons, plurality is optionally indicated by the plural enclitic -ʔaʔ (Nakayama 1997: 30).

(26) *Subject agreement in Ahousaht*

| Mood/Person         | 1 <sup>st</sup> singular | 2 <sup>nd</sup> singular | 3 <sup>rd</sup> | 1 <sup>st</sup> plural | 2 <sup>nd</sup> plural |
|---------------------|--------------------------|--------------------------|-----------------|------------------------|------------------------|
| INDICATIVE          | -siiš                    | -ʔiick                   | -ʔiis           | -niiš                  | -ʔiicuuš               |
| INTERROGATIVE       | -hs                      | -k                       | -h              | -hin                   | -hsuu                  |
| CONFIRMATION        | -haas                    | —                        | -haa(č)         | —                      | —                      |
| QUOTATIVE           | -waaʔičas                | -waaʔick                 | -waaʔiis        | -waaʔičin              | -waaʔicuuš             |
| ABSOLUTIVE          | -s                       | ?                        | Ø               | -na                    | -suu                   |
| SUBORDINATE         | -qs                      | -k                       | -q              | -qin                   | -qsuu                  |
| DEPENDENT           | -sa                      | -suuk                    | -huuk           | -na                    | -suu                   |
| SIMPLE RELATIVE     | -qs                      | -ʔiitk                   | -ʔiitq          | -qin                   | -ʔiitqsuu              |
| INDEFINITE RELATIVE | -(y)iis                  | -(y)iik                  | -(y)ii          | -(y)in                 | -(y)iisuu              |
| CONDITIONAL         | -quus                    | -quuk                    | -quu            | -q <sup>w</sup> in     | -quusuu                |

The contrast between subjects and objects with respect to registering agreement correlates with the ability to license *pro*-drop. Subject DPs are freely omissible in Nuuchahnulth, given appropriate discourse contexts. The sentences in (27) may equally be uttered, for example, in the following specified scenerio:

(27) *context: You've been out with Kyle on the beach and he saw a sea anemone for the first time. You want to report what happened.*

- a.      ʔaatsiičičitʔiis      kinʔumc      Kyle  
          ʔaatsii-šiʔ-mit-ʔiis      kinʔumc      Kyle  
          see-PERF-PST-3.IND      sea.anemone      Kyle  
          Kyle saw a sea anemone.
- b.      ʔaatsiičičitʔiis      kinʔumc  
          ʔaatsii-šiʔ-mit-ʔiis      kinʔumc  
          see-PERF-PST-3.IND      sea.anemone  
          He saw a sea anemone.

For objects, however, *pro*-drop is not freely available, even with appropriate context. An overt object (28a) is permitted in the context below, but a covert object (28b) is not.

(28) *context: You know that Ken is planning to buy a sweater for his mother. You also know that Ken and Kay were just out shopping. You see a new sweater on the couch, and want to know if Ken bought it.*

- a.      maakuk<sup>w</sup>ith      čupčupsumʔi  
          maakuk-mit-h      čupčupsumʔi-ʔii  
          buy-PST-3.Q      sweater-DET  
          Did he buy the sweater?
- b.      \* maakuk<sup>w</sup>ith  
          maakuk-mit-h  
          buy-PST-3.Q  
          Did he buy it?

Following Rizzi (1986), I adopt the analysis that 'rich' subject inflection formally licenses a null pronominal (*pro*) as subject in cases where overt subject DPs are absent (Davis and Wojdak *to appear*). In effect, the properties of the subject can be recovered by the inflection. Objects, which lack rich inflectional agreement, do not formally license a null pronominal argument.<sup>6</sup>

The asymmetry between subjects and objects with respect to agreement and the licensing of *pro* has key implications for the structural representations of DPs in the language. Specifically, Nuu-chah-nulth does not meet the definition of a 'polysynthetic' language in the technical sense of Baker (1996).<sup>7</sup> Baker (1996) draws a link between the rich inflection exhibited by certain polysynthetic languages, and the lack of structural asymmetries between lexical DPs. Under Baker's analysis, lexical DPs in these non-configurational 'polysynthetic' languages occupy adjunct positions, while pronominal inflection occur directly as arguments. Pronominal inflection is licensed for theta role assignment by the verb via the Morphological Visibility Condition. This condition states that theta roles of the verb are to be found within the same word as the verbal head, either as (i) inflection or (ii) an incorporated noun.

(29) *Morphological Visibility Condition* (Baker 1996: 17)

A phrase X is visible for  $\theta$ -role assignment from a head Y only if it is coindexed with a morpheme in the word containing Y via:

- (i) an agreement relationship, or
- (ii) a movement relationship

In Nuu-chah-nulth, however, objects violate Baker's Morphological Visibility Condition on the licensing of pronominal arguments because they are not registered morphologically. Thus, by this line of reasoning, there is evidence that at least object DPs must occupy argument positions in Nuu-chah-nulth.

In the next section, I turn to additional evidence for a syntactic asymmetry between the structural representation of subjects and objects in Nuu-chah-nulth.

### 3.2.2 An asymmetry in possessor raising

Evidence for an asymmetry between subjects and objects in Nuu-chah-nulth comes from restrictions on a construction known as 'possessor raising'. In this construction, the possessive marker *-uk/-ʔak* (POSS) is suffixed to a predicate, instead of (or in addition to) its base position

<sup>6</sup> Nuu-chah-nulth does allow limited *pro*-drop of objects under certain discourse conditions; this is discourse-licensed and not agreement-licensed.

<sup>7</sup> Note that Sapir's (1921) discussion of 'polysynthesis' was partly in response to observations about Nuu-chah-nulth. In the original sense of the term, a 'polysynthetic' language is one which exhibits a high morpheme-to-word ratio.

suffixed to the possessum (Davidson 2002, Ravinski 2005).<sup>8</sup> The basic pattern of possessor raising is illustrated below with the intransitive predicate *wiwišŋaqʰ* “lazy”. Note that either possessor raising or possessor doubling behaviours are generally possible. In (30a), the nominal *tʰaŋa* “child” is suffixed by the possessive marker and is interpreted as the possessum. In (30b), the predicate *wiwišŋaqʰ* “lazy” is suffixed by *-uk* (POSS), while the nominal *tʰaŋa* “child” receives no such marking but is still interpreted as the possessum. The example in (30c) shows both the predicate and the nominal suffixed by possessive markers.

- (30) a. *wiwišŋaqʰʔiʃ*    *tʰaŋaakʔi*    *ʔuuštaqyuʔi*  
*wiwišŋaqʰ-ʔiʃ*    *tʰaŋa-ʔak-ʔii*    *ʔuuštaqyu-ʔii*  
 lazy-3.IND    child-POSS-3.PS healer-DET  
 The doctor’s child is lazy. (unraised)
- b. *wiwišŋaqʰukʔiʃ*    *tʰaŋa*    *ʔuuštaqyuʔi*  
*wiwišŋaqʰ-uk-ʔiʃ*    *tʰaŋa*    *ʔuuštaqyu-ʔii*  
 lazy-POSS-3.IND    child    healer-DET  
 The doctor’s child is lazy. (possessor raising)
- c. *wiwišŋaqʰukʔiʃ*    *tʰaŋaakʔi*    *ʔuuštaqyuʔi*  
*wiwišŋaqʰ-uk-ʔiʃ*    *tʰaŋa-ʔak-ʔii*    *ʔuuštaqyu-ʔii*  
 lazy-POSS-3.IND    child-POSS-3.PS healer-DET  
 The doctor’s child is lazy. (possessor doubling)

In Chapter 4, I discuss the analysis in which the possessive marker *-uk* (POSS) on the predicate licenses a position for a raised DP possessor (Ravinski 2005). For our present purposes, we can set aside the mechanics of this raising operation and focus instead on the use of possessor raising as a diagnostic for distinguishing between subjects and objects.

The behaviour of transitives with respect to possessor raising gives evidence for a distinction between subjects and objects in Nuuchah-nulth. For transitives, there is a restriction that a possessive marker on the predicate is only ever associated with a possessum subject, and not a possessum object (Davidson 2002, Ravinski 2005). As indicated by (31a-b), a subject (“cat”) and an object (“bird”) are equally compatible with possessive marking in ‘unraised’ contexts. Crucially, however, it is shown by the interpretation of the possessor-raised (31c) that objects face a restriction which subjects do not.

- (31) a. *hinkʷaʔiihitʔiʃ*    *piišpiš*    *maamaatakqs*  
*hin-kʷaʔiih-mit-ʔiiʃ*    *piišpiš*    *maamaati-ʔak-qs*  
 LOC-go.after-PST-3.IND cat    bird-POSS-1SG.PS  
 A cat was after my bird. (unraised)

<sup>8</sup> The choice between *-uk* (POSS) and *-(ʔ)ak* (POSS) is phonologically constrained. As Ravinski (2005: 25) notes, *-uk* follows consonants, while *-(ʔ)ak* follows vowels. Both *-uk* (POSS) and *-(ʔ)ak* (POSS) indicate alienable possession. A different suffix, *-ʔat* (IPOSS) occurs with inalienable possession (see Rose 1981, Davidson 2002, Ravinski 2005).



- b.      hink<sup>w</sup>aʔiihitʔiš      piišpišukqs      maamaati  
          hin-k<sup>w</sup>aʔiih-mit-ʔiiš      piišpiš-uk-qs      maamaati  
          LOC-go.after-PST-3.IND      cat-POSS-1SG.PS      bird  
          My cat was after a bird.      (unraised)
- c.      hink<sup>w</sup>aʔiihuksiš      piišpiš      maamaati  
          hin-k<sup>w</sup>aʔiih-uk-siiš      piišpiš      maamaati  
          LOC-go.after-PST-POSS-1SG.IND      cat      bird  
          = (i) My cat was after a bird.      (possessor raising)  
          ≠ (ii) A cat was after my bird.

In (31c), the raised possessor controls clausal subject agreement, as is standard for Nuuchahnulth possessor raising. The sole available interpretation for (31c) is one in which the nominal “cat” is the possessum; an interpretation in which the nominal “bird” is the possessum is unavailable. This difference in the behaviour of the two nominals reflects a systematic difference between subjects and objects in Nuuchahnulth (Ravinski 2005).

### 3.2.3 An asymmetry in incorporation

Further evidence for the configurationality of arguments in Nuuchahnulth is the restriction that only *complements* of affixal predicates are able to act as hosts (Woo 2000; Davis and Sawai 2001; Yiu 2001; Wojdak 2003a,b, 2004). In the realm of ‘noun incorporation’, arguments which occur as objects of an affixal predicate can be suffixed by the affixal predicate, but, as is indicated by (32b), subjects do not undergo a similar type of suffixation.

- (32) a.      haaʔumʔiʔasʔiš      ʔuʔiiq  
          haʔum-ʔiʔ[+L]-ʔas-ʔiiš      ʔuʔiiq  
          food-**take**-go-3.IND      father  
          Father went to get food.
- b.      \* ʔuʔiicʔiʔasʔiš      haʔum  
          ʔuʔiic-ʔiʔ-ʔas-ʔiiš      haʔum  
          father-**take**-go-3.IND      food  
          Father went to get food.

In (32a), the affixal predicate *ʔu-ʔiʔ* “take” suffixes to the object nominal *haʔum* “food”. The example in (32b) shows that it is illicit for the subject *ʔuʔiic* “father” to be suffixed by the affixal predicate. Such asymmetries are found across all affixal predicates in Nuuchahnulth, a topic that we will return to in Chapter 4.

Possessor raising and incorporation thus pick out complementary sets of arguments: incorporation applies to objects, while possessor-raising applies to subjects.<sup>9</sup> This can be taken

<sup>9</sup> Note that the two constructions do not pick out completely complementary sets (Davis and Wojdak *to appear*). Incorporation operates on *underlying* objects, including the subjects of unaccusative verbs; in contrast, possessor raising is linked to *surface subjects*, also including the subjects of unaccusative verbs.

as strong evidence that Nuuchahnulth must distinguish hierarchically between subjects and objects, and therefore that clausal structure cannot be ‘flat’ (Davis and Wojdak *to appear*).

In the following section, I provide evidence for a particular asymmetrical representation of subjects and objects in Nuuchahnulth. My analysis of Nuuchahnulth clausal structure proposes that canonical predicate-initial word order arises from an ‘basic’ VOS linearization.

### 3.3 Predicate-initial word order

Word order in Nuuchahnulth is predicate-initial. When both subject and object are overtly expressed, either VSO or VOS word orders are generally available (Rose 1981, Whistler 1985, Jacobsen 1993).

- (33) a.      kuuwɪtɪtʔiš                      čakup   huupuukʷas  
              kuuwɪt-mit-ʔiš                čakup   huupuukʷas  
              steal-PST-3.IND                man     car  
              A man stole a car. (VSO)
- b.      kuuwɪtɪtʔiš                      huupuukʷas      čakup  
              kuuwɪt-mit-ʔiš                huupuukʷas      čakup  
              steal-PST-3.IND                car                 man  
              A man stole a car. (VOS)

There is evidence that post-predicative word order is not strictly free, and that it is linked to constraints on animacy and discourse (Rose 1981, Woo 2004). As Rose (1981: 179) maintains, alternate word orders in Nuuchahnulth are “a function of the communicative salience of the constituents, eg. newness of information, definiteness, particularity, contrastiveness, and role in the discourse.” Woo (2004) generalises that VOS in Nuuchahnulth most readily obtains when the object is ‘unmarked’ – inanimate and/or indefinite. Consonant with Woo’s generalisation, the primary Ahousaht consultants for this dissertation show a strong preference for the subject to precede the object when the object is animate. These speakers generally disprefer VOS with an animate object, as indicated in the sentence below, in which word order serves to restrict the possible interpretations.<sup>10</sup>

- (34)      ʔuʔuuyukʔiš                      Ken     Kay  
              ʔu-yuk[+R]-ʔiš                      Ken     Kay  
              Ø-cry.for-3.IND                      Ken     Kay  
              = (i) Ken is crying for Kay. (VSO)  
              ≠ (ii) Kay is crying for Ken. (VOS)

---

As Davis and Wojdak (*to appear*) note, however, this should make no difference to the argument against non-configurationality.

<sup>10</sup> A topic worthy of future research is inter-speaker (or inter-dialectal) variation in word order. As a whole, factors influencing word order in this language have not been adequately investigated to date.

In (34), the nominal *Ken* must precede *Kay* in order for *Ken* to be interpreted as a subject. This may be contrasted with the case in (33), in which the inanimate object *huupuuk'* "as "car" has the option of preceding or following the subject.

Rose (1981: 112) proposes that an object may precede a subject when the object is new and salient, as she illustrates with the following Kyuquot examples.

- (35) a.    ʔuk<sup>w</sup>iit<sup>i</sup>š            qaʔuuc Mary  
           ʔu-~~čii~~<sup>t</sup>-ʔiis        qaʔuuc Mary  
           Ø-**make**-3.IND basket Mary  
           Mary is making a BASKET. (VOS)       (Kyuquot; Rose 1981: 113, ex. 373)
- b.       hišim<sup>y</sup>uup            maacq<sup>w</sup>in        ʔuucma  
           hiš-qim<sup>t</sup>-ʔuup-Ø    maacq<sup>w</sup>in        ʔuucma  
           all-around-CAUS-3.ABS fly            woman  
           The lady collected HOUSEFLIES. (VOS) (Kyuquot; Rose 1981: 113, ex. 375)

However, a flavour of optionality must be recognized, as she notes that an order of VSO is also possible in this discourse context.

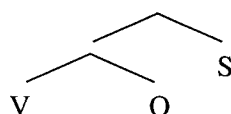
The presence of the determiner *-ʔi* is correlated with word order permutations in the Ahousaht dialect of Nuuchah-nulth. If an object is marked with this determiner, then the object preferentially appears after the subject. This is illustrated in (36-37). In (36), the determiner-marked nominal *maamaati-ʔi* "the bird" must follow *pīšpiš* "cat" in order for *maamaati-ʔi* "the bird" to be interpretable as the object. Similarly, in (37), the determiner-marked nominal *ʔiniik-ʔi* "the dog" must follow *čakup* "man" if it is to be interpreted as an object.

- (36) a.    ʔuʔiicit<sup>i</sup>š            pīšpiš            maamaati<sup>i</sup>š  
           ʔu-ʔiic-mit-ʔiis       pīšpiš            maamaati-ʔi  
           Ø-**consume**-PST-3.IND cat            bird-DET  
           A cat is eating the bird. (VSO)
- b.    # ʔuʔiicit<sup>i</sup>š            maamaati<sup>i</sup>š        pīšpiš  
       ʔu-ʔiic-mit-ʔiis       maamaati-ʔi       pīšpiš  
       Ø-**consume**-PST-3.IND bird-DET       cat  
       = (i) The bird is eating a cat. (VSO)  
       ≠ (ii) A cat is eating the bird. (VOS)
- (37) a.    hisqin<sup>ʔ</sup>š            čakup    ʔiniik<sup>i</sup>š        ʔuuh<sup>w</sup>at        his<sup>y</sup>ak  
           his-qin<sup>ʔ</sup>-ʔiis       čakup    ʔiniik-ʔi        ʔu-h<sup>w</sup>at<sup>+</sup>[+L]    his<sup>y</sup>ak  
           hit-on.head-3.IND    man    dog-DET        Ø-use        hatchet  
           A man hit the dog on the head using a hatchet. (VSO- OBL)
- b.    ! hisqin<sup>ʔ</sup>š            ʔiniik<sup>i</sup>š        čakup        ʔuuh<sup>w</sup>at        his<sup>y</sup>ak  
       his-qin<sup>ʔ</sup>-ʔiis       ʔiniik-ʔi       čakup        ʔu-h<sup>w</sup>at<sup>+</sup>[+L]    his<sup>y</sup>ak  
       hit-on.head-3.IND    dog-DET       man        Ø-use        hatchet  
       = (i) The dog hit a man on the head using a hatchet. (VSO- OBL)  
       ≠ (ii) A man hit the dog on the head using a hatchet. (VOS- OBL)

A variety of analyses has been proposed to account for the derivation of the world's predicate-initial systems. Although these analyses are constrained by theory-internal restrictions

on admissible clause structure (see, for example, Anderson 1984, Lee 2000), there is mounting cross-linguistic evidence that there are multiple ‘routes’ available to achieving predicate-initial word order (Chung 1998, Davis 2005). That is, predicate-initial status amounts to a superficial characteristic which masks potentially distinct underlying syntactic systems. On one hand, predicate-initial systems may be directly linearized as VOS, under a right-linearized specifier analysis (Chung 1991). This is represented schematically below, abstracting away from node labelling.

(38) ‘Basic’ predicate-initial word order

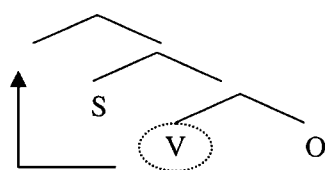


With this line of analysis, VSO is also possible as a derived word order, surfacing after movement of one or the other arguments, as has been argued for mixed VOS/VSO systems including Mayan (England 1991), Austronesian (Chung 1991, 1998, 2004) and Salish (Davis 2005).

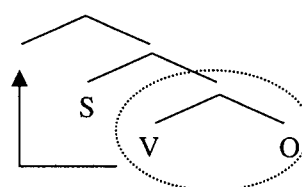
On the other hand, predicate-initial systems may be derived from an underlying SVO configuration. Within this option, two general possibilities are to be distinguished: V-raising targeting the predicate head, as in McCloskey’s (1991) treatment of VSO in tensed clauses in Irish; or VP-raising which targets the predicate at the level of the phrase, as has been argued for Malagasy (Pearson 1998), Niuean (Massam 2000), and Zapotec (Lee 2000). In the latter case, VOS straightforwardly obtains. However, VSO may be derived in conditions of VP remnant-raising (Lee 2000), in which the object evacuates the VP before the VP ‘remnant’ is raised. The diagrams in (33) illustrate head-raising and phrase-raising, respectively.

(39) *Derived predicate-initial word orders*

a. verb-raising



b. raising of verbal phrase



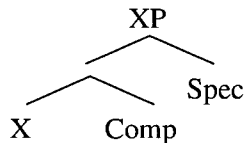
I adopt an analysis of Nuu-chah-nulth predicate-initial word order as a ‘basic’ VOS system. In what follows, I will describe this proposal in more detail, followed by a discussion of evidence against alternative raising approaches. In §3.3.1, a right-branching specifier analysis of subjects is proposed, while §3.3.2 extends this right-branching specifier analysis to possessive phrases. Evidence against a verb-raising analysis of Nuu-chah-nulth predicate-initial word is

presented in §3.3.3, and discussion of problems for a verb phrase- raising treatment is given in §3.3.4. The analysis of predicate-initial word order concludes in §3.3.5 with a summary of the implications of variable word order for the linearization of affixal predicates.

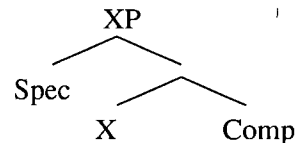
### 3.3.1 Proposal: ‘Basic’ predicate-initial order (VOS)

According to the analysis proposed here, predicate-initial word-order in Nuuchahnulth is not derived by syntactic movement. That is, no syntactic movement operation is necessary in order for the verb to precede the arguments of the clause. This is achieved via implementation of a right-branching specifier system, as in (40a), rather than a left-branching one, as in (40b). These systems represent two distinct linearization schemes for identical syntactic objects.

(40) a. *right-branching specifier*



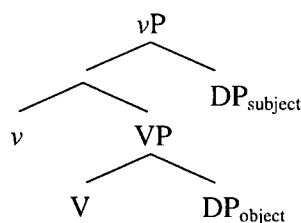
b. *left-branching specifier*



Right-branching specifiers are unavailable under the model of syntax proposed by Kayne (1994). However, according to the directionality convention hypothesis, right- and left- branching systems are equally available across languages as distinct post-syntactic linearization schemes for universally *unlinearized* syntactic objects. By hypothesis, a directionality convention at spell-out in Nuuchahnulth determines that specifiers follow, rather than precede, the inner layers of the projection (the head and complement).

Based on this right-branching specifier analysis, I propose the following representation of Nuuchahnulth predicates relative to their arguments. In (41), I adopt the analysis that a verbal head *v* introduces a subject, and takes a VP as complement. According to this arrangement, arguments appear on the right-periphery of morphemes which head the verbal projection(s) of the clause:

(41)



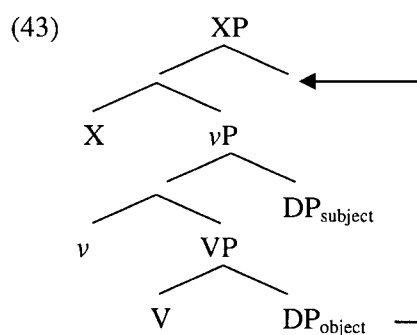
This yields an underived VOS word order. This linearization is consistent with the following generalisation of Sapir (1924: 83): “verb, object, subject- this is the most common Nootka order”.

As indicated at the outset, however, VSO word orders compete with VOS in Nuu-chah-nulth, generating controversy as to which, if either, of these word orders can be construed as more ‘basic’ (Woo 2004). Indeed, Jacobsen (1993) counters Sapir’s assertion by showing that VSO is in fact statistically more common than VOS in the text examined by Sapir (1924), and furthermore, that sentences with two overt arguments are rare in text contexts. I follow England (1991) in maintaining that frequency of natural occurrence is not a determining criterion in the analysis of underlying word order (see also Woo 2004), as syntactic and non-syntactic factors conspire on a language-by-language basis to determine the specific word order permutations which arise. Definiteness effects, animacy restrictions, and topic/focus are all observed to affect the available word orders of the daughter languages of Proto-Mayan (England 1991). Strictly ‘stylistic’ factors such as prosodic heaviness have also been argued to play a role in the argument order in predicate-initial Salish systems (Davis 2005). There is inadequate evidence at present to allow for a conclusive characterisation of which mix of factors are at play in restricting the word order permutations of Nuu-chah-nulth. However, existing research is consistent with the hypothesis that argument order is influenced by information structure.

Adopting England’s (1991) analysis of the mixed VOS/VSO systems of Mayan, I therefore propose that VSO in Nuu-chah-nulth may plausibly be derived from a ‘basic’ VOS order when a ‘reordering’ rule moves a marked object to the right-periphery.

(42) [V \_\_ S] ‘reordered’ O (England 1991: 480)

This falls in line with claims that ‘marked’ animate and/or definite objects in Nuu-chah-nulth often follow a subject (Rose 1981, Woo 2004). The ‘reordering’ of the object can be represented by movement of the object to a specifier position above the subject, as in (43).

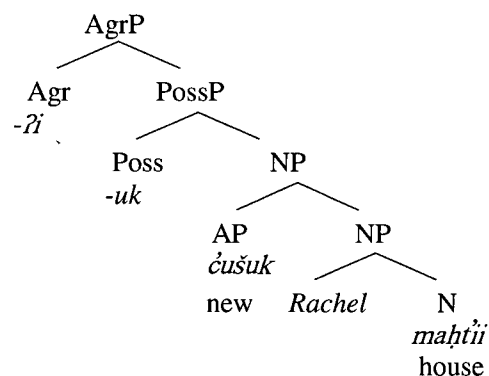


The XP projection can be understood to be a privileged position for animate and/or definite objects. For example, in the sentence in (44a), the determiner-marked object *maamaati-ŋi* ‘bird-DET’ necessarily raises above the subject *pišpiš* ‘cat’. A VOS ordering is unavailable, as indicated by (44b).



If a left-branching analysis of possessors is assumed, then the possessor is predicted to *precede*, not follow, the noun possessum (see Braithwaite 2003 for discussion). This is illustrated in the tree in (47).

(47) *Left-branching possessor*



Multiple derivations would be required to derive a possessor-final word order, because otherwise the order *adjective-possessor-possessum* would be anticipated (Ravinski 2005). These multiple derivations are complicated by the placement of the inflectional clitics within the phrase (Braithwaite 2003).<sup>12</sup> As Ravinski (2005) notes, a right-branching specifier analysis is a straightforward alternative to these complicated derivations.

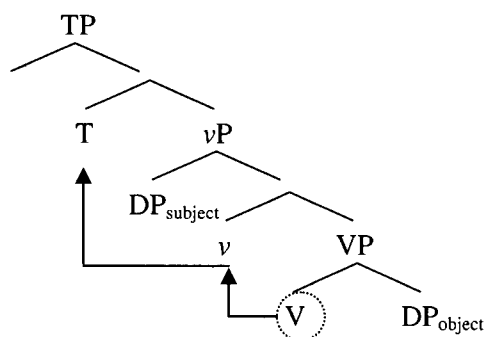
### 3.3.3 Evidence against verb-raising

Previous treatments of Nuuchahnulth word order have analysed predicate-initial word order as arising from head-movement of the verb (Davis and Sawai 2001, Stonham 2004, among others). These analyses assume that head movement applies to an underlying SVO structure, raising the head of the predicate to a position past the subject, where it syntactically adjoins to tense and/or agreement occupying higher functional projection (eg. TP, Mood). This may be represented by the following:

<sup>12</sup> I assume that the 'second position' behaviour of inflectional clitics is determined through *local spell-out* (§3.4.1).



(48)



In this section, I illustrate empirical problems with this analysis as applied to Nuu-chah-nulth.

The first hurdle confronting a head-raising analysis is the question of evidence for verb movement to a functional projection above the *vP*. Since inflectional morphemes such as tense and subject agreement are ‘second position’ enclitics in Southern Wakashan (Klokeid 1978, Davidson 2002), their appearance suffixed to the verb is phonologically conditioned, and as such, does not necessarily entail a syntactic mode of placement (see §3.4.2 for a local spell-out analysis of this cliticization pattern). The examples below illustrate the ‘second position’ effect in which tense and subject agreement encliticize to the first word of the sentence, whether it is the predicate itself (49a), a preceding adverbial (49b), or a negation particle (49c).

- (49) a.    *waaʔʂiʔits*  
          *waʔ-[+L]-ʂiʔ-mit-s*  
          go.home-CONT-PERF-PST-1SG.ABS  
          I was in the process of going home.
- b.    *wityaxits*                      *waaʔʂiʔ*  
          *wityax-mit-s*                    *waʔ-[+L]-ʂiʔ*  
          slowly-PST-1SG.ABS          go.home-CONT-PERF  
          I was slow in going home.
- c.    *wikits*                      *wityax*                      *waaʔʂiʔ*  
          *wik-mit-s*                    *wityax*                    *waʔ-[+L]-ʂiʔ*  
          NEG-PST-1SG.ABS          slowly                    go.home-CONT-PERF  
          I wasn’t slow in going home.

The fact that a verb in Nuu-chah-nulth may bear tense and/or agreement morphology does not therefore constitute evidence for syntactic raising of the verb to these functional projections. If a verb is the first word in the predicate phrase, it will bear tense and/or agreement morphology; if it is not first, then it will not.

Moreover, there is the broader issue of a trigger for the putative verb-raising. Based on the observation that VSO word order obtains in Modern Irish in tensed clauses, while SVO occurs in infinitival ones, McCloskey (1991) argues that Irish [+finite] verbs are attracted to an

inflectional projection. In Nuu-chah-nulth, however, no parallel argument can be constructed.<sup>13</sup> In Nuu-chah-nulth, predicate-initial word order is possible in small clause environments. On the assumption that small clauses lack functional projections above the vP which could house a raised verb, predicate-initial word order in this environment should be impossible. This verb-initial pattern is illustrated for the non-finite complements of the perception verb *ʔuu-ŋakʷuʰ* “observe”. (In Chapter 5, I provide evidence that the complement here is equivalent to a vP.)

- (50) ʔuuŋakʷuʰitsiš [waʔičʰas ɬaa čakupʔi]  
 ʔu-ŋakʷuʰ[+L]-mit-siis [waʔičʰ-as ɬaa čakup-ʔii]  
 Ø-observe-PST-1SG.IND sleep-on.groundDEIC man-DET  
 I observed that man sleeping on the ground.

The same generalization may be made of non-finite complements of negation (51a), as well as in contexts with auxiliaries (51b) and non-affixal modals (51c). Each of these environments allow the predicate to precede the subject, in the absence of an overt syntactic trigger (such as tense or finiteness).<sup>14</sup> In the negation context in (51a), the predicate *waʔičʰ* “sleep” precedes the subject, *Ken*. The example in (51b) shows a relative clause which is formed when the relative pronoun *yaq* (REL) is suffixed by the auxiliary *ʔuu-kʷitʰ* (AUX): what follows this auxiliary is the verb *maakuk* “buy”, crucially *preceding* the subject of the relative clause, *čakup-ʔi* “the man”. In (51c), the verb *waʔ-šiʔ* “go home (PERF)” is sandwiched between the modal *ʔapaak* “willing” and the subject *Kay*.

- (51) a. wikitʔiš ɬacuk waʔič Ken  
 wik-mit-ʔiis ɬacuk waʔič Ken  
 NEG-PST-3.IND deeply sleep Ken  
 Ken wasn’t in a deep sleep. (negation)

<sup>13</sup> Davis and Sawai (2001: 125) argue based on the behaviour of the perception verb *ŋaatsiičičiʔ* “to see” that SVO word order occurs in non-finite complements. However, given that *ŋaatsiičičiʔ* “to see” is compatible with nominal complements (eg. *ŋaatsiičičiʔitʔiš Mary John* “Mary saw John”), combined with the availability of null pronouns and null “absolute” third person subject agreement in Nuu-chah-nulth, it is unclear whether their test sentence involves nominal complementation (as indicated by the bracketing below) or clausal complementation. Note that the third person inflection (-*hukl*Ø) in the second clause is optionally overt.

(i) [ŋaatsiičičiʔitʔiš Mary John] kʷikʷixasiʔ(huk) *pro* Wanda  
 [ŋaatsii-šiʔ-mit-ʔiš Mary John] kʷikʷixas-šiʔ(-huk) *pro* Wanda  
 see-PERF-PST-3.IND Mary John kiss.on.cheek-PERF-(3.DEP) *pro* Wanda  
 Mary saw John, (he was) kissing Wanda on the cheek. (cf. Davis and Sawai 2001: 125, ex. 5)

In order to control for ambiguities in clausal composition (see Jacobsen 1993 for discussion), it is necessary to perform this test with a matrix perception verb (such as *ʔuu-ŋakʷuʰ* “observe”) which is incompatible with nominal complementation (see example (50)).

<sup>14</sup> An alternative word order is also possible where the verb phrase follows the subject. The availability of this word order requires further research, as do other cases of word order variability in the language (see Rose 1981, Davidson 2002). What is crucial for the present argument against verb-raising is that the predicate-initial word should not be possible in this environment.

- b.       $\lambda i i h u m t \lambda i i \check{s}$        $\check{s} u w i s$        $[y a a q^{w i} t i t i i$        $m a a k u k$        $\check{c} a k u p \lambda i i]$   
 $\lambda i i h - u m t - \lambda i i \check{s}$        $\check{s} u w i s$        $[y a q - \check{c} i t [+L] - m i t - i i$        $m a a k u k$        $\check{c} a k u p - \lambda i i]$   
red-RD-3SG.IND shoes REL-AUX-PST-3SG. IRL buy man-DET  
The shoes the man bought are red.      (*auxiliary environment*)
- c.       $\lambda p a a k \lambda i i \check{s}$        $w a t \check{s} i \lambda$        $K a y$   
 $\lambda p a a k - \lambda i i \check{s}$        $w a t - \check{s} i \lambda$        $K a y$   
willing-3.IND go.home-PERF Kay  
Kay is willing to go home.      (*modal environment*)

What these environments share is a word order in which the verb precedes the subject. However, in the negation context in (51a), the predicate phrase is irrealis, and so the trigger for the putative raising cannot therefore be finiteness. Similar arguments can be constructed for (51b-c): why would the presence of the preverbal auxiliaries not preclude the need for verb itself to raise?

Complex nominal predicates also constitute a considerable challenge to a head-raising analysis of predicate-initial word order.<sup>15</sup> Since head movement can apply to only a single head in the predicate phrase, this operation is predicted to ‘break up’ a complex predicate composed of a predicate and its modifier, by forcing the subject to intervene between the raised element and unraised residue left in the VP. In fact, contrary to expectations, the standard pattern is for the subject to *follow* a complex nominal in Nuu-chah-nulth (Davidson 2002: 128).<sup>16</sup> In such contexts, the ‘fronted’ element resembles a maximal projection, not a head. In (52), the nominal predicate  $\lambda u t \lambda u u c m a$  ‘good woman’ precedes the subject *Kay*.

(52) *complex nominal predicate (subject-peripheral)*

- |                                       |                     |            |
|---------------------------------------|---------------------|------------|
| $\lambda u t \lambda i i \check{s}$   | $\lambda u u c m a$ | <i>Kay</i> |
| $\lambda u t - \lambda i i \check{s}$ | $\lambda u u c m a$ | <i>Kay</i> |
| good-3.IND                            | woman               | <i>Kay</i> |
| Kay is a good woman.                  |                     |            |

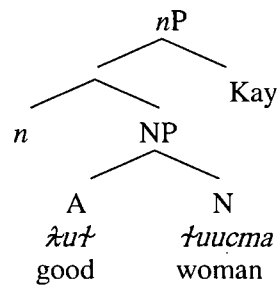
In contrast, for an underived predicate-initial system, this subject-peripheral word order follows naturally, as the nominal occupies initial position in the clause, together with its modifier. This word order is represented in the following structure, adopted from Ravinski’s (2005) analysis of nominal predicates.<sup>17</sup> In (53), the nominal head *n* introduces the subject (*Kay*) of the nominal predicate.

<sup>15</sup> Nuu-chah-nulth allows any of the lexical categories (A, V, N) to occur directly as predicates in clause-initial position; there is no copula in the language (Wojdak 2000, 2001).

<sup>16</sup> Davidson (2002: 128) notes that “the words in a multi-word nominal predicate... are usually strictly ordered: quantifier/number > property > noun.” Subject-intervening patterns, though marked, are attested in my own fieldwork, however. Additional research is required into this pattern, as with other instances of word order variability in Nuu-chah-nulth.

<sup>17</sup> I assume that encliticization of the subject agreement marker  $-\lambda i i \check{s}$  to the adjective is achieved through *local spell-out* (§3.4.1)

(53) *complex nominal predicates in right-branching specifier system*

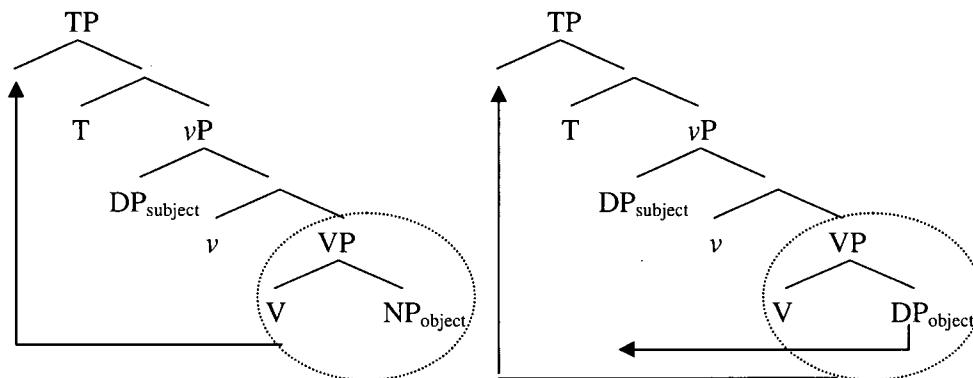


In conclusion, I suggest that a plausible solution to the problems posed by a head-raising account – lack of evidence for raising, lack of a syntactic trigger for raising, unpredicted word orders – is to assume that Nuuchahnulth clause structure is VOS, with rightward movement of the object deriving the VSO variant.

### 3.3.4 Evidence against raising of verbal phrase

The issues noted above with respect to head-raising also create complications for a predicate-raising analysis of Nuuchahnulth. On the topic of word order variability, any analysis of Nuuchahnulth clausal structure will need to employ special machinery to account for the VOS/VSO alternations and other word order permutations in the language. A potential advantage of a VP-raising analysis over a head-raising approach, however, is that it has been demonstrated independently that it can successfully deal with VOS/VSO alternations (Massam 2000, 2001). In the predicate-initial system of Niuean, post-predicative word order is not strictly flexible, as it is tied to a definiteness effect on the object. In Massam's VP-fronting analysis of Niuean, VSO word order is obtained when a definite object vacates the VP before the remnant of the phrase raises. Indefinite objects which are 'pseudo-incorporated' into the V remain as part of the VP complex, generating VOS order when the VP raises. The distinct derivations of VOS and VSO orders is represented below.

- (54) a. *VP-raising* (= VOS)      b. *VP-remnant raising* (= VSO)



The VP-remnant raising derivation is essentially a ‘two-step’ process in which the object raises on its own first, before the rest of the VP undergoes movement.

It is apparent, however, that the analysis which Massam applies to Niuean cannot be directly translated to Nuu-chah-nulth. As Woo (2004) observes, this analysis makes the crucial prediction that existential clauses must have VOS order, since the indefinite object has no motivated escape route out of the VP. Under this view, the indefinite object of an existential clause should be ‘pseudo-incorporated’ into the V, and should never raise outside of the VP. This runs counter to the observation that VSO word orders are the preferred pattern for locative existentials in Nuu-chah-nulth (see Wojdak and Woo 2004). In (55a), the object *haʔum* ‘food’ follows the subject *niisʔak-ʔi* ‘the pot’; in (55b), the indefinite object *ciixsac* ‘frying pan’ comes after the subject *čʔamaqʔak-ʔi* ‘the oven’.<sup>18</sup>

- (55) a.      ʔuʔuuʔiš                      niisʔakʔi                      haʔum  
              ʔu-čuu-ʔiš                      niisʔak-ʔii                      haʔum  
              Ø-in.container-3.IND      pot-DET                      food  
              There’s food in the pot. (VSO)
- b.      ʔuuqʔʔiš                      čʔamaqʔakʔi      ciixsac  
              ʔu-ʔaqʔ-ʔiš                      čʔamaqʔak-ʔii      ciixsac  
              Ø-inside-3.IND      oven-DET                      frying.pan  
              There’s a frying pan in the oven. (VSO)

An indefinite object is incorrectly predicted to follow the verb for these existentials.<sup>19</sup>

In sum, given the challenges faced by derivational mechanisms for generating predicate-initial word order in Nuu-chah-nulth, I present a ‘basic’ VOS configuration as a plausible alternative for this language. The factors which govern post-predicative word order variability in the language await clarification by future research.

In the next section, I examine the implications of post-predicative word order variability for the linearization of affixal predicates.

### 3.3.5 Implications for the linearization of affixal predicates

According to the local spell-out hypothesis, an affixal predicate finds a host chosen from its derivational sister. In §3.3.5.1, I illustrate how this has the implication of creating a ‘complement’ effect in incorporation, whereby only elements from the complement of an affixal predicate are eligible as hosts. I then argue that the local spell-out analysis is superior to

<sup>18</sup> The indefinite locatum argument (*haʔum* ‘food’, *ciixsac* ‘frying pan’) in these locative existentials can be clearly shown to be objects of the predicate (§4.4.1).

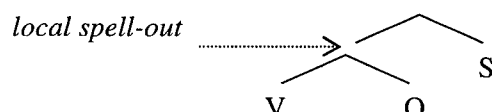
<sup>19</sup> A topic for future research is the surface position of the definite subjects in (55). As proposed in §3.3.1, determiner-marked *objects* are moved rightward in Nuu-chah-nulth. It is not known whether determiner-marked *subjects* ever show a similar preference in Nuu-chah-nulth.

alternative models of linearization which select a host for the affixal predicate via constraints on directionality. In §3.3.5.2, it is shown that direction-sensitive mechanisms for affixations have difficulty coping with the variable post-predicative word order of Nuu-chah-nulth.

### 3.3.5.1 The ‘complement’ effect

According to the analysis I have proposed, affixal predicates are spelled-out in a minimal domain containing only the affix and its derivational sister. A consequence of spell-out is linearization. Thus, an affixal predicate is linearized with respect to its derivational sister, inducing affixation of the predicate to a host from its derivational sister. Elements which are introduced at later stages of the derivation, in higher projections, are not available as potential hosts for the affix because they are not present at the time that the affix is spelled-out. Thus, under the assumption that objects, but not subjects, occur as complements to a predicate, the local spell-out hypothesis predicts that only objects of an affixal predicate are eligible as hosts. This is illustrated in (56), in which an object acts as the derivational sister to the verb, while the subject is introduced in a higher projection. The verb is spelled-out with the object, its complement.

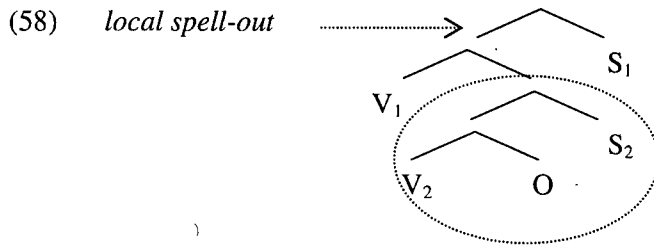
(56) *The ‘complement’ effect in Nuu-chah-nulth affixation*



For verbs which take nominal complements, there is robust evidence for an affixation asymmetry between the subjects and objects of affixal predicates (Woo 2000, Davis and Sawai 2001, Stonham 2004, among others). (This is argued in detail in Chapter 4.) This effect is illustrated in (57) for the affixal predicate *ʔu-ʔaap* ‘buy’. The object of this verb, *maḥta-* ‘house’ may serve as host for the affix, but the subject *čapx-* ‘man’ cannot.

- (57) a.      *maḥtaʔamitʔiš*              *čakup*  
               *maḥta-ʔaap-mit-ʔiš*       *čakup*  
               house-buy-PST-3.IND    man  
               A man bought a house.
- b. \*      *čapxʔaamitʔiš*              *maḥtii*  
               *čapx-ʔaap-mit-ʔiš*       *maḥtii*  
               man-buy-PST-3.IND    house  
               A man bought a house.

This complement effect is also observed with affixal predicates which take verbal complements, such as *ʔuu-ʔakʔuuḥ* ‘observe’. The syntactic structure for an affixal predicate which takes a verbal (sentential) complement may be represented abstractly as in (58). (A detailed analysis of the structure of such predicates is presented in Chapter 5.)



The affixal predicate occupies matrix position as  $V_1$ , and takes the sentential complement (VOS) as its complement (circled). According to the local spell-out hypothesis,  $V_1$  is linearized with respect to this complement, since it is its derivational sister. The subject of  $V_1$  (i.e.  $S_1$ ) falls outside of this local spell-out domain, predicting an asymmetry between  $S_1$  and the complement. Evidence for such an asymmetry is given in (59). In (59a), the embedded verb *tuuxtuux<sup>w</sup>a* “jump (ITER)” hosts the affixal predicate *ʔuu-ŋak’uuḥ* “observe”. As shown in (59b), the subject of the affixal predicate, *čapx-* “man”, is ineligible as host.

- (59) a. *tuuxtuux<sup>w</sup>aŋak’uuḥitʔiš*      *čakup*    *ʔaatŋaʔis*  
*tux<sup>w</sup>-a[+R]-ŋak’uuḥ-mit-ʔiš*      *čakup*    *ʔaatŋaʔis*  
 jump-ITER-**observe**-PST-3.IND    man    children  
 A man observed the children jumping.
- b. \* *čapxŋak’uuḥitʔiš*      *tuuxtuux<sup>w</sup>a*    *ʔaatŋaʔis*  
*čapx-ŋak’uuḥ-mit-ʔiš*      *tux<sup>w</sup>-a[+R]*    *ʔaatŋaʔis*  
 man-**observe**-PST-3.IND    jump-ITER    children  
 A man observed the children jumping.

This asymmetry follows if *čapx-* “man” is not a derivational sister of the affix. By the ‘complement’ effect in affixation, only derivational sisters of affixal predicates are able to act as hosts.

The following section presents an argument against an alternative analysis of the observed affixation asymmetry.

### 3.3.5.2 Evidence against directionality of affixation

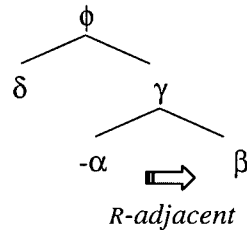
This section argues that sensitivity to derivational sisterhood is not reducible to an independent effect of directionality. Let us label this alternative analysis the *RIGHT-directionality* hypothesis. This hypothesis is defined by the following statement:

- (60) *RIGHT-directionality* hypothesis:

An affixal predicate attaches to whatever host is found to its right

Given a syntactic structure as in (61), this rule would determine that an affix  $-\alpha$  chooses  $\beta$  as its host because  $\beta$  is right-adjacent to  $-\alpha$ . The element  $\delta$ , in contrast, would be ineligible as a host for  $-\alpha$  because it occurs to the left of  $-\alpha$ .

(61)



Under such an analysis, it is irrelevant that  $\beta$  is the derivational sister of  $-\alpha$ . All that matters according to the *RIGHT-directionality* hypothesis is that  $\beta$  follows  $-\alpha$ .

Evidence against the *RIGHT-directionality* hypothesis comes from the post-predicative word order possibilities of Nuu-chah-nulth. As noted in the earlier discussion, in Nuu-chah-nulth, there is often variability in the word order of an object with respect to an overt subject. This variability is illustrated in (62) for the affixal predicate *ʔu-ʔaap* “buy”. In (62a), the object *maḥtʰii* “house” precedes the subject *čakup* “man”. In (62b), the ordering of the arguments is reversed.

- (62) a.    ʔuʔaamitʔiš                    maḥtʰii čakup  
              ʔu-ʔaap-mit-ʔiış            maḥtʰii čakup  
              Ø-buy-PST-3.IND        house man  
              A man bought a house.
- b.    ʔuʔaamitʔiš                    čakup maḥtʰii  
              ʔu-ʔaap-mit-ʔiış            čakup maḥtʰii  
              Ø-buy-PST-3.IND        man house  
              A man bought a house.

Thus, either an object or a subject may follow the affixal predicate.

Despite this flexibility in word order of subject and object, the affixation mechanism is invariant: an affixal predicate may only attach to *maḥtʰa*- “house” and not to *čapx*- “man”.

- (63) a.    maḥtʰaʔaamitʔiš                    čakup  
              maḥtʰa-ʔaap-mit-ʔiış            čakup  
              house-buy-PST-3.IND        man  
              A man bought a house.
- b.    \* čapxʔaamitʔiš                    maḥtʰii  
              čapx-ʔaap-mit-ʔiış            maḥtʰii  
              man-buy-PST-3.IND        house  
              A man bought a house.

This finding is at odds with the predictions of the *RIGHT-directionality* hypothesis. According to this hypothesis, if an element can occur right-adjacent to the affix, it should be eligible as a host. Thus, a subject which precedes an object, such as *čakup* “man” in (62b), is incorrectly predicted to act as a host.

Unlike with the *RIGHT-directionality* hypothesis, for the local spell-out hypothesis, the host for an affixal predicate is determined by derivational sisterhood. Local spell-out matches an



affixal predicate and a host at an initial stage of the derivation: the point at which an affixal predicate is merged into the derivation. According to this analysis, the surface position of the arguments of the affixal predicate is irrelevant: affixation is determined before the relative ordering of the arguments is manipulated. Thus, the local spell-out hypothesis elegantly captures the generalisation that the affixation pattern is invariant, despite variability in post-predicative word order.

In the next section, I demonstrate how the local spell-out hypothesis can be extended from affixal predicates to other affixes found in Nuuchah-nulth.

### 3.4 Cliticization domains

There are two distinct cliticization domains in Nuuchah-nulth. Clitic strings may be built up within a DP, or at a clausal level which excludes the DP(s). The bracketing in (64) illustrates these two zones of cliticization.

- (64)    hiixtaqči[*mitsiś*]<sub>CP domain</sub>            huupuuk<sup>ʷ</sup>as[*ukʔiik*]<sub>DP domain</sub>  
          hiixtaq-čip-*mit-siiś*                    huupuuk<sup>ʷ</sup>as-*uk-ʔiik*  
          have.accident-BEN-PST-1SG.IND    car-POSS-2SG.PS  
          I had an accident with your car.

In §3.4.1 and §3.4.2, I consider each of these cliticization domains in turn.

#### 3.4.1 DP domain

Within a Nuuchah-nulth DP, functional morphemes appear in a strictly ordered clitic string suffixed to a root (Davidson 2002, Werle 2002, Ravinski 2005).

- (65) *Organization of the DP clitic sequence in Nuuchah-nulth*

= POSS=TENSE=AGR/DET

This clitic string includes the following enclitics: possessive markers, tense, possessive agreement and the determiner *-ʔii*.<sup>20</sup> These morphemes appear in the following examples, suffixed to a nominal (in brackets).

- (66)    a.        ʔaatsiičičiitsiś                    [maʔtiimitʔi]  
                 ʔaatsii-šiʔ-mit-siiś                [maʔti-mit-ʔii]  
                 see-PERF-PST-1SG.IND            house-PST-DET  
                 I saw the former house (that burnt to the ground).        (Ravinski 2005: 16, ex.29)

<sup>20</sup> Nuuchah-nulth permits tense markings in the nominal domain, as well as in the clausal domain. This phenomenon is not uncommon in the Pacific Northwest sprachbund (see Burton 1996 for discussion of the Salish language Halkomelem).

- b.       $\text{ʔiih}^{\text{um}}\text{-}^{\text{it}}\text{ʔiʃ}$                        $[\text{huupuuk}^{\text{wasuk}}\text{itqs}]$   
           $\text{ʔiih-um}^{\text{t}}\text{-mit-}^{\text{ʔiiʃ}}$                        $[\text{huupuuk}^{\text{was-uk-mit-qs}}]$   
          red-RD-PST-3.IND                      car-POSS-PST-1SG.PS  
          My former car was red.

These inflectional morphemes encliticize to the leftmost root with a DP, illustrated below with the ‘second position’ placement of the enclitic determiner *-ʔii*. In (67a), the determiner suffixes to *ʔaak<sup>was</sup>* “girl”, while in (67b) it appears instead on the modifier *q<sup>was</sup>ačat(aq)* “(very) beautiful”. In (68a), the determiner suffixes to *pišmis* “problem(s)”, and in (68b) it attaches to *ʔaya* “many”.

- (67) a.       $\text{ʔuʔuk}^{\text{inkitsiʃ}}$                        $[\text{ʔaak}^{\text{was}}\text{ʔi}]$   
           $\text{ʔu-k}^{\text{ink}}\text{[+R]-mit-siiʃ}$                        $[\text{ʔaak}^{\text{was}}\text{ʔi-}^{\text{ʔii}}$   
          Ø-talk.with-PST-1SG.IND                      girl-DET  
          I talked with the girl.
- b.       $\text{ʔuʔuk}^{\text{inkitsiʃ}}$                        $[\text{q}^{\text{was}}\text{ačat}^{\text{aq}}\text{ʔi}]$                        $\text{ʔaak}^{\text{was}}$   
           $\text{ʔu-k}^{\text{ink}}\text{[+R]-mit-siiʃ}$                        $[\text{q}^{\text{was}}\text{ačat}^{\text{aq}}\text{[+S]-}^{\text{ʔii}}$                        $\text{ʔaak}^{\text{was}}$   
          Ø-talk.with-PST-1SG.IND                      beautiful-AUG-DET                      girl  
          I talked with the beautiful girl.
- (68) a.       $\text{ʔuucwa}^{\text{ʔiʃ}}$       Kay       $\text{q}^{\text{was}}\text{ačii}^{\text{t}}$                        $[\text{piʃmis}^{\text{ʔi}}]$   
           $\text{ʔu-ic-wa}^{\text{ʔiʃ}}$       Kay       $\text{q}^{\text{was}}\text{a-čii}^{\text{t}}$                        $[\text{piʃ-mis-}^{\text{ʔii}}$   
          Ø-own-3.QUOT Kay      like-make                      bad-NOM-DET  
          Kay’s the instigator of the problems.
- b.       $\text{ʔuucwa}^{\text{ʔiʃ}}$       Kay       $\text{q}^{\text{was}}\text{ačii}^{\text{t}}$                        $[\text{ʔaya}^{\text{ʔi}}$                        $\text{piʃmis}$   
           $\text{ʔu-ic-wa}^{\text{ʔiʃ}}$       Kay       $\text{q}^{\text{was}}\text{a-čii}^{\text{t}}$                        $[\text{ʔaya-}^{\text{ʔii}}$                        $\text{piʃ-mis}$   
          Ø-own-3.QUOT Kay      like-make                      many-DET                      bad-NOM  
          Kay’s the instigator of the many problems.

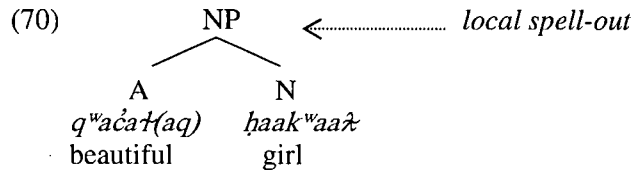
As can be seen in these examples, when the DP contains only a nominal, the determiner encliticizes to this word; however, when a modifier or quantifier takes on leftmost position in the DP, the placement consistently shifts to this leftmost element (Davidson 2002).

This ‘affix hopping’ behaviour is ably handled by the local spell-out analysis. Let us take the positioning of the determiner in the examples in (67) as illustration of the spell-out properties of DP-level clitics. When the determiner is syntactically merged with a noun, such as *ʔaak<sup>was</sup>* “girl” in (67a), local spell-out determines that the determiner and the noun must be linearized with respect to each other at spell-out to PF.

- (69)
- $$\begin{array}{c}
 \text{DP} \\
 \swarrow \quad \searrow \\
 \text{D} \quad \quad \text{N} \\
 \text{-}^{\text{ʔii}} \quad \quad \text{ʔaak}^{\text{was}} \\
 \quad \quad \text{girl}
 \end{array}$$

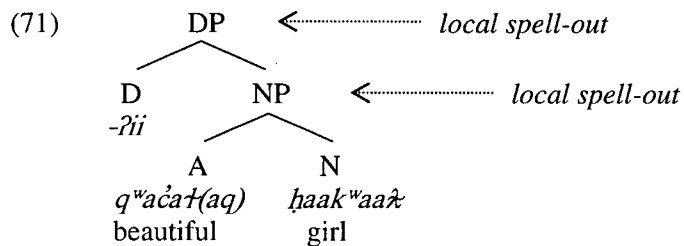
Because the determiner is a suffix, a linearization of  $\langle \text{ʔaak}^{\text{was}}\text{-}^{\text{ʔii}} \rangle$  is induced.

The placement of the determiner suffixed to the modifier in (67b) proceeds much the same way, although there is additional round of spell-out when the noun is first merged with the modifier *q<sup>w</sup>ac̣at(aq)* “(very) beautiful”. Before the determiner may be merged with the noun phrase, the following syntactic operation takes place: Merge (*q<sup>w</sup>ac̣at(aq)*, *ḥaak<sup>w</sup>aaλ*). This builds a modified noun. (The category label of the noun is projected, as argued in Chapter 2).



As derivational sisters, the adjective and noun are linearized with respect to each other at spell-out. A directionality convention establishes the modifier-initial pattern of Nuu-chah-nulth, setting the stage for a spell-out ordering of  $\langle q^wac̣at(aq), ḥaak^waaλ \rangle$ .

In the next step of the syntactic derivation for (67b), the determiner is introduced by Merge. The determiner thus takes the NP as its derivational sister.



At spell-out, the determiner will need to be linearized with respect to its derivational sister. In particular, because the determiner is an affix, it must find a host from within its derivational sister. The earlier round of local spell-out established an ordering of  $\langle q^wac̣at(aq), ḥaak^waaλ \rangle$ . By the string adjacency effect, the host for the determiner *-ʔii* is selected as the left-most element of the NP: *q^wac̣at(aq)* “(very) beautiful”. Thus, an ordering of  $\langle q^wac̣at(aq)-ʔi, ḥaak^waaλ \rangle$  results at spell-out.

The ‘affix-hopping’ behaviour of the enclitic determiner can therefore be seen to be an interaction between the string adjacency effect and the syntactic composition of the derivational sister of the determiner. If the derivational sister is simplex, as in (53a), then the locality restriction on affixation is trivial: the determiner must be spelled-out with the single element in its derivational sister. If the derivational sister of the determiner is complex, as in (53b), then the locality restriction determines that the single leftmost element in the derivational sister is selected as a host. Note that the determiner has not actually ‘hopped’: in both types of cases, the determiner consistently selects as a host the single element which is linearly adjacent to it at spell-out.

### 3.4.2 CP domain

Functional morphemes outside of the DP are also subject to encliticization (see Klokeid 1978 for discussion of the Southern Wakashan language Ditidaht). Davidson (2002) identifies a range of inflectional morphemes which occur in a strictly ordered clitic sequence (simplified from Davidson 2002: 321):

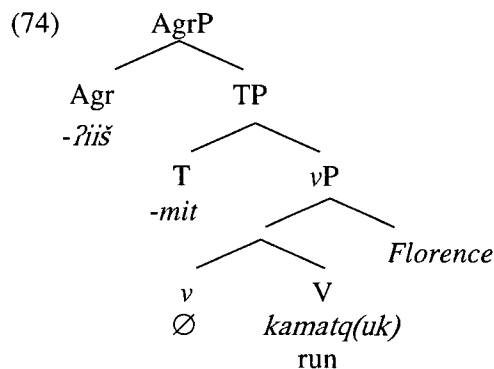
(72) *Organization of the CP clitic sequence in Nuu-chah-nulth*

=TR=TEMP=PAS=POSS=TENSE=AGR/MOOD=PL=AGAIN=HAB

Parallel to cases of DP-level cliticization, the members of this sequence occur standardly in ‘second position’ relative to a host morpheme at the left edge of the clause. This ‘second position’ effect is exemplified in (73) with the positioning of the past tense morpheme *-mit* (PST) and the third person indicative subject agreement *-ʔiiš* (3.IND). In (73a), these morphemes suffix to the verb *kamatq-uk* “run (DUR)”. In (73b), however, their position ‘shifts’ to the preverbal modifier *ʔaʔix* “fast”.

- (73) a.      *kamatqukʔitʔiš*              Florence  
               *kamatq-uk-mit-ʔiiš*          Florence  
               run-DUR-PST-3.IND          Florence  
               Florence was running.
- b.      *ʔaʔixitʔiš*                      *kamatquk*          Florence  
               *ʔaʔix-mit-ʔiiš*                  *kamatq-uk*          Florence  
               fast-PST-3.IND                  run-DUR              Florence  
               Florence was running fast.

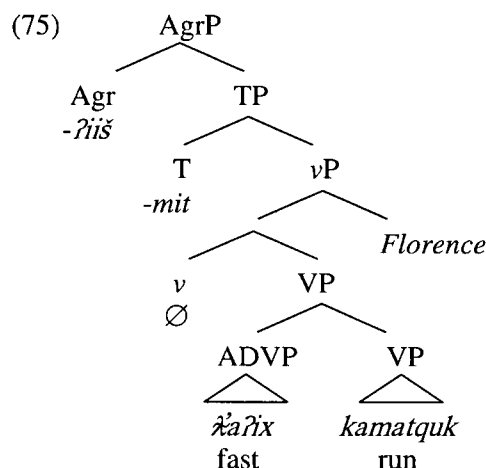
This suffixation pattern follows from the local spell-out hypothesis. We can consider the simpler case in (73a) first. Successive applications of Merge build the tree shown in (74), in which the tense and subject agreement morphemes occupy functional projections (Tense Phrase and Mood Phrase) above the lexical projections of the verb *kamatq(uk)* “run”. Note that the subject, *Florence*, is represented as a right-linearized specifier.



Each application of Merge is subject to local spell-out for the derivational sisters conjoined by Merge. Early rounds of local spell-out determine that the subject *Florence* is linearized to the

right because of a right-branching specifier convention. When the past tense morpheme is added to the tree by Merge (T,  $\nu$ P), this has the result that *-mit* (PST) must be linearized with respect to its derivational sister  $\langle \textit{kamatq(uk)}, \textit{Florence} \rangle$  at spell-out. Because *-mit* (PST) is an affix, it must find a host from within its derivational sister. The string adjacency effect determines that *kamatq(uk)* is selected as this morpheme's host, inducing a linearization of  $\langle \textit{kamatq(uk)-mit}, \textit{Florence} \rangle$ . The next morpheme to be linearized in accordance with the string adjacency effect is the subject agreement morpheme *-ʔiĩš* (3.IND). As a suffix, it is tagged on at spell-out to the end of the leftmost element in its derivational sister. This yields the ordering  $\langle \textit{kamatq(uk)mit-ʔiĩš}, \textit{Florence} \rangle$ .

The tree in (75) is a representation of the derivation when the verbal predicate is modified by an adverbial, as in (73b). Here, the adverbial *ʔaʔix* "fast" combines with *kamatq(uk)* "run" to form a complex verbal predicate.



Once again, successive applications of Merge determine that spell-out relationships are formed incrementally between derivational sisters. Starting with the lower portions of the tree, a directionality convention requires that the modifier *ʔaʔix* "fast" linearly precede *kamatq(uk)* "run" at spell-out. Similarly, the right-branching specifier convention entails that the subject *Florence* will be spelled-out the right of the rest of the  $\nu$ P. When it comes time for the past tense morpheme *-mit* (PST) to find a host at spell-out, the item selected as its host is the leftmost element in its derivational sister. Because the modifier linearly precedes the verb, it is the modifier which is determined to be the host for *-mit* (PST). This yields a linearization of  $\langle \textit{ʔaʔixmit}, \textit{kamatq(uk) Florence} \rangle$ . A final act of linearization suffixes the subject agreement morpheme *-ʔiĩš* (3.IND) to the tail end of the initial complex, resulting in an ordering of  $\langle \textit{ʔaʔixmit-ʔiĩš}, \textit{kamatq(uk) Florence} \rangle$ .

This chapter has touched upon areas of Nuu-chah-nulth grammar which bear on the present analysis of affixal predicates. I have presented evidence for the configurationality of Nuu-chah-nulth syntax, and have represented this clausal structure within a right-linearized specifier system. By this analysis, Nuu-chah-nulth predicate-initial word order originates with a ‘basic’ VOS system. I have identified two domains of cliticization in the language, linked to DP-level and clause-level inflection. Inflectional clitics in the language find their positions via the same spell-out principles responsible for the linearization of affixal predicates.

|      |    |                                                             |              |                   |
|------|----|-------------------------------------------------------------|--------------|-------------------|
| (76) | a. | ʕiɕpaʔɪntʔanitniʃ                                           | kʷaqmis      | Mary              |
|      |    | ʕiɕ- <u>paʔ</u> - <u>ɪnt</u> -ʔat-mit-niiʃ                  | kʷaq-mis     | Mary              |
|      |    | rotten- <u>taste-serve</u> -PAS-PST-1 PL.IND                | s.h.eggs-NOM | Mary              |
|      |    | We were served rotten-tasting spawned herring eggs by Mary. |              |                   |
|      | b. | huuhtakʃiih mahsaʔiʃ                                        | Lucy         | quuquuʔaca        |
|      |    | huhtak-ʃiɕ- <u>iih</u> [+L]- <u>mahsa</u> -ʔiʃ              | Lucy         | quuʔac-[+R]-(y)a  |
|      |    | know-PERF- <u>try.to-want.to</u> -3.IND                     | Lucy         | person-speak-CONT |
|      |    | Lucy wants to learn how to speak Nuu-chah-nulth.            |              |                   |

104

## CHAPTER FOUR

### Nominal complements of affixal predicates

...where every word is at home,  
taking its place to support the others...  
~ T.S. Eliot *Four Quartets* no. 4

#### 4.0 Introduction

The predicates in Nuuchahnulth which permit incorporation are a lexically specified set of affixal predicates. As discussed in earlier chapters, ‘incorporating’ predicates in Nuuchahnulth are invariably bound. In this, Nuuchahnulth differs from incorporation languages such as Mohawk which do not have a lexically defined subclass of incorporating predicates. In Mohawk, a single predicate can show an alternation between an incorporating and a non-incorporating option (Baker 1988). In (1a), the inflected predicate *ye-nuhwe’-s* ‘like’ incorporates its object *-nuhs* ‘house’, while in (1b) it does not.

(1) *Mohawk* (examples from Postal 1962, as cited in Baker 1988: 81-82, ex. 14a-b)

- |    |                                                                                                  |           |                             |
|----|--------------------------------------------------------------------------------------------------|-----------|-----------------------------|
| a. | Yao-wir-a’a    ye-nuhs-nuhwe’-s<br>PRE-baby-SUF   3FS/3N-house-like-ASP<br>The baby house-likes. |           |                             |
| b. | Yao-wir-a’a    ye-nuhwe’-s<br>PRE-baby-SUF   3FS/3N-like-ASP<br>The baby likes the house.        | ne<br>DET | ka-nuhs-a’<br>PRE-house-SUF |

For predicates in Nuuchahnulth, however, such an alternation is banned outright. Independent (non-affixal) predicates such as *maakuk* ‘buy’ never permit incorporation. The example in (2a) illustrates the impossibility of incorporating the nominal *mahta’* ‘house’ into the independent predicate *maakuk* ‘buy’. The nominal must always occur separately from the independent predicate, as in (2b).

- |                           |                                                                                                                                                                                                                                                                                                                                             |                           |       |       |                |       |       |               |     |       |
|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------|-------|----------------|-------|-------|---------------|-----|-------|
| (2) a.                    | * mahta’maakuk <sup>wit</sup> iis    cakup<br>mahta’-maakuk-mit-iis    cakup<br>house-buy-PST-3.IND    man<br>A man bought a house.                                                                                                                                                                                                         |                           |       |       |                |       |       |               |     |       |
| b.                        | <table border="0" style="width: 100%;"> <tr> <td style="width: 40%;">maakuk<sup>wit</sup>iis</td> <td style="width: 20%;">cakup</td> <td style="width: 40%;">mahti</td> </tr> <tr> <td>maakuk-mit-iis</td> <td>cakup</td> <td>mahti</td> </tr> <tr> <td>buy-PST-3.IND</td> <td>man</td> <td>house</td> </tr> </table> A man bought a house. | maakuk <sup>wit</sup> iis | cakup | mahti | maakuk-mit-iis | cakup | mahti | buy-PST-3.IND | man | house |
| maakuk <sup>wit</sup> iis | cakup                                                                                                                                                                                                                                                                                                                                       | mahti                     |       |       |                |       |       |               |     |       |
| maakuk-mit-iis            | cakup                                                                                                                                                                                                                                                                                                                                       | mahti                     |       |       |                |       |       |               |     |       |
| buy-PST-3.IND             | man                                                                                                                                                                                                                                                                                                                                         | house                     |       |       |                |       |       |               |     |       |

Incorporation is an option exclusively reserved for affixal predicates in Nuu-chah-nulth, such as *ʔu-ʔaap* “buy”. In (3a), *ʔu-ʔaap* “buy” incorporates a nominal host, *maḥtʰa-* “house”. As shown in (3b), it is impossible for an affixal predicate such as *ʔu-ʔaap* “buy” to occur without a host.

- (3) a.      *maḥtʰaʔamitʔiʃ*              čakup  
              *maḥtʰa-ʔaap-mit-ʔiʃ*      čakup  
              house-buy-PST-3.IND      man  
              A man bought a house.
- b.      \* *ʔaamitʔiʃ*                      čakup    *maḥtʰi*  
                *ʔaap-mit-ʔiʃ*                      čakup    *maḥtʰi*  
                buy-PST-3.IND                    man      house  
                A man bought a house.

I argued in Chapter One that affixal and independent predicates in Nuu-chah-nulth are distinguished via specification of an [affix] requirement in the lexical entry of an affixal predicate. That is, affixal predicates constitute a lexically designated subclass of predicates.

We turn now to the *syntactic* characteristics of affixal predicates. What is the syntactic make-up of this lexically defined subclass? In this chapter, the syntactic structure of affixal predicates which take nominal complements is investigated. (Affixal predicates which take verbal complements, such as *-qaath* “claim”, are discussed in Chapter 5.)

(4) *Classes of predicates in Nuu-chah-nulth*

A. affixal predicates

- (i) nominal complements
- (ii) verbal complements

B. independent predicates

- (i) nominal complements
- (ii) verbal complements

The aim of this chapter is to develop an inventory of the argument structures which are available to affixal predicates which take nominal complements. Following Hale and Keyser (1993, 2002), I pursue a syntactic approach to argument structure in which positions for arguments are projected syntactically in accordance with the lexical properties of the head. Argument structure is what limits the number of arguments which exist for a given predicate. For example, a ditransitive verb such as *ʔu-yi* “give” has a lexically licensed position for a benefactive argument, although a transitive predicate such as *ʔu-ʔaap* “buy” does not.

- (5)      *taanaqayimitʔiʃ*              čakup    *ʔumʔiʃqak*  
              *taanaq-ayii-mit-ʔiʃ*      čakup    *ʔumʔiʃqsu-ʔak*  
              money-give-PST-3.IND    man      mother-POSS  
              A man gave money to his mother.

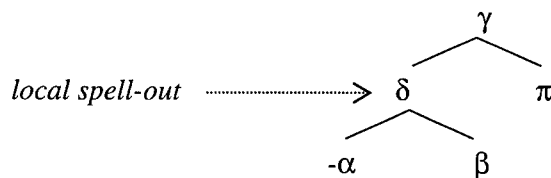


- (6) a. \* maḥtaʔamitʔiš      čakup ʔumʔiiqsak  
          maḥta-ʔaap-mit-ʔiiš      čakup ʔumʔiiqsu-ʔak  
          house-buy-PST-3.IND      man      mother-POSS  
          A man bought a house for his mother.
- b.      maḥtaʔapčipʔiš      čakup ʔumʔiiqsak  
          maḥta-ʔaap-čip-ʔiiš      čakup ʔumʔiiqsu-ʔak  
          house-buy-BEN-3.IND      man      mother-POSS  
          A man bought a house for his mother.

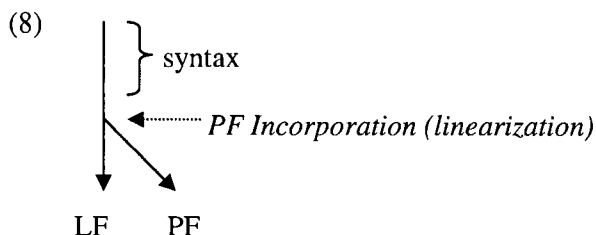
In (5), *ʔumʔiiqsak* “his mother” acts as the recipient of the predicate *ʔu-yii* “give”. The example in (6a) shows that such an argument is not directly licensed by the predicate *ʔu-ʔaap* “buy”. Instead, in order for a benefactive argument to appear with *ʔu-ʔaap*, the predicate must be supplemented by the addition of the benefactive suffix *-čip* (BEN), as in (6b).

This chapter will demonstrate that the syntactic configuration of arguments of an affixal predicate plays a deterministic role in the pattern of incorporation in Nuuchahnulth. Specifically, the syntax conditions local spell-out operations by determining which elements will act as the derivational sister to the affixal predicate. As first discussed in Chapter 3, a host for an affixal predicate ( $-\alpha$ ) is chosen from the complement ( $\beta$ ) of an affixal predicate. In contrast, an element from the affixal predicate’s specifier ( $\pi$ ) will be ineligible as a host because it is not a derivational sister of the affixal predicate. As illustrated in the diagram below, the affixal predicate ( $-\alpha$ ) is spelled-out with its derivational sister, the complement ( $\beta$ ). The host for the affixal predicate is determined by this local spell-out domain: the specifier ( $\pi$ ) falls outside of this domain. This derives the ‘complement’ effect in affixation:

(7) *The ‘complement’ effect in affixation*



The syntactic limitations which are imposed on Nuuchahnulth incorporation follow directly from the PF Incorporation hypothesis in which incorporation occurs post-syntactically.



According to the hypothesis, the linearization operation responsible for resolving the affixation requirement of an affixal predicate applies to the outputs of syntactic structure-building, at the point of spell-out to PF.

Only an element which is generated in the syntax in a position where it may be spelled-out with the affixal predicate is compatible with incorporation. Complements occupy a privileged position in that their syntactic sisterhood to the affixal predicate guarantees that the affixal predicate will be oriented with respect to the complement by local spell-out.

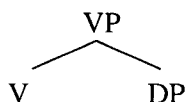
## 4.1 Predication configurations

Before turning to my diagnostics for Nuuchahnulth argument structure, I present an overview of the predication configurations which will be discussed over the course of this chapter.

### 4.1.1 Basic structures

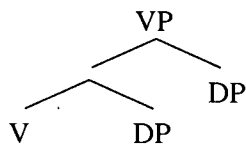
Argument structures of predicates are built by syntactic concatenation. The simplest possible argument structure for a predicate results from a single application of Merge. This one-place relation maps an argument to the complement position of the predicate. This is an *unaccusative* relation.

(9) *Unaccusative syntax*



A second application of Merge introduces another DP. This second argument is realised as a specifier of the predicate. This two-place relation may be referred to as an *extended unaccusative*.

(10) *Extended unaccusative syntax*



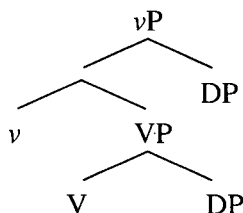
A central proposal of this chapter is that the argument structures available to affixal predicates reduce to these two basic configurations of arguments. Both basic types map an internal argument to complement position, although the types differ lexically in the possibility of projecting a specifier (Hale and Keyser 2002). An unaccusative predicate is a monadic relation which maps its single internal argument to its complement position. An extended unaccusative, in contrast, is an inherently dyadic relation which allows two internal arguments to occupy complement and specifier positions, respectively.

(11)    ʔuniiʔiš                      paastinʔath                      *unaccusative*  
          ʔu-nii-ʔiš                paastinʔath  
          Ø-arrive-3.IND        American  
          Americans came.

(12)    ʔuk<sup>wi</sup>ʔiš        čaʔak    čax<sup>w</sup>ac                    *extended unaccusative*  
          ʔu-či-ʔiš        čaʔak    čax<sup>w</sup>ac  
          Ø-in-3.IND    water    bucket  
          The water is in the bucket.

### 4.1.2 Transitivity

(13) *Transitive syntax*



Transitivization is associated with an agentive or causative interpretation which is not present with the basic predication configuration of an unaccusative (Hale and Keyser 1993, 2002; Kratzer 1994).

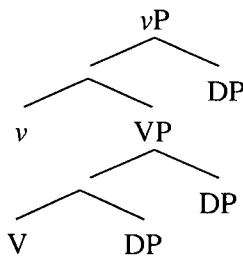
By this analysis, transitive predicates such as *ʔu-ŋaah* “look for” achieve their dyadicity in a manner distinct from locatives such as *ʔu-kʷi* “in”. In the example in (14), the transitive affixal predicate *ʔu-ŋaah* “look for” takes a first person argument as the subject, and a second argument, *čʰupčʰupšumtukqs* “my sweater” as an object.

- (14) *ʔuŋaahsiš*                      *čʰupčʰupšumtukqs*                      *transitive*  
*ʔu-ŋaah-siiš*                      *čʰupčʰupšumtuk-qs*  
 Ø-look.for-1SG.IND      sweater-POSS-1SG.PS  
 I’m looking for my sweater.

Unlike locative predicates, which are inherent two-place relations, transitives are composite predicates which are formed by implanting an unaccusative predicate configuration within the additional syntactic structure projected by the ‘light’ verbal head *v*. By this view, only *čʰupčʰupšumtukqs* “my sweater” in (14) is an internal argument. The first person subject is an external argument, made available by transitivization.

A parallel transitivization process is hypothesized to occur with ditransitive predicates. While transitives build on basic unaccusative syntax, ditransitives are formed from a basic extended unaccusative relation. As indicated in the diagram in (15), the ditransitive is formed when the verbal head *v* is merged with an extended unaccusative. This, in turn, is merged with a DP projected as the specifier of the *v* projection.

(15) *Ditransitive syntax*



By this view, a ditransitive is conceptualized as a locative predicate which has an external argument added to the basic extended unaccusative relation (Freeze 1992, Hale and Keyser 2002). An example of a Nuuchahnulth ditransitive affixal predicate is *ʔu-yii* “give”. In (16), *ʔu-yii* “give” takes three arguments: the second person subject, the recipient *ʔumʔi* “mother”, and the theme *taana* “money”.

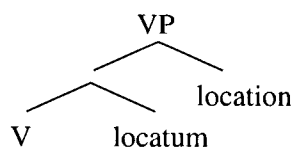
- (16)     $\text{ʔuyiiʔi}$                        $\text{ʔumʔi}$      $\text{taana}$   
           $\text{ʔu-ayii-ʔii}$                  $\text{ʔumʔi}$      $\text{taana}$   
           $\text{Ø-give-2SG.IMP>3OBJ}$      $\text{mother}$     $\text{money}$   
          Give mom money!

#### 4.1.3 Flexibility in theta-role mapping

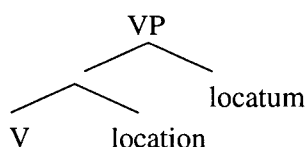
Transitivization represents one means by which the basic predication configurations are used to build a wider array of argument structures. Another source of diversity is flexibility in the mapping between theta-roles and underlying structure. In particular, I follow Hale and Keyser (2002) in hypothesizing that when a locative lexical item projects two internal arguments, the arguments of the extended unaccusative may be realised in one of two possible orientations. Following Hale and Keyser (2002), these two types may be termed ‘locatum’ and ‘location’ predicates. (The topic of locative predicates is discussed in detail in §4.4.) For the class of locatum predicates, a locatum argument (the located element) is merged with the predicate as the basic step of the derivation; this is followed by another application of Merge in which the location argument is added to the structure. For location predicates, the basic step is uniting the location argument with the predicate by Merge; this precedes a secondary step in which the locatum is introduced.

#### (17) Flexibility of theta-role mapping

(a) ‘locatum’ predicate



(b) ‘location’ predicate



The mechanisms of syntactic concatenation thus allow inherently dyadic predicates to show variability in their theta-role assignment to complement and specifier positions. By this means, extended unaccusative predicates come in two distinct ‘flavours’, with inverse configurations of the internal arguments. In one, the locatum acts as the complement, and the location acts as the specifier; in the other, the order of Merge operations are reversed, and the relative positions are consequently the opposite.

The availability of inverse argument structures necessitates abandonment of a strict one-to-one mapping between syntactic structure and theta-role assignment (Baker 1988, Hale and Keyser 1993). According to Baker’s Uniformity of theta assignment hypothesis, theta-roles have a unique structural realisation.

(18) *Uniformity of theta assignment hypothesis* (Baker 1988: 46)

Identical thematic relationships between items are represented by identical structural relationships between those items at the level of D-structure.

A variety of evidence in Nuu-chah-nulth points to the need for a more flexible mapping mechanism (see §4.4, §4.5). For example, subject agreement in locative predicates shows two distinct patterns: for one class of predicates (locatum predicates), subject agreement is linked to the location argument, as in (19a); for the other class (location predicates), subject agreement is determined by the locatum, as in (19b):

- (19) a.     $\text{ʔukuxssiš}$                        $\text{ʔiʔičum†}$   
               $\text{ʔu-uxs-siiš}$                        $\text{ʔiʔičum†}$   
              Ø-**on.head**-1SG.IND        straw.hat  
              I'm wearing a straw hat.  
              (*lit*: "I'm heading a straw hat")                      (*locatum predicate*)
- b.     $\text{ʔukʷisiš}$                        $\text{čucsac}$   
               $\text{ʔu-či-siiš}$                        $\text{čucsac}$   
              Ø-**in**-1SG.IND        tub  
              I'm in the tub.                      (*location predicate*)

This pattern of subject agreement corresponds to a split between those locatives (locatum predicates) which suffix to a locatum argument, and those locatives (location predicates) which suffix to the location argument.

- (20) a.     $\text{haʔumčuʔiš}$                        $\text{qaʔuucʔi}$   
               $\text{haʔum-ču-ʔiis}$                        $\text{qaʔuuc-ʔi}$   
              food-**contain**-3.IND        burden.basket-DET  
              There's food in the burden basket.  
              (*lit*: "The burden basket contains food")                      (*locatum predicate*)
- b.     $\text{qaʔuucčiʔiš}$                        $\text{yama}$   
               $\text{qaʔuuc-či-ʔiis}$                        $\text{yama}$   
              burden.basket-**in**-3.IND        salal.berries  
              The salal berries are in a burden basket.                      (*location predicate*)

I will argue in §4.4 that this contrast in incorporation behaviour follows naturally if locatum predicates such as  $\text{ʔu-ču}$  "contain" map a locatum argument to complement position, while location predicates such as  $\text{ʔu-kʷi}$  "in" map a location to their complement. Such an analysis is incompatible with the rigid mapping mechanism of Baker's Uniformity of theta assignment hypothesis.

#### 4.1.4 Predicate inventory

In sum, there exists a six-way classification of affixal predicates which take nominal predicates, which are built from the two basic predication configurations. Unaccusative and transitive predicate classes are composed from the basic unaccusative relation, while locatum, location,

locatum-type ditransitive, and location-type ditransitive arise from the basic extended accusative relation.

(21) *Classification of affixal predicates which take nominal complement*

| <i>basic configuration</i>       | <i>transitivization</i> | <i>orientation of arguments</i> | <i>predicate type</i>                                            |
|----------------------------------|-------------------------|---------------------------------|------------------------------------------------------------------|
| (a) <u>unaccusative</u>          | underived               | n/a                             | <b>unaccusative</b><br>eg. <i>ʔu-ʔii</i> “arrive”                |
|                                  | transitivized           |                                 | <b>transitive</b><br>eg. <i>ʔu-ʔaaʔ</i> “look for”               |
| (b) <u>extended unaccusative</u> | underived               | locatum-type                    | <b>locatum predicate</b><br>eg. <i>ʔu-ʕuu</i> “contain”          |
|                                  |                         | location-type                   | <b>location predicate</b><br>eg. <i>ʔu-kʷi</i> “in”              |
|                                  | transitivized           | locatum-type                    | <b>locatum-type ditransitive</b><br>eg. <i>ʔu-yii</i> “give”     |
|                                  |                         | location-type                   | <b>location-type ditransitive</b><br>eg. <i>ʔu-ʔip</i> “give to” |

#### 4.1.5 Unergatives are necessarily non-affixal

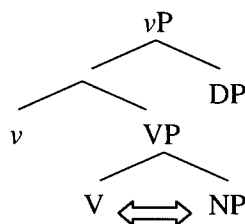
Absent from this inventory of affixal predicates are unergative predicates (Perlmutter 1978, Burzio 1981). That is, the Nuu-chah-nulth equivalents of intransitive verbs such as *cry*, *run* or *dance* occur only as independent predicates in the language. As may be observed in the examples below, *ʔiiʔ-ʔiʔ* “cry-PERF”, *kamatq-ʔiʔ* “run-PERF” and *huuʔ-* “dance” are not suffixed to any element.

(22) *Unergative predicates: exclusively non-affixal*

- a. *ʔiiʔʔiʔaqʔk*  
*ʔiiʔ-ʔiʔ-ʔaqʔ-k*  
cry-PERF-FUT-2SG.Q  
Are you going to cry?
- b. *sayaʔiiʔiʔ*      *kamatqʔiʔ*  
*saya-ʔii-ʔiʔ*      *kamatq-ʔiʔ*  
much-go-3.IND run-PERF  
S/he ran far.
- c. *huuʔhuuʔamitk*      *ʔathiiʔiʔi*  
*huuʔ-a[+R]-mit-k*      *ʔathii-mit-ʔii*  
dance-ITER-PST-2SG.Q night-PST-DET  
Did you dance last night?

I relate this systematic absence of affixal unergative predicates to the requirement that affixal predicates must have an independent internal argument. Unergatives lack an independent internal argument. Following Hale and Keyser (1993, 2002), I adopt a *concealed transitive* analysis of unergatives.

(23) *Unergative syntax*

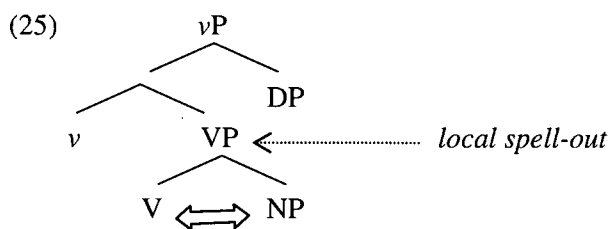


According to this *concealed transitive* analysis, unergatives are composite predicates which contain an inner VP layer embedded within a *vP*, as in (23). Unlike in transitives, however, the ‘phonological signature’ (or ‘p-signature’) of V is defective in unergatives. This defectiveness results in the internal argument undergoing *conflation* with the V. Hale and Keyser (2002: 63) describe this process in the following way:

- (24) *Conflation consists in the process of copying the p-signature of the complement into the p-signature of the head, where the latter is “defective”.*

Thus, the internal argument of an unergative is not independently realised under this analysis. This lack of independence presents a problem for affixation.

By hypothesis, an affixal predicate requires a host chosen from its derivational sister. Two elements are required for this arrangement: an affix,  $-\alpha$ , and a host,  $\beta(-)$ , resulting in  $\beta-\alpha$ . The problem with an unergative affix is that the local spell-out domain of the predicate would contain only one phonologically contentful element, that of the NP complement. In (25), for example, V lacks a phonological form independent of the N(P). Thus, no host-affix dependency may be established between the V and N(P) at local spell-out.



Since affixation is inherently a binary relationship, affixal predicates are not predicted to be possible as the V of an unergative.<sup>1</sup> Affixal predicates require an independent internal argument so that they may find a host within the local spell-out domain.

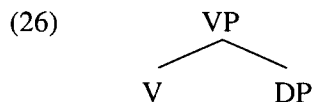
<sup>1</sup> I take this to be a diachronically relevant fact: I assume that the restriction was at play when the class of affixal predicates developed. The origins of a distinct class of affixal predicates may possibly be traced as far back as Proto-Wakashan (see APPENDIX A). Perhaps the expletive host *ʔu-* was not available as a host at the time that affixal predicates developed.



The remainder of this chapter is organised as follows. The first two sections deal with predicates formed from the basic unaccusative configuration: unaccusatives and transitives. I begin in §4.2 with a discussion of intransitive predicates in Nuu-chah-nulth, and argue for the existence of a class of unaccusative affixal predicates. In §4.3, I discuss transitive affixal predicates, and illustrate syntactic diagnostics for their structure, including subject agreement and possessor raising. Next, I turn to the structure of predicates formed from the extended unaccusative configuration: locatives and ditransitives. In §4.4, I show how syntactic diagnostics motivate a distinction between two classes of locative predicates with inverse argument structures, which I label location and locatum predicates. The behaviour of ditransitives is discussed in §4.5, where I analyse these predicates as (di)transitivized locative verbs, which, like locative predicates, may be divided into two classes based on their asymmetrical argument structures. In §4.6, outstanding issues are discussed. Finally, §4.7 gives a summary of the findings.

## 4.2 Unaccusatives

This section presents evidence for the existence of an unaccusative-unergative distinction in Nuu-chah-nulth, and proposes that there are no unergative affixal predicates in Nuu-chah-nulth. By my analysis, intransitive affixal predicates in Nuu-chah-nulth are exclusively unaccusative.



### 4.2.1 Incorporation

Predicates in the unaccusative class include *ʔu-ɳii* ‘arrive’, *ʔu-paʔ* ‘be present’, *ʔu-faaʔatu* ‘move down’. As indicated in the following (a) examples, these unaccusative predicates allow suffixation to their argument. The examples in (b) show affixation to the expletive host *ʔu*.

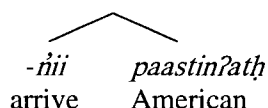
- (27) a. paastinʔathɳiiʔiʃ  
           paastinʔath-ɳii-ʔiʃ  
           American-arrive-3.IND  
           Americans came.
- b. ʔuɳiiʔiʃ           paastinʔathʔi  
    ʔu-ɳii-ʔiʃ       paastinʔath-ʔi  
    Ø-arrive-3.IND American-DET  
    The Americans came.

- (28) a. tapaʔwaʔiʃ                   piʃaqaqwaʔiʃ  
       ta-paʔ-waʔiʃ               piʃ-aq[+S]-aq[+S]-waʔiʃ  
       sick-present-3.QUOT       bad-AUG-AUG-3.QUOT  
       There’s sickness around, (and) it is really bad.

- b.       $\eta$ upa $\dagger$ wa $\eta$ iš                  tamis                  pišaqaqwa $\eta$ iš  
 $\eta$ u-pa $\dagger$ -wa $\eta$ iš                  ta-mis                  piš-aq[+S]-aq[+S]-wa $\eta$ iš  
 $\emptyset$ -present-3.QUOT                  sick-NOM                  bad-AUG-AUG-3.QUOT  
 There's sickness around, (and) it is really bad.
- (29) a.       $\dagger$ atuuša $\eta$ atumi $\eta$ iš  
 $\dagger$ atuuš-šaa $\eta$ atu-mit- $\eta$ iš  
 star-move.down-PST-3.IND  
 A star fell.
- b.       $\eta$ uša $\eta$ atumi $\eta$ iš                   $\dagger$ atuuš  
 $\eta$ u-šaa $\eta$ atu-mit- $\eta$ iš                   $\dagger$ atuuš  
 $\emptyset$ -move.down-PST-3.IND                  star  
 A star fell.

Incorporation of the argument of an unaccusative is predicted by the PF Incorporation analysis. The internal argument is a derivational sister to the affixal predicate, and thus forms a local spell-out domain with the affixal predicate. When the affixal predicate reaches spell-out, it looks to this derivational sister for its host. For example, if the affixal predicate  $\eta$ u- $\eta$ iš “arrive” takes *paastin $\eta$ ath* “American(s)” as its argument, then the reflex of spell-out will be a linearization of *paastin $\eta$ ath- $\eta$ iš*, as in *paastin $\eta$ ath $\eta$ iš* “Americans came” in (30a).

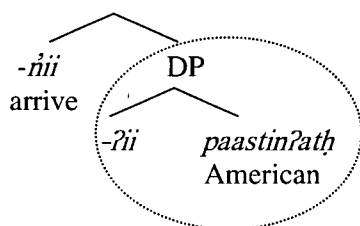
(30)



The affixal predicate suffixes to its derivational sister.

The alternative to suffixation to a derivational sister is suffixation to a host inserted at spell-out, the expletive morpheme  $\eta$ u-. In (31b), the presence of the determiner - $\eta$ iš imposes an ‘edge’ between the affixal predicate and the members of its complement.

(31)



As noted in Chapter 3, DPs in Nuuchahnulth act as independent spell-out domains in that they form ‘islands’ for affixation. Inflectional clitics, for example, are built up within a DP and do not cross it. In a context such as (31), the expletive  $\eta$ u- ‘rescues’ the stranded affixal predicate by acting as its host. PF Incorporation is not possible in this context.<sup>2</sup>

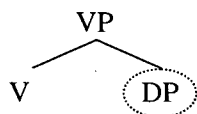
<sup>2</sup> A topic for further research is why the expletive  $\eta$ u- is employed in cases such as (28b), which lack a determiner. Incorporation is apparently optional in such cases. Whether or not there is a detectable pause between the predicate and complement in cases such as (28b) remains to be tested, although I am not aware

In the next section, I discuss evidence for an unergative-unaccusative distinction in Nuu-chah-nulth.

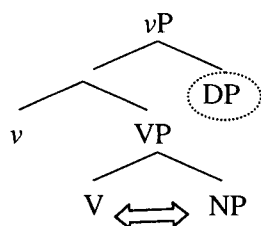
#### 4.2.2 Intransitivity in Nuu-chah-nulth

According to the Unaccusativity Hypothesis (Perlmutter 1978, Burzio 1981), there are two subclasses of monadic predicates – unaccusatives and unergatives – which are associated with different underlying syntactic configurations. While the argument of an unaccusative verb such as “arrive” or “die” is an internal argument, the single (overt) argument of an unergative verb such as “cry” or “dance” is an external argument. In the framework which I have adopted, the difference between these two intransitive types may be represented according to an asymmetry as to which syntactic head introduces the argument. The argument of an unaccusative is generated as the complement of V, in the same position as the object of a transitive predicate. For unergatives, however, the argument is introduced by a *v* head, in the same position as the subject of a transitive predicate (Hale and Keyser 1994, Kratzer 1994, Chomsky 1995).

(32) unaccusative



(33) unergative



Recall that I adopt the analysis that unergatives are ‘concealed’ transitives which have a conflated internal argument. Thus, only the top argument (circled) of the unergative is overtly realised as an independent argument.

In Nuu-chah-nulth, contrasts between unaccusatives and (non-affixal) unergatives are occluded by the fact that both types of predicates receive the same type of subject agreement. A predicate such as *ʕiih* “cry” takes the same subject agreement as does a predicate such as *hinin* “arrive”. In (34), both are inflected for the third person indicative subject agreement -*ʕiiš* (3.IND).

- (34) a.    *ʕihʕiihamitʕiiš*            *naʕiiqsakqs*  
           *ʕiih-a[+R]-mit-ʕiiš*        *naʕiiqsu-ʕak-qs*  
           cry-IT-PST-3.IND        aunt/uncle-POSS-1SG.PS  
           My auntie was crying.

---

of any (impressionistic) differences between (28a) and (28b). Textual analysis may also shed light on whether use of *ʕu-* correlates with specific stylistic effects.

- b.      hininʔaʔʔiʃ                      naʔiʔqsakqs  
           hinin-ʔaʔ-ʔiʃ                    naʔiʔqsu-ʔak-qs  
           arrive-TEMP-3.IND            aunt/uncle-POSS-1SG.PS  
           My auntie has arrived now.

Despite this superficial similarity of unergatives and unaccusatives in Nuu-chah-nulth, I will advance two separate pieces of evidence for a distinction between unaccusative and unergative intransitives in the language. First, I propose that there is distributional evidence for unaccusativity, based on the class membership of affixal predicates (§4.2.3). Secondly, I propose that ‘long’ possessor raising constitutes a reliable syntactic diagnostic for unaccusativity in Nuu-chah-nulth (§4.2.4).

#### 4.2.3 Absence of unergative affixal predicates

A systematic gap in the composition of the Nuu-chah-nulth lexicon supports a contrast in this language between the two monadic classes of unergatives and unaccusatives. While one-place predicates with the semantics of typical unaccusative verbs (eg. *die*, *arrive*) are found amongst both the affixal and non-affixal classes in Nuu-chah-nulth, to the best of my knowledge, one-place predicates with the semantics of typical unergative predicates (eg. *work*, *cry*, *dance*) exist only as non-affixal predicates.

The generalisation that unergative predicates are absent from the affixal predicate inventory is supported by the suffix lists in Sapir and Swadesh (1939), and by the grammars of Rose (1981) and Davidson (2002). For example, consider Rose’s (1981) description of the two classes of ‘verbal affixes’ in Kyuquot, a northern dialect of Nuu-chah-nulth. Rose labels the two verbal classes ‘governing’ or ‘restrictive’. Amongst the ‘governing’ category of verbal affixes that take an ‘NP object base’ (rather than a sentential one), we find predicates with transitive and ditransitive syntax, according to the present classification. Unaccusatives are found amongst Rose’s class of ‘restrictive verbal affixes’. Importantly, there is no other category of ‘verbal affix’ in Rose’s list which plausibly resembles unergatives. The subclasses of ‘verbal affixes’ discussed in Rose (1981) are illustrated in the following table with their correspondences to the present classification system.

(35) Types of 'Verbal affixes'

| Classification | Ahousaht examples                                                                                                                      | Kyuquot cognates                                                                                                                                      | Label in Rose (1981)                       |
|----------------|----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|
| unaccusative   | <i>ʔu-nii</i> "arrive"<br><i>ʔuu-ʔatu</i> "sink, go down"<br><i>ʔu-suuʔ</i> "die, get destroyed"<br><i>ʔu-yiiʔiʔ</i> "come into house" | <i>-ni</i> "come home, arrive"<br><i>-ʔata</i> "sink, go down"<br><i>-suwi(ʔ) (~suu(ʔ))</i> "die, get destroyed"<br><i>-iiʔi(ʔ)</i> "come into house" | restrictive verbal affix                   |
| transitive     | <i>ʔuu-taq</i> "fix, work on"<br><i>ʔu-kʷiiʔ</i> "make"<br><i>ʔu-ʔiic</i> "consume"<br><i>ʔu-ʕiitʔ</i> "use as fuel"                   | <i>-taq</i> [+L] "work on.."<br><i>-(ʕ)iiʔ</i> "make.."<br><i>-ʔiic</i> "eat.."<br><i>-ʕiitʔ</i> "use.. as fuel"                                      | governing verbal affix<br>-NP object base  |
| ditransitive   | <i>ʔu-ʔip</i> "give"<br><i>ʔu-yii</i> "give"<br><i>ʔuu-kʂ</i> "ask for"                                                                | <i>-ʔip</i> "give.."<br><i>-aayi</i> "give.."<br><i>-(k)ʂ</i> [+L] "ask for.."                                                                        | governing verbal affix<br>-NP object base  |
| auxiliary      | <i>-sinʔi</i> [+L] "try to continue"<br><i>-maʕiiqʔ</i> "need to" (bodily functions)                                                   | <i>-sinʔ</i> [+L] "try to (be)..<br><i>-maʕaqʔ</i> "want to (be).."                                                                                   | governing verbal affix<br>-sentential base |

Note that a 'governing verbal affix' such as *ʔuu-taq* "work on" is strictly transitive, with a meaning similar to "fix", rather than with a usage parallel to English intransitive *work*.

- (36) a. *ʔuutaqitsiʂ* muunaa  
*ʔuu-taq*-mit-siiʂ muunaa  
 Ø-**work.on**-PST-1SG.IND engine  
 I was working on an engine.

- b. \* *ʔuutaqitsiʂ*  
*ʔuu-taq*-mit-siiʂ  
 Ø-**work.on**-PST-1SG.IND  
 I was working.  
 (consultant's comment: "you have to tell what you were fixing or working on")

In a similar vein, the affixal predicate *ʔu-ʔiic* "consume" has only a transitive usage. In the example below, the object *sapnii* "bread" is mandatorily expressed.

- (37) a. *ʔuʔiicamitsiʂ* Ken sapnii  
*ʔu-ʔiic*-ʔap-mit-siiʂ Ken sapnii  
 Ø-**consume**-TR-PST-1SG.IND Ken bread  
 I made Ken eat bread.

- b. \* *ʔuʔiicamitsiʂ* Ken  
*ʔu-ʔiic*-ʔap-mit-siiʂ Ken  
 Ø-**consume**-TR-PST-1SG.IND Ken  
 I made Ken eat.

This contrasts with the behaviour of the independent predicate *haʔuk* "eat", which, like English *eat*, allows for both an intransitive and transitive usage. In (38a), the object *sapnii* "bread" is expressed; in (38b), it is not.

- (38) a. haʔuk<sup>w</sup>amitsiš                      Ken      sapnii  
              haʔuk-ʔap-mit-siiš              Ken      sapnii  
              eat-TR-PST-1SG.IND           Ken      bread  
              I made Ken eat bread.
- b. haʔuk<sup>w</sup>amitsiš                      Ken  
              haʔuk-ʔap-mit-siiš              Ken  
              eat-TR-PST-1SG.IND           Ken  
              I made Ken eat.

In the next section, we see further evidence that intransitive affixal predicates in Nuuchah-nulth pattern as unaccusatives and not as unergatives.

#### 4.2.4 'Long' possessor raising as a diagnostic for unaccusativity

As first described in Chapter 2, Nuu-chah-nulth has a process of possessor raising in which the possessive marker *-uk/-ʔak* (POSS) suffixes to a main predicate, instead of (or in addition to) suffixing to the possessum (Davidson 2002, Ravinski 2005). In (39a), the possessum *kʷaaʔuuc* ‘grandchild’ is suffixed by *-uk* (POSS). In the possessor-raised (39b), *-uk* (POSS) suffixes to the predicate *taʔiʔ* ‘sick’.

- (39) a. taŋɪtŋiʃ kʷaaŋuucukqs  
 taŋɪt-ŋiʃ kʷaaŋuuc-uk-qs  
 sick-3.IND grandchild-POSS-1SG.PS  
 My grandchild is sick. (unraised)
- b. taŋɪtʉksiʃ kʷaaŋuuc  
 taŋɪt-uk-siiʃ kʷaaŋuuc  
 sick-POSS-1SG.IND grandchild  
 My grandchild is sick. (possessor raising)

In possessor raising, the possessor ends up determining subject agreement for the clause: in (39b), the subject agreement is *–siiiš* (1SG.IND) because it matches the first person possessor of *kʷaaʔuuc* “grandchild”. Standardly, possessor raising targets the surface subject of the main predicate in Nuuchahnulth, including the derived subjects of passives and unaccusatives (Ravinski 2005). For main predicates, possessor raising is insensitive to the difference between the arguments of unergatives and unaccusatives. As shown in (40), possessor raising is permitted with the argument of an ‘unergative’ intransitive such as *siih* “cry”, or the argument of an ‘unaccusative’ intransitive such as *hinin* “arrive”.

- (40)    a.      ŋihŋiihakitsiš                                  naʔiiqsu  
              ŋiih-a[+R]-ʔak-mit-siiš                 naʔiiqsu  
              cry-IT-POSS-PST-1SG.IND           aunt/uncle  
              My auntie was crying.

|    |                         |            |       |
|----|-------------------------|------------|-------|
| b. | hininʔakitsiš           | naʔiiq     | ..... |
|    | hinin-ʔak-mit-siš       | naʔiiq     | ..... |
|    | arrive-POSS-PST-1SG.IND | aunt/uncle |       |
|    | My auntie came.....     |            |       |

However, when possessor raising applies in contexts of affixal auxiliaries such as *-qaath* “claim” or *-mahsa* “want to”, a distinction emerges between unaccusatives and other types of predicates. When an unaccusative predicate combines with an affixal auxiliary, two possible interpretations are available in contexts of possessor raising. The example in (41) shows these two interpretations for the predicate *taʔit* “sick”, which is suffixed by the affixal auxiliary *-qaath* “claim”.<sup>3</sup> (In Nuuchahnulth, *taʔit* “sick” patterns with the class of unaccusative verbs.) In the first interpretation in (41), the one who doing the claiming is the same one who is sick (‘my grandchild’). A second interpretation is also available in which the claimer and the one who is sick are disjoint: the ‘claimer’ is a first person argument, and the one who is sick is ‘my grandchild’. (For the second type of interpretation, the person and number features of the ‘claimer’ necessarily matches the person and number of the possessor of the one who is sick.)

- (41)    *taʔitqathukʷitsiš*                      *kʷaaʔuuc*  
          *taʔit-qaath-uk-mit-siš*                *kʷaaʔuuc*  
          sick-claim-POSS-PST-1SG.IND    grandchild  
          = (i) My grandchild claimed to be sick.                      (‘short’ possessor raising)  
          = (ii) I claimed my grandchild was sick.                    (‘long’ possessor raising)

I refer to the first interpretation as a case of ‘short’ possessor raising, and the second as an instance of ‘long’ possessor raising, for reasons which will soon become apparent.

For unergative predicates, only a ‘short’ possessor raising interpretation is available; ‘long’ possessor raising is impossible. This is indicated in (42) with the unergative predicate *ʃaaq-siʔ* “shout (PERF)”. The ‘claimer’ and the ‘shouter’ must be the same person in this possessor raising context.

- (42)    *ʃaaqsiʔqathukʷitsiš*                      *naniiqsu*  
          *ʃaaq-siʔ-qaath-uk-mit-siš*                *naniiqsu*  
          shout-PERF-claim-POSS-PST-1SG.IND    grandparent  
          = (i) My grandparent claimed to shout.                      (‘short’ possessor raising)  
          ≠ (ii) I claimed my grandparent shouted.                    (‘long’ possessor raising)

Thus, the availability of a ‘long’ possessor raising interpretation distinguishes between the class of unaccusatives and the class of unergatives: unaccusatives such as *taʔit* “sick” are compatible with ‘long’ possessor raising, while unergatives such as *ʃaaq-siʔ* “shout (PERF)” are not.

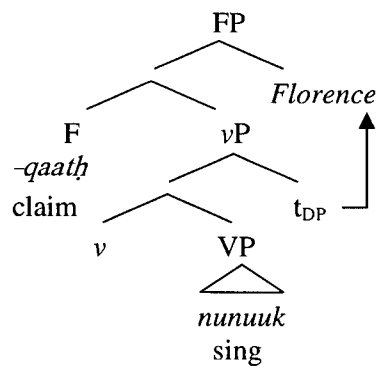
<sup>3</sup> The interpretations are disambiguated by context. For example, in a sentence such as *taʔitqathukʷitsiš kʷaaʔuuc čʰnuqʔ ʔiisʔiisafas* “My grandchild claimed she was sick because she didn’t want to go to school”, the meaning is clearly the first interpretation. For *taʔitqathukʷitsiš kʷaaʔuuc čʰnuqʔap naʔuuk ʔuʔiisak* “I claimed my grandchild was sick because I didn’t want her to go with her father”, the second interpretation arises.

A sketch of my analysis of the two types of possessor raising follows. As I will argue in Chapter 5, affixal auxiliaries such as *-qaath* “claim” in (43) are raising verbs which do not project a subject of their own, but which license raising of an embedded argument.

- (43)    *nunuukqathʔiš*                  Florence  
           *nunuuk-qaath-ʔiš*              Florence  
           sing-**claim**-3.IND            Florence  
           Florence is pretending to sing.

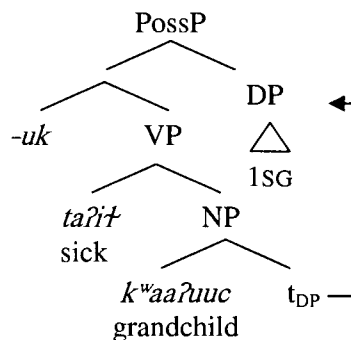
The diagram in (44) represents how *Florence* takes on the role of ‘shared’ subject of the auxiliary *-qaath* “claim” and the main predicate *nunuuk* “sing”. In (44), *Florence* originates as the subject of the main predicate, and raises to specifier position of the auxiliary.

- (44) *Affixal auxiliaries as raising verbs*



Following Ravinski (2005), I assume that the possessive morpheme *-uk* (POSS) licenses a position for raised possessors. This analysis is illustrated in (45) for the non-auxiliary case of possessor raising *taʔiʔtuksiš kʷaaʔuuc* “My grandchild is sick”, from (39b). Here, the first person possessor of *kʷaaʔuuc* “grandchild” raises to specifier position of PossP.

- (45) ‘Simple’ possessor raising



In ‘short’ and ‘long’ possessor raising with auxiliaries, the syntax of the auxiliary interacts with the syntax of possessor raising.

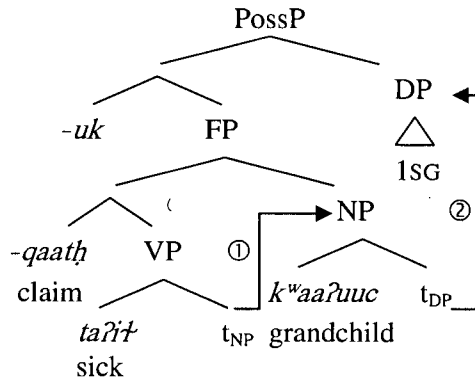


- (46)     $ta\dot{r}i\dot{t}qathuk^{witsi\dot{s}}$                        $k^{waa}\dot{r}uuc$   
           $ta\dot{r}i\dot{t}-qaath-uk-mit-si\dot{s}$                  $k^{waa}\dot{r}uuc$   
          sick-claim-POSS-PST-1SG.IND      grandchild  
          = (i) My grandchild claimed to be sick.                      ('short' possessor raising)  
          = (ii) I claimed my grandchild was sick.                      ('long' possessor raising)

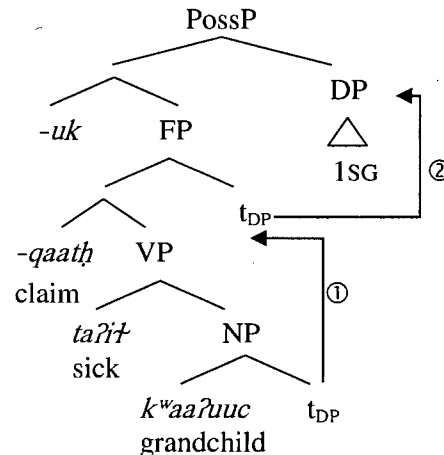
In the case of 'short' possessor raising with auxiliaries, I propose that the entire possessed nominal ("my grandchild") raises to a 'subject' position of the auxiliary, in specifier position of FP. This is followed by possessor-extraction, which raises the possessor to specifier of PossP. In 'long' possessor raising, in contrast, the possessor is raised twice, on its own. A first move raises the possessor to 'subject' position of the auxiliary, while the second move takes the possessor to specifier of PossP. The difference between the 'short' and 'long' possessor raising is illustrated below.

(47) *Possessor raising with unaccusative main predicate*

a. 'short' possessor raising



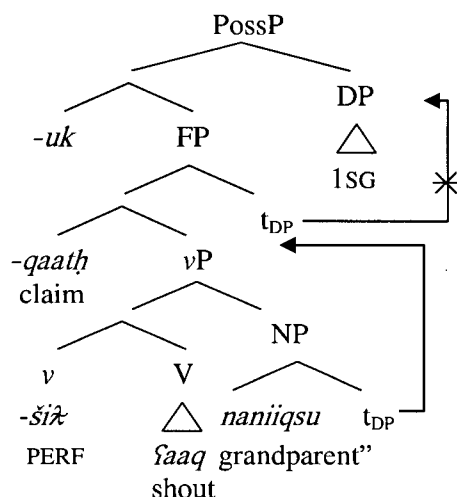
b. 'long' possessor raising



Thus, in the 'short' case of (47a), "my grandchild" acts as the (derived) subject of  $-qaath$  "claim", while in the 'long' case of (47b),  $-qaath$  "claim" has a first person subject (equivalent to the first person possessor). In both cases, the first person possessor occupies specifier position of PossP, and ultimately ends up determining the first person subject agreement of the clause,  $-si\dot{s}$  (1SG.IND).

As noted in the previous discussion, only unaccusative predicates such as  $ta\dot{r}i\dot{t}$  "sick" permit 'long' possessor raising. As illustrated in (48), the argument of an unergative such as  $\dot{s}aaq-\dot{s}i\dot{\lambda}$  "shout (PERF)" is not compatible with 'long' possessor raising.

(48) *Illicit 'long' possessor raising with unergative main predicate*



The cause for this restriction is in need of further investigation. Whatever the grammatical motivations for this contrast, it serves as a reliable diagnostic for unaccusatives. The unaccusativity restriction is an empirically robust distinction, and holds for Nuuchah-nulth speakers across a range of predicates. Intransitive predicates such as *mamuuk* “work”, *hitaʔap* “win”, and *yaac* “walk” all disallow a ‘long’ possessor raising interpretation. The possible interpretations are shown below with the affixal auxiliary *-maḥsa* “want to”.

- (49) *mamuukmaḥsaksiš*                      *naʔiiq*  
*mamuuk-maḥsa-ʔak-siiš*                *naʔiiq*  
work-want-POSS-1SG.IND              aunt/uncle  
= (i) My aunt/uncle wants to work.                      (‘short’ possessor raising)  
≠ (ii) I want my aunt/uncle to work.                      (‘long’ possessor raising)
- (50) *hitaʔapmaḥsaksiš*                      *naniiq*  
*hitaʔap-maḥsa-ʔak-siiš*                      *naniiq*  
win-want-POSS-1SG.IND                  grandparent  
= (i) My grandparent wants to win.                      (‘short’ possessor raising)  
≠ (ii) I want my grandparent to win.                      (‘long’ possessor raising)
- (51) *yaacšiḱmaḥsaksiš*                      *naʔiiqsu*  
*yaac-šiḱ-maḥsa-ʔak-siiš*                      *naʔiiqsu*  
walk-PERF-want-POSS-1SG.IND              aunt/uncle  
= (i) My aunt/uncle wants to go for a walk.                      (‘short’ possessor raising)  
≠ (ii) I want my aunt/uncle to go for a walk.                      (‘long’ possessor raising)

These unergative intransitives pattern together with transitive predicates such as *ʔu-kʷiiʔ* “make” *his-šiḱ* “hit (PERF)”, and *ʔu-ʔaap* “buy”, which also disallow ‘long’ possessor raising. In (52), only a ‘short’ possessor raising interpretation is permitted with the transitive predicate *ʔu-kʷiiʔ* “make”. Likewise, in (53), a ‘long’ possessor raising interpretation is shown to be impossible for

(52) saapniqitmaḥsaksiš naniiqsu  
 saapniq-čirt-mahsa-ʔak-siiš naniiqsu  
 bread-make-want-POSS-1SG.IND grandparent  
 = (i) My grandparent wants to make bread. ('short' possessor raising)  
 ≠ (ii) I want my grandparent to make bread. ('long' possessor raising)

(53) hisšiḭqathukʷitsiḥ yúkʷiiqsu Ray  
 his-šiḭ-qaath-uk-mit-siḥ yúkʷiiqsu Ray  
 hit-PERF-claim-POSS-PST-1SG.IND y.sibling Ray  
 = (i) My younger sibling claimed s/he hit Ray. ('short' possessor raising)  
 ≠ (ii) I claimed my younger sibling hit Ray ('long' possessor raising)

(54) huupukʷasʔapqathukʷitsiḥ yúkʷiiqsu  
 huupukʷas-ʔaap-qaath-uk-mit-siiš yúkʷiiqsu  
 car-buy-claim-POSS-PST-1SG.IND y.sibling  
 = (i) My younger sibling claimed s/he bought a car. ('short' possessor raising)  
 ≠ (ii) I claimed my younger sibling bought a car. ('long' possessor raising)

(55) a. šahyutmahsaksiš naniqsu  
 šahyut-**mahsa**-ʔak-siiš naniqsu  
 healthy-**want**-POSS-1SG.IND grandparent  
 = (i) My grandparent wants to be well. ('short' possessor raising)  
 = (ii) I want my grandparent to be well. ('long' possessor raising)

b. šahyutqathukʷitsiš naniqsu  
 šahyut-**qaath**-uk-mit-siiš naniqsu  
 healthy-**claim**-POSS-PST-1SG.IND grandparent  
 = (i) My grandparent claimed to be well. ('short' possessor raising)  
 = (ii) I claimed my grandparent was well. ('long' possessor raising)

125

- (56)    tuqšĩḡmaḥsaksiš                      pata  
           tuq-šĩḡ-maḥsa-ḡak-siış            pata  
           melt-PERF-want-POSS-1SG.IND   butter  
           ≠ (i) ! My butter wants to melt.                      ('short' possessor raising)  
           = (ii) I want my butter to melt.                      ('long' possessor raising)

In this example, the only pragmatically available reading is a 'long' possessor raising interpretation in which the controller of the auxiliary predicate –*maḥsa* "want" is the same as the first person possessor of the main predicate's argument, *pata* "butter". A 'short' possessor raising interpretation is impossible, since this entails an absurd reading in which the controller of the main and auxiliary predicates is the possessive nominal itself ('my butter'). Additional examples of 'long' possessor raising with unaccusatives are shown in (57). Predicates which are compatible with 'long' possessor raising include *puux-šĩḡ* "rise (PERF)", *niiḡatu* "sink", *paṡa* "shine (CONT)" and *caḡ-aa* "leak (CONT)".

- (57)    a.    puuxšĩḡmaḥsaksiš                      sapnii  
               puux-šĩḡ-maḥsa-ḡak-siış            sapnii  
               rise-PERF-want-POSS-1SG.IND   bread  
               I want my bread to rise.
- b.    niiḡatuqathuk<sup>wi</sup>ḡick                      muut  
               niiḡatu-qaath-uk-mit-ḡiick            muut  
               sink-claim-POSS-PST-2SG.IND   boat  
               You claimed your boat sank.
- c.    paṡaamaḥsaksiš                      tiīṡa  
               paṡa-aa-maḥsa-ak-siış            tiīṡa  
               shine-CONT-want-POSS-1SG.IND   fishing.spoon  
               I want my fishing spoon to shine.
- d.    caḡaaqathuk<sup>wi</sup>ḡick                      muut    ḡataḡick            ḡuyiiq  
               caḡ-aa-qaath-uk-mit-ḡiick            muut    ḡata-ḡiick            ḡu-yiiq  
               leak-CONT-claim-POSS-PST-2SG.IND   boat    but-2SG.IND   Ø-travel.in  
               You claimed your boat is leaking, and yet you're travelling in it.

In sum, 'long' possessor raising can be used as a diagnostic to separate unaccusative predicates from transitive and unergative classes, since only unaccusative main predicates ever allow 'long' possessor raising. The results of this diagnostic are summarized in (58).

(58) 'Long' possessor raising as a diagnostic for unaccusativity

| Main predicate          | 'long' possessor raising |
|-------------------------|--------------------------|
| A. Transitive           |                          |
| <i>ʔu-kʷiɬ</i> "make"   | x                        |
| <i>hiššɪʔ</i> "hit"     | x                        |
| <i>ʔu-ʔaap</i> "buy"    | x                        |
| B. Unergative           |                          |
| <i>mamuuk</i> "work"    | x                        |
| <i>hiɬaʔap</i> "win"    | x                        |
| <i>ʔaaqšɪʔ</i> "shout"  | x                        |
| <i>yaacšɪʔ</i> "walk"   | x                        |
| C. Unaccusative         |                          |
| <i>šahyʊt</i> "healthy" | ✓                        |
| <i>tuqšɪʔ</i> "melt"    | ✓                        |
| <i>puuxšɪʔ</i> "rise"   | ✓                        |
| <i>niiʔatu</i> "sink"   | ✓                        |
| <i>paɬaa</i> "shining"  | ✓                        |
| <i>caɬaa</i> "leaking"  | ✓                        |
| <i>ʔu-suuʔ</i> "die"    | ✓                        |
| <i>ʔu-nii</i> "arrive"  | ✓                        |

If we apply the 'long' possessor raising diagnostic to intransitive affixal predicates, we see that this test confirms that these affixal predicates behave similarly to non-affixal predicates such as *šahyʊt* "healthy" and *tuq-šɪʔ* "melt (PERF)" in that they allow a 'long' possessor raising interpretation. In other words, these intransitive affixal predicates pattern as unaccusatives. In the sentences below, the intransitive affixal predicate *ʔu-suuʔ* "die" appears in complex predicates with the affixal auxiliary *-qaath* "claim". Both 'short' and 'long' possessor raising is permitted, dependent on the discourse context.

- (59) a. *ʔusuʊʔqathukʔick* nani *ʔatquu* tiič  
*ʔu-suuʔ-qaath-uk-ʔick* nani *ʔat-quu* tiič  
 Ø-**die-claim**-POSS-2SG.IND grandparent but-3.COND alive  
 Your grandparent claimed she died, but she is alive. ('short' possessor raising)  
 (context: life insurance fraud scenario)
- b. *ʔusuʊʔqathukʔick* nani *ʔataʔiš* tiič  
*ʔu-suuʔ-qaath-uk-ʔick* nani *ʔata-ʔiš* tiič  
 Ø-**die-claim**-POSS-2SG.IND grandparent but-3.IND alive  
 You claim your grandparent died, but she is alive. ('long' possessor raising)  
 (context: bereavement leave scenario)

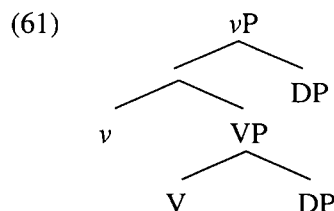
'Long' possessor raising is possible with other intransitive affixal predicates, such as *ʔu-nii* "arrive".

- (60) *ʔuniiqathukʔick* naniqsu *ʔatquu* wikiit  
*ʔu-nii-qaath-uk-ʔick* naniqsu *ʔat-quu* wikiit  
 Ø-**arrive-claim**-POSS-2.IND grandparent but-3.COND NEG-?  
 You pretend your grandparent came, although she isn't here. ('long' possessor raising)

In conclusion, the ‘long’ possessor raising diagnostic supports a classification in which affixal predicates like *ʔu-suuʔ* “die” and *ʔu-ʔii* “arrive” are unaccusative.

### 4.3 Transitives

This section considers the behaviour of transitive affixal predicates. These predicates have the following syntactic structure, derived via abstract transitivization of an underlying unaccusative:



Representative examples are shown below, which illustrate suffixation of the predicate to a nominal host. The predicates *ʔu-ʔint* “serve”, *ʔuʔu-ʔiih* “gather” and *ʔu-kʷiiʔ* “make” are each proposed to be transitive.

- (62)
- |    |                                                                                                                        |                             |
|----|------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| a. | čamayintitsiš<br>čamas- <u>ʔint</u> -mit-siiš<br>sweets- <b>serve</b> -PST-1SG.IND<br>I served sweets.                 |                             |
| b. | ʔuʔuʔiihʔiš<br>ʔuʔu- <u>ʔiih</u> [+R]-ʔiiš<br>sea.urchin- <b>gather</b> -3.IND<br>Grandparent is gathering sea urchin. | nani<br>nani<br>grandparent |
| c. | saapniqiiʔiš<br>saapniq- <u>čiiʔ</u> -ʔiiš<br>bread- <b>make</b> -3.IND<br>Mom is making bread.                        | ʔumʔi<br>ʔumʔi<br>mom       |

In the absence of incorporation, these predicates suffix to the the expletive pronoun *ʔu-*.

- (63)
- |    |                                                                                                                  |                             |
|----|------------------------------------------------------------------------------------------------------------------|-----------------------------|
| a. | ʔuʔintitsiš<br>ʔu- <u>ʔint</u> -mit-siiš<br>Ø- <b>serve</b> -PST-1SG.IND<br>I served sweets.                     | čamas<br>čamas<br>sweets    |
| b. | ʔuʔuʔiihʔiš<br>ʔu- <u>ʔiih</u> [+R]-ʔiiš<br>Ø- <b>gather</b> -3.IND<br>Your grandparent is gathering sea urchin. | nani<br>nani<br>grandparent |
| c. | ʔukʷiiʔiš<br>ʔu- <u>čiiʔ</u> -ʔiiš<br>Ø- <b>make</b> -3.IND<br>Mom is making bread.                              | ʔumʔi<br>ʔumʔi<br>mom       |

I present evidence in §4.3.1 that only complements may act as the source of incorporation for transitive affixal predicates. Two tests are used to independently affirm the

### 4.3.1 Incorporation

(64) a. maḥtaʔamitʔiš čakup  
maḥta-’aap-mit-ʔiš čakup  
house-buy-PST-3.IND man  
A man bought a house.

b. \* čapxʔaamitʔiš maḥtʔii  
čapx-’aap-mit-ʔiš maḥtʔii  
man-buy-PST-3.IND house  
A man bought a house.

(65) a. ʔaʔiicith John  
ʔaʔi-ʔiic-mit-h John  
what-consume- PST-3.Q John  
What did John eat? (Davis and Sawai 2001:127; ex.11)

b. \* ʔaaʔiçi+h ʔuʔiic John  
ʔaʔi-çi+h[+L]-h ʔu-ʔiic John  
what-AUX-3.Q Ø-consume John  
What did John eat? (Davis and Sawai 2001:127; ex.16)

129

[illegible]

(67)

|    |                                                 |      |          |                               |                         |      |
|----|-------------------------------------------------|------|----------|-------------------------------|-------------------------|------|
| a. | hačumsiqsaksiš                                  | haa  | čakupři  | yaašinhī?itq                  | Mary                    |      |
|    | hačumsiqsu-?ak-siiš                             | haa  | čakup-ři | yaq- <u>řiinhī</u> [+L]-řiitq | Mary                    |      |
|    | brother-POSS-1SG.IND                            | DEIC | man-DET  | REL- <u>wait.for</u> -3.RL    | Mary                    |      |
|    | That man who Mary is waiting for is my brother. |      |          |                               |                         |      |
| b. | hačumsiqsaksiš                                  | haa  | čakupři  | yaqřiitq                      | řiuuřiinhī              | Mary |
|    | hačumsiqsu-?ak-siiš                             | haa  | čakup-ři | yaq-řiitq                     | řiu- <u>řiinhī</u> [+L] | Mary |
|    | brother-POSS-1SG.IND                            | DEIC | man-DET  | REL-3.RL                      | Ø- <u>wait.for</u>      | Mary |
|    | That man who is waiting for Mary is my brother. |      |          |                               |                         |      |

(68)

```
graph TD
 vP --> v
 vP --> DP
 v --> v
 v --> VP
 VP --> V
 VP --> NP
 V --> V_text["-ʔaap
buy"]
 NP --> NP_text["maħtá-
house"]
```

*local spell-out*

In the next section, we turn to our first of two syntactic diagnostics which corroborate the analysis that it is the syntactic complement of a transitive affixal predicate which serves as the source of incorporation:



### 4.3.2 Subject agreement

Agreement in Nuuchahnulth corresponds to the subject of a transitive predicate, not to an object. The table below lists the Ahousaht agreement paradigm for the indicative mood, one of several mood inflections in the language (see Chapter 3, APPENDIX C; Nakayama 1997, 2001).

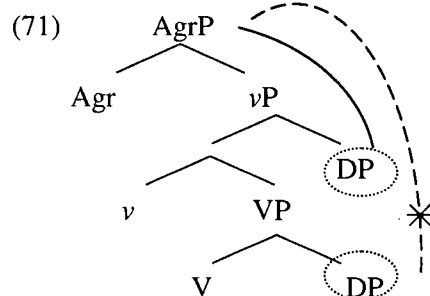
(69) *Indicative subject agreement*

|   | <i>singular</i> | <i>plural</i> |
|---|-----------------|---------------|
| 1 | -siiš           | -niiš         |
| 2 | -ʔiick          | -ʔiicuuš      |
| 3 | -ʔiis           |               |

Subject agreement registers the subjects of affixal and non-affixal predicates alike. For example, in (70a), the first person singular marker *-siiš* references the (*pro*) subject of the affixal predicate *ʔuu-ʔcii* ‘cook’; in (70b) the same marker applies to the non-affixal predicate *kith-šiʔ* ‘phone (PERF)’.

- (70) a.      ʔuuʔciiisiiš                      ʔisqmis  
               ʔu-ʔcii[+L]-siiš                ʔisqmis  
               Ø-cook-1SG.IND                meat  
               I am cooking meat.
- b.      kithšiʔaqʔsiš                      suʔa ʔathii    wikquus                haanaʔaʔas  
               kith-šiʔ-ʔaqʔ-siiš                suʔa ʔathii    wik-quus                haanaʔaq-ʔas  
               ring-PERF-FUT-1SG.IND    you    tonight    NEG-1SG.COND    lahal-ASP  
               I’ll phone you tonight if I don’t go to the lahal game.

I assume that subject agreement in Nuuchahnulth is licensed by the highest argument of a predicate (Ravinski 2005). Higher arguments are chosen over lower arguments due to the Minimal Link Condition (Chomsky 1995), in which short-distance relationships are preferred over long-distance ones. This is represented in (71), in which the external argument of a transitive is the closer to the agreement projection than the internal argument of the transitive. Subject agreement can be assumed to be licensed through an AGREE relation between a head (eg. Agr) and the most local DP (Chomsky 1995).



Given the analysis that complements of an affixal predicate act as ‘incorporated’ hosts, and given that complements of transitives are not the closest nominal to Agr, we expect that an incorporated nominal should not determine the subject agreement of a transitive predicate. This indeed holds. In (72) below, the nominal *čisqmis* “meat” incorporates into *ʔuu-ħčii* “cook”, while it is the first person argument which determines subject agreement.

- (72) *čiisqmishčisiš*  
*čisqmis-ħčii[+L]-siiš*  
 meat-cook-1SG.IND  
 I am cooking meat.

In the next section, we see further evidence that complements of the transitive affixal predicate are the source of incorporation.

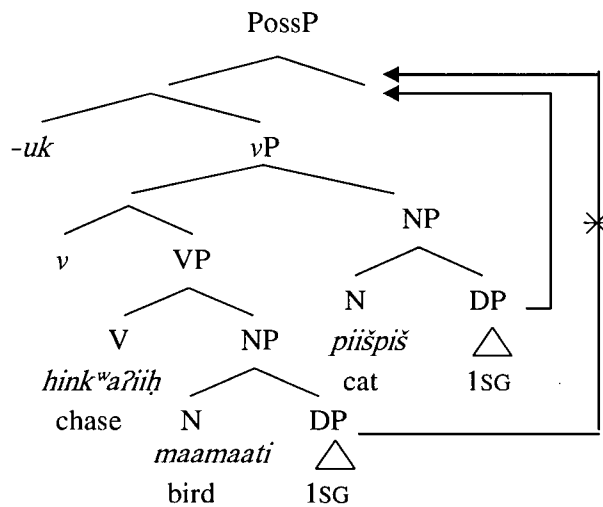
#### 4.3.3 Possessor raising

An additional diagnostic for the syntactic structure of transitive affixal predicates is supplied by the possessor raising construction. Recall from Chapter 2 that possessor raising only ever targets subjects in Nuu-chah-nulth (Davidson 2002, Ravinski 2005). This is illustrated in the sentence below with the predicate *hin-kʷaʔiiħ* “chase”.

- (73) *hinkʷaʔiiħuksiš*            *piišpiš* *maamaati*  
*hin-kʷaʔiiħ-uk-siiš*        *piišpiš* *maamaati*  
 LOC-after-POSS-3.IND    cat        bird  
 = (i) My cat was after a bird.  
 ≠ (ii) A cat was after my bird.

In this example of possessor raising, the possessive morpheme *-uk* suffixes to the predicate, rather than to the possessum, and the possessor argument controls subject agreement (Davidson 2002, Ravinski 2005). What is noteworthy for our purposes is that the subject *piišpiš* “cat” is eligible to receive an interpretation as the possessum, but the object *maamaati* “bird” is not. Thus, we infer that only the subject is able to act as the source of possessor raising. The subject restriction on possessor raising is illustrated in (74).

(74) *Subject restriction on possessor raising*



Ravinski (2005) attributes this subject restriction on possessor raising to the Minimal Link Condition (Chomsky 1995). According to this condition, shorter moves are preferred over longer ones. As the highest of the two arguments, the subject has the shortest move to Spec, DP. Thus, possessor raising from objects is predicted to be ruled out.

Focusing now on affixal predicates, we find that the subject restriction on possessor raising distinguishes between the two arguments of these transitives. The sentence below is an instance of possessor raising with the transitive affixal predicate *ʔu-yuʔaaʔ* “find”. As in the previous example, *-uk* (POSS) is suffixed to the predicate and it is the first person possessor which determines subject agreement.

- (75) ʔuyuʔaaʔuksiš      hupkumʔ      ʕiniiʔ  
 ʔu-uʔaaʔ-uk-siiš      hupk-umʔ      ʕiniiʔ  
 Ø-find-POSS-1SG.IND      ball-RD      dog  
 = (i) My dog found the ball.  
 ≠ (ii) The dog found my ball.

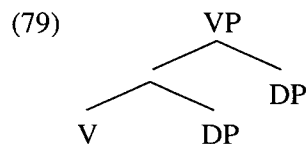
In this example, the only argument which may be interpreted as the possessum is *ʕiniiʔ* “dog”; *hupkumʔ* “ball” is not interpretable as the possessum. This diagnoses *ʕiniiʔ* “dog” as the subject of the predicate *ʔu-yuʔaaʔ*, since only subjects in Nuuchahnulth may act as the source of possessor raising.

Note that this restriction on interpretation holds regardless of the relative word orders of subject and object. (Recall from Chapter 3 that surface word order of arguments in Nuuchahnulth is often flexible.) In (76), the arguments are in reverse word order relative to (75).



#### 4.4 Extended unaccusatives

This section examines the properties of locative affixal predicates in Nuuchah-nulth, which I analyse as having the syntax of extended unaccusatives. These predicates project two internal arguments, realised in complement and specifier position, respectively.



Locative suffixes are abundant in the Wakashan languages (Sapir and Swadesh 1939, Boas 1947, Anderson 1985). In Nuuchah-nulth, locative affixal predicates make up a substantial percentage of the affixal predicate inventory. For the Kyuquot dialect of Nuuchah-nulth, Rose (1981: 293) estimates that there are 127 locative suffixes out of a set of 406 noninflectional affixes in the language, amounting to approximately 31% of the total set. Locative affixal predicates denote a range of spatial relationships in Nuuchah-nulth, including reference to physical locations (eg. *ʔu-ʔis* “on the beach”, *ʔuu-tsit* “on the surface of a liquid”) and body parts (eg. *ʔuu-wik* “on the head”, *ʔuʔu-qhta* “on the foot/feet”), as well as more abstract relationships (eg. *ʔu-kʷi* “in”, *ʔu-kʰaas* “beside”) (Davidson 2002). Representative examples are given below.

- (80)
- |    |                                                      |                   |               |      |            |
|----|------------------------------------------------------|-------------------|---------------|------|------------|
| a. | ʔuʔisʔiš                                             | ʔaya              | mʉksʔi        | haaʔ | ʧaʔakʔi    |
|    | ʔu-ʔis-ʔiʔiš                                         | ʔaya              | mʉksʔi        | haaʔ | ʧaʔak-ʔiʔi |
|    | Ø- <b>on.beach</b> -3.IND                            | many              | rocks         | DEIC | island-DET |
|    | There's lots of rocks on the beach of that island.   |                   |               |      |            |
|    | (lit: “That island beaches/contains a lot of rocks”) |                   |               |      |            |
| b. | ʔuutsitʔiš                                           | ʧišxmis           | niisʔakʔi     |      |            |
|    | ʔuu-tsit-ʔiʔiš                                       | ʧišx-mis          | niisʔak-ʔiʔi  |      |            |
|    | Ø- <b>in.water</b> -3.IND                            | dirt-NOM          | pot-DET       |      |            |
|    | There's dirt (in the water) in the pot.              |                   |               |      |            |
|    | (lit: “The pot waters/contains dirt”)                |                   |               |      |            |
| c. | ʔuuwikʔiš                                            | ʧiisiicumʔi       |               |      |            |
|    | ʔu-wik[+L]-ʔiʔiš                                     | ʧiisiicum-ʔiʔi    |               |      |            |
|    | Ø- <b>on.head</b> -3.IND                             | headband-DET      |               |      |            |
|    | S/he's wearing a headband.                           |                   |               |      |            |
|    | (lit: “She's heading a headband”)                    |                   |               |      |            |
| d. | ʔuʔuqhtinuʔi                                         | ʃuwisukʔitk       |               |      |            |
|    | ʔu-qhta[+R]-inuʔ-ʔiʔi                                | ʃuwis-uk-ʔitk     |               |      |            |
|    | Ø- <b>on.feet</b> -PERF-2SG.IMP>3.OBJ                | shoes-POSS-2SG.PS |               |      |            |
|    | Put your shoes on!                                   |                   |               |      |            |
|    | (lit: “Feet your shoes!”)                            |                   |               |      |            |
| e. | ʔukʷiʔiš                                             | qaʔuuc            | ʔama          |      |            |
|    | ʔu-ʧi-ʔiʔiš                                          | qaʔuuc            | ʔama          |      |            |
|    | Ø- <b>in</b> -3.IND                                  | burden.basket     | salal.berries |      |            |
|    | The salal berries are in the basket.                 |                   |               |      |            |

- f.      ʔukčaaʔuksiš                      maḥtʔi saantiqʷas  
          ʔu-čaaʔ-uk-siis                    maḥtʔi saanti-quʷas  
          Ø-beside-POSS-1SG.IND        house Sunday-building  
          My house is beside a church.

Since the first study of Southern Wakashan languages in the early twentieth century, researchers have observed that locative suffixes show contrasts in the type of relationship that holds between the suffix and its host (Sapir & Swadesh 1939, Swadesh 1939). Davidson (2002: 180-181) aptly notes that the locative suffixes –*čuu* “contain” and –*čʔi* “in” show opposite patterns with respect to the nominal they suffix to. In the examples below, the locative suffix *ʔu-čuu* “contain” cannot suffix to the nominal *qaʔuuc* ‘burden basket’ (81b), while the *ʔu-kʷi* “in” does have the ability to do so (82b).

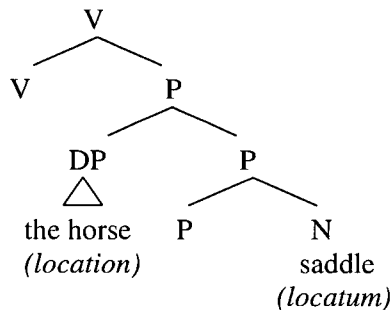
- (81) a.      haʔumčʊʔiš                      qaʔuucʔi  
          haʔum-čuu-ʔiis                    qaʔuuc-ʔi  
          food-contain-3.IND            burden.basket-DET  
          There’s food in the burden basket.  
          (*lit*: “The burden basket contains food”)      (cf. Davidson 2002: 181, ex. 277)
- b.      \*      qaʔuucčʊʔiš                      haʔum  
          qaʔuuc-čuu-ʔiis                    haʔum  
          burden.basket-contain-3.IND    food  
          There’s food in a burden basket.
- (82) a.      \*      ʔamačʔiis                      qaʔuuc  
          ʔama-čʔi-ʔiis                      qaʔuuc  
          salal.berries-in-3.IND            burden.basket  
          There’s salal berries in a burden basket.
- b.      qaʔuucčʔiis                      ʔamaʔi  
          qaʔuuc-čʔi-ʔiis                    ʔama-ʔi  
          burden.basket-in-3.IND            salal.berries-DET  
          The salal berries are in a burden basket. (cf. Davidson 2002: 181, ex. 276)

The claim that I develop in this section is that the suffixation patterns of locative suffixes in Nuuchah-nulth fall out from conditioning effects of their argument structure. Under my analysis, the predicate *ʔu-čuu(u)* “contain” is classified as a *locatum predicate*, while *ʔu-kʷi* “in” is classified as *location predicate*. This terminology is borrowed from treatments of English denominal ‘location’ and ‘locatum’ verbs (Clark and Clark 1979, Hale and Keyser 2002). As Clark and Clark (1979) describe, English locatum verbs (such as *clothe* or *saddle*) are derivationally related to nominals which specify an object which is located (the “locatum”). In (83a), the locatum verb *saddle* references the object (the saddle) which is placed onto the horse. On the other hand, location verbs in English (such as *shelve* or *bottle*) are derived from nouns that specify the location of the object, and not the located object itself. In (83b), the location verb *shelve* references the position (the shelf) where the books end up.

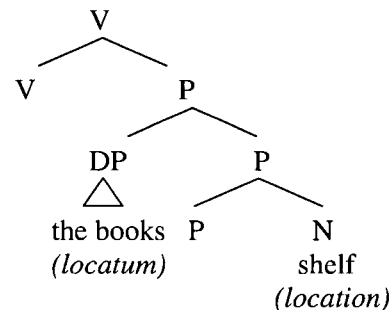
- (83) a. She saddled the horse. (locatum verb; Hale and Keyser 2002: 19, ex. 35b)  
 b. I shelved the books. (location verb; Hale and Keyser 2002: 19, ex. 35a)

Hale and Keyser (2002) present an analysis of English locatum and location verbs in which the two locative predicate types show inverse argument structures. Locatum predicates have a locatum argument in complement position of a covert preposition, and a location argument in specifier position of the preposition. Location predicates, in contrast, have a location argument in their complement, and locatum in their specifier.

(84) a. *locatum predicate*



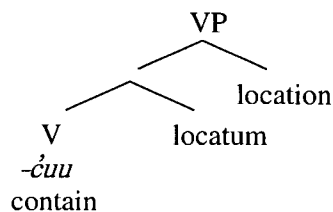
b. *location predicate*



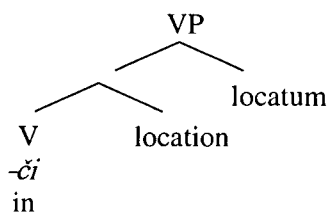
In Hale and Keyser's (2002) analysis, the predicative function of these denominals is represented by a synthetic structure composed of stacked prepositional and verbal predicates headed by covert elements. The nominal head in each configuration ("saddle", "shelf") comes to be realised as a verb via a process of conflation which ties together the nominal with the verbal head.

Following Hale and Keyser's (2002) analysis of English locative denominals, I propose that the two locative classes in Nuuchahnulth differ in that locatum predicates such as *ʔu-čuu* "contain" take a locatum argument as their complement, while location predicates such as *ʔu-kʷi* "in" take a location argument as their complement. Assuming that the second argument of these dyadic verbs is introduced in a right-branching specifier position in Nuuchahnulth, this yields the following syntactic representation:

(85) a. *locatum predicate*



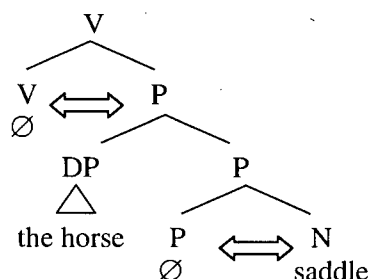
b. *location predicate*



This analysis of Nuuchahnulth locatives differs from Hale and Keyser's (2002) treatment of English denominal locatives with respect to the process of conflation. As noted earlier in the chapter, conflation copies the 'p-signature' of a complement onto a defective head. Conflation accounts for the 'denominal' characteristics of the English locatives. In Hale and

Keyser's analysis of English locatives, the lexical head is introduced as a nominal complement of a phonologically 'defective' (ie. covert) preposition. This prepositional phrase is embedded as the complement of a covert verb. By conflation (represented by an arrow), the phonological 'signature' of the nominal comes to be associated with a phonologically defective ( $\emptyset$ ) prepositional head, and in turn, a defective verbal head ( $\emptyset$ ). The 'V' thus takes on the phonological characteristics of the N, *saddle*.

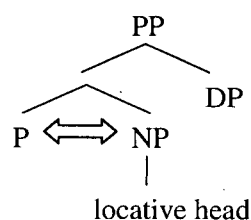
(86) *denominal-forming conflation*



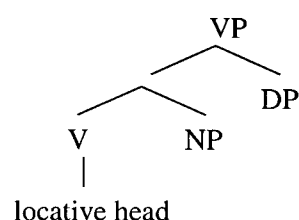
In this way, the process of conflation derives the verbal behaviour of the nominal head.

In Nuu-chah-nulth, a different set of empirical facts holds. Unlike the English denominal predicates ("shelve", "clothe") which show an overt correspondence to nominals ("shelf", "cloth(es)"), there is no evidence for a nominal alternation with Nuu-chah-nulth locatives. In other words, Nuu-chah-nulth locative predicates are not related to any free-standing nominals. Accordingly, I represent the lexical material of the Nuu-chah-nulth locative predicates as the predicate heads themselves, rather than as nominal heads embedded in additional structure. In other words, I propose that no abstract conflation occurs in Nuu-chah-nulth to derive the predicative function of locatives. In the analysis I have presented, the locative predicates *ʔu-čuu* "contain" and *ʔu-kʷi* "in" directly occupy a verbal head position, V. There is no mediating prepositional component to the predication. That is, I adopt a direct predication analysis over a prepositional conflation analysis.

(87) a. *prepositional conflation*



b. *direct predication*



A further difference between Nuu-chah-nulth locatives and English locative denominals is that Nuu-chah-nulth locatives lack agentive force. In the Hale and Keyser analysis, the agentivity of English denominals is represented in the synthetic predicate structure by a tacit

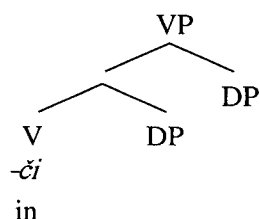


verbal element equivalent to “put”. No such covert verbal head is warranted in the Nuu-chah-nulth cases; in the  $\nu$ P shell framework which I have adopted, the absence of agentive force of Nuu-chah-nulth locatives may be denoted by the absence of a  $\nu$ P projection. This allows for a contrast between stative locatives in Nuu-chah-nulth, and agentive locatives formed by overt causativization. The following example expresses a complex predicate equivalent to English “put”, derived by causativization of the location predicate  $\lambda u-k^w i$  “in”.

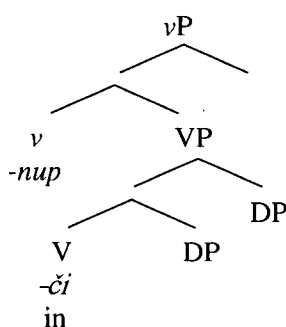
- (88)  $\lambda uk^w inup\lambda aq\lambda si\check{s}$                        $\lambda a\check{h}iqs\check{r}i$   
 $\lambda u-\check{c}i-nup-\lambda aq\lambda s-i\check{s}$                        $\lambda a\check{h}iqs-\check{r}i i$   
 $\emptyset-\text{in-CAUS-FUT-1SG.IND}$                       box-DET  
 I’ll put it in the box.

I assume that agentive locatives such as that in (88) have a  $\nu$ P projection, but stative locatives do not. This is illustrated in the diagrams in (89).

- (89) a. *stative locative*



- b. *agentive locative*



We now turn to evidence which supports the syntactic structures of locatives proposed in (85). The diagnostics which I first introduced for transitives in §4.3 (subject agreement and possessor raising) will be shown to support an analysis in which locative predicates in Nuu-chah-nulth belong to two distinct classes which have inverse argument structures. In §4.4.1, I consider locatum predicates, and in §4.4.2, I turn to location predicates.

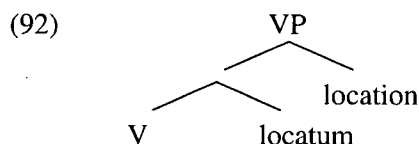
#### 4.4.1 Locatum predicates

This section presents diagnostics for the syntactic structure of locatum predicates such as  $\lambda u-\acute{c}uu$  “contain” or  $\lambda u-\acute{h}a\acute{h}u\acute{t}$  “on front”.

- (90) a.  $\lambda u\acute{c}uu\check{r}i\check{s}$                        $\acute{c}a\check{r}ak$   
 $\lambda u-\acute{c}uu-\check{r}i\check{s}$                        $\acute{c}a\check{r}ak$   
 $\emptyset-\text{contain-3.IND}$                       water  
 There’s water in this.  
*(lit: “This contains water”)*

- b. č'aʔakč'uʔiš  
 č'aʔak-č'uu-ʔiš  
 water-**contain**-3.IND  
 There's water in this.  
 (lit: "This contains water")
- (91) a. ʔuħaħuʔiš                      ʕimtiiʔakʔi                      ɥaa    ʔuucmaʔi  
          ʔu-ħaħuʔ-ʔiš                      ʕimtii-ʔak-ʔi                      ɥaa    ʔuucma-ʔi  
          Ø-**on.front**-3.IND                      name-POSS-3.PS                      DEIC    woman-DET  
          That woman's got her name written on her front.  
          (lit: "That woman is fronting her name")
- b. ʕimtiiħaħuʔiš                      ɥaa    ʔuucmaʔi  
          ʕimtii-ħaħuʔ-ʔiš                      ɥaa    ʔuucma-ʔi  
          name-**on.front**-3.IND                      DEIC    woman-DET  
          That woman's got a name written on her front.  
          (lit: "That woman is fronting her name")

I analyse these predicates as taking a locatum argument as a complement, and a location as a specifier.



#### 4.4.1.1 Incorporation

According to the PF Incorporation hypothesis, affixal predicates in Nuu-chah-nulth incorporate a host chosen from their derivational sister. Assuming the structure in (93), we predict that a locatum predicate such as ʔu-č'uu "contain" should be oriented at spell-out with respect to its locatum argument, and not to its location argument. It is the locatum argument of a locatum predicate which is a derivational sister of the affixal predicate.



This prediction holds, as indicated in the sentences in (94), repeated from (81). In (94a), the affixal predicate ʔu-č'uu "contain" suffixes to the locatum argument, ɥaʔum "food". As shown in (94b), it is ungrammatical for this predicate to suffix to the location argument, ɥaʔuuc "burden basket".

- (94) a. ɥaʔumč'uʔiš                      ɥaʔuucʔi  
          ɥaʔum-č'uu-ʔiš                      ɥaʔuuc-ʔi  
          food-**contain**-3.IND                      burden.basket-DET  
          There's food in the burden basket.  
          (lit: "The burden basket contains food")

- b. \* qaʔuucɕuʔiʃ                      haʔum  
       qaʔuuc-ɕuu-ʔiʃ                haʔum  
       burden.basket-**contain**-3.IND    food  
       There's food in a burden basket.

Other locatum predicates show the same restriction. This is demonstrated below with *ʔu-kuxs* “on the head”, and *ʔuu-tsit* “on the surface of a liquid”. In (95), the affixal predicate suffixes to the locatum argument *ʔiʔiɕumʔ* “straw hat”, but not the location argument *ʔuɕ* “woman”. In (96), the affixal predicate suffixes to *ɕiʃx* “dirt”, the locatum, and not to *niisʔak* “pot”, the location.

- (95) a. ʔiʔiɕumʔuxsʔiʃ                      ʔuucma  
       ʔiʔiɕumʔ-uxs-ʔiʃ                ʔuucma  
       straw.hat-**on.head**-3.IND        woman  
       A woman is wearing a straw hat.  
       (lit: “A woman is heading a straw hat”)
- b. \* ʔuɕuxsʔiʃ                      ʔiʔiɕumʔ  
       ʔuɕ-uxs-ʔiʃ                      ʔiʔiɕumʔ  
       woman-**on.head**-3.IND    straw.hat  
       A woman is wearing a straw hat.
- (96) a. ɕiʃxʃitʔiʃ                      ʔuh    niisʔakʔi            ʔuqsaapɕi  
       ɕiʃx-ʃit[+L]-ʔiʃ                ʔuh    niisʔak-ʔii        ʔuq-saap-ɕii  
       dirt-**on.surface.of.liquid**-3.IND    DEIC    pot-DET            spill-CAUS-2SG.GO  
       There's dirt in the pot. Go dump it out!  
       (lit: “The pot surfaces/contains dirt. Go dump it out!”)
- b. \* niisʔaksitʔiʃ                      ɕiʃxmis  
       niisʔak-ʃit-ʔiʃ                      ɕiʃxmis  
       pot-**in.water**-3.IND        dirt  
       There's dirt in a pot.

The incorporation asymmetry derives from the properties of pairwise spell-out to PF. An affixal predicate finds a host from its derivational sister. As the derivational sister of a locatum predicate, the locatum argument acts as a host for the affix. Location arguments are ineligible as a host because they are not derivational sisters to the affixal predicate.

The incorporation asymmetry between the arguments of a locatum predicate extends predictably to the formation of *wh*-questions and relative clauses. For locatum verbs such as *ʔu-uqʔ* “inside” or *ʔu-ɕuu* “in a container”, a *wh*-word which corresponds to the locatum argument incorporates into the affixal predicate. In (97a), the locatum *ʔaqi* “what” incorporates into the affixal predicate *ʔu-uqʔ* “inside”. In (97b), the same locatum incorporates into the affixal predicate *ʔu-ɕuu* “in a container”.

- (97) a. ʔaqiʔəh                      ɕʔamaqʔəyakʔi  
       ʔaqi-aqʔ-h                      ɕʔamaqʔəyak-ʔii  
       what-**inside**-3.Q                oven-DET  
       What's in the oven?

- b.      ?aɣiɕuɥ                      ?aɥkuu  
           ?aɣi-ɕuu-ɥ                ?aɥkuu  
           what-**contain**-3.Q        DEIC  
           What's in this?  
           (lit: "What does this contain?")

Incorporation of a *wh*-location is disallowed by a locatum verb. As shown in (98a), it is impossible for the location *waayaq* "which" to incorporate into the affixal predicate *ɲu-ɕuu* "in a container". Instead, the location must remain unsuffixed to the locatum predicate, as in (98b).

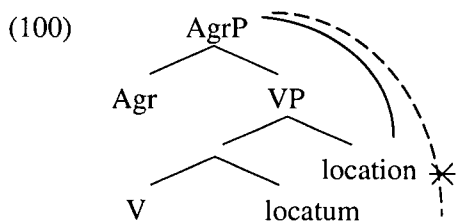
- (98) a. \*      waayaɣɕuɥ                      haɲum?akqs  
           waayaɣ-ɕuu-ɥ                haɲum-?ak-qs  
           which-**in.container**-3.Q        food-POSS-1SG.PS  
           Which one is my food in?
- b.      waayaɣɥ              ɲuɕuu              haɲum?akqs  
           waayaɣ-ɥ            ɲu-ɕuu            haɲum-?ak-qs  
           which-3.Q        Ø-**in.container**    food-POSS-1SG.PS  
           Which one is my food in?  
           (lit: "Which contains my food?")

A parallel pattern is found with relative clauses formed with locatum predicates. A relative pronoun (*yaq*) which corresponds to the locatum argument incorporates into the affixal predicate *ɲu-kuxs* "on the head" in (99a). As shown in (99b), a relative pronoun corresponding to the location argument does not incorporate into the locatum predicate.

- (99) a.      ʁuɕuu?akɲiʃ              ʁiɲiɕumɕ    yaquxsɲitq              ɣaa      ɕuucmaɲi  
           ʁuɕ-ɕuu-?ak-ɲiʃ        ʁiɲiɕumɕ    yaq-uxs-ɲitq        ɣaa      ɕuucma-ɲi  
           good-RES-POSS-3.IND    straw.hat    REL-**on.head**-3.RL    DEIC    woman-DET  
           The staw hat that lady is wearing is very nice.        (locatum-relative)
- b.      ɣuk<sup>w</sup>iisaksiʃ              ɕuucmaɲi              yaqɲitq              nuutintɕ  
           ɣuk<sup>w</sup>iisqu-?ak-siiʃ        ɕuucma-ɲi            yaq-ɲitq              nuut-wint  
           y.sibling-POSS-1SG.IND    woman-DET        REL-3.RL            necklace-**on.neck**  
           The woman who is wearing a necklace is my younger sibling. (location-relative)

#### 4.4.1.2 Subject agreement

The subject agreement for a locatum predicate corroborates an analysis in which the location argument occurs higher than the locatum. As the highest argument, the location is predicted to trigger the subject agreement in AgrP.



This prediction holds: for locatum predicates, the person agreement corresponds to the location argument, not the locatum argument. A first person location argument is registered by the first person indicative inflection *-siiš*, as illustrated in (101).

- (101) a.       $\eta$ ucut<sup>u</sup>umsiš                                      sačkaḥs  
                   $\eta$ u-cut<sup>u</sup>um-siiš                                      sačkaḥs  
                  Ø-on.side.of.head-1SG.IND                      comb  
                  I've got a comb on the side of my head.
- b.       $\eta$ uwin<sup>t</sup>siš                                      nuutinum                       $\eta$ u $\eta$ iḥas                      puuma<sup>t</sup> $\eta$ iḥa  
                   $\eta$ uu-win<sup>t</sup>-siiš                                      nuutinum                       $\eta$ u- $\eta$ iḥa-s                      puuma<sup>t</sup>- $\eta$ iḥa  
                  Ø-on.neck-1SG.IND                      necklace                      Ø-due.to-1SG.ABS                      itchy-feeling  
                  Because I'm wearing a necklace I'm itchy.
- c.       $\mathfrak{X}$ i $\eta$ ičum<sup>t</sup>uxssiš  
                   $\mathfrak{X}$ i $\eta$ ičum<sup>t</sup>-uxs-siiš  
                  straw.hat-on.head-1SG.IND  
                  I'm wearing a straw hat.

Here, the locatum argument is not registered by subject agreement.

#### 4.4.1.3 Possessor raising

In contexts with no possessor raising, either argument of a locatum predicate may be marked with possessive morphology and receive an interpretation in which the possessive-marked nominal is a possessum. In (102a), the location argument *č'upč'upšum<sup>t</sup>* “sweater” is possessive-marked as a possessum, while in (102b) it is the locatum  *$\eta$ imtii* “name” which acts as a possessum. (There is no restriction here as to whether the possessor *Lucy* must precede or follow the possessum: following (Ravinski 2005), I assume this is a case of ‘scrambling’.)

- (102) a.       $\eta$ u $\eta$ a<sup>t</sup> $\eta$ iš                                       $\eta$ imtii    Lucy    č'upč'upšum<sup>t</sup>uk $\eta$ i  
                   $\eta$ u- $\eta$ a<sup>t</sup>- $\eta$ iš                                       $\eta$ imtii    Lucy    č'upč'upšum<sup>t</sup>-uk- $\eta$ i  
                  Ø-on.flat.surface-3.IND                      name    Lucy    sweater-POSS-3.PS  
                  There is a name is on Lucy's sweater.                      (*possessum*= *location*)
- b.       $\eta$ u $\eta$ a<sup>t</sup> $\eta$ iš                                       $\eta$ imtii $\eta$ ak $\eta$ i                      Lucy    č'upč'upšum<sup>t</sup>  
                   $\eta$ u- $\eta$ a<sup>t</sup>- $\eta$ iš                                       $\eta$ imtii- $\eta$ ak- $\eta$ i                      Lucy    č'upč'upšum<sup>t</sup>  
                  Ø-on.flat.surface-3.IND                      name-POSS-3.PS Lucy    sweater  
                  Lucy's name is on a sweater.                      (*possessum*= *locatum*)

In possessor raising contexts, however, there is an asymmetry between the two arguments of the locatum predicate. Only the location argument of a locatum predicate may act as the source of possessor raising. This is reflected in the possessor raising sentences below, in which a possessive marker suffixes to the locatum predicate  *$\eta$ u- $\eta$ a<sup>t</sup>* “on a flat surface”. Here, the location *č'upč'upšum<sup>t</sup>* “sweater” is obligatorily interpreted as the possessum. The sentence in (103a) shows the pattern with the locatum predicate suffixed to  *$\eta$ u-*, and (103b) shows the same restriction on interpretation when the affixal predicate has suffixed to the locatum argument.

- (103) a.       $\eta u\eta a\uparrow uk\eta i\check{s}$                                    $\eta imtii$       Lucy       $\check{c}'up\check{c}'up\check{s}um\uparrow$   
                   $\eta u\text{-}\eta a\uparrow\text{-}uk\text{-}\eta i\check{i}\check{s}$                                    $\eta imtii$       Lucy       $\check{c}'up\check{c}'up\check{s}um\uparrow$   
                   $\emptyset\text{-}\underline{on.flat.surface}\text{-}POSS\text{-}3.IND$  name      Lucy      sweater  
                  = (i) There is a name on Lucy's sweater.                                  (*possessum = location*)  
                   $\neq$  (ii) Lucy's name is on a sweater.                                  (*possessum  $\neq$  locatum*)
- b.               $\eta imtiqa\uparrow uk\eta i\check{s}$                                   Lucy       $\check{c}'up\check{c}'up\check{s}um\uparrow$   
                   $\eta imtii\text{-}\eta a\uparrow\text{-}uk\text{-}\eta i\check{i}\check{s}$                                   Lucy       $\check{c}'up\check{c}'up\check{s}um\uparrow$   
                  name- $\underline{on.flat.surface}\text{-}POSS\text{-}3.IND$       Lucy      sweater  
                  = (i) There is a name on Lucy's sweater.                                  (*possessum = location*)  
                   $\neq$  (ii) Lucy's name is on a sweater.                                  (*possessum  $\neq$  locatum*)

In (103), an interpretation of “*Lucy's name is on a sweater*” is unavailable. Thus, the locatum ( $\eta imtii$  “name”) proves to be ineligible as the source of possessor raising.

It is predicted by the analysis that only a location argument of a locatum predicate should act as a source of possessor raising. Possessor raising in Nuu-chah-nulth is possible only out of subjects, and never objects. As the higher argument of a locatum predicate, the location takes on the role of a subject, and with this, the ability to serve as the source of possessor raising.

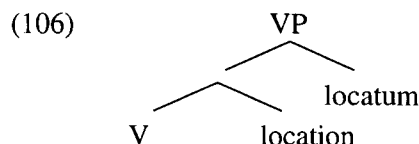
In the next section, we turn to the discussion of location predicates, which I argue have an orientation of arguments which is the inverse of that of locatum predicates.

#### 4.4.2 Location predicates

This section presents diagnostics for the syntactic structure of location predicates such as  $\eta u\text{-}k^w i$  “in” or  $\eta u\text{-}k\check{c}aas$  “beside”. Examples of each of these affixal predicates are given below.

- (104) a.       $\eta uk^w i\eta i\check{s}$                                    $\check{c}'a\eta ak$        $\check{c}'ax^w ac$   
                   $\eta u\text{-}\underline{\check{c}i}\text{-}\eta i\check{i}\check{s}$                                    $\check{c}'a\eta ak$        $\check{c}'ax^w ac$   
                   $\emptyset\text{-}\underline{in}\text{-}3.IND$       water      bucket  
                  The water is in the bucket.
- b.               $\check{c}'ax^w ac\check{c}i\eta i\check{s}$                                    $\check{c}'a\eta ak$   
                   $\check{c}'ax^w ac\text{-}\underline{\check{c}i}\text{-}\eta i\check{i}\check{s}$                                    $\check{c}'a\eta ak$   
                  bucket- $\underline{in}\text{-}3.IND$  water  
                  The water is in the bucket.
- (105) a.       $\eta uk\check{c}aas\eta i\check{s}$                                   Kay       $\check{c}akup$   
                   $\eta u\text{-}\underline{\check{c}aas}\text{-}\eta i\check{i}\check{s}$                                   Kay       $\check{c}akup$   
                   $\emptyset\text{-}\underline{beside}\text{-}3.IND$  Kay      man  
                  Kay's sitting beside a man.
- b.               $\check{c}ap\check{x}\check{c}aas\eta i\check{s}$                                   Kay  
                   $\check{c}ap\check{x}\text{-}\underline{\check{c}aas}\text{-}\eta i\check{i}\check{s}$                                   Kay  
                  man- $\underline{beside}\text{-}3.IND$       Kay  
                  Kay's sitting beside a man.

I analyse these predicates as taking a location argument as a complement, and a locatum as a specifier, as in (106).



#### 4.4.2.1 Incorporation

Only the location argument of a location predicate may incorporate; locata may not. This is illustrated in (107) for the predicate *ʔu-kʷi* “in”. In (107a), the predicate suffixes to the location *čaxʷac* “bucket”. It is not possible for the locatum, *čaxʷak* “water”, to serve as a host for the affixal location predicate, as indicated in (107b).

- (107) a. *čaxʷacčičiš*      *čaxʷak*  
*čaxʷac-čičiš*      *čaxʷak*  
 bucket-in-3.IND water  
 The water is in the bucket.
- b. \* *čaxʷakčičiš*      *čaxʷac*  
*čaxʷak-čičiš*      *čaxʷac*  
 water-in-3.IND bucket  
 The water is in the bucket.

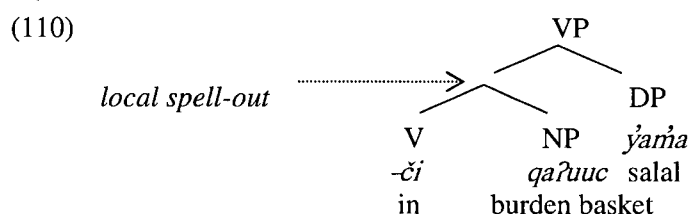
This incorporation asymmetry is readily observed in *wh*-questions, in which an incorporated *wh*-word references only a location, and not the locatum. In the following example, *ʔaqi* “what” incorporates in the location predicate *ʔu-kʷi* “in”. The *wh*-word corresponds only to the location argument, while the argument *qaʔuuc* “basket” refers to the locatum.

- (108) *ʔaqičih*      *qaʔuuc*  
*ʔaqi-čih*      *qaʔuuc*  
 what-in-3.Q      burden.basket  
 = (i) What’s the burden basket in?      (*wh* = location)  
 ≠ (ii) What’s in the burden basket?      (*wh* ≠ locatum)

When the locatum argument of a location predicate is *wh*-questioned, the locatum is not incorporated. Compare the locatum-question in (109a) to the location-question in (109b): only in the latter case is the *wh*-word *waayaq* “which” incorporated into the location predicate *ʔu-kʷi* “in”.

- (109) a. *waayaqh*      *qaʔuucči*  
*waayaq-h*      *qaʔuuc-či*  
 which-3.Q      burden.basket-in  
 Which one is in the burden basket?      (*wh* = locatum)
- b. *waayaqčih*      *haʔumʔakqs*  
*waayaq-čih*      *haʔum-ʔak-qs*  
 which-in-3.Q      food-POSS-1SG.PS  
 Which one has my food in it?      (*wh* = location)

Given the proposed analysis of the syntactic orientation of arguments of a location predicate, this incorporation asymmetry follows. A location argument is predicted to act as the host for an affixal location predicate because this argument is the derivational sister of the predicate. This is illustrated in the diagram in (110), which represents the argument structure of the sentence *qaʔuucčĩʔĩš ýamaʔĩ* ‘The salal berries are in a burden basket’ (from (82b)). The location argument *qaʔuuc* ‘burden basket’ acts as host for the affixal predicate at local spell-out.



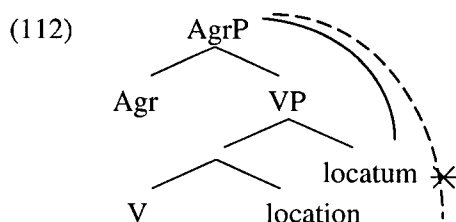
Spell-out induces a linearization of *qaʔuuc-čĩ* ‘in a burden basket’.

#### 4.4.2.2 Subject agreement

For location predicates, subject agreement matches the locatum argument. This is illustrated in the question-answer pair in (111), in which the respondent specifies his/her location with the location predicate *ʔu-kʷĩ* ‘in’. The predicate is inflected with the first person subject agreement *-siiš* (1SG.IND), corresponding to the locatum argument of the predicate.

- (111) a.      *waasik*  
               *waasi-k*  
               *where-2SG.Q*  
               Where are you?
- b.      *ʔukʷisiš*      *čucsac*  
               *ʔu-čĩ-siiš*      *čucsac*  
               *Ø-in-1SG.IND*      *tub*  
               I’m in the tub.

This pattern of subject agreement follows if the locatum is the highest argument of the location predicate. In the diagram below, the locatum argument establishes a ‘minimal link’ to Agr.





#### 4.4.2.3 Possessor raising

For location predicates, locata act as the source of possessor raising. In the examples below, the possessum corresponds to the locatum, and not to the location. In (113), the locatum *haʔum* “food” is treated as the possessum; in (114), the locatum *maʔtʔi* “house” is the possessum.

- (113) waayaqčakhs                      haʔum  
       waayaq-č*ī*-ʔak-ħs              haʔum  
       which-**in**-POSS-1SG.Q      food  
       = (i) Which one has my food in it?                      (*possessum = locatum*)  
       ≠ (ii) Which of mine has food in it?                      (*possessum ≠ location*)
- (114) saantiquwasčasuksiš                      maʔtʔi  
       saantiquwas-č*as*-uk-siš                      maʔtʔi  
       church-**beside**-POSS-1SG.IND      house  
       = (i) My house is beside a church.                      (*possessum = locatum*)  
       ≠ (ii) The house is beside my church.                      (*possessum ≠ location*)

This restriction on possessor raising holds in spite of the fact that either a locatum or a location may act as a possessum in contexts with no possessor raising. The example in (115a) is a case of possessor raising in which only the locatum *yama* “salal berries” may be interpreted as the possessum. The example in (115b) is an unraised example showing *yama* “salal berries” as a possessive-marked possessum. The example in (115c) shows that there is no restriction on the location *qaʔuuc* “burden basket” acting as possessum when no possessor raising occurs. In (115c), the location is marked with the possessive morpheme *-uk* (POSS), and no possessive marking appears on the predicate.

- (115) a.        qaʔuucčaksiš                      yama  
               qaʔuuc-č*ī*-ʔak-siš                      yama  
               basket-**in**-POSS-1SG.IND              salal.berries  
               = (i) My salal berries are in a burden basket.                      (*possessum = locatum*)  
               ≠ (ii) The salal berries are in my burden basket.                      (*possessum ≠ location*)
- b.        ʔukʷiʔiš                      qaʔuuc                      yamaʔakqs  
               ʔu-č*ī*-ʔiis                      qaʔuuc                      yama-ʔak-qs  
               Ø-**in**-3.IND                      burden.basket      salal-POSS-1SG.PS  
               My salal berries are in a burden basket.                      (*possessum = locatum*)
- c.        ʔukʷiʔiš                      qaʔuucukqs                      yama  
               ʔu-č*ī*-ʔiis                      qaʔuuc-uk-qs                      yama  
               Ø-**in**-3.IND                      burden.basket-POSS-1SG.PS      salal  
               The salal berries are in my burden basket.                      (*possessum = location*)

Thus, it is only in possessor raising contexts that the location argument of a location predicate is barred from acting as a possessum. This pattern supports an analysis in which the locatum argument is the subject of a location predicate, and not the object. Recall that possessor-extraction is possible only out of subjects in Nuu-chah-nulth.

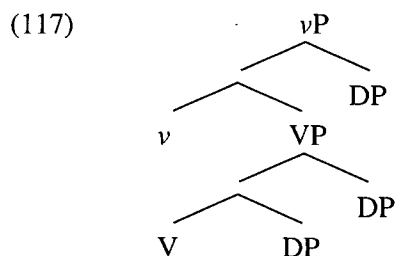
In the next section, we turn to ditransitive affixal predicates, which I analyse as transitivized extended unaccusatives.

#### 4.5 Ditransitives

There is evidence for two distinct classes of ditransitive affixal predicates in Nuu-chah-nulth. The first class, exemplified by *ʔu-yii* “give”, suffixes to a theme argument. In (116a), the affixal predicate *ʔu-yii* “give” incorporates the theme *taanaq-* “money”. The second type, exemplified by *ʔu-ʔiip* “give to”, suffixes to a goal argument. This pattern is illustrated in (116b), in which the affixal predicate *ʔu-ʔiip* “give to” incorporates *sut-* (2SG).

- (116) a.      *taanaqayii*                      *ʔumʔi*  
                  *taanaq-yii-ʔii*                      *ʔumʔi*  
                  money-give-2SG.IMP>3.OBJ      mother  
                  Give mom money!
- b.      *sutʔiimitsiš*                      *taana*  
                  *sut-ʔiip-mit-siš*                      *taana*  
                  2SG-give.to-PST-1SG.IND              money  
                  I gave you money.

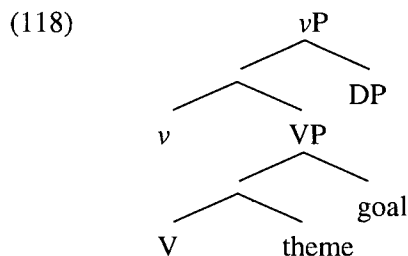
According to the analysis I propose, ditransitives are treated as extended unaccusatives which are abstractly transitivized when they are embedded within a *vP* ‘layer’.



I propose that the two classes of ditransitives in Nuu-chah-nulth are distinguished according to the orientation of the arguments which belong to the extended unaccusative layer. Predicates such as *ʔu-yii* “give” belong to a class I label ‘locatum-type’ ditransitives, while predicates such as *ʔu-ʔiip* “give to” belong to a ‘location-type’ class. The incorporation patterns of each of these types of ditransitives are illustrated in the following sections.

##### 4.5.1 Locatum-type ditransitives

This section considers the characteristics of locatum-type ditransitives, which I analyse as having a configuration of arguments as in (118).



According to the analysis, these predicates take a theme in their complement position, while a goal argument occupies specifier position of the embedded extended unaccusative relation. Predicates belonging to this class are *ʔu-yii* “give”, *ʔuu-kš* “ask for” and *ʔuu-pw̃in* “to owe”.

- (119) a. *ʔuyiiʔi* *ʔumʔi taana*  
*ʔu-ayii-ʔii* *ʔumʔi taana*  
 Ø-give-2SG.IMP>3.OBJ mother money  
 Give mom money!
- b. *taanaqayiiʔi* *ʔumʔi*  
*taanaq-ayii-ʔii* *ʔumʔi*  
 money-give-2SG.IMP>3.OBJ mother  
 Give mom money!
- (120) a. *ʔuukščii* *ʔumʔi čʔak*  
*ʔu-kš[+L]-čii* *ʔumʔi čʔak*  
 Ø-ask.for-2SG.GO mother water  
 Go ask mother for water!
- b. *čʔakʔakščii* *ʔumʔi*  
*čʔak-kš[+L]-čii* *ʔumʔi*  
 water-ask.for-2SG.GO mother  
 Go ask mother for water!
- (121) a. *ʔuupw̃inʔick* *siʔa taana*  
*ʔuu-pw̃in[+L]-ʔiick* *siʔa taana*  
 Ø-owe-2SG.IND 1SG.PRO money  
 You owe me money.
- b. *taanaqapw̃inʔick* *siʔa*  
*taanaq-pw̃in[+L]-ʔiick* *siʔa*  
 money-owe-2SG.IND 1SG.PRO  
 You owe me money.

For locatum-type ditransitive, incorporation of a goal is not possible. Only a theme argument may act as a host for the affixal predicate. This is illustrated by the sentences in (122). In (122a), the theme *načaaʔyak* “book” is the host for the affixal predicate *ʔu-yii* “give”. The recipient *čap̃x* “man” may not serve as the host, as shown in (122b).

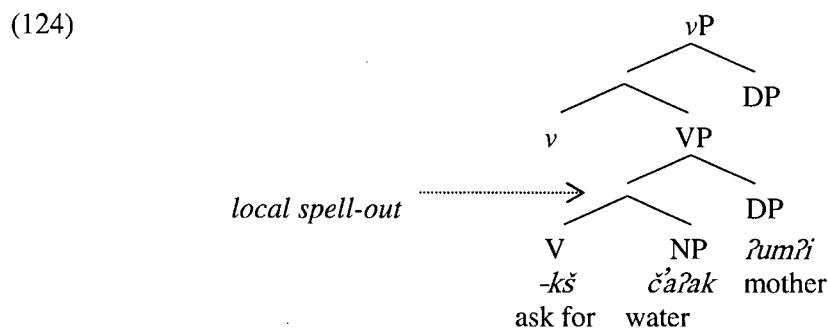
- (122) a. *načaaʔyakayimitʔiš* *Robin čakupʔi*  
*načaaʔyak-ayii-mit-ʔiis* *Robin čakup-ʔi*  
 book-give-PST-3.IND Robin man-DET  
 Robin gave a book to the man.

- b. \* čapxayimitʔiš Robin ɳačaaɫʔak  
 čapx-ayii-mit-ʔiš Robin ɳačaaɫʔak  
 man-give-PST-3.IND Robin book  
 Robin gave a man a book.

This incorporation restriction is reflected in the formation of relative clauses. A relative pronoun corresponding to a goal argument may not incorporate into a locatum-type ditransitive. As shown in (123a), for goal relativizations, the relative pronoun *yaq* “who, which” occurs independently of the affixal predicate *ʔu-yii* “give”. The ungrammaticality of (123b) demonstrates that the relative pronoun cannot serve as the host for the affixal predicate *ʔu-yii* “give” in a goal relativization.

- (123) a. ʔuk<sup>w</sup>iqsaksiš haa yaqʔiitq ʔuyii taana Robin  
 ʔuk<sup>w</sup>iqsu-ʔak-siiš haa yaq-ʔiitq ʔu-yii taana Robin  
 younger.sibling-POSS-1SG.IND DEIC REL-3.RL Ø-give money Robin  
 That is my younger sibling, who Robin gave money to.
- b. \* ʔuk<sup>w</sup>iqsaksiš haa yaqayiiʔitq Robin taana  
 ʔuk<sup>w</sup>iqsu-ʔak-siiš haa yaq-yii-ʔiitq Robin taana  
 younger.sibling-POSS-1SG.IND DEIC REL-give-3.RL Robin money  
 That is my younger sibling, who Robin gave money to.

The incorporation asymmetry for locatum-type ditransitives follows from the complement effect in PF incorporation. If a theme occupies complement position of a locatum-type ditransitive, then it is the derivational sister of the predicate, and is directed to act as the host for an affixal predicate at spell-out. Goal arguments, in contrast, do not have the privilege to form a local spell-out domain directly with the affixal predicate. This is illustrated for *čʔaʔakščii ʔumʔi* “Go ask mother for water!”, from (120b):



The reflex of this local spell-out is a linearization of *čʔaʔak-kš*.

#### 4.5.2 Location-type ditransitive

The second type of ditransitives has a configuration of internal arguments which is the inverse of that of locatum-type ditransitives.

Diagram illustrating the hierarchical structure of a verb phrase (VP) and its components:

```
graph TD
 VP1[VP] --- vP[vP]
 VP1 --- DP1[DP]
 vP --- v[v]
 vP --- VP2[VP]
 VP2 --- V[V]
 VP2 --- goal[goal]
```

The diagram shows a hierarchical structure of a verb phrase (VP) and its components:

- The root node is **VP**.
- VP** branches into **vP** and **DP**.
- vP** branches into **v** and **VP**.
- The lower **VP** branches into **V** and **goal**.

(126) a.      suʔa taana  
              suʔa taana  
              Ø-**give.to**-PST-1SG.IND  
              I gave you money.

b.             taana  
              taana  
              you-**give.to**-PST-1SG.IND  
              money  
              I gave you money.

(127) a. siʔiipis                                          ʔayapwɪnʔaʔats                                          taana  
siʔa-ʔiip-ʔiis                                      ʔaya-pwɪn-'aʔ-'at-s                                      taana  
me-give.to-2SG.IMP>1SG                      many-owe-TEMP-PAS-1SG.ABS                      money  
Give it to me! He owes me lots of money.

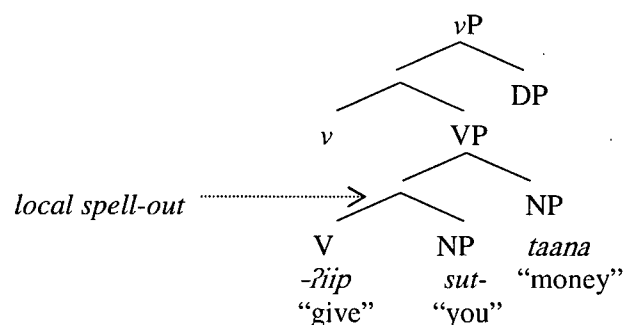
b. sutʔiimitʔiš                                      Robin                      taana  
sut-ʔiip-mit-ʔiis                                      Robin                      taana  
you-give-PST-3.IND                      Robin                      money  
Robin gave you money.

(128)     $\dot{y}uk^{wii}qsaksis$                        $\dot{h}aa$      $ya\dot{n}ip\dot{?}itq$                       Robin    taana  
           $\dot{y}uk^{wii}qsu-?ak-sii\dot{s}$                        $\dot{h}aa$      $yaq-\dot{?}ip-\dot{?}iitq$                       Robin    taana  
          y.sibling-POSS-1SG.IND                      DEIC    REL-give-3.RL                      Robin    money  
          That is my younger sibling, who Robin gave money to.

|         |                                  |       |      |
|---------|----------------------------------|-------|------|
| (129) * | taanaŋiimitʔiʃ                   | Robin | suʷa |
|         | taanaq- <u>ʔip</u> -mit-ʔiʃ      | Robin | suʷa |
|         | money- <b>give.to</b> -PST-3.IND | Robin | you  |
|         | Robin gave you money.            |       |      |

The restriction that themes may not serve as the host for a location-type ditransitive follows from the analysis I have presented. PF Incorporation is sensitive to the argument structure of the affixal predicate. I have analysed location-type ditransitives as having a goal as a complement. The theme, in contrast, appears as an internal argument in specifier position. At local spell-out, the affixal predicate is linearized with respect to the goal argument, its derivational sister. This spell-out domain excludes the theme, and thus the possibility of the affixal predicate taking the theme as its host. This is illustrated in (130) for the sentence *sutʔiimitsiš taana* “I gave you money”. Here, the goal *sut-* “you” is the derivational sister of the affixal predicate *ʔu-ʔiip* “give to”.

(130)



The reflex of spell-out for (130) is *sut-ʔiip*.

#### 4.6 Outstanding issues

This section discusses a usage of the affixal predicates discussed in this chapter which is in need of further research. A subset of affixal predicates show the ability to participate in a complex predicate strategy which I will refer to as ‘serial verb affixation’.<sup>4</sup> This predication construction is illustrated in (131), in which the unaccusative affixal predicate *ʔu-waḥsuʔ* “go out (of opening)” combines with the verbal host *sa* “crawl”, forming the complex predicate *sa-waḥsuʔ* “crawl out of an opening”.

- (131)
- |                    |            |          |
|--------------------|------------|----------|
| sawaḥsuʔʔiš        | histaqšiʔ  | ʕaʕiʔʔi  |
| sa-waḥsuʔ-ʔiš      | histaq-šiʔ | ʕaʕiʔ-ʔi |
| crawl-go.out-3.IND | from-PERF  | cave-DET |
- S/he crawled out from the cave.

These complex predicates disallow ‘decomposition’: the affixal predicate may not be separated from the verbal host. The example in (132a) shows serial verb affixation involving the affixal predicate *ʔu-ʕaaʔatu* “move down”. In (132b), it is shown to be ungrammatical for *ʔu-* to be used as the host for *-ʕaaʔatu* “move down” when it is combined with the predicate *mat-* “fly”.

<sup>4</sup> This is assumed to be a characteristic feature of the class of so-called ‘restrictive’ suffixes (Sapir and Swadesh 1939, Rose 1981, Davidson 2002; see Chapter 6).

- (132) a. matʃaaʔatumitʔiʃ                      maamaati  
              mat-ʃaaʔatu-mit-ʔiʃ                maamaati  
              fly-**move.down**-PST-3.IND        bird  
              A bird flew down.                      (cf. Davidson 2002: 198, ex. 292c)
- b. \* ʔuʃaaʔatumitʔiʃ                      mataa                maamaati  
              ʔu-ʃaaʔatu-mit-ʔiʃ                mat-aa                maamaati  
              Ø-**move.down**-PST-3.IND        fly-CONT            bird  
              A bird flew down.

This lack of decompositionality stands in contrast to cases in which the affixal predicate takes a nominal complement. As shown in the ‘noun incorporation’ examples in (133), the predicate -*ʃaaʔatu* ‘move down’ can be separated from the nominal host *tʔatʔus* ‘star’ if the expletive host *ʔu*- appears.

- (133) a. tʔatʔusʃaaʔatumitʔiʃ  
              tʔatʔus-ʃaaʔatu-mit-ʔiʃ  
              star-**move.down**-PST-3.IND  
              A star fell.
- b. ʔuʃaaʔatumitʔiʃ                      tʔatʔus  
              ʔu-ʃaaʔatu-mit-ʔiʃ                tʔatʔus  
              Ø-**move.down**-PST-3.IND        star  
              A star fell.

Unaccusative verbs of motion frequently occur in this serial verb construction, as do locatum predicates. The examples in (134) show affixation of -*iiʔiʃ* ‘go inside house’ and -*ʃaaʔatu* ‘move down’. The examples in (135) illustrate affixation of -*ciʔum* ‘on side of the head’ and -*wintʔ* ‘on the neck’.

(134) *Serial verb affixation with unaccusatives*

- a. kamatqiiʔiʃ                      Tom  
              kamatq-iiʔiʃ-ʔiʃ                Tom  
              run-**go.inside.house**-3.IND        Tom  
              Tom ran inside.
- b. tʃiʃaaʔatumitʔiʃ                      matuk  
              tʃi-ʃaaʔatu-mit-ʔiʃ                matuk  
              fall-**move.down**-PST-3.IND        plane  
              A plane crashed.

(135) *Serial verb affixation with locatum predicates*

- a. ʃikciʔumʔsiʃ                      Ken  
              ʃik-ciʔum-uʃ-siiʃ                Ken  
              punch-**side.of.head**-PERF-1SG.IND    Ken  
              I punched Ken on the side of the head.
- b. taaqʷintʔʔanitsiʃ                      Ken  
              taaq-wintʔ-ʔat-mit-siiʃ            Ken  
              squeeze-**on.neck**-PAS-PST-1SG.IND    Ken  
              I was being choked by Ken.

The morpheme *-yaq-* often intervenes between an initial predicate and the locative affixes *-iʔ* “in the house”, *-ʼas* “on ground”, *-ʼis* “on beach”. In such contexts, the initial predicate may be marked for aspect. This pattern is illustrated in (136) with the locative affix *-iʔ* “in the house”. The example in (136a) shows serial verb affixation without the use of the morpheme *-yaq-*. In (136b), the morpheme *-yaq-* appears and the initial predicate is marked with continuative aspect.

- (136) a.      haptiʔʔiʃ                      Ken  
                  hapt-*iʔ*-ʔiʃ                      Ken  
                  hide-in.house-3.IND      Ken  
                  Ken is hiding in the house.
- b.      haptaayaqiʔʔiʃ                      Ken  
                  hapt-aa-yaq-*iʔ*-ʔiʃ                      Ken  
                  hide-CONT-?-in.house-3.IND      Ken  
                  Ken’s been hiding in the house.

The syntactic constraints on this process of serial verb affixation require additional research. The question should be tackled from two angles: (i) what restriction are there on which predicate may act as the initial element in the complex predicate?; (ii) what restrictions are there on which affixal predicates may act as the second element? This may shed light on the syntactic roles which arguments in these constructions play.

Anderson (1985) discusses the role of arguments in the Northern Wakashan language Kwakw’ala, and argues that locative suffixes describe the position of subjects for an initial intransitive predicate, and the position of objects for an initial transitive predicate. For the Kwakw’ala complex predicate *kʷʷl-iʔ* “lie down in the house”, for example, Anderson (1985: 31) argues that the locative suffix *-iʔ* “(on the floor) in the house” describes the position of the subject of the intransitive predicate *kʷʷl* “lie down”. The restrictions on examples with the cognate affixal predicate *-iʔ* “(on the floor) in the house” in Nuuchahnulth are unclear at present, however. In the examples in (137), *-iʔ* “(on the floor) in the house” references the position of the subject of the initial predicate.<sup>5</sup> In (137a), it is the subject of *ʃaaqʃaaqa* “shout (ITER)” which is described by *-iʔ* “(on the floor) in the house”. In (137b), *-iʔ* “(on the floor) in the house” references the position of the subject of *huʔqaa* “stare (CONT)”.

- (137) a.      ʃaaqʃaaqayaqiʔʔiʃ                      ʔaʔaak  
                  ʃaaq-a[+R]-yaq-*iʔ*-ʔiʃ                      ʔaʔa-ʔak  
                  shout-ITER-?-in.house-3.IND      child-POSS  
                  She is shouting at her child in the house.  
                  = (i) mother is inside, shouting at her child outside through an open window.  
                  ≠ (ii) mother is outside, shouting at her child inside.

<sup>5</sup> The morpheme *-yaq-* is obligatory in these examples. This matter requires future research.



- b. huʔqaayaqitʔiʃ nuwiiqsak  
 huʔq-aa-yaq-it-ʔiʃ nuwiiqsu-ʔak  
 stare-CONT-?-in.house-3.IND father-POSS  
 She's staring at her father inside.  
 = (i) she is inside and her dad is outside.  
 ≠ (ii) she is outside and her dad is inside.

In superficially similar examples, however, a different effect is found. In the examples in (138), –*it* “(on the floor) in the house” does not reference the position of the subject of the initial predicate. In (138a), the position of the child who is spitting is irrelevant, so long as the spit ends up on the floor. A similar effect is shown in (138b), in which –*it* “(on the floor) in the house” references the aim of the bullets, and not the position of the shooter.

- (138) a. taaxtaaxayaqitʔukʔick ʔaʔaʔis  
 taax-a-yaq-it-uk-ʔiick ʔaʔa-ʔis  
 spit-ITER-?-in.house-POSS-2SG.IND child-DIM  
 Your child keeps spitting on the floor.  
 = (i) child is on the floor inside  
 = (ii) child is elsewhere
- b. ʔiʔumyiʔitwaʔiʃ Ken hiqaaʕip ʔumʔiiqsak maʔtʔii  
 ʔiʔum-it-mit-waʔiʃ Ken hiqaa-ʕip ʔumʔiiqsu-ʔak maʔtʔii  
 shoot-in.house-PST-3.QUOT Ken wreck-BEN mother-POSS house  
 Ken was shooting onto the floor. He wrecked his mother's house.  
 = (i) Ken is inside.  
 = (ii) Ken is elsewhere.

The restrictions on interpretation may ultimately be clarified by further research on the argument structure of the initial independent predicates involved (eg. *ʔaaqʔaaqa* “shout (ITER)” and *taaxtaaxa* “spit (ITER)”).

#### 4.7 Conclusion

In this chapter, the argument structure of affixal predicates was shown to be linked to a restricted set of attested incorporation patterns. I attributed this restricted pattern to a conditioning effect of the syntax at local spell-out, whereby only an argument introduced as a derivational sister to the affixal predicate is able to be spelled-out as the host for the affix. This induces the ‘complement’ effect of PF incorporation in Nuuchahnulth. This effect was demonstrated to hold across a variety of affixal predicates, which have a range of argument structures – from unaccusative to transitive, extended unaccusative to ditransitive. For all these predicates, only derivational sisters to the affix may act as hosts.

The local spell-out hypothesis predicts the absence of unergative affixal predicates in the language. According to the analysis, affixal morphemes require linearization with respect to a host at the point of spell-out. Unergative predicates, which lack a pairing of a phonologically

contentful verb and a complement, fail to meet the binary requirement for affixation. For affixation, two distinct elements are required at spell-out: a host, and an affix.

## CHAPTER 5

### Verbal complements of affixal predicates

*Through the unknown, unremembered gate...*

*Is that which was the beginning...*

~ T.S. Eliot *Four Quartets* no. 4

#### 5.0 Introduction

In the previous chapter, an analysis was presented of the argument structure of affixal predicates which take nominal complements. The suffixation pattern of these affixal predicates often results in ‘noun incorporation’ – although the process is not limited to targeting a single syntactic category. Rather, the choice of host is sensitive to string adjacency to the affixal predicate within its local spell-out domain.

We now turn to the suffixation pattern of affixal predicates which take verbal complements, with particular consideration given to how the syntactic structure of these predicates interacts with restrictions on PF Incorporation. As we will see in this chapter, when an affixal predicate which takes a verbal complement suffixes to a host, the outcome may resemble ‘verb incorporation’. In (1), for example, the affixal predicate *-atah* ‘try to’ incorporates the verb *suk*- ‘reach’ as its host.

- (1)    *susuk<sup>w</sup>atahʔiš*                      *kiʔuuk*  
         *suk-atah[+R]-ʔiš*                  *kiʔuuk*  
         reach-try-3.IND                   glass  
         S/he’s trying to grab for a glass.

As in cases with ‘noun incorporating’ affixal predicates, however, the process of ‘verb incorporation’ does not strictly select for syntactic category: non-verbal elements may also serve as the host for these affixal predicate, as first discussed in Chapter 2. By the string adjacency effect, an affixal predicate which takes a verbal complement consistently incorporates whichever host is positioned at spell-out adjacent to it. When the complement of *-maḥsa* ‘want to’ contains just the verb *wat-šiʔ* ‘want to (PERF)’, it is this verb which serves as the host for the affix, as in (2a). If a preverbal modifier, such as *wityax* ‘slowly’ is present in the complement, however, this modifier takes on the role of host, as in (2b). In contexts with preverbal modifiers, incorporation of the verb is ruled out, as shown in (2c). Here, the affixal predicate consistently suffixes to the leftmost element of the complement, regardless of its syntactic structure.

- (2) a.      waʔʃiʔmaḥsasiš  
              waʔ-ʃiʔ-maḥsa-siiš  
              go.home-PERF-want.to-1SG.IND  
              I want to go home.
- b.      wityaxmaḥsasiš              waaʔʃiʔ  
              wityax-maḥsa-siiš              waʔ-[+L]-ʃiʔ  
              slow-want.to-1SG.IND      go.home-CONT-PERF  
              I want to go home slowly.
- c.      \*      waaʔʃiʔmaḥsasiš              wityax  
              waʔ-ʃiʔ[+L]-maḥsa-siiš              wityax  
              go.home-CONT-PERF-want.to-1SG.IND      slow  
              I want to go home slowly.

The aim of this chapter is to investigate the syntactic structures which underlie the suffixation pattern of affixal predicates which take verbal complements. By ‘verbal’ complement, I refer to the syntactic frames projected by verbal heads, including any modifiers and functional projections associated with these verbs.

The remainder of this chapter is organised in the following way. First, I argue in §5.1 that the class of ‘verb incorporating’ affixal predicates must be subdivided into categories of main predicates and auxiliary predicates, which show contrastive thematic properties. In §5.2, I present an analysis in which PF Incorporation is consistently barred across full clausal (CP) complements of affixal predicates. I relate this prohibition to an ‘edge’ effect. In §5.3, I discuss a variety of morphological evidence that the complement in incorporation configurations is smaller than a Tense Phrase (TP). Syntactic evidence for a lack of clause-boundedness effects in these constructions is given in §5.4. The chapter concludes with §5.5.

## 5.1 Two classes of ‘verb incorporating’ affixal predicates

This section presents evidence that affixal predicates which allow ‘verb incorporation’ are not a homogenous group, and must be divided into categories of main and auxiliary predicates. These classes show distinct behaviours with respect to complementation alternations and a same-subject requirement.

### 5.1.1 Affixal main predicates

‘Verb-incorporating’ affixal predicates vary in their ability to select a fully inflected complement. The class of verbs which I will term *affixal main predicates* alternate between an incorporation strategy, and a strategy in which the affixal predicate takes a full complement which is inflected for subject/mood agreement. In the latter case, the affixal predicate suffixes to the expletive morpheme *ʔu-*, rather than to the embedded verb. The (a) examples below illustrate the

(3) a. tuuxtuux<sup>w</sup>aʃiʔitsiʃ                      taatnaʔis  
tux<sup>w</sup>-a[+R]-ʃiʔ-mit-siiʃ                  taatna-ʔis  
jump-ITER-find-PST-1SG.IND        children-DIM  
I came upon the children jumping. (incorporation)

b. ʔuʃiʔitsiʃ                      ʔin        tuuxtuux<sup>w</sup>aɬuk .        taatnaʔis  
ʔu-ʃiʔ-mit-siiʃ                  ʔin        tux<sup>w</sup>-a[+R]-ɬuuk        taatna-ʔis  
Ø-find-PST-1SG.IND        COMP    jump-ITER-3.DEP        children-DIM  
I came upon the children jumping. (ʔu-support)

(4) a. qaqaɬatuʔitsiʃ                      naniiq  
qaɬ-atuʔ[+R]-mit-siiʃ                  naniiq  
die-dream.of-PST-1SG.IND        grandparent  
I dreamt that grandparent passed away. (incorporation)

b. ʔuʔuuʔitsiʃ                      ʔin        qahʃiʔɬuk        naniiq  
ʔu-atuʔ[+R]-mit-siiʃ                  ʔin        qah-ʃiʔ-ɬuuk        naniiq  
Ø-dream.of-PST-1SG.IND        COMP    die-PERF-3.DEP        grandparent  
I dreamt that grandparent passed away. (ʔu-support)

(5) a. ʕuk<sup>w</sup>iʔcukʔiʃ                      qaawicʔi  
ʕu-k<sup>w</sup>iʔ-cuk-ʔiiʃ                      qaawic-ʔii  
wash-PERF-need-3.IND                  potato-DET  
The potatoes need washing. (incorporation)

b. ʔucukʔiʃ                      ʕuk<sup>w</sup>iʔatquu                      qaawic-ʔi  
ʔu-cuk-ʔiiʃ                      ʕu-k<sup>w</sup>iʔ-at-quu                  qaawic-ʔii  
Ø-need-3.IND        wash-PERF-PAS-3.COND        potato-DET  
It is best to wash the potatoes. (ʔu-support)  
(lit: “It is necessary that the potatoes be washed”)

### 5.1.2 Affixal auxiliary predicates

159

- (6) a. waʔaakmaḥsasiš                      mituuni  
waʔaak-maḥsa-siiš                      mituuni  
go.to-want.to-1SG.IND                      Victoria  
I want to go to Victoria. (incorporation)
- b. \* ʔumaḥsasiš                      ʔin      waʔaaksa                      mituuni  
ʔu-maḥsa-siiš                      ʔin      waʔaak-sa                      mituuni  
Ø-want.to-1SG.IND      COMP      go.to-1SG.DEP      Victoria  
I want to go to Victoria. (ʔu-support)
- c. \* ʔumaḥsasiš                      waʔaakquus                      mituuni  
ʔu-maḥsa-siiš                      waʔaak-quus                      mituuni  
Ø-want.to-1SG.IND      go.to-1SG.COND                      Victoria  
I want to go to Victoria. (ʔu-support)
- (7) a. waaʔičsinhiʔiš                      John  
waʔič-sinhi[+L]-ʔiis                      John  
sleep-try.to.stay-3.IND      John  
John is trying to stay sleeping. (incorporation)
- b. \* ʔusinhiʔiš                      John      ʔin      waʔičḥuk  
ʔu-sinhi[+L]-ʔiis                      John      ʔin      waʔič-ḥuuk  
Ø-try.to.stay-3.IND      John      COMP      sleep-3.DEP  
John is trying to stay sleeping. (ʔu-support)
- c. \* ʔusinhiʔiš                      John      waʔičquu  
ʔu-sinhi[+L]-ʔiis                      John      waʔič-quu  
Ø-try.to.stay-3.IND      John      sleep-3.COND  
John is trying to stay sleeping. (ʔu-support)
- (8) a. ʔucačiʔwitasitsiš                      mituuni  
ʔu-ca-čiʔ-witas-mit-siis                      mituuni  
Ø-go.to-PERF-gonna-PST-1SG.IND                      Victoria  
I was gonna go to Victoria. (incorporation)
- b. \* ʔuwitasmitsiš                      ʔin      ʔucačiʔsa                      mituuni  
ʔu-witas-mit-siis                      ʔin      ʔu-ca-čiʔ-sa                      mituuni  
Ø-gonna-PST-1SG.IND      COMP      Ø-go.to-PERF-1SG.DEP      Victoria  
I was gonna go to Victoria. (ʔu-support)
- c. \* ʔuwitasmitsiš                      ʔucačiʔquus                      mituuni  
ʔu-witas-mit-siis                      ʔu-ca-čiʔ-quus                      mituuni  
Ø-gonna-PST-1SG.IND      Ø-go.to-PERF-1SG.COND                      Victoria  
I was gonna go to Victoria. (ʔu-support)

Unlike main predicates, these auxiliary verbs have a same-subject restriction which requires that the notional subjects of the two predicates in the construction match. This is illustrated in the following examples with the affixal auxiliary predicate *-qaath* “claim”, which incorporates the predicate *ʔu-uc* “own”.<sup>1</sup>

<sup>1</sup> The *ʔu-* in these examples occurs as a host to the affixal predicate *ʔu-uc* “own”.

(9) *Same subject requirement*

- a.       $\text{ʔuucqathʔiʃ}$                       Florence               $\text{ʃuwis}$   
           $\text{ʔu-ic-qaath-ʔiʃ}$                       Florence               $\text{ʃuwis}$   
           $\text{Ø-own-claim-3.IND}$               Florence              shoes  
          Florence claims she owns the shoes.
- b.      \*  $\text{ʔuucqathitsiʃ}$                       Florence               $\text{ʃuwis}$   
           $\text{ʔu-ic-qaath-mit-siiʃ}$                       Florence               $\text{ʃuwis}$   
           $\text{Ø-own-claim-PST-1SG.IND}$               Florence              shoes  
          I claimed Florence owns the shoes.

Here, the same-subject requirement determines that the “claimer” and the “owner” must corefer. In (9a) a ‘match’ occurs, while the ungrammatical (9b) illustrates a ‘mismatch’.

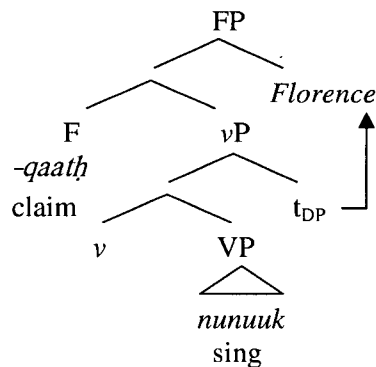
### 5.1.3 Affixal auxiliaries are non-thematic

I propose that the difference between main and auxiliary predicates in Nuu-chah-nulth is linked to their thematic properties. Specifically, their behaviours fall out from an analysis in which auxiliaries are functional elements, while main verbs are lexical (Cinque 2001, Wurmbrand 2004). Under this view, auxiliaries such as *-qaath* “claim” are non-thematic raising verbs which do not project a subject of their own.

- (10)     $\text{nunuukqathʔiʃ}$                       Florence  
           $\text{nunuuk-qaath-ʔiʃ}$                       Florence  
           $\text{sing-claim-3.IND}$                       Florence  
          Florence is pretending to sing.

The ‘same subject’ effect of these auxiliaries arises when the subject of the main verb raises to specifier position of the auxiliary. In effect, the subject is ‘shared’ between the auxiliary and the main verb. The diagram in (11) shows that the subject of the main predicate, *Florence*, raises to the specifier of the auxiliary.

(11) *Auxiliaries as raising verbs*



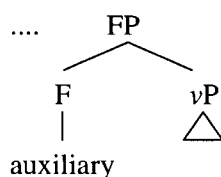
In what follows, I will introduce evidence in favour of this analysis of auxiliaries as non-thematic verbs. In §5.1.3.1, I show that auxiliaries have rigid complementation. This is followed

in §5.1.3.2 with an illustration of how transitivization allows an auxiliary to license an ‘external’ argument. In §5.1.3.2, I discuss the lack of citation forms for these functional affixes.

### 5.1.3.1 Rigid vs. alternating complementation

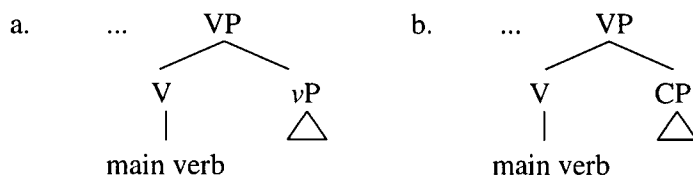
As functional morphemes, auxiliaries are predicted to show rigid complementation, in which they select only an infinitival complement (Cinque 2001). In (12), the infinitival complement of the auxiliary is represented as a *vP*. This *vP* lacks higher functional projections for tense (TP), agreement (AgrP) and complementizers (CP).

(12) *auxiliary predicate: strict selection of infinitival complement*



Main predicates, in contrast, are lexical verbs which permit lexically-specified alternations in complementation. For Nuuchahnulth, I propose that these main predicates may take either an infinitival or clausal complement. In (13a), the main verb selects an infinitival (*vP*) complement, while in (13b), it selects a ‘full’ CP complement.

(13) *main predicate: alternations in complement selection*



### 5.1.3.2 Ability to license a ‘mismatched’ subject

A distinction between the lexical and functional characteristics of affixal predicates has implications for these predicates’ argument-taking abilities. As functional elements, auxiliary predicates are anticipated to be non-thematic (Cinque 2001, Wurmbrand 2004). This meshes with the observation that the valency-increasing morpheme –*ʔap* (TR) is employed in Nuuchahnulth in contexts in which the same-subject requirement of auxiliaries is overridden (see also Rose 1981).<sup>2</sup> In the following (a) examples, –*ʔap* (TR) is used to permit a ‘different subject’ reading. The examples in (b) show the illicit outcome without use of –*ʔap* (TR).

<sup>2</sup> Rose (1981: 306) notes that –*ʔap* is used with Kyuquot desideratives “to indicate that the subject (the desirer) is not coreferential to the complement subject...”. Evidence from Ahousaht indicates that –*ʔap* has a broad usage in which is it employed with the full range of auxiliary predicates (not simply desideratives).



- (14) a.       $\eta uucqath\eta apsi\check{s}$                       Florence       $\check{s}uwis$   
               $\eta u-ic-qath-$ 'ap-siiš                      Florence       $\check{s}uwis$   
               $\emptyset$ -own-**claim**-TR-3.IND                      Florence      shoes  
              I'm pretending Florence owns the shoes.
- b.      \*  $\eta uucqathsi\check{s}$                       Florence       $\check{s}uwis$   
               $\eta u-ic-qath-$ siiš                      Florence       $\check{s}uwis$   
               $\emptyset$ -own-**claim**-1SG.IND                      Florence      shoes  
              I'm pretending Florence owns the shoes.
- (15) a.       $m\acute{i}\lambda\check{s}i\lambda mahsapsi\check{s}$   
               $m\acute{i}\lambda-si\lambda-mahsa-$ 'ap-siiš  
              rain-PERF-**want.to**-TR-1SG.IND  
              I want it to rain.
- b.      \*  $m\acute{i}\lambda\check{s}i\lambda mahsasi\check{s}$   
               $m\acute{i}\lambda-si\lambda-mahsa-$ siiš  
              rain-PERF-**want.to**-1SG.IND  
              I want it to rain.
- (16) a.       $\lambda uut\sin\eta ap\eta i\check{s}$                       John       $\check{s}uwisuk\eta i$   
               $\lambda u-t-\sin\eta i-$ 'ap- $\eta i\check{s}$                       John       $\check{s}uwis-uk-\eta i$   
              good-**try.to.stay**-TR-3.IND                      John      shoes-POSS-3.PS  
              John is trying to keep his shoes nice.
- b.      \*  $\lambda uut\sin\eta i\eta i\check{s}$                       John       $\check{s}uwisuk\eta i$   
               $\lambda u-t-\sin\eta i-\eta i\check{s}$                       John       $\check{s}uwis-uk-\eta i$   
              good-**try.to.stay**-3.IND                      John      shoes-POSS-3.PS  
              John is trying to keep his shoes nice.

Note that this behaviour contrasts with that of main predicates, which do not employ –'ap (TR) in cases of subject mismatches. In (17a), the 'dreamer' and the 'one who dies' are disjoint, without use of –'ap (TR). The example in (17b) shows that –'ap (TR) is impossible in this environment.

- (17) a.       $qaqahatu\eta itsi\check{s}$                        $su\acute{w}a$   
               $qah-atu\eta [+R]-mit-sii\check{s}$                        $su\acute{w}a$   
              die-**dream.of**-PST-1SG.IND                      2SG  
              I dreamt that you died!
- b.      \*  $qaqahatu\eta amitsi\check{s}$                        $su\acute{w}a$   
               $qah-atu\eta [+R]-ap-mit-sii\check{s}$                        $su\acute{w}a$   
              die-**dream.of**-TR-PST-1SG.IND                      2SG  
              I dreamt that you died!

Although –'ap is standardly labelled a "causative" (Sapir and Swadesh 1939), I contend that it has a more general transitivity function than this term suggests (see also Rose 1981). With certain psych predicates (such as *yaa?ak* "feeling", or *rihmis* "be treasured"), usage of this morpheme converts an intransitive to a transitive. The examples in (18) show an intransitive usage of *yaa?ak* "feeling" and *rihmis* "be treasured", while the examples in (19) illustrate a transitive usage employing –'ap. In (18a), the predicate is translated as "sore" and it takes a single argument (*λisλin?atqs* "my foot/feet"); in the transitivity (19a), it is translated as "love"

and takes two arguments. In (18b), the predicate is translated as “be treasured” and it takes a single propositional argument; in the transitivized case of (19b), the predicate is translated roughly as “won’t part with” and it takes two arguments (*ʔux<sup>w</sup>aapi* “paddle” and *naniiq* “grandparent”).

(18) *intransitive*

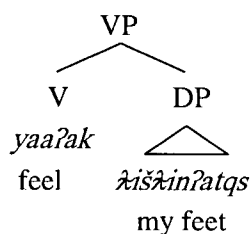
- a.      *yaaʔakʔiš*              *ʔišʔinʔatqs*  
           *yaaʔak-ʔiš*            *ʔišʔin-ʔat-qs*  
           feeling-3.IND    lower.leg-IPOSS-1SG.PS  
           My feet are sore.
- b.      *ʔiihmisʔiš*              *k<sup>w</sup>akuucnaʔat*              *q<sup>w</sup>aaqh*              *ʔačyuu ʔuh Kay*  
           *ʔiihmis-ʔiš*            *k<sup>w</sup>akuuc-naak-ʔat*            *q<sup>w</sup>aa-qh-Ø*            *ʔačyuu ʔuh Kay*  
           treasured-3.IND    grandchildren-have-PAS    how-AUX-3.ABS    let.go    DEIC Kay  
           Having grandchildren is something to be treasured, and yet Kay lets them go.

(19) *transitivized*

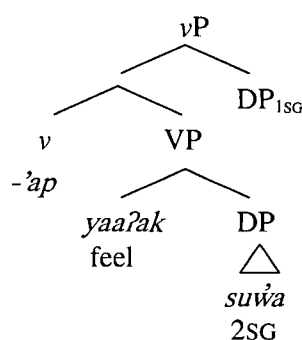
- a.      *yaaʔakapsiš*              *suwa*  
           *yaaʔak-ʔap-siš*            *suwa*  
           feeling-TR-1SG.IND    2SG  
           I love you; I care for you.
- b.      *ʔiihmisʔapʔiš*              *ʔux<sup>w</sup>aapi*              *naniiq*  
           *ʔiihmis-ʔap-ʔiš*            *ʔux<sup>w</sup>aapi*              *naniiq*  
           treasured-TR-3.IND    paddle              grandparent  
           Grandparent won’t part with a paddle (ie. he’s possessive or stingy about it).

The transitivizing function of *-ʔap* (TR) is represented in the diagrams below. In (20a), the predicate *yaaʔak* “feel” is shown as an unaccusative, projecting a single, internal argument. In (20b), *-ʔap* (TR) transitivizes the predicate by introducing an external argument.

(20) a. *intransitive*



b. *transitivized*

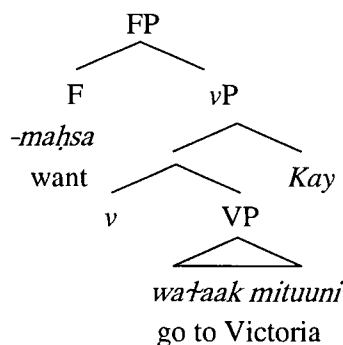


For affixal auxiliary predicates, I propose that the morpheme *-ʔap* (TR) serves a similar transitivizing function when it licenses a subject ‘mismatch’. Recall that affixal auxiliary predicates show a same-subject restriction (as in 21a), and disallow mismatched subjects (as in 21b). The morpheme *-ʔap* must be used in cases in which the subjects do not match (as in 21c).

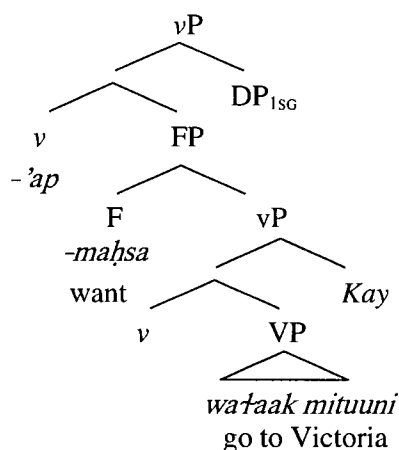
- (21) a.      *waṭaakmaḥsaʔiš*      Kay      *mituuni*  
              *waṭaak-maḥsa-ʔiš*      Kay      *mituuni*  
              go.to-**want.to**-3.IND      Kay      Victoria  
              Kay wants to go to Victoria.      (same subject)
- b.      \* *waṭaakmaḥsasiš*      Kay      *mituuni*  
              *waṭaak-maḥsa-siš*      Kay      *mituuni*  
              go.to-**want.to**-1SG.IND      Kay      Victoria  
              I want Kay to go to Victoria.      (different subjects)
- c.      *waṭaakmaḥsapsiš*      Kay      *mituuni*  
              *waṭaak-maḥsa-'ap-siš*      Kay      *mituuni*  
              go.to-**want.to**-TR-1SG.IND      Kay      Victoria  
              I want Kay to go to Victoria.      (transitivization)

As noted in the earlier discussion, I propose that affixal auxiliaries are raising verbs which do not introduce an external argument (see Cinque 2001). The auxiliary ‘shares’ the thematic subject projected by the embedded verb. With the introduction of the transitivizer –*ʔap*, however, the auxiliary predicate inherits transitive syntax, and thus the same-subject restriction is overcome. In the same-subject case in (22a), the argument *Kay* is shared by the auxiliary –*maḥsa* “want to” and the main predicate *waṭaak* “go to”. In (22b), in contrast, the affixal predicate –*maḥsa* “want to” inherits a first person singular argument by virtue of the transitivizer. Therefore, (22b) allows a different subject than the one (*Kay*) used by the main predicate *waṭaak* “go to”.

(22) a. *same-subject*



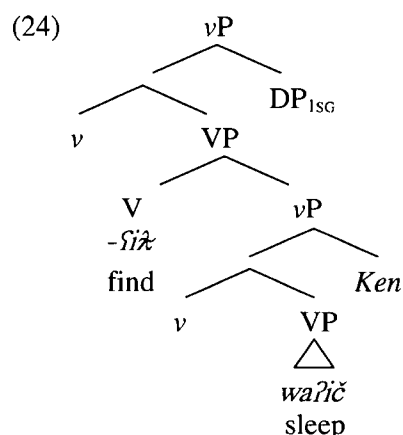
b. *transitivization*



No derived transitivization occurs for affixal main predicates, because the status of these predicates as (thematic) lexical verbs allows them to introduce an external argument directly. Recall that there is no same-subject requirement for a main predicate such as *ʔu-ʃiʃ* “come upon”.

- (23) *waʔiʃʃiʃiʃiʃ*      Ken  
        *waʔiʃ-ʃiʃ-mit-siʃ*      Ken  
        sleep-**come.upon**-PST-1SG.IND      Ken  
        I found Ken sleeping.

In the following diagram, the affixal main predicate *ʔu-siʔ* “come upon” is represented as a thematic verb with inherent transitivity. The transitivity –*ʔp* does not appear in this construction.



The predicate *ʔu-siʔ* “find” takes a first person singular argument which is distinct from the one contained in its complement (*Ken*).

### 5.1.3.3 Citation forms

Additional support for a split between functional and lexical characteristics of affixal predicates may be adduced from native speakers’ judgements about the citation forms of these verbs. Affixal auxiliary predicates, which I analyse as functional elements, are unrecognizable to Nuuchahnulth speakers without an incorporated verb, and therefore lack an independent citation form. Affixal main predicates, on the other hand, are pronounced in isolation as forms affixed to the expletive morpheme *ʔu*. Thus, while speakers of Nuuchahnulth reject ‘words’ such as \**ʔu-wiʔas* “gonna” or \**ʔu-qaath* “claim”, they freely accept *ʔuʔu-utuʔ* “dream of” or *ʔu-siʔ* “come upon”.<sup>3</sup> I suggest that this difference in isolatability is linked to the lexical status of these affixal predicates.

### 5.1.4 Summary

To recap, evidence has been presented for two distinct varieties of verb-incorporating affixal predicates. I have proposed that the behaviours of affixal main and auxiliary predicates are tied to the thematic properties which derive from their functional or lexical status. In the next section,

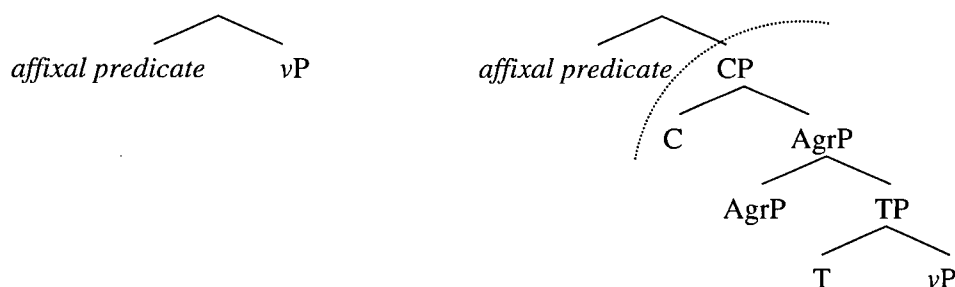
<sup>3</sup> An apparent exception is the affixal predicate *-maʔsa* “want to”, which I analyse as a auxiliary. Speakers accept *ʔu-maʔsa* as a ‘word’. However, in this case it appears that the auxiliary *-maʔsa* is homophonous with the non-auxiliary form *ʔu-maʔsa* “want”, which takes a nominal complement, as in *ʔumaʔsasiʔ ʔaa ʔʔapacʔi* “I want that canoe”. The form *ʔu-maʔsa* is not accepted in contexts of verbal complementation (ex. 5).

I turn to an analysis of the suffixation patterns of main and affixal auxiliary predicates, which states that PF incorporation is only permitted in infinitival environments.

## 5.2 PF Incorporation occurs only in infinitival environments

For affixal predicates that take verbal complements, incorporation arises only in monoclausal configurations in which the complement of an affixal predicate is an infinitival smaller than a Tense Phrase (TP). PF incorporation is ruled out across biclausal structures in which the embedded clause is demarcated by CP (cf. Li 1990). As first discussed in Chapter 2, this may be attributed to an ‘edge’ effect, in which CPs constitute self-contained units of the derivation.

- (25) a. incorporation configuration                      b. full CP complementation



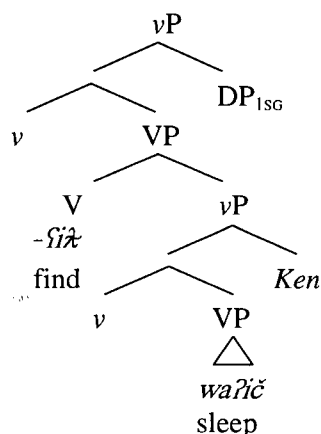
In contexts in which an affixal predicate selects a full complement, a stranded-affix ‘repair’ strategy of suffixation to the expletive morpheme *ʔu* is implemented, rather than suffixation via incorporation. As was discussed in Chapter 2, a host may not be chosen from within the CP, because the CP is removed from the active workspace of the derivation.

Let us sketch the analysis of the linearization strategy which occurs when the complement of an affixal main or auxiliary predicate is infinitival. When a main predicate such as *ʔu-siʔ* ‘come upon’ incorporates a host, the choice of host is determined by string adjacency to the affixal predicate at spell-out. In (26a), the affixal predicate suffixes to the verb *waʔiʔ* ‘sleep’, while in (26b), it suffixes to the modifier *ʔacuk* ‘(sleep) deeply’, stranding the verb *waʔiʔ* ‘sleep’.

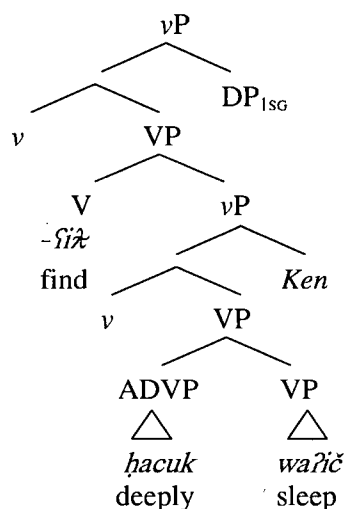
- (26) a.        *waʔiʔsiʔitsiʃ*                      Ken  
               *waʔiʔ-siʔ-mit-siiʃ*                Ken  
               sleep-come.upon-PST-1SG.IND   Ken  
               I found Ken sleeping.
- b.        *ʔacuksiʔitsiʃ*                      *waʔiʔ*    Ken  
               *ʔacuk-siʔ-mit-siiʃ*                *waʔiʔ*    Ken  
               deeply-come.upon-PST-1SG.IND   sleep    Ken  
               I came upon Ken in a deep sleep.

The syntactic positions of the verb and the preverbal modifier are illustrated in (27). In (27a), *-ſiſ* “come upon” takes a *vP* complement with an unmodified verb, *waſiĉ* “sleep”, as the embedded predicate. In (27b), this embedded predicate is modified by *ħacuk* “(sleep) deeply”.

(27) a. *verb incorporation*



b. *adverbial incorporation*



In each case, the embedded subject, *Ken*, appears as a right-linearized specifier of the embedded *vP*. In (27a), the embedded *vP* is linearized as *<waſiĉ, Ken>*, while in (27b), the embedded *vP* is linearized as *<ħacuk waſiĉ, Ken>*. According to the local spell-out proposal, the linearization of the affixal predicate for (26/27a) and (26/27b) follows from the orderings of these embedded phrases. For (27a), it is the verb *waſiĉ* “sleep” which is string adjacent to the affixal predicate *-ſiſ* “come upon” when it attains spell-out. For (27b), in contrast, it is the modifier *ħacuk* “(sleep) deeply” which has this privileged status of string adjacency to the affix. Thus, verb incorporation is induced for (27a), while adverbial incorporation is induced for (27b).

A parallel process of linearization is proposed to occur for affixal auxiliary predicates, such as *-witas* “gonna”. Just like affixal main predicates, these auxiliaries allow suffixation to either a verbal or adverbial host. The example in (28a) shows *-witas* “gonna” incorporating the verb *waſiĉ* “sleep”, while (28b) shows incorporation of the temporal adverbial *ĉaani* “first”.<sup>4</sup>

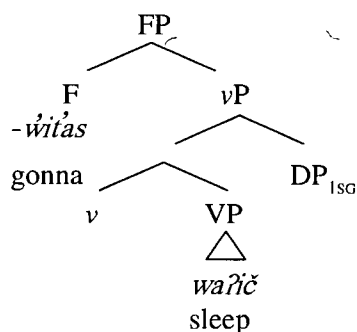
- (28) a. *waſiĉwitas*  
*waſiĉ-witas-si*  
 sleep-gonna-1SG.IND  
 I’m gonna sleep.

<sup>4</sup> As noted in Chapter 2, the temporal adverbial *ĉaani* “first” is ‘flexibly positioned’: outside of incorporation contexts, it allows either a preverbal or postverbal positioning. Accordingly, verb incorporation is an alternative to the adverbial incorporation pattern of (28b).

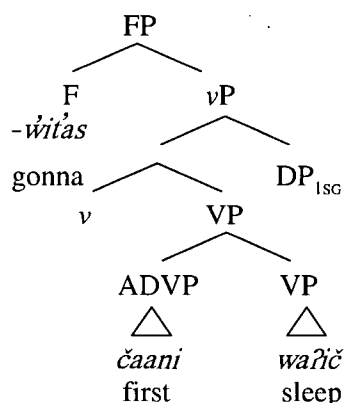
- b.      čaaniwítassiš      waʔič  
           čaani-wítas-siiš      waʔič  
           first-gonna-1SG.IND      sleep  
           I'm gonna sleep first (i.e. before doing something else)

The syntactic representations I assume for these examples are given in (29). The *vP* complements of the auxiliary –wítas “gonna” contain a null first person singular pronominal as subject. In (29a), the verb *waʔič* “sleep” is unmodified, while in (29b) the modifier *čaani* “first” occupies a preverbal adjunct position.<sup>5</sup>

(29) a. *verb incorporation*



b. *adverbial incorporation*



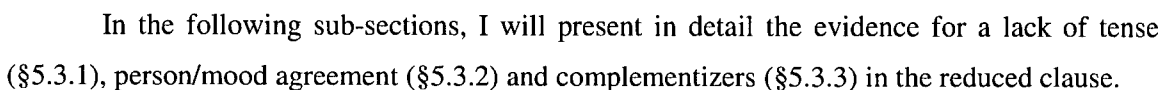
The preverbal position of the adverbial *čaani* has consequences for the linearization of the affixal auxiliary –wítas “gonna”. In (29a), the derivational sister of the auxiliary is equivalent to <*waʔič*>, while for (29b), the derivational sister is the linearized object <*čaani*, *waʔič*>. At local spell-out of –wítas “gonna”, *waʔič* “sleep” is selected as the host for (29a), while *čaani* “first” takes on the role of host in (29b) due to its string adjacency to the affix.

The proposed analysis states that while main and auxiliary predicates differ in their lexical properties, they share the ability to take an infinitival (*vP*) complement. It is in these infinitival environments that incorporation occurs. As will be shown in the following sections, a range of evidence exists for the analysis that the syntactic prerequisite for PF incorporation is an infinitival complement smaller than a Tense Phrase (TP). In the following sections, I consider three sets of arguments in favour of this analysis: the absence of embedded clausal morphology; ‘restructuring’ effects which indicate a lack of clause-boundedness; and finally, evidence for affixal main and auxiliary predicates being raising verbs.

<sup>5</sup> I adopt an analysis in which the temporal adverbial *čaani* “first” occupies the same preverbal adjunct position to the verb as a manner adverbial. This is not a necessary assumption, so long as each occur preverbally (see Chapter 2 for discussion). The syntactic position of adverbials in Nuuchah-nulth is an understudied area in need of future research.

This section examines morphological evidence for the analysis that PF incorporation occurs in infinitival contexts. If the ‘clauselet’ in incorporation contexts is smaller than a Tense Phrase (TP), then this complement is predicted to lack specifications for tense, person/mood agreement and complementizers. If this reduced clause is equivalent to *v*P, then only aspectual morphology (occupying *v*) is predicted to be present. This section demonstrates that this prediction regarding the absence of higher-level clausal morphology holds. In incorporation contexts, the verbal host of an affixal auxiliary may only be inflected for aspect: independent specifications for tense, subject/mood agreement and complementation are systematically absent. For example, the verbal host *wat-siʔ* ‘go home (PERF)’ in (30) contains the perfective marking *-siʔ* (PERF), but no tense marker *-ʔaqʔ* (FUT), subject/mood agreement *-sa* (1SG.DEP) or complementizer *ʔin* (COMP).

- This follows from an analysis in which the complement of *-mahsa* ‘want to’ is a reduced clause equivalent to *vP*, with perfective aspect shown to occupy *v*.<sup>6</sup>



An incorporated verb is systematically free of tense morphology. Neither the past tense marker *-mit* (PST) nor the future tense marker *-ʔaqʔ* (FUT) can occur with an incorporated verb. This is true for verbs hosting either an auxiliary or main predicate. In (32), with the affixal auxiliary predicate *-qaath* ‘claim’, it is shown to be ungrammatical for the verbal host *nunuuk* ‘sing’ to be

170



(32) *Incorporation with auxiliaries: Absence of embedded tense*

- (33) *Incorporation with main verbs: Absence of embedded tense*

- This ban on embedded tense morphology stands in contrast to the availability of tense specification in full complements. In (34), the full complement *?in tuuxtuux* ‘amitsuk’ ‘that you were jumping’ contains the past tense marker *-mit* (PST).

- The lack of independent embedded tense morphology in incorporation contexts is predicted by an analysis in which the embedded clause does not project a Tense Phrase.

The complex predicate formed by incorporation is marked with only a single set of portmanteau person/mood inflection: the incorporated verb is not independently inflected. In (35), it is shown for the auxiliary predicate *-maḥsa* ‘want to’ that the host is not inflected for the person/mood agreement *-suuk* (2SG.DEP). In (36), this same restriction is demonstrated for the main verb *ḥi-ḥiḥ* ‘hear, find out (PERF)’.

(35) *Incorporation with auxiliaries: Absence of embedded person/mood inflection*

- a.      ʔacšiʔmaḥsak  
           ʔac-šiʔ-maḥsa-k  
           go.fishing-PERF-want.to-2SG.Q  
           Do you want to go fishing?
- b.      \* ʔacšiʔsuukmaḥsak  
           ʔac-šiʔ-suuk-maḥsa-k  
           go.fishing-PERF-2SG.DEP-want.to-2SG.Q  
           Do you want to go fishing?

(36) *Incorporation with main verbs: Absence of embedded person/mood inflection*

- a.      taʔiʔʔiiciʔitsiʃ                      suwa  
           taʔiʔ-ʔi-čiʔ-mit-siʃ              suwa  
           sick-hear-PERF-PST-1SG.IND    you  
           I heard you were sick.
- a.      \* taʔiʔsuukʔiiciʔitsiʃ                      (suwa)  
           taʔiʔ-suuk-ʔi-čiʔ-mit-siʃ              (suwa)  
           sick-2SG.DEP-hear-PERF-PST-1SG.IND    (you)  
           I heard you were sick.

An embedded verb is inflected within a full complement, however. In (37), the main verb *ʔu-ʔi-čiʔ* “hear, find out (PERF)” takes a full complement, *ʔin taʔiʔsuuk* “that you were sick”, which contains the dependent person/mood agreement –*suuk* (2SG.DEP).

- (37)    ʔuʔiiciʔitsiʃ                      ʔin      taʔiʔsuuk  
           ʔu-ʔi-čiʔ-mit-siʃ              ʔin      taʔiʔ-suuk  
           Ø-hear-PERF-PST-1SG.IND    COMP    sick-2SG.DEP  
           I heard that you were sick.

The lack of inflectional morphology on a verbal host falls out directly from an analysis in which the ‘clauselet’ containing the embedded verb does not project up to an Agr Phrase.

### 5.3.3 No complementizer

There is no complementizer *ʔin* in incorporation contexts. In (38), it is shown that the complementizer *ʔin* (COMP) is obligatorily absent in contexts with the auxiliary –*maḥsa* “want to”. In (39), the complementizer is shown to be illicit when the affixal main predicate *ʔuu-ḥakuuḥ* “observe” suffixes to the verbal host *mʊuʔakʷa-čiʔ* “burn (PERF)”.

(38) *Incorporation with auxiliaries: Absence of complementizer*

- a.      saapniqiiʔmaḥsaḥ                      Kay  
           saapniq-čiiʔ-maḥsa-ḥ              Kay  
           bread-make-want.to-3.Q              Kay  
           Does Kay want to make bread?

- |      |                              |      |     |
|------|------------------------------|------|-----|
| b. * | saapniqiiṭmahsaḥ             | ʔin  | Kay |
|      | saapniq-čiiṭ-mahsa-h         | ʔin  | Kay |
|      | bread-make-want.to-3.Q       | COMP | Kay |
|      | Does Kay want to make bread? |      |     |

(39) *Incorporation with main verbs: Absence of complementizer*

- |      |                                               |             |
|------|-----------------------------------------------|-------------|
| a.   | m̥uʔak <sup>w</sup> ačičṇākūuḥitsiṣ           | ʔayipt      |
|      | m̥uʔak <sup>w</sup> a-čič-ṇākūuḥ[+L]-mit-siiṣ | ʔayipt      |
|      | burn-PERF-observe-PST-1SG.IND                 | leaves      |
|      | I was watching leaves burn.                   |             |
| b. * | m̥uʔak <sup>w</sup> ačičṇākūuḥitsiṣ           | ʔin ʔayipt  |
|      | m̥uʔak <sup>w</sup> a-čič-ṇākūuḥ[+L]-mit-siiṣ | ʔin ʔayipt  |
|      | burn-PERF-observe-PST-1SG.IND                 | COMP leaves |
|      | I was watching leaves burn.                   |             |

In contrast, within a full complement, complementizers are present. In (40), the affixal main predicate *ʔuu-ṇākūuḥ* “observe” is hosted by the expletive *ʔu*. Here, the complementizer appears with the full complement.

- |      |                             |      |                                |        |
|------|-----------------------------|------|--------------------------------|--------|
| (40) | ʔuuṇākūuḥitsiṣ              | ʔin  | m̥uʔak <sup>w</sup> ačičḥuk    | ʔayipt |
|      | ʔu-ṇākūuḥ[+L]-mit-siiṣ      | ʔin  | m̥uʔak <sup>w</sup> a-čič-ḥuuk | ʔayipt |
|      | Ø-observe-PST-1SG.IND       | COMP | burn-PERF-3.DEP                | leaves |
|      | I was watching leaves burn. |      |                                |        |

The absence of a complementizer in incorporation contexts follows if there is no Complement Phrase demarcating the boundary between the affixal predicate and its complement. According to the proposal, incorporation of a host is only possible when a CP ‘edge’ does not intervene between the affixal predicate and its potential host.

#### 5.4 Lack of clause-boundedness effects

A diagnostic property of infinitival constructions are transparency effects in which matrix and embedded constituents form a unitary domain for otherwise clause-bound processes (Cinque 2001). These so-called ‘restructuring’ phenomena (also known as ‘clause union’ or ‘reanalysis’) have been widely documented in languages, including Romance (see eg. Aissen and Perlmutter 1983, Rizzi 1982, Roberts 1997, Rooryck 2000, Cinque 2002) and Germanic (see eg. Evers 1975, Wurmbrand 2001). To illustrate an example, consider the optional process of clitic-climbing in Romance, which occurs in environments in which the matrix predicate belongs to a restricted class of ‘restructuring’ auxiliaries. In (41), the ‘restructuring’ verb is *voudrais* “would like”.

(41) *Clitic-climbing* (Cinque 2002: 620, ex. 4b & 5b)

- |    |                           |            |       |        |          |
|----|---------------------------|------------|-------|--------|----------|
| a. | Je                        | voudrais   | y     | aller. |          |
|    | I                         | would-like | there | go     |          |
|    | I would like to go there. |            |       |        | (French) |

- b. J'y voudrais aller.  
 I-*there* would-like go  
 I would like to go there. (French)

With clitic-climbing, the pronominal clitic associated thematically with an infinitival verb is promoted to a position within the matrix clause. In (41b), the pronominal *y* “there” abandons its position within the embedded clause (*y aller* “go there”) and surfaces instead as part of the pronominal proclitic string attached to *voudrais* “would like”. In this case of ‘restructuring’, the infinitival does not constitute an independent cliticization domain distinct from the matrix verb.

In this section, I provide evidence that cases of incorporation in Nuu-chah-nulth display a similar lack of clause-boundedness. Thus, affixal main and auxiliary predicates are ‘restructuring’ verbs. The two cases I consider here are ‘long’ *wh*-questions and ‘long’ possessor raising constructions which are formed with affixal predicates. Following Cinque (2001) and Wurmbbrand (2001), I argue that these types of ‘restructuring’ effects in Nuu-chah-nulth are indicative of the reduced clausal status of the embedded complement: no clause-boundedness effects are found with incorporation because no clause boundary (i.e. CP) is projected between the matrix predicate and its infinitival complement.

#### 5.4.1 ‘Long’ *wh*-movement

As first noted by Davis and Sawai (2001), *wh*-movement in Nuu-chah-nulth is strictly clause-bound. This is shown in the example below, in which *wh*-extraction out of the complement of the non-affixal predicate *tʰaaqʉk* “believe” is disallowed. The example in (42a) shows a grammatical case with no *wh*-extraction. In (42b), it is shown to be ungrammatical to question the subject of the embedded verb phrase *kʉuʉwʉtʰ čʰapac* “steal the canoe”.

- (42) a. tʰaaqʉkʰiʉaʉʉiʃ John ʉin kʉuʉwʉtʰitʰuk Mary čʰapac  
 tʰaaqʉk-čʰiʉ-ʰaʉ-ʉiʃ John ʉin kʉuʉwʉtʰ-mit-ʰuuk Mary čʰapac  
 believe-PERF-TEMP-3.IND John COMP steal-PST-3.DEP Mary canoe  
 John believes that Mary stole the canoe. (adapted from Davis and Sawai 2001: 133)
- b. \*ʉačaqʰ tʰaaqʉkʰiʉaʉ John ʉin kʉuʉwʉtʰitʰuk čʰapac  
 ʉačaq-ʰ tʰaaqʉk-čʰiʉ-ʰaʉ John ʉin kʉuʉwʉtʰ-mit-ʰuuk čʰapac  
 who-3.Q believe-PERF-TEMP John COMP steal- PST-3.DEP canoe  
 Who does John believe stole the canoe? (adapted from Davis and Sawai 2001: 133)

A different pattern is displayed by affixal predicates, however. ‘Long’ *wh*-movement is permitted out of the complement of an affixal auxiliary. In (43a), it is shown to be grammatical to question the subject of the verb phrase *kʉuʉwʉtʰ čʰapac* “steal the canoe”, when the auxiliary *-qaath* “claim” is used. In (43b), a similarly grammatical example is shown with the auxiliary *-maʰsa* “want to”: here, the object of the predicate *maakuk* “buy” is questioned.

- (43) a.    ʔačaqqaṭhʔaph           John   kuuwiṭ čʔapac  
           ʔačaq-qaath-ʔap-ḥ       John   kuuwiṭ čʔapac  
           who-**claim**-TR-3.Q       John   steal   canoe  
           Who does John claim stole the canoe?
- b.    ʔaaqičiṭmaḥsak               maakuk  
       ʔaqi-čiṭ[+L]-**maḥsa**-k       maakuk  
       what-AUX-**want.to**-2SG.Q     buy  
       What do you wanna buy?

The contrast between ungrammatical interclausal *wh*-movement and grammatical ‘long’ *wh*-movement across an infinitival can also be observed with affixal main predicates. *Wh*-movement is barred across a full clausal complement of an affixal main predicate, but ‘long’ *wh*-movement is allowed when the complement is infinitival.

- (44) a. *full complement: no long-range wh-movement*

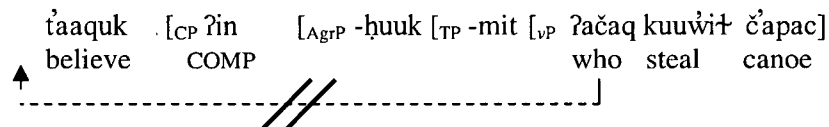
|                                         |          |      |                                   |
|-----------------------------------------|----------|------|-----------------------------------|
| * ʔaačiṇākūuḥiṭh                        | Florence | ʔin  | tuuxtuux <sup>w</sup> amithuk     |
| ʔačaq-čiṭ[+L]- <b>ṇākūuḥ</b> [+L]-mit-ḥ | Florence | ʔin  | tuux <sup>w</sup> -a[+R]-mit-ḥuuk |
| who-AUX- <b>observe</b> -PST-3.Q        | Florence | COMP | jump-ITER-PST-3.DEP               |
| Who was Florence watching jumping?      |          |      |                                   |

- b. *infinitival complement: ‘long’ wh-movement*

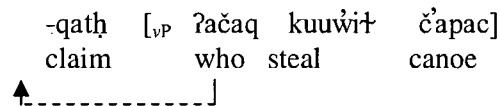
|                                         |          |                          |
|-----------------------------------------|----------|--------------------------|
| ʔaačiṇākūuḥiṭh                          | Florence | tuuxtuux <sup>w</sup> a  |
| ʔačaq-čiṭ[+L]- <b>ṇākūuḥ</b> [+L]-mit-ḥ | Florence | tuux <sup>w</sup> -a[+R] |
| who-AUX- <b>observe</b> -PST-3.Q        | Florence | jump-ITER                |
| Who was Florence watching jumping?      |          |                          |

This type of ‘long’ *wh*-movement with affixal predicates avoids true long-range movement (crossing a CP), as indicated in the diagrams below.

- (45) a. *ungrammatical long-range wh-extraction*



- b. *grammatical ‘long’ wh-extraction across an infinitival complement*



*Wh*-movement in Nuuchahnulth does not cross a CP (Davis and Sawai 2001). However, in contexts in which an affixal predicate takes what I analyse to be an infinitival complement, movement out of the complement is unrestricted. This transparency effect is predicted if the infinitival complement of an affixal predicate lacks higher clausal projections such as CP.

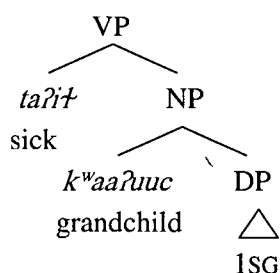
## 5.4.2 ‘Long’ possessor raising

This section argues that ‘long’ possessor raising in Nuu-chah-nulth is indicative of the reduced clausal status of complements in incorporation contexts. As first described in §2.2.2.1, Nuu-chah-nulth exhibits a process of possessor raising in which the possessive morpheme *-uk/-ʔak* (POSS) appears on the predicate rather than the possessum (Davidson 2001, Ravinski 2005). In a possessor raising construction, the subject agreement of the clause matches the possessor. The example in (46a) shows no possessor raising: the possessive morpheme *-uk* (POSS) suffixes to the possessum *kʷaaʔuuc* ‘grandchild’. In the possessor raising example (46b), the predicate *taʔiʔ* ‘sick’ is suffixed by *-uk* (POSS), and the subject agreement is first person singular *-siiʃ* (1SG.IND), to match the features of the possessor.

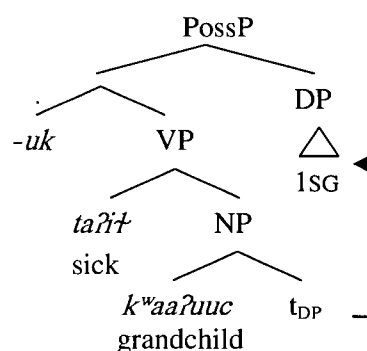
- (46) a.      *taʔiʔʔiʃ*                      *kʷaaʔuucukqs*  
               *taʔiʔ-ʔiʃ*                      *kʷaaʔuuc-uk-qs*  
               sick-3.IND                      grandchild-POSS-1SG.PS  
               My grandchild is sick.                      (*unraised*)
- b.      *taʔiʔuksiiʃ*                      *kʷaaʔuuc*  
               *taʔiʔ-uk-siiʃ*                      *kʷaaʔuuc*  
               sick-POSS-1SG.IND                      grandchild  
               My grandchild is sick.                      (*possessor raising*)

As discussed in Chapter 4, this pattern can be accounted for under an analysis in which the possessive morpheme *-uk* (POSS) licenses a position for a raised possessor (Ravinski 2005). In the unraised example (46a/47a), the possessor remains within the possessive NP. In the raised example (46b/47b), the possessor raises to Spec, PossP where, as the highest DP, it takes on the role of subject, and determines subject agreement.

- (47) a. *Unraised*



- b. *Possessor raising*



There is evidence that possessor raising is only permitted within a clause. Possessor raising cannot cross a clause boundary marked by the complementizer *ʔin* (COMP). The example in (48a) shows an unraised example for a sentence in which the matrix predicate *ʔaqaak* ‘believe’ takes a complement containing the embedded nominal *kʷaaʔuucukqs* ‘my grandchild’. In (48b), intraclausal possessor raising occurs, in which the possessive morpheme *-uk* (POSS) is suffixed to

the embedded verb *taʔit* “sick”. This case can be contrasted with the ungrammatical example in (48c), in which possessor raising crosses the CP boundary of the embedded clause, to suffix the possessive morpheme *-uk* (POSS) to the matrix predicate *taqaak* “believe”.

- (48) a. *taqaaksiš*      *ʔin*      *taʔitʔuk*      *kʷaaʔuucukqs*  
*taqaak-siiš*      *ʔin*      *taʔit-ʔuuk*      *kʷaaʔuuc-uk-qs*  
believe-1SG.IND COMP sick-3.DEP grandchild-POSS-1SG.PS  
I believe my grandchild is sick. (unraised)
- b. *taqaaksiš*      *ʔin*      *taʔitʔuksa*      *kʷaaʔuuc*  
*taqaak-siiš*      *ʔin*      *taʔit-uk-sa*      *kʷaaʔuuc*  
believe-1SG.IND COMP sick-POSS-1SG.DEP grandchild  
I believe my grandchild is sick. (intraclausal possessor raising)
- c. \* *taqaakuksiš*      *ʔin*      *taʔit(uk)sa*      *kʷaaʔuuc*  
*taqaak-uk-siiš*      *ʔin*      *taʔit-(uk)-sa*      *kʷaaʔuuc*  
believe-POSS-1SG.IND COMP sick-(POSS)-1SG.DEP grandchild  
I believe my grandchild is sick. (interclausal possessor raising)

From the ungrammaticality of (48c), it is evident that possessor raising must be contained within a CP.

#### 5.4.2.1 ‘Long’ possessor raising with affixal auxiliaries

Despite this restriction on interclausal possessor raising, ‘long’ possessor raising can be observed in environments in which an affixal predicate incorporates a host. As was initially described in Chapter 4, for affixal auxiliaries suffixed by *-uk* (POSS), either a ‘short’ possessor raising or ‘long’ possessor raising interpretation is generally possible with unaccusative hosts. In (49a), the affixal auxiliary *-qaath* “claim” is hosted by the unaccusative predicate *šahyut* “healthy”. The possessive morpheme *-uk* (POSS) is suffixed to the predicate complex. In the ‘short’ possessor raising interpretation, “my grandparent” is construed as the notional subject of both *-qaath* “claim” and *šahyut* “healthy.” In the ‘long’ possessor-raising interpretation, “my grandparent” is construed as the subject of *šahyut* “healthy”, while the first person possessor of “grandparent” corresponds to the first person ‘subject’ of *-qaath* “claim”. The example in (49b) indicates that when the argument of the unaccusative is inanimate (“bread”), only a ‘long’ possessor-raising interpretation is felicitous.

- (49) a. *šahyutqathukʷitsiš*      *naniiqsu*  
*šahyut-qaath-uk-mit-siiš*      *naniiqsu*  
healthy-**claim**-POSS-PST-1SG.IND grandparent  
= (i) My grandparent claimed to be well. (‘short’ possessor raising)  
= (ii) I claimed my grandparent was well. (‘long’ possessor raising)

- b. pux<sup>w</sup>aaqathuk<sup>w</sup>itʔick                      sapnii    ʔataʔiś                      wikyuu  
       pux<sup>w</sup>-aa-qaath-uk-mit-ʔick              sapnii    ʔata-ʔiś                      wik-yuu  
       rise-CONT-claim-POSS-PST-2SG.IND    bread    still-3.IND                      NEG-RES  
       ≠ (i) ! Your bread claimed it was rising, but it still hasn't. (*'short' possessor raising*)  
       = (ii) You claimed your bread was rising, but it still hasn't. (*'long' possessor raising*)

The syntactic prerequisite for 'long' possessor raising is feature matching between the notional subject of the auxiliary and of the possessor. For example, the sentence in (50) is ungrammatical if the 'claimer' and the possessor show a feature mismatch between a first person singular 'claimer' and a second person singular 'possessor'.

- (50) \* šahyutqathuk<sup>w</sup>itsiś                      naniqs(akʔitk)  
       šahyut-qaath-uk-mit-siś                      naniqsu(-ʔak-ʔitk)  
       healthy-claim-POSS-PST-1SG.IND              grandparent(-POSS-2SG.PS)  
       I claimed your grandparent was well.

In contexts of feature mismatches, possessor raising is impossible: instead, -'ap (TR) is employed to license the 'mismatch'.<sup>7</sup>

- (51) šahyutqathʔamitsiś                      naniqsakʔitk  
       šahyut-qaath-ʔap-mit-siś                      naniqsu-ʔak-ʔitk  
       healthy-claim-TR-PST-1SG.IND              grandparent-POSS-2SG.PS  
       I claimed your grandparent was well.

There is a contrast in Nuu-chah-nulth between illicit interclausal possessor raising and 'long' possessor raising in contexts with affixal auxiliaries. This contrast is represented in (52). Raising of a DP possessor across a CP boundary is ruled out in Nuu-chah-nulth, as indicated in (52a). According to the analysis that the 'clauselets' of affixal auxiliaries lack CPs, movement to a 'raised' position is predicted to be possible. In (52b), 'long' possessor raising does not cross a CP, because no CP is present.

<sup>7</sup> This transitivization strategy is consistently available as an alternative to possessor raising with auxiliaries, whether or not possessor raising would be illicit in that context. In (i), transitivization expresses a meaning parallel to the 'long' possessor raising interpretation of (ii).

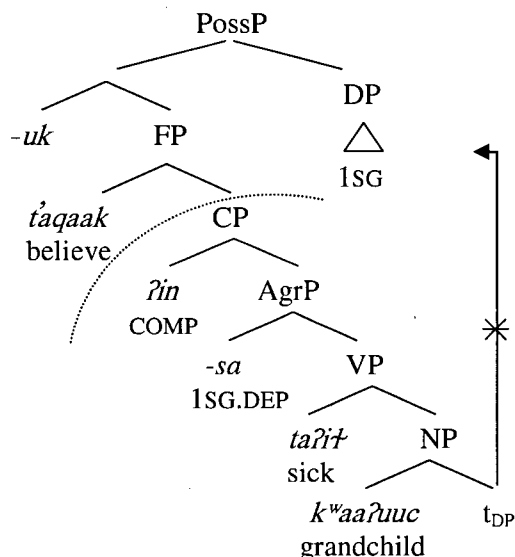
- (i) ʔuñiiqathʔapʔick                      naniqsakʔitk                      ʔatquu                      wikiit  
       ʔu-ñii-qaath-ʔap-ʔick                      naniqsu-ʔak-ʔitk                      ʔat-quu                      wikiit  
       Ø-arrive-claim-TR-2SG.IND              grandparent-POSS-2SG.PS              but-3.COND                      NEG  
       You claimed your grandparent came, although she isn't here.  
       (ii) ʔuñiiqathukʔick                      naniqsu                      ʔatquu                      wikiit  
       ʔu-ñii-qaath-uk-ʔick                      naniqsu-ʔak-ʔitk                      ʔat-quu                      wikiit  
       Ø-arrive-claim-POSS-2SG.IND              grandparent-POSS-2SG.PS              but-3.COND                      NEG  
       = (i) Your grandparent claimed she came, although she isn't here. (*'short' possessor raising*)  
       = (ii) You claimed your grandparent came, although she isn't here. (*'long' possessor raising*)

'Long' possessor raising is only possible when a auxiliary takes an unaccusative verb as its complement. When the embedded verb is transitive or unergative, use of -'ap (TR) is mandatory for disjoint readings of the subject of the auxiliary and of the embedded verb.

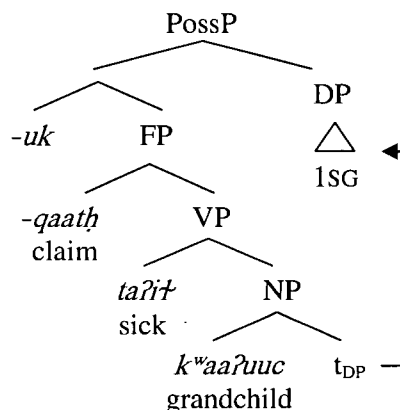


(52) *Interclausal vs. 'long' possessor raising*

a. Ungrammatical interclausal movement



b. Grammatical 'long' movement



Thus, the possibility of 'long' possessor raising in auxiliary environments can be viewed as evidence for the lack of clause-boundedness between the possessive argument of the embedded verb and the auxiliary.

5.4.2.1 No 'long' possessor raising with affixal main predicates

Consider now the case of 'long' possessor raising with affixal main predicates. All else being equal, we would anticipate that 'long' possessor raising should be possible out of an infinitival complement of an affixal main predicate, as it is out of the complement of an auxiliary affixal predicate. However, what is instead found is that possessor raising can cross neither the full nor infinitival complement of an affixal main predicate. The examples below show this restriction for the main predicate *?u-?ii-?i?i* "hear, find out (PERF)". The examples in (53) show that interclausal possessor raising (crossing a full complement clause) is impossible, as it is in all contexts in the language. In (54), 'long' possessor-raising is shown to also be illicit. The example in (54a) shows a grammatical unraised example, while (54b) shows the ungrammatical outcome when the *-uk* (POSS) suffixes to the predicate complex.

- (53) a. *?u?ii?i?i?itwa?i?* Lucy *?in* *ta?it* *k'aa?uucuk?i*  
*?u-?ii-?i?i-mit-wa?i?* Lucy *?in* *ta?it* *k'aa?uuc-uk-?i*  
 Ø-hear-PERF-PST-3.QUOT Lucy COMP sick grandchild-POSS-3.PS  
 Lucy heard that her grandchild is sick. (unraised)

- b. \*  $\lambda u\lambda i\dot{c}i\lambda itukwa\lambda i\dot{s}$  Lucy  $\lambda in$   $ta\lambda i\dot{t}$   $k^waa\lambda uuc$   
 $\lambda u-\lambda i\dot{c}i\lambda -mit-uk-wa\lambda i\dot{s}$  Lucy  $\lambda in$   $ta\lambda i\dot{t}$   $k^waa\lambda uuc$   
 $\emptyset$ -hear-PERF-PST-POSS-3.QUOT Lucy COMP sick grandchild  
Lucy heard that her grandchild is sick. (interclausal PR)
- (54) a.  $ta\lambda i\dot{t}\lambda i\dot{c}i\lambda it\lambda i\dot{s}$  Lucy  $k^waa\lambda uucuk\lambda i$   
 $ta\lambda i\dot{t}-\lambda i\dot{c}i\lambda -mit-\lambda i\dot{s}$  Lucy  $k^waa\lambda uuc-uk-\lambda i$   
sick-hear-PERF-PST-3.IND Lucy grandchild-POSS-3.PS  
Lucy heard that her grandchild is sick. (unraised)
- b. \*  $ta\lambda i\dot{t}\lambda i\dot{c}i\lambda ituk\lambda i\dot{s}$  Lucy  $k^waa\lambda uu$   
 $ta\lambda i\dot{t}-\lambda i\dot{c}i\lambda -mit-uk-\lambda i\dot{s}$  Lucy  $k^waa\lambda uuc$   
sick-hear-PERF-PST-POSS-3.IND Lucy grandchild  
Lucy heard that her grandchild is sick. ('long' possessor raising)

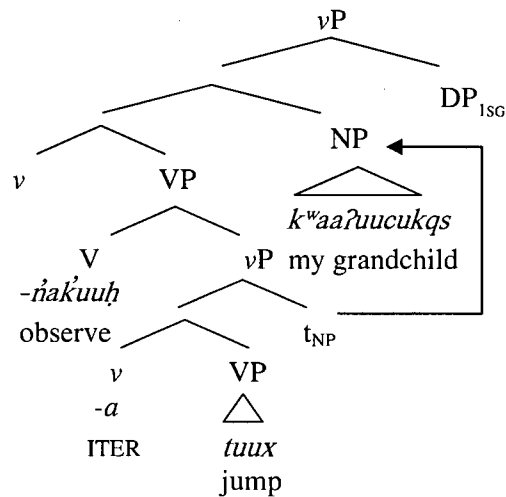
*A priori*, the impermissibility of 'long' possessor raising out of an infinitival complement of an affixal main predicate is surprising given the transparency effects found with affixal auxiliary predicates. However, I propose that this behaviour is a direct consequence of the absence of a TP node in the complement of an affixal main predicate.

Based on the proposal that nominative case is assigned by T (Chomsky 1995), the absence of TP predicts that an embedded subject is not case-licensed within an infinitival clause (Wurmbrand 2001). According to this proposal, main verbs such as  $\lambda u-\lambda i\dot{c}i\lambda$  (PERF) "hear, find out",  $\lambda u-\lambda i\dot{s}$  "come upon" and  $\lambda uu-\lambda a\lambda uuh$  "observe" are analysable as verbs which govern promotion of an infinitival subject to a matrix object position. Under this analysis, an argument generated within the infinitival is unlicensed for nominative case, and so must raise to the matrix clause to receive abstract accusative case via the matrix  $v$  (Chomsky 1995). For a sentence such as in (55), this entails that  $k^waa\lambda uucukqs$  "my grandchild" is realised as an object of the matrix verb, although its genesis is in the subject position of the embedded 'clauselet'.

- (55)  $tuuxtux^wa\lambda a\lambda uuhitsi\dot{s}$   $k^waa\lambda uucukqs$   
 $tuux^w-a[+R]-\lambda a\lambda uuh-mit-si\dot{s}$   $k^waa\lambda uuc-uk-qs$   
jump-ITER-observe-PST-1SG.IND grandchild-POSS-1SG.PS  
I observed my grandchild jumping.

This analysis is represented in (56). Here, the nominal phrase  $k^waa\lambda uucukqs$  "my grandchild" moves from its base position as an argument of the embedded verb  $tuuxtux^wa$  "jump (ITER)" to the matrix  $vP$  projection of the affixal main predicate  $\lambda uu-\lambda a\lambda uuh$  "observe".

(56) Affixal main verb governing raising-to-object



The analysis treats these Nuu-chah-nulth main verbs as parallel to “Exceptional Case Marking” (ECM) verbs such as English “believe”, which license accusative case assignment for the argument of an embedded infinitival.

- (57) a. I believe *him* to be sleeping. (ECM)  
 b. I believe that *he* is sleeping.

Once we assume the raising-to-object analysis for this main verb, the ‘long’ possessor raising facts directly fall out. Recall that ‘long’ possessor raising is not possible with affixal main predicates, as indicated by (58b).<sup>8</sup>

- (58) a. tuuxtuux<sup>w</sup>a<sup>n</sup>ak<sup>u</sup>uhitsi<sup>s</sup> k<sup>w</sup>aa<sup>?</sup>uucukqs  
 tuux<sup>w</sup>-a[+R]-na'kuuh-mit-sii<sup>s</sup> k<sup>w</sup>aa<sup>?</sup>uuc-uk-q<sup>s</sup>  
 jump-ITER-observe-PST-1SG.IND grandchild-POSS-1SG.PS  
 I observed my grandchild jumping. (unraised)
- b. tuux<sup>w</sup>tuux<sup>w</sup>a<sup>n</sup>ak<sup>u</sup>uhuk<sup>w</sup>itsi<sup>s</sup> k<sup>w</sup>aa<sup>?</sup>uuc  
 tuux<sup>w</sup>-a[+R]-na'kuuh-uk-mit-sii<sup>s</sup> k<sup>w</sup>aa<sup>?</sup>uuc  
 jump-ITER-observe-POSS-PST-1SG.IND grandchild  
 I observed my grandchild jumping. (‘long’ possessor raising)

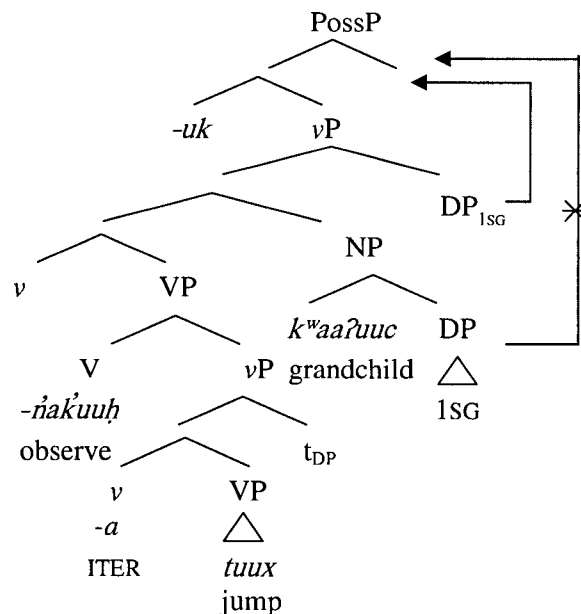
Also recall from Chapter 3 and 4 that possessor raising is possible only out of subjects in Nuu-chah-nulth, not out of objects (Ravinski 2005). This is reflected in the interpretation of the possessor raised example of (59). Note that only the subject *püšpiš* “cat” is amenable to an interpretation as the possessum.

<sup>8</sup> This restriction holds whether the embedded predicate is unaccusative (as with *ta?i?* “sick” in 54b), or unergative (as with *tuux<sup>w</sup>*- “jump” in 58b).

- (59) hink<sup>w</sup>aʔiihuksiš      piišpiš maamaati  
 hin-k<sup>w</sup>aʔiih-uk-siiš      piišpiš maamaati  
 LOC-after-POSS-3.IND cat bird  
 = (i) My cat was after a bird.  
 ≠ (ii) A cat was after my bird.

The unavailability of ‘long’ possessor raising in the main verb environment is directly predicted by an analysis which states that the argument generated within the infinitival is behaving as an object, rather than a subject. Under a raising-to-object analysis, the promoted argument is predicted to be incompatible with possessor raising, since it fails to occupy a subject position. Possessor raising is inapplicable for objects in Nuuchah-nulth. This analysis is represented in the diagram below. Here, only the subject of the matrix predicate is shown to allow possessor raising.

- (60) *Raising-to-object verbs are incompatible with ‘long’ possessor raising*



## 5.5 Conclusion

In summary, this chapter has argued that affixal predicates which take verbal complements fall into two general classes: affixal main predicates, and affixal auxiliary predicates. The two classes differ empirically in a variety of ways, including their ability to select a CP complement and to license a subject ‘mismatch’. Moreover, only auxiliary affixal predicates permit ‘long’ possessor raising. These behaviours were accounted for under an analysis in which main

predicates are lexical verbs which govern raising-to-object, while auxiliary predicates are non-thematic functional verbs which govern raising-to-subject.<sup>9</sup>

I presented evidence for the infinitival nature of the complement of an affixal predicate in incorporation environments. The 'clauselet' behaves as a monoclausal configuration which lacks clausal demarcation between the affixal predicate and its complement. According to the analysis, the complement of an affixal predicate which governs incorporation is a phrase smaller than a TP. Thus, in these reduced clause contexts, no CP 'edge' is imposed between an affixal predicate and its host.

This study expands the cross-linguistic typology of 'restructuring' effects. The manifestation of these effects differ considerably across languages, although they share the common property of a lack of clausal demarcation between matrix and embedded constituents (Wurmbrand 2001, Cinque 2001). For Romance languages, primary examples of 'restructuring' effects are clitic-climbing, long NP-movement, and auxiliary selection (see Rizzi 1982), while Germanic 'restructuring' behaviours include long object movement, long-distance scrambling, and verb raising (see Wurmbrand 2001). This study demonstrates that in Nuuchahnulth, 'restructuring' effects include incorporation, 'long' wh-movement and 'long' possessor-raising. These 'restructuring' effects were previously unrecognized in the Nuuchahnulth literature.

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<sup>9</sup> Additional research is necessary to determine if a wider range of raising configurations exist. For instance, preliminary research suggests that the affixal predicate *ʔu-cuk* "need" may govern subject-to-subject raising.

## CHAPTER 6

### Implications

*We shall not cease from exploration  
and the end of all our exploring  
will be to arrive where we started  
and know the place for the first time.  
~T.S. Eliot Four Quartets no. 4*

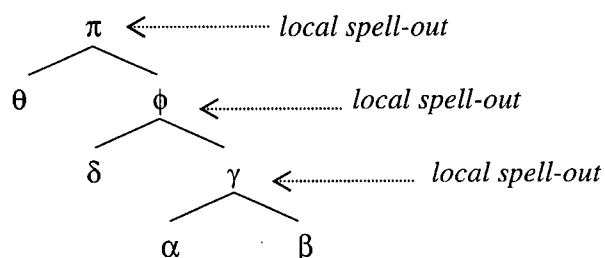
#### 6.0 Introduction

This concluding chapter first summarizes the effects of local spell-out in Nuu-chah-nulth, and then moves on to discussion of key theoretical and typological implications of the analysis. The chapter closes with concluding remarks.

#### 6.1 Summary

This dissertation has proposed that the positioning of affixal predicates in Nuu-chah-nulth is a reflex of the need to linearize these bound morphemes. I have advanced an argument for the way in which syntactic objects are mapped to phonological linearization. According to the proposal, the linearization of syntactic constructs is established incrementally over the course of the derivation, in minimal domains equivalent to a single cycle of Merge.

##### (1) *Local spell-out hypothesis*

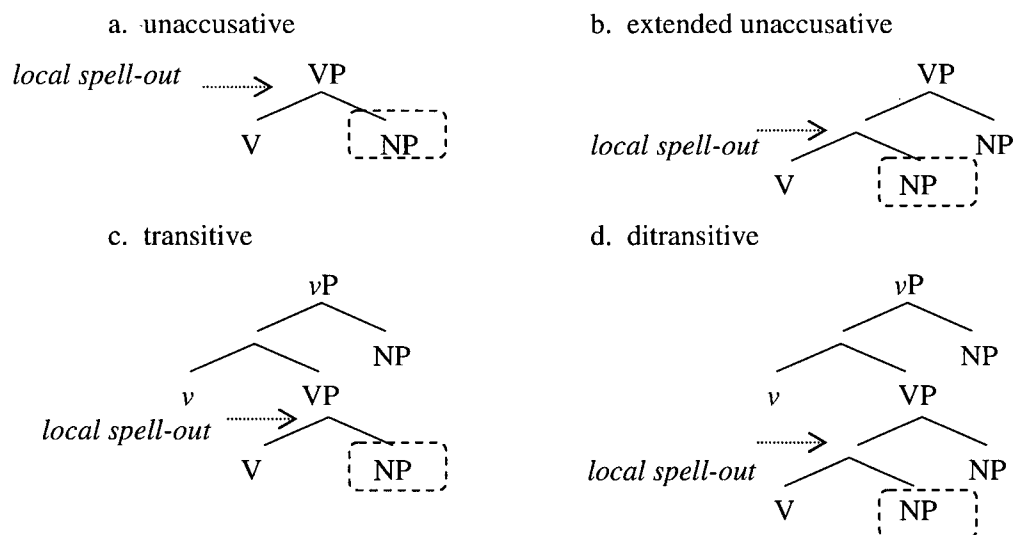


The local spell-out hypothesis determines that an affixal predicate finds a host from within its derivational sister. This derivational sister is a linearized object at spell-out, leading to the restriction that suffixation operates on the basis of string adjacency.

The consequence of local spell-out of affixal predicates in Nuu-chah-nulth is a process termed PF Incorporation. Through PF Incorporation, an affixal predicate suffixes to a host, in a process unselective for syntactic category, and insensitive to the syntactic structure of the derivational sister. The syntax and the linearization mechanism are not fully independent, however. I have proposed that the syntax plays a conditioning role in the linearization process,

through the composition of local spell-out domains. For affixal predicates which take nominal complements, I presented evidence that the argument structure of the affixal predicate restricts the choice of host for the affix. Whether an affixal predicate is unaccusative, extended unaccusative, transitive or ditransitive, the position in which arguments are introduced has an influence on the pattern of incorporation. In each case, it is the argument which is introduced as the derivational sister to the affixal predicate which may serve as host. Higher arguments are ineligible as hosts.

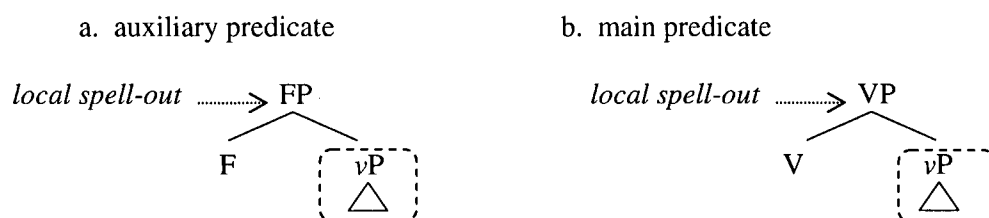
## (2) Nominal complements of affixal predicates



Moreover, the syntax was shown to condition spell-out of affixal predicates through the creation of 'self-contained' derivational units. According to the *DP/CP 'edge'* hypothesis, hosts for an affixal predicate are never selected from within a DP, because a DP is an independent phonological domain. Instead, in these contexts, the expletive *ʔu-* is inserted to host the affix.

For affixal predicates which take verbal complements, a similar analysis for the conditioning effect of the syntax was given. I argued that the inventory of affixal predicates in Nuu-*ç*hah-nulth includes both main and auxiliary verbs, which permit incorporation of a host chosen from its complement. The incorporation pattern occurs with infinitival (*vP*) complements, and not with *CP* complements. By the *DP/CP 'edge'* hypothesis, affixation is barred from crossing a *CP*.

## (3) Verbal complements of affixal predicates



## 6.2 Theoretical implications

This section presents three theoretical implications of the local spell-out hypothesis. In §6.2.1, I distinguish the characteristics of PF operations from syntactic ones. Next, §6.2.2 discusses how PF Incorporation in Nuuchahnulth fits in to the broader question of the locus of head movement operations in the grammar. Finally, §6.2.3 illustrates how the linearization effected by local spell-out necessarily induces an ‘outside-in’ dependency.

### 6.2.1 On the nature of PF operations

Under the analysis I have proposed, spell-out to PF manipulates the ordering of syntactic elements when linearization is induced. This section argues explicitly against the notion that this characterization of the derivational capacity of PF amounts to a ‘second syntax’ or to a ‘hybrid’ branch sharing both syntactic and phonological sensitivities (cf. Embick and Noyer 2001, Rivero 1999). The properties I have attributed to syntactic and PF operations are distinct. The syntax, under my analysis, plays a structure-building role in which linearization is irrelevant: syntactic constructs are inherently unordered. In contrast, at spell-out to PF, the structures of the syntax are inconsequential, while relationships based on string adjacency take on import.

When the syntax is spelled-out to PF, the syntax indirectly conditions the input to the PF branch. The Nuuchahnulth facts indicate two ways in which syntax has a conditioning effect: the structure-building component is responsible for the creation of local spell-out domains (derivational sisters), as well as the creation of computationally independent ‘edged’ constituents.

#### (4) *Conditioning effects of the syntax*

- (i) determining derivational sisterhood
- (ii) creating constituents (DP, CP) which correspond to computationally independent domains

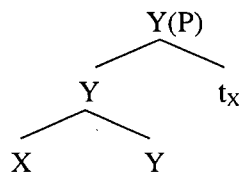
The first effect is responsible for the ‘complement’ restriction on Nuuchahnulth incorporation. In Nuuchahnulth, the host for an affixal predicate is necessarily selected from the derivational sister of the affix. Thus, only elements from the complement of the affixal predicate will qualify as hosts. The second effect determines that incorporation is impossible in Nuuchahnulth across a DP or CP. Thus, when an affixal predicate takes a DP or CP complement, the host for the affixal predicate must be the expletive *ʔu-*. In Chapter 2, I suggested that the opacity effect associated with DP and CP in Nuuchahnulth may arise from the inert status of these nodes as designated spell-out domains. Unlike in languages with phasal spell-out, DP and CP in Nuuchahnulth fail to act as ‘active’ spell-out triggers, rendering them derivationally impermeable.



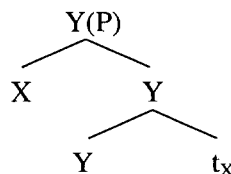
### 6.2.2 The head movement question

In the wake of Chomsky's (1995, 2001) suggestion that head movement may be best analysed as a PF phenomenon, much attention has been paid to theoretical motivations for eliminating this operation from the narrow syntax. A well-noted objection to a standard syntactic treatment of head movement (eg. Baker 1988, Chomsky 1993) is its countercyclic violation of the Extension Condition, in which head movement builds the tree at a non-root node (Chomsky 2001, among others). According to a traditional syntactic treatment of head movement, a head X is moved to adjoin to an immediately c-commanding node Y, as in (5a). In (5a), the Y node at which structure-building is effected is not the root node. In cyclic movement, structure-building occurs at the root, as in (5b).

(5) a. *countercyclic movement*



b. *cyclic movement*



Countercyclicity entails that the moved element does not c-command its 'trace', under standard definitions of c-command. An additional problematic aspect of a traditional analysis of syntactic head movement is the stipulative nature of the Uniformity Condition on the phrasal status of chains (Surányi 2003, Matushansky *to appear*).

(6) *Uniformity Condition* (Chomsky 1995: 253)

A chain is uniform with regard to phrase structure status.

However, a variety of analyses have indicated that these problems posed by head movement within a Minimalist syntax may be reconciled through an adjustment in the syntactic machinery of head movement (Donati 2003, Surányi 2003, Matushansky *to appear*). For example, Matushansky (*to appear*) argues that phrasal movement and head movement have identical landing sites, in which both extend the projection at the root. By this analysis, the issue of apparent countercyclicity evaporates.

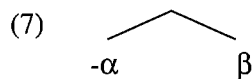
The residue of this theoretical debate on the admissibility of head movement as a syntactic operation is the question of empirical support for the notion that head movement occurs in PF (Boeckx and Stjepanovic 2001). In this light, the empirical basis for diagnosing the symptoms of a PF operation takes on considerable theoretical import (see also Rivero 1999, Embick and Noyer 2001). Specifically, if head movement is a PF operation, then what features should it be expected to have? This examination of incorporation data from Nuuchahnulth expands the empirical coverage of the 'head movement question'. As I have shown,

incorporation in Nuu-chah-nulth has the hallmark properties of a post-syntactic, PF process. The phenomenon of PF Incorporation in Nuu-chah-nulth demonstrates adherence to string adjacency, and shows an insensitivity to syntactic category and constituency, and as well as an absence of LF effects. Moreover, the ‘edge’ effects associated with DP and CP constituents in Nuu-chah-nulth provide novel support for the computational independence of these phrases at a phonological level (cf. Chomsky 2001, Matushansky 2005).

In what follows, I make explicit the claim that while the ‘head movement’ operation of affixal predicates in Nuu-chah-nulth is strictly phonological, other types of head movement show contrasting properties. In §6.2.2.1, I summarize the argument that the linearization mechanism for Nuu-chah-nulth affixes is achieved at spell-out to PF. In §6.2.2.2, this linearization mechanism is contrasted with syntactic head movement.

### 6.2.2.1 Linearization is purely phonological

In a sense, the placement of affixal predicates in Nuu-chah-nulth is purely phonological. Although syntactic composition conditions the input to the linearization mechanism at local spell-out, the syntax plays no role in the means by which an affix is attached with its host. As I have argued, an affixal predicate suffixes to its host as a reflex of the spell-out mechanism. For a syntactic construct such as (7), the suffix  $-\alpha$  is the linearized object  $\beta-\alpha$ .



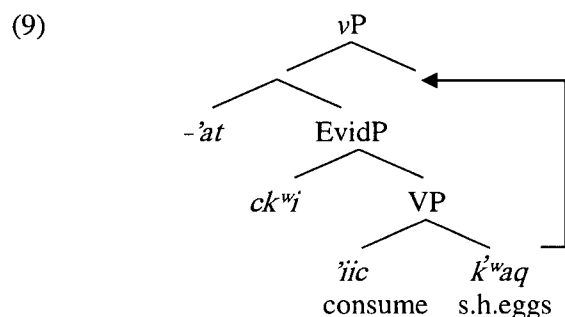
A characteristic of the linearization of Nuu-chah-nulth affixal predicates is that it is conditioned by the ‘base’ position of affixes and their arguments, via aggressive interpretation. That is, as soon as an affixal predicate is merged into the derivation, its linearization is determined: the affixal predicate is hosted by a string adjacent element from its derivational sister. Under the simplest assumptions, it follows from this analysis that subsequent movement in the derivation, of either the affix or its derivational sister, should not affect this relationship between the affix and its host. This prediction is upheld in Nuu-chah-nulth. For example, when the nominal object of an affixal predicate is passivized, the ‘complement’ effect in affixation remains. Whether an affixal predicate is active or passive, the affix suffixes to a host from its derivational sister, its complement.<sup>1</sup> The examples in (8) show this pattern for the transitive affixal predicate *ʔu-ʔiic* ‘consume’. The active sentence in (8a) shows the affixal predicate suffixing to the nominal *kʷaq* ‘spawned herring eggs’, which the predicate takes as its syntactic object (as argued

<sup>1</sup> This claim directly contradicts Waldie’s (2004) assertion that affixal predicates with nominal hosts cannot be passivized in the Ahousaht dialect of Nuu-chah-nulth. The Ahousaht speakers who I have worked with consistently allow passivization in appropriate discourse contexts, with semantically appropriate predicates.

in Chapter 4). In (8b), the predicate has been passivized: this is indicated morphologically by the addition of the suffix *-ʔat* (PAS). What is relevant is that passivization has no consequence for the choice of host for the affixal predicate. In (8b), the host for the affixal predicate is *kʷaq* “spawned herring eggs”, just as it is in the active case of (8a).

- (8) a. *kʷaʃiicʔiʃ* *kʷaaʔuuc*  
*kʷaq-ʔiic-ʔiʃ* *kʷaaʔuuc*  
s.h.eggs-consume-3.IND grandchild  
Grandchild is eating spawned herring eggs. (active)
- b. *kʷaʃiicckʷatʔiʃ* *ʔuuʃhʔat*  
*kʷaq-ʔiic-ckʷi-ʔat-ʔiʃ* *ʔuuʃ-qh-ʔat*  
s.h.eggs-consume-EVID-PAS-3.IND some-do.by-PAS  
Spawned herring eggs must have been eaten by someone. (passive)

According to a syntactic treatment of Nuuchahnulth passivization (Kim 2001), the object of the passivized predicate is promoted to a surface subject position. In (9), the passivized object *kʷaq* “spawned herring eggs” moves to specifier position of the *vP* projection which *-ʔat* (PAS) heads.



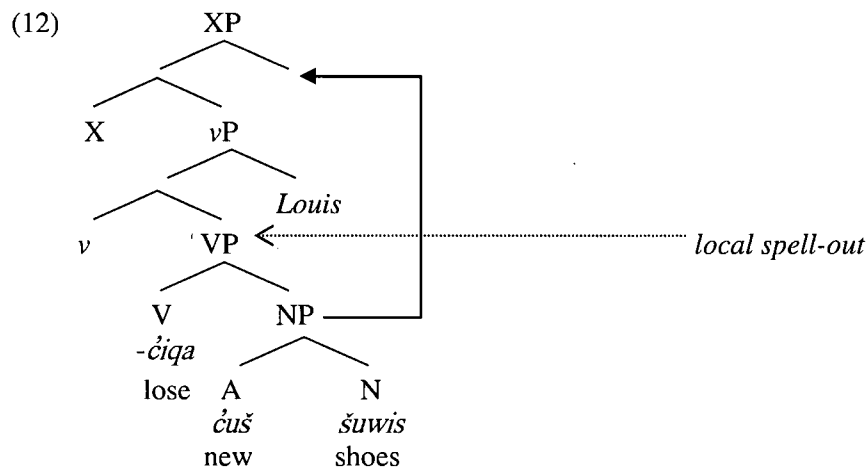
Crucially, the syntactic movement of *kʷaq* does not affect its spell-out position hosting the affixal predicate.

Furthermore, the relationship between an affixal predicate and its host is not affected by other types of word order manipulations which disrupt the surface adjacency between the predicate and its syntactic complement. This effect may be observed in contexts in which the complement of the affixal predicate contains multiple words, such as when the object contains a modifier. In the following examples, the modified nominal *ʕuʃ(uk) ʃuwis* “new shoes” is the complement of the affixal predicate *ʔuʔu-ʕiqa* “lose”. As shown in the examples in (10), in which the affixal predicate is hosted by the expletive *ʔu-*, the subject *Louis* and the object *ʕuʃuk ʃuwis* “new shoes” show a variable word order. (As described in Chapter 3, subjects and objects often show variable word order when the object is inanimate and indefinite.) The crucial examples are given in (11). In (11), the affixal predicate *ʔuʔu-ʕiqa* “lose” is hosted by *ʕuʃ-* “new”, which originates syntactically in object position as the modifier of the nominal *ʃuwis* “shoes”. In (11a),

the subject *Louis* precedes the object ‘remnant’ *šuwis* “shoes”. In (11b), *Louis* follows *šuwis* “shoes”.

- (10) a.       $\text{ʔuʔu}^{\text{c}}\text{ciqa}^{\text{ʔ}}\text{iš}$                       *Louis*       $\text{čusuk}$                       *šuwis*  
                   $\text{ʔu-}^{\text{c}}\text{ciqa}[+R]\text{-}^{\text{ʔ}}\text{iš}$                       *Louis*       $\text{čus-uk}$                       *šuwis*  
                   $\emptyset\text{-lose-3.IND}$                       *Louis*      new-DUR                      shoes  
                  Louis lost a brand new pair of shoes.
- b.       $\text{ʔuʔu}^{\text{c}}\text{ciqa}^{\text{ʔ}}\text{iš}$                        $\text{čusuk}$                       *šuwis*      *Louis*  
                   $\text{ʔu-}^{\text{c}}\text{ciqa}[+R]\text{-}^{\text{ʔ}}\text{iš}$                        $\text{čus-uk}$                       *šuwis*      *Louis*  
                   $\emptyset\text{-lose-3.IND}$                       new-DUR                      shoes      *Louis*  
                  Louis lost a brand new pair of shoes.
- (11) a.       $\text{čucuš}^{\text{c}}\text{ciqa}^{\text{ʔ}}\text{iš}$                       *Louis*      *šuwis*  
                   $\text{čuš-}^{\text{c}}\text{ciqa}[+R]\text{-}^{\text{ʔ}}\text{iš}$                       *Louis*      *šuwis*  
                  new-lose-3.IND                      *Louis*      shoes  
                  Louis lost a brand new pair of shoes.
- b.       $\text{čucuš}^{\text{c}}\text{ciqa}^{\text{ʔ}}\text{iš}$                       *šuwis*      *Louis*  
                   $\text{čuš-}^{\text{c}}\text{ciqa}[+R]\text{-}^{\text{ʔ}}\text{iš}$                       *šuwis*      *Louis*  
                  new-lose-3.IND                      shoes      *Louis*  
                  Louis lost a brand new pair of shoes.

What the example in (11a) suggests is that surface position of the predicate and its object is irrelevant for the affixation mechanism. Instead, affixation is determined at the earliest possible stage of the derivation, with the object adjacent to the predicate in complement position. For the syntactic structure in (12), local spell-out determines that the affixal predicate *-ciqa* “lose” selects *čuš* “new” as its host because of the string adjacency the two share.



When movement derives an object-final order, this affixation relationship is unaffected. In the diagram in (12), the object-final word order of (11a) is shown to be derived by movement of the object to a right-linearized specifier position. Under this analysis, I assume that it is the bottom ‘copy’ of the moved element *čuš* “new” which is pronounced in Nuuchahnulth. Note that it is otherwise impossible to separate the modifier *čuš(uk)* “new (DUR)” from the nominal *šuwis* “shoes”, as shown in (13).

- (13) \*     $\text{ʔuʔu}^{\text{c}}\text{iq}^{\text{a}}\text{ʔi}^{\text{s}}$                        $\text{}^{\text{c}}\text{u}^{\text{s}}\text{uk}$                       Louis     $\text{ʃu}^{\text{w}}\text{i}^{\text{s}}$   
           $\text{ʔu-}^{\text{c}}\text{iq}^{\text{a}}\text{[+R]-}^{\text{ʔi}}\text{i}^{\text{s}}$                        $\text{}^{\text{c}}\text{u}^{\text{s}}\text{-uk}$                       Louis     $\text{ʃu}^{\text{w}}\text{i}^{\text{s}}$   
           $\text{Ø-lose-3.IND}$                       new-DUR                      Louis    shoes  
          Louis lost a brand new pair of shoes.

The ungrammaticality of such an example suggests that  $\text{}^{\text{c}}\text{u}^{\text{s}}(\text{uk})\text{ʃu}^{\text{w}}\text{i}^{\text{s}}$  “new shoes” moves only as a unitary constituent in Nuu-chah-nulth, lending support to the analysis in (12) that it is the NP which moves rightward, and not simply the nominal head  $\text{ʃu}^{\text{w}}\text{i}^{\text{s}}$  “shoes”.

In summary, syntactic movement appears to have no consequence for the linearization mechanism of affixal predicates in Nuu-chah-nulth. The host for an affixal predicate is determined by local spell-out of the affix at the stage in the derivation in which it is first introduced. Linearization of the affix and its host is not disturbed by subsequent syntactic movement of the syntactic category containing the host. Thus, syntactic manipulations play no role in the linearization of Nuu-chah-nulth affixal predicates, beyond the irreducible conditioning effect of the syntax in forming the primary local spell-out domain of the affixal predicate.

In the next section, I argue that the linearization mechanism of Nuu-chah-nulth affixal predicates may be contrasted with syntactic head movement, which is achieved by an interplay between syntactic movement and local spell-out.

#### 6.2.2.2 Syntactic head movement is more complex

As described in Chapter 2, PF Incorporation in Nuu-chah-nulth is sensitive to linear adjacency: an affixal predicate suffixes to a string adjacent element from its derivational sister. In this, Nuu-chah-nulth differs from those languages in which incorporation operates on the basis of hierarchical adjacency. As noted in Chapter 2, the contrast between sensitivity to linear adjacency and hierarchical adjacency is readily observed in environments in which the complement of the ‘incorporating’ verb is modified. With PF incorporation, it is a linearly adjacent modifier which is targeted for suffixation by an affixal predicate. In (14), the affixal predicate  $\text{ʔu-}^{\text{ʔi}}\text{i}^{\text{c}}$  “consume” suffixes to the modifier  $\text{ha}^{\text{ʔ}}\text{um}$  “tasty”, stranding the nominal head  $\text{ʔaapinis}$  “apple”.

- (14)     $\text{ha}^{\text{ʔ}}\text{um}^{\text{ʔi}}\text{c}^{\text{ʔi}}\text{ʃa}^{\text{ʔ}}$                        $\text{ʔaapinis}$   
           $\text{ha}^{\text{ʔ}}\text{um-}^{\text{ʔi}}\text{i}^{\text{c}}\text{-}^{\text{ʔi}}\text{i}^{\text{s}}\text{-}^{\text{ʔa}}\text{ʔ}$                        $\text{ʔaapinis}$   
          tasty-**consume**-3.IND-PL apples  
          They are eating delicious apples.

In contrast, in syntactic incorporation, a modifier is necessarily inaccessible for incorporation (Baker 2003). As argued in Chapter 2, the prohibition on syntactic adjective incorporation is a consequence of the Head Movement Constraint, which determines that movement targets the head of a complement.

With respect to adjective incorporation, Nuu-chah-nulth may be contrasted with Mohawk (Iroquoian). As Mithun (1984: 870) describes, when a verb in Mohawk suffixes to a noun, it may strand a modifier.<sup>2</sup> In (15), the verb *ú:ni* “make” suffixes to *-akya'tawi'tsher-* “dress”, stranding the modifier *kanekwarúnyu* “polka-dotted”.

(15) *Mohawk* (Mithun 1984: 870, ex. 106)

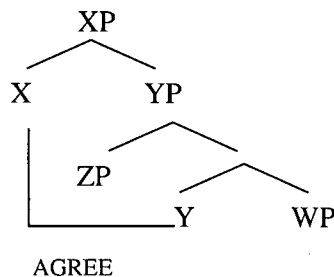
kanekwarúnyu wa'-k-akya'tawi'tsher-ú:ni  
 it.dotted.DIST PST-I-dress-make  
 I made a polka-dotted dress.

In what follows, I suggest that the difference between Nuu-chah-nulth and Mohawk amounts to whether affixation is achieved purely through local spell-out, or via a more complex derivation.

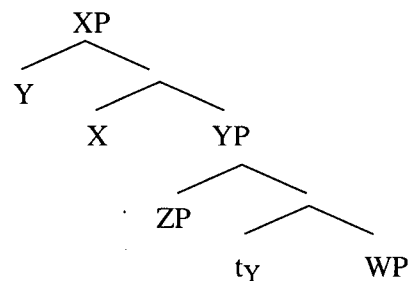
This section hypothesizes that syntactic head movement arises through an interaction between syntax and local spell-out. According to this notion, syntactic head movement reflects the local spell-out of a head not in its base position, but in the position it has attained via a local form of syntactic movement. This falls in line with other work which proposes that syntactic head movement is a multi-step process with individual components of syntactic movement and affixation (Donati 2003, Matushansky *to appear*).

I adopt Matushansky's (*to appear*) proposal that head movement is a cyclic operation which remerges a head at the root node. For Matushansky (*to appear*), the prerequisite for this local movement is an AGREE relation equivalent to c-selection. In (16a), the head X selects the category Y as its complement. In (16b), Y is (re)Merged at the root node X(P).

(16) a. *c-selection*



b. *movement (remerge)*

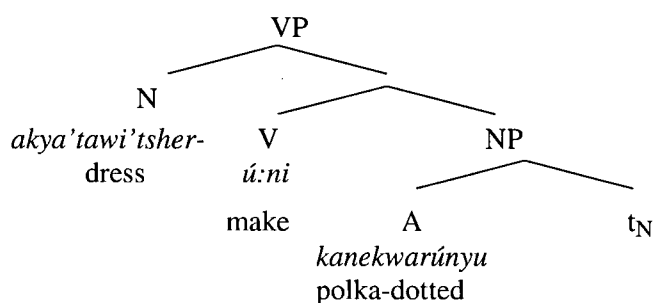


As Matushansky argues, in syntactic head movement, the movement in (16b) is followed up by an affixation process which attaches the moved head X to the head Y.

A syntactic analysis of Mohawk incorporation (Baker 1988) may thus be implemented within the Minimalist framework in which remerge is effected at the root node. This analysis translates into the following movement operation for noun incorporation which strands a modifier:

<sup>2</sup> Mithun (1984) explicitly argues against a syntactic treatment of noun incorporation. However, I follow Baker (1988, 2003) in assuming that Mohawk incorporation is syntactic.

(17) *Syntactic incorporation in Mohawk*

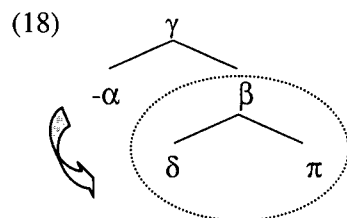


Here, the noun *akya'tawi'tsher*- “dress” is (re)Merged at the root node, as a projection of V(P).

How does the movement operation in (17) result in suffixation of the verb to the noun? I suggest that the affixation reflex for this head movement operation relates to the bound status of Iroquoian nominals. With few exceptions, nominals in Iroquoian languages are obligatorily morphologically bound, and cannot occur independently (Bryan Gick, Marianne Mithun, p.c.).<sup>3</sup> Let us therefore assume that *akya'tawi'tsher*- “dress” is subject to an affixation requirement. On the assumption that a host for this affixal noun must be a linearly adjacent element from its derivational sister, then the verb *ú:ni* “make” will be selected as host due to its position as leftmost element of the derivational sister. The choice of *ú:ni* “make” as host of *akya'tawi'tsher*- “dress” would therefore immediately follow. Thus, the linearization of *akya'tawi'tsher-ú:ni* “dress-make” results.

### 6.2.3 Local spell-out creates ‘outside-in’ dependencies

According to the local spell-out hypothesis, the relationship between a Nuuchahnulth affixal predicate and its host is necessarily ‘outside-in’.<sup>4</sup> A suffix ( $-\alpha$ ) is always ‘outside’, or higher than, the syntactic terminals contained within its derivational sister ( $\beta$ ):



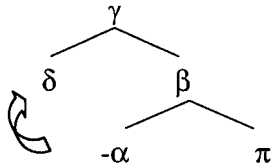
In Nuuchahnulth, an affixal predicate ( $-\alpha$ ) must be linearized with respect to a host from its derivational sister  $\beta$ . If its derivational sister is linearized as  $\langle \delta, \pi \rangle$ , then the host for an affixal predicate is determined to be  $\delta$ , due to its string adjacency to this morpheme ( $\langle \delta - \alpha \rangle, \pi$ ).

<sup>3</sup> I return to this topic in §6.3.1. Outside of incorporation contexts, noun stems in Mohawk are most commonly suffixed by *-a'*, a ‘noun suffix’ which contributes no discernible meaning (Marianne Mithun, p.c.).

<sup>4</sup> Thanks to Gunnar Hansson for suggesting this term to me.

What about ‘inside-out’ dependencies? In this configuration, an affix ‘climbs up’ to find a host higher in the tree. In the illustration below, let us take  $-\alpha$  to be a suffix, and  $\delta$  to be its host. In an ‘inside-out’ dependency, an affix  $-\alpha$  attaches to the host  $\delta$ , even though  $\delta$  is not contained within its derivational sister. In the tree below,  $\pi$  is the derivational sister of  $\alpha$ , not  $\delta$ :

(19)



### 6.2.3.1 ‘Inside-out’ dependencies are not possible via local spell-out

I hypothesize that the effect of an ‘inside-out’ dependency is possible in natural language. However, it crucially will never arise from local spell-out. Instead, I suggest that this arrangement is necessarily achieved later in the derivation than what is possible by the ‘aggressive’ linearization algorithm of local spell-out. This type of affixation is not local in the same sense as local spell-out, since it is done on full assemblies of derivations, rather than in the incremental steps taken by local spell-out. In the following section, I present the notion that ‘inside-out’ linearizations apply in later stages of the derivation than local spell-out.

### 6.2.3.2 Evidence from Kwakw’ala (Northern Wakashan)

Evidence for the existence of ‘inside-out’ dependencies comes from the Northern Wakashan language Kwakw’ala (Anderson 1984, Klavans 1985). As Anderson (1984) notes, in Kwakw’ala, determiners are enclitics, and they attach to the right edge of a *preceding* word. The following example is slightly modified from Anderson (1984: 21, ex. 1) to match the morpheme gloss conventions of this dissertation:

(20) *Kwakwala* ‘inside-out’ enclitic determiner

|                                              |               |                 |            |
|----------------------------------------------|---------------|-----------------|------------|
| kʷixʔid-ida                                  | bəgʷanəma-χ-a | qasa-s-is       | təlwagʷayu |
| clubbed-DET                                  | man-OBJ-DET   | otter-INST-POSS | club       |
| The man clubbed the sea-otter with his club. |               |                 |            |

In this example, the determiner *-ida* (DET) is semantically construed as the marker for the nominal *bəgʷanəma* “man”. Yet instead of attaching to this nominal, it attaches leftward to the preceding word, the verb *kʷixʔid* “clubbed”. The same leftward pattern is also exhibited by the other determiner in the sentence, *-a* (DET). Although this determiner should be semantically construed with the object nominal *qasa* “otter”, it nonetheless attaches to the right edge of a different nominal, the subject nominal *bəgʷanəma* “man”, which happens to precede the object.



The Kwakw'ala example can be contrasted with the following example from Nuuchah-nulth, which shows an 'outside-in' dependency. In Nuuchah-nulth, the determiner *-ʔii* reliably suffixes to the right edge of the first word in its complement. (This distribution is identical to that of a Nuuchah-nulth affixal predicate.) Here, *-ʔii* suffixes to the nominal *huupuukʷas* "car".

(21) *Nuuchah-nulth* 'outside-in' enclitic determiner

|                      |       |                |
|----------------------|-------|----------------|
| kuuwiłitʔiš          | čakup | huupuukʷasʔi   |
| kuuwił-mit-ʔiš       | čakup | huupuukʷas-ʔii |
| steal-PST-3.IND      | man   | car-DET        |
| A man stole the car. |       |                |

The cases in (20) and (21) constitute a minimal pair for the 'inside-out'/'outside-in' distinction. The difference between these two types of dependencies is represented syntactically below:

(22) a. *Kwakw'ala* 'inside-out' affixation      b. *Nuuchah-nulth* 'outside-in' affixation



In (22a), the Kwakw'ala determiner orients itself leftward to attach to the verb. In (22b), the Nuuchah-nulth determiner positions itself rightward to attach to the nominal under its semantic scope.

In each case, the determiner takes a single step to attach to a neighbouring word. Why, then, would only (22b) qualify as a 'local' linearization? Recall that local spell-out applies only to derivational sisters. Only in (22b) does the affix attach to a host within its derivational sister: in (22a), the derivational sister of the enclitic determiner D is N, not the V which it takes as a host. Therefore, (22a) does not satisfy this strict definition of derivational locality.

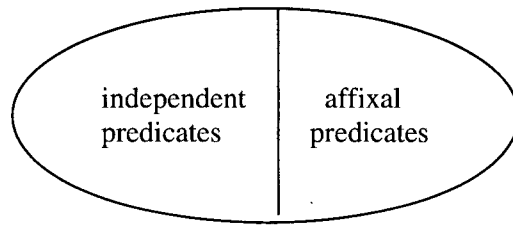
In the following section, I propose that linearization induced by local spell-out applies only in cases in which the affix is lexically specified for an affixation requirement.

### 6.2.3.2 Affixation as primitive or derived

What may condition the choice between linearization which is effected by local spell-out and linearization which occurs after spell-out? I suggest that it may relate to whether affixal status is *primitive* or *derived* in the language (cf. Anderson's (1992) 'special' or 'simple' clitics). Recall that in Nuuchah-nulth affixal predicates are lexically specified as affixes. This lexical distinction

serves to differentiate them from independent predicates in the language, which never occur as suffixes.

(23) *Free and bound classes of predicates in Nuu-chah-nulth*



The difference between affixal and independent predicates is not independently reducible to a factor distinct from affixhood, such as prosodic weight. With respect to the prosodic heaviness, affixal predicates come in a range of types – from the non-syllabic to the polysyllabic – and, as such, overlap with the syllabic and polysyllabic forms of independent (non-affixal) predicates. Examples of the different weights of affixal and independent roots are given below.

(24) Polysyllabic affixal predicates

- a. *-nákúuh* [+L] "observe"
- b. *-hahut* [+L] "on front"

(25) Polysyllabic independent predicates

- a. *kuuwít* "steal"
- b. *pawát* "to lose something"

(26) Monosyllabic affixal predicates

- a. *-náah* "trying to locate"
- b. *-pat* "be present"

(27) Monosyllabic independent predicates

- a. *máa* "to bite"
- b. *k'wix* "to kiss"

(28) Non-syllabic affixal predicates

- a. *-q* [+R] "travelling with in vessel"
- b. *-š* [+L] "asking for"

Affix, in Nuu-chah-nulth, must therefore be a lexically-specified *primitive*. It is not the case that affixation takes place because of a deficiency in prosodic weight: affixal predicates may be weighty or weightless.<sup>5</sup>

If affixal status is a lexical property of an affix in Nuu-chah-nulth, rather than a prosodically conditioned characteristic, then it constitutes a bare output requirement *for that morpheme*. It is a tenet of the Minimalist grammar that the lexically-specified properties of an element must be satisfied by the point of spell-out, so that the features of the lexical item may receive an appropriate interface interpretation. Under this view, it is lexical specification that forces affixation in Nuu-chah-nulth to emerge via local spell-out.

<sup>5</sup> In Anderson's (1992) terminology, Nuu-chah-nulth affixal predicates thus cannot be 'simple' clitics.

According to this line of reasoning, the lexical entry for the Nuuchahnulth determiner must come equipped with an affix specification, in the same way that affixal predicates' do. In other words, affixal status in Nuuchahnulth is primitive, for affixal predicates or for determiners. Under a local spell-out analysis of Kwakwaka'ala, the implication of this analysis is that, conversely, the affixal status of determiners in Kwakwaka'ala must be derived, not inherent. Only for derived affixes will 'inside-out' dependencies be tolerated. Only for derived affixes will affixation *not* be a requirement at the stage of local spell-out. Instead, if affixal status is prosodically determined, and established at a derivationally later stage, then prosodic manipulations will apply to find a host for the derived affix.

There is independent evidence that affixation of the Kwakwaka'ala determiner applies later in the derivation than affixation in Nuuchahnulth. The key to this idea is the observation that a Kwakwaka'ala determiner construed with the subject attaches leftward *to the verb*. That is, in the VSO word order of Kwakwaka'ala, the determiner of the S attaches to the V, as we saw in example (20), repeated here below.

- (29) kʷixʔid-ida      bəgʷanəma-χ-a      ɬasa-s-is      təlwagʷayu  
          clubbed-DET    man-OBJ-DET    otter-INST-POSS    club  
          The man clubbed the sea-otter with his club.

Crucially, as I noted in Chapter 3, VSO is not possible as an underived word order for a language, since the verb and object form a constituent. Instead, VSO order must always be derived. The fact that affixation takes place in Kwakwaka'ala only after VSO order has been established entails that it must be occurring at a derivationally later stage than in Nuuchahnulth. In Nuuchahnulth, an affixal predicate can only ever find a host from the object, never the subject, even though either VOS or VSO surface orders are permitted.

The analysis of the affixation patterns of Kwakwaka'ala rests on empirical confirmation of the prosodic characteristics of Kwakwaka'ala determiners. Future research is required to assess the accuracy of the speculation that the affixal status of Kwakwaka'ala determiners is derived from prosodic factors, rather than being a lexical designation.

The contrast between the 'inside-out' linearization of Kwakwaka'ala determiners and the 'outside-in' pattern of Nuuchahnulth affixes implies a typological split between the Northern and Southern branches of the Wakashan family. In the following section, I discuss additional typological implications for my proposal.

### 6.3 Typological implications

This section presents three typological implications for my analysis of PF Incorporation. I address implications for the typology of noun incorporation in §6.3.1, followed in §6.3.2 by

discussion of the traditionally-assumed ‘governing/restrictive’ distinction in Wakashan. In §6.3.3, I argue that it is inaccurate to portray ‘lexical suffixation’ as an uniform areal feature of the Pacific Northwest.

### 6.3.1 The typology of noun incorporation

A claim of this dissertation is that the affixation phenomenon descriptively labelled ‘noun incorporation’ is not a uniform construction (cf. Mithun 1984, 1986; Sadock 1986; Baker 1988). According to my analysis, a noun may come to be suffixed by a verb via four distinct ‘routes’ of bound/free dependencies. As first discussed in Chapter 2, the noun may be free or bound, and the verb may itself be free or bound. This four-way typology is given in (30).

(30) *Typology of noun-verb dependencies*

|                   | <i>bound noun</i> | <i>free noun</i> |
|-------------------|-------------------|------------------|
| <i>bound verb</i> | Nuu-chah-nulth    | Nuu-chah-nulth   |
| <i>free verb</i>  | Mohawk            | English          |

This section reviews the affixation patterns for each of these four underlying noun-verb dependencies.

Nuu-chah-nulth exhibits two of these four relationships. In Nuu-chah-nulth, bound predicates mandatorily require suffixation to a host, and never occur independently. The inability of an affixal predicate to separate from its host is illustrated in (31) for the predicate *ʔu-siik* ‘make’. In (31a), the affixal predicate suffixes to *ʔučʔin* ‘dress’. In (31b), suffixation fails to occur and the result is ungrammaticality.

- (31) a.    *ʔupititsa*                      *ʔučʔinsiik*  
          *ʔupit-mit-sa*                      *ʔučʔin-siik*  
          once-PST-1SG.ABS              dress-**make**  
          I made a dress once.
- b.    \* *ʔupititsa*                      *siik*    *ʔučʔin*  
          *ʔupit-mit-sa*                      ***siik***    *ʔučʔin*  
          once-PST-1SG.ABS              **make**    dress  
          I made a dress once.

This lack of isolatability of the predicate sets the suffixation strategy in Nuu-chah-nulth apart from noun incorporation languages such as Mohawk. In Mohawk, a verb can be grammatically separated from an incorporated noun. In (32a), the inflected predicate *ye-nuhwe*’-s ‘like’ incorporates the nominal *nuhs*- ‘house’, while in (32b) it does not.

(32) *Mohawk* (examples from Postal 1962, as cited in Baker 1988: 81-82, ex. 14a-b)

- a. Yao-wir-a'a ye-nuhs-nuhwe'-s  
 PRE-baby-SUF 3FS/3N-house-like-ASP  
 The baby house-likes.
- b. Yao-wir-a'a ye-nuhwe'-s ne ka-nuhs-a'  
 PRE-baby-SUF 3FS/3N-like-ASP DET PRE-house-SUF  
 The baby likes the house.

From this, I deduce that incorporation in Mohawk satisfies no boundedness requirement on the verb.

An opposite effect is found with Mohawk nominals, however. In Mohawk, a noun separated from an incorporating verb typically cannot stand on its own. In Mohawk, the majority of nominals are obligatorily bound (Marianne Mithun, p.c.). Outside of incorporation contexts, the regular pattern is that a noun stem is suffixed by a neuter prefix and a 'noun suffix'.<sup>6</sup>

(33) NEUTER-noun.stem-NOUN.SUFFIX

An example of this pattern is given below for the Mohawk noun *ohnennà:ta'* "potato".

- (34) ohnennà:ta'  
 o-hnenna't-a'  
 NEUT-potato-NOUN.SUFFIX  
 'potato'

(Marianne Mithun, p.c.)

This example employs the most common noun suffix, *-a'*, which contributes no discernible meaning (Marianne Mithun, p.c.). This noun suffix appears to bear a formal resemblance to the expletive morpheme *ʔu-* in Nuu-chah-nulth. In Nuu-chah-nulth, *ʔu-* acts as a 'placeholder' for an affixal predicate requiring a host which it may suffix to. In Mohawk, a plausible hypothesis is that *-a'* acts as a 'placeholder' for an affixal nominal requiring a host which it may prefix to. Note that this morpheme does not surface when the noun has undergone incorporation, as indicated in (32) for the nominal *nuhs-(a')* "house".

The final dependency is one in which a free noun suffixes to a free verb. This option corresponds to compounding in English. In (35), the noun *file* is free, as is the verb *share*.

- (35) a. They are sharing files.  
 b. They are file-sharing.

### 6.3.2 The governing/restrictive hypothesis in Wakashan

In arguing that the combinatory properties of Nuu-chah-nulth affixal predicates are conditioned by their argument structure, my analysis differs markedly from traditional treatments of these

<sup>6</sup> There are some odd words used as lexical nouns which do not show this structure, but they are quite rare, and reportedly are never incorporated (Marianne Mithun, p.c.).

suffixes (eg. Sapir and Swadesh 1939; Swadesh 1939; Rose 1981; Nakayama 1997, 1998; Davidson 2002). In existing literature on the language, differences in these morphemes' suffixation patterns have been noted, but it has not previously been recognised that these 'lexical suffixes' serve as predicates which show a range of distinctive argument structures. Under traditional classifications, affixal predicates are subject to a Wakashan-specific dichotomy between 'governing' and 'restrictive' suffixation. This distinction amounts to whether the suffix has a predicative or modificational role, respectively.

Suffixes which I have analysed as transitive and location predicates are treated as predicative 'governing' lexical suffixes which take their morphological base as their object (Rose 1981). On the other hand, predicates which I classify as unaccusative and locatum predicates are grouped together with an assortment of other suffixes (such as plural markers) as modificational 'restrictive' lexical suffixes. According to Rose (1981: 313), these 'restrictive' suffixes "have an appositive relation to their base and do not influence the semantic class of the stem in which they occur." Syntactically, these suffixes are not considered to take complements. It is claimed that when a 'restrictive' suffix attaches to a nominal, the nominal does not serve as the object of the suffix, but rather as the main predicate. For example, Rose (1981) analyses the locative construction in (36) as being syntactically headed by the nominal predicate *siičpax* "cougar". Crucially, by traditional analyses, the nominal *siičpax* "cougar" does not serve as the object of the locative suffix.

- (36) *siičpax* *naq*  
*siičpax*-*naq*-Ø  
 cougar-**on.top**-3.ABS  
 There's a cougar on top. (Kyuquot dialect; adapted from Rose 1981: 314, ex. 447)

In contrast, the proposed analysis states that the locative *-naq* "on top" is a locatum predicate which takes *siičpax* "cougar" as its syntactic object. (The subject is a null third person pronominal, registered by absolutive agreement.) This analysis is represented in (37).

- (37)
- ```

      VP
     /  \
    /    \
  -naq    DP
on.top   siičpax
         cougar
  
```

Thus, by my analysis, it is not the case that the nominal *siičpax* "cougar" serves as the main predicate.

At the heart of the governing/restrictive hypothesis is the notion that 'restrictive' suffixes, unlike 'governing' suffixes, do not syntactically head a predicate phrase. The proposal presented in this dissertation offers an alternative to the language-specific governing/restrictive hypothesis,

and derives the behaviours of affixal predicate sub-classes through universal tenets of argument structure (Wojdak 2004). According to this analysis, the syntax of affixal predicates is built from two basic underlying syntactic configurations of unaccusative or extended unaccusative structures. The host for affixal predicates is chosen from their complement.

6.3.3 Lexical suffixation as an areal feature of the Pacific Northwest

There is a lengthy tradition of viewing the phenomenon of lexical suffixation as an areal feature of languages of the Pacific Northwest (see, for example, Sapir 1911, Swadesh 1948, Gerdtz and Hinkson 1996, Kinkade 1998, Mithun 1999). Kinkade (1963) coined the term 'lexical suffix' due to "the semantic similarity between these suffixes and usual lexical items" (Kinkade 1998: 266). These bound morphemes bear a lexical load parallel to that of free morphemes. Under a strict definition, lexical suffixes are thus simply suffixal morphemes which convey lexical content (see Swadesh 1939). Within the Salishanist literature, however, the term 'lexical suffix' has come to be synonymous with the noun-like bound elements found in these languages (see Saunders and Davis 1975, Gerdtz and Hinkson 1996). For Salishan languages, Gerdtz (1998; citing Carlson 1989) notes that there is support for the notion that "lexical suffixes can be regarded as incorporated nouns that have lost their status as free-standing nominals" (Gerdtz 1998: 97). For Wakashan affixal predicates, however, the inverse is true: these 'lexical suffixes' pattern productively as incorporating predicates in Nuuchahnulth. For this reason, the 'lexical suffixes' of Wakashan may be viewed as only superficially similar to their counterparts in Salish (Wojdak 2003b).

Gerdtz and Hinkson (1996) provide a survey of Salishan lexical suffixes and identify two basic categories of lexical suffixes in Salish. The authors argue that both types are derived historically from nouns, but have undergone differing degrees of grammaticalisation. In the first case, exemplified by noun compounds and suffixation paralleling compounding incorporation, the lexical suffix retains its categorial status as a noun. The example below shows an instance of lexical suffixation in Lillooet Salish (St'at'imcets), in which the lexical suffix corresponds to the theme of the root verb. (Data is from Gerdtz and Hinkson 1996: 168 (11); originally van Eijk 1985).

(38) *Lillooet Salish*

ník-t̚caʔ
cut-flesh
"cut meat"

In the second type, represented by applicatives and suffixation resembling classificatory noun incorporation, the lexical suffixes are semantically bleached and behave acategorially. An

example of this highly grammaticised form of lexical suffixation is shown in (39) with data from Halkomelem Salish. (Data is from Gerdtz and Hinkson 1996: 172 (20)).

(39) *Halkomelem Salish*

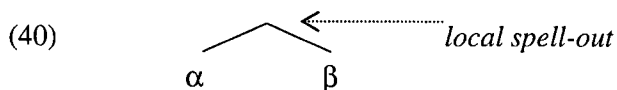
teʔcs-éla kʷθə nə méməna
 eight-people DET 1POS children
 I have eight children.

This dissertation presents evidence against a cross-linguistically uniform treatment of Pacific Northwest lexical suffixes. I have demonstrated that morphemes which have been analysed as lexical suffixes in Nuuchah-nulth are fundamentally distinct from their noun-like counterparts in Salishan languages. In Nuuchah-nulth, these bound morphemes are affixal predicates which productively incorporate their objects (see also Woo 2000, Yiu 2001, Davis and Sawai 2001 and Stonham 2004). They are not, as has been proposed for Salish, degenerate nominals which occur in compounds. This contrast entails a strong formal distinction between those morphemes in Salishan and Wakashan languages which have been labelled ‘lexical suffixes’.

6.4 Conclusion

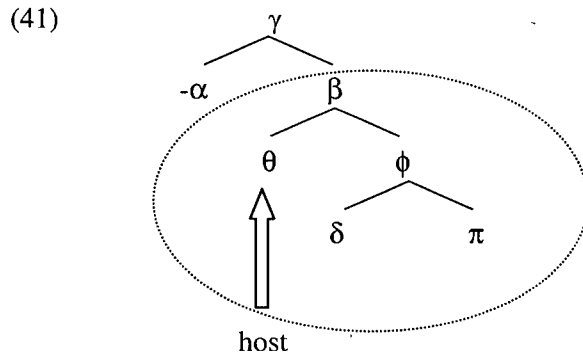
This dissertation has introduced typologically rare affixation effects to the debate surrounding the division of labour between the modules of the grammar. Evidence from Nuuchah-nulth suggests that syntactic composition indirectly conditions linearizations which are established by the phonological component. In Nuuchah-nulth, the argument structure of affixal predicates serves to restrict the source of ‘host’ for affixation: only complements of affixal predicates are eligible. Hand-in-hand with this sensitivity to argument structure, however, Nuuchah-nulth affixation also shows an insensitivity to syntactic dominance relations in that hosts are selected on the basis of string adjacency to the predicate. The local spell-out model successfully captures these superficially paradoxical effects.

In the introductory chapter to this dissertation, I discussed affixation in Nuuchah-nulth as a ‘displacement’ effect. Affixation in Nuuchah-nulth allows a host from the complement of an affixal predicate to be removed from the post-predicative position it would otherwise occupy. It may be more accurate, however, to describe this phenomenon more literally as a ‘placement’ effect. That is, affixal status serves to induce a linearization on unordered elements. According to the local spell-out hypothesis, linearization is induced each time the syntactic tree is expanded, when α and β are spelled-out to PF



By this linearization algorithm, an affix (α) must look to its derivational sister (β) in order for it to be linearized. This results in a ‘complement’ effect in affixation.

The string adjacency effect in Nuu-chah-nulth affixation arises when the derivational sister to an affixal predicate is internally complex. In such contexts in Nuu-chah-nulth, an affixal predicate ($-\alpha$) attaches to the element at the left periphery of the linearized derivational sister.



By attending to the periphery of this derivational sister, the linearization mechanism avoids processing the internal components of the complex phrase. Hence, this linearization mechanism is sensitive to linear positioning rather than internal syntax composition.

Under this model of spell-out, the syntax is ‘phonologized’ over the course of the derivation, in minimal stages induced by application of Merge (Epstein 1999). Consequently, interface requirements are assessed aggressively throughout the derivation.

A ramification of the local spell-out proposal is that spell-out to PF manipulates the order of syntactic elements by imposing linearizations (cf. Ndayiragije 2000). This analysis entails a dichotomy between PF linearizations and head movement. In affixation which occurs strictly as a linearization reflex of spell-out, internal dominance relations are ignored in place of restrictions on string adjacency. In head movement, in contrast, dominance relations are respected. Thus, head movement cannot be strictly phonological (*contra* Chomsky 1995, 2001).

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APPENDIX A

BACKGROUND ON THE NUU-CHAH-NULTH LANGUAGE

Nuu-chah-nulth (*nuučaanuʔ*) is a member of the Southern branch of the Wakashan language family. The language was previously referred to by the name *Nootka*, a misnomer which speakers of the language reject.

The people of the west coast of Vancouver Island used to be called Nootka by the Europeans. We know ourselves as Nuu-chah-nulth, which can be translated as “along the mountains” and refers to our traditional territories.

-The Nuu-chah-nulth Tribal Council

(as cited by Hoover 2000: vii)

The name *Nuu-chah-nulth* was adopted by the group's primary governing body in 1978.¹ The term *Nuu-chah-nulth* has political and linguistic applications which are not directly equivalent: while the Ditidaht people are politically subsumed under the Nuu-chah-nulth rubric, the use of Nuu-chah-nulth as a linguistic designation excludes the Ditidaht language, which is recognized as a linguistic entity distinct from its northerly Nuu-chah-nulth neighbours (Nakayama 1997, Davidson 2002).

The Nuu-chah-nulth language is the cultural heritage of a people whose occupation of the coastal territory of British Columbia's western Vancouver Island can be traced back archeologically at least four thousand years (Dewhirst 1978). The Nuu-chah-nulth have a rich traditional culture revolving around whaling and other maritime activities. Their social structure is hierarchically-organized, and a system of potlatching and feasts has long been integral to marking rites of passage and transmission of hereditary titles (see Arima 1983 and Golla 1987, among others).² In earlier times, there was no overall self-designation for the Nuu-chah-nulth community at large; local groups were organized into tribes which had distinct identities (Drucker 1951, Arima 1983). These tribes had ranked chiefs, and in some cases, formed larger associations of confederacies. There is evidence that the internal composition of the individual tribes which make up the present-day Nuu-chah-nulth Tribal Council was in an “almost constant state of flux” in protohistoric and early historic periods (Arima et al. 1991: 21), and were influenced by inter-tribal warfare and intermarriage (Marshall 1993).

¹ It replaces previous appellations including *West Coast Language* and *Aht*. The latter term was proposed by Sproat (1868). It derives from the suffix *-ʔath* “people of”, which is used to denote tribal affiliations. For example, the term *Ahousaht* is composed of *ʔaahuus* (place name) plus the suffix *-ʔath* “people of”.

² Indeed, the Nuu-chah-nulth root *paʔ-* “give” is the etymological source of the English word *potlatch*, which reached English indirectly via Chinook Jargon.

Contact with Europeans had a profound impact on Nuu-chah-nulth society. In the late eighteenth century, Juan Pérez and then Captain James Cook arrived on the west coast of Vancouver Island as the first in a string of trading vessels. By the mid-nineteenth century, introduced diseases had decimated the Nuu-chah-nulth populations (Arima 1983, Boyd 1999), while colonization and trade disrupted the traditional socio-economic order and may have contributed to increased inter-tribal warfare (Arima et al. 1991, Marshall 1993). Faced with unmanageable population loss, many formerly independent groups were forced to amalgamate (Arima et al. 1991). The endurance of the Nuu-chah-nulth people in this new era is attested in the development of the trade pidgin Chinook Jargon, which achieved widespread usage as a contact language throughout the Pacific Northwest in the nineteenth century. The Nuu-chah-nulth language forms a significant substrate of Chinook Jargon vocabulary, reflecting the Nuu-chah-nulth's prominent post-contact role as skilled traders.

Dramatic cultural changes proceeded into the first half of the twentieth century, with the imposition of a compulsory residential schooling system which had the effect of removing young people from their families (Golla 1987, Behrend and Kammler 2003). Communication in Nuu-chah-nulth was banned in residential schools – a political tactic of forced assimilation which directly contributed to language loss within the Nuu-chah-nulth communities, as everywhere else in British Columbia and throughout Canada. Currently, the language is spoken fluently by approximately 200 people, almost all of whom are elderly (Cook and Howe 2004). Children are no longer learning the language in a natural home setting. Language revitalisation initiatives are underway in the Nuu-chah-nulth communities, but face considerable challenges (Behrend and Kammler 2003). Recent language maintenance successes include a pocket dictionary developed by the Barkley Sound Dialect Working Group (2004), and a text with accompanying audio recordings of stories told by Ahousaht elder Caroline Little (Nakayama 2003).

A.1 The Wakashan language family

This section gives an overview of the genetic affiliations of Nuu-chah-nulth within the Wakashan language family. The family is spoken in western British Columbia, Canada and extends to the north-western tip of Washington state, USA. The family splits sharply into two divisions, Southern and Northern Wakashan, which have also been labelled the Nootkan and Kwakiutlan branches, respectively (Boas 1891, Rath 1974, Jacobsen 1979).

(1) Wakashan family classification (Howe 2000)

- a. Northern (Kwakiutlan): Haisla, Heiltsuk, Ooweky'ala, Kwakw'ala (Kwakiutl)
- b. Southern (Nootkan): Nuu-chah-nulth, Ditidaht (Nitinat), Makah

The time depth separating the two branches of Wakashan has received divergent estimates. Jacobsen (1979: 769) finds plausible Swadesh's (1953) estimate of a time depth of twenty-nine centuries. Speakers of the languages themselves have long recognized the genetic affiliation between the Northern and Southern branches (Jacobsen 1979, Bach 2004). Jacobsen (1979) cites as ethno-linguistic evidence the Nuu-chah-nulth word *kiikiicuq^wa*, which Sapir and Swadesh (1939: 286) gloss as "speaking Nitinat, Makah, or Kwakiutl (foreign languages which are relatively similar to Nootka)"; a different root (*?uusap-*) is used in reference to non-Wakashan languages in the area. The Northern and Southern branches share relatively few cognate roots, but Sapir (1911) notes that there are at least about ninety 'lexical suffixes' in Nuu-chah-nulth and Kwakw'ala that have a common etymological source. The origins of Nuu-chah-nulth affixal predicates, therefore, may be plausibly traced to Proto-Wakashan.

The Southern branch of the Wakashan language family is comprised of the closely-related languages Nuu-chah-nulth, Ditidaht and Makah. Nuu-chah-nulth shares strong grammatical and lexical ties to Ditidaht and Makah (Davidson 2002), although pervasive phonological changes separate these sister languages (see among others Jacobsen 1969a,b, 1979; Haas 1969). The languages of Southern Wakashan – like all other First Nations languages of the Pacific Northwest – face the threat of extinction. Ditidaht has fewer than ten fluent speakers remaining, while the last two fluent speakers of Makah died in 2002 (Adam Werle, p.c.).

A.2 Dialects of Nuu-chah-nulth

The Nuu-chah-nulth language itself has a heterogenous composition, and may be aptly characterised as a dialect continuum (Powell 1991). Between twelve to fifteen dialects are usually identified (Powell 1991, Stonham 2004), which correspond more or less to the band affiliations within the Nuu-chah-nulth Tribal Council (Ravinski 2005). The dialects of Nuu-chah-nulth may be more broadly categorized into northern, central and southern varieties, following Stonham (2004).

(2) *Subclassification of Nuu-chah-nulth*

a. Northern Nuu-chah-nulth:

- Chicklisaht, Kyuquot, Ehattesaht, Nuchatlaht, Mowachaht, Muchalaht

b. Central Nuu-chah-nulth:

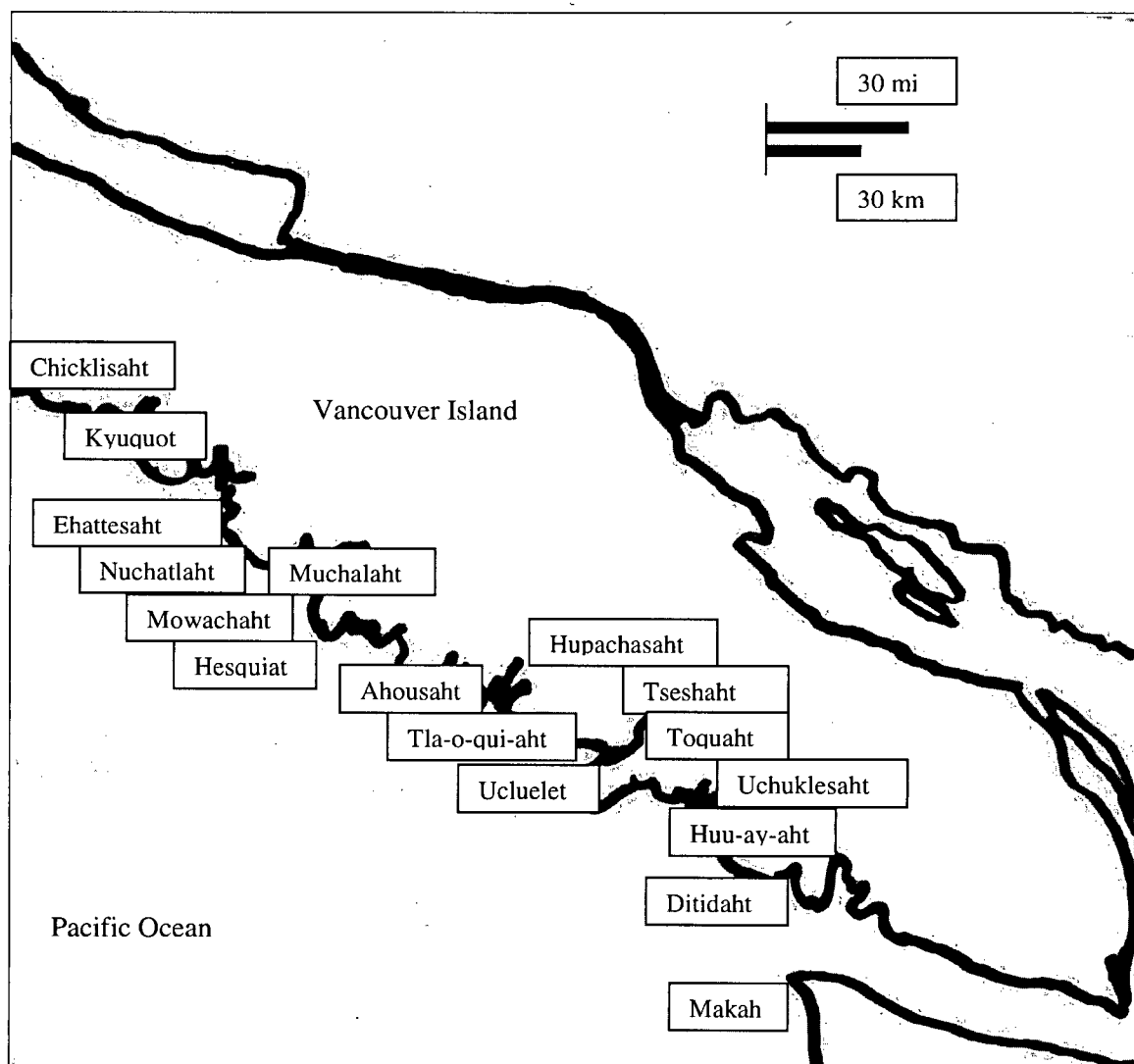
- Hesquiaht, Ahousaht, Tla-o-qui-aht

c. Southern Nuu-chah-nulth:

- Ucluelet, Toquaht, Tseshaht, Huu-ay-aht, Uchucklesit, Hupachasath

These dialects are spoken along western Vancouver Island from Cape Cook in the north to Barkley Sound in the south. The rugged terrain of western Vancouver Island, with its punctuated coastline of inlets and small islands, played a key role in the differentiation of the sociopolitical and linguistic identities of the inhabitants of the area (Drucker 1951). The approximate geographical distribution of the Nuuchahnulth dialects, and their relation to the Ditidaht and Makah languages, is illustrated in (3), which is slightly modified from Ravinski (2005: 3).

(3) *Approximate geographical distribution of Southern Wakashan*



(adapted from Ravinski (2005:3); used with permission)

Although Powell (1991) completed an ambitious survey of lexical variation between twelve of the Nuuchahnulth dialects (plus Ditidaht), the extent of grammatical variation in this dialectally rich language remains to be determined. Systematic differences in inflectional paradigms, which go beyond that of predictable sound changes (Haas 1969), are a major source

of variation between the dialects (Stonham 2004). Speakers of the dialects themselves are acutely aware of inflectional suffixes as a point of contrast: the Ahousaht and Ucluelet language consultants who I work with often refer to themselves jokingly as “-ʔis” and “-ma” speakers, highlighting the third person forms of the distinct indicative paradigms used by the central and southern varieties, respectively. Speakers master a form of ‘bidialectalism’ to overcome these potential communication barriers.

The dialect investigated in this dissertation is that of Ahousaht (*ʔaahʔuusʔath*), a central Nuuchah-nulth dialect spoken on Flores Island, off of the west coast of Vancouver Island. Politically, Ahousaht is an amalgamation of four historically distinct groups which merged over the course of the past two centuries (Mary Jane Dick, p.c.): the people of Ahousaht (*ʔaahʔuusʔath*), Kelsemat (*qitʔsmaʔath*), Swan Reserve (*maahʔuʔisʔath*), and Warren Bay (*qʔaacwii*). It is not known to what extent these mergers contribute to present-day intra-dialectal variation.

APPENDIX B

ORTHOGRAPHY

Although Nuu-chah-nulth is traditionally an oral language, written forms of the language are currently in use by linguists, educators and some other community members. I adopt a modified IPA orthography, following the practice of the Haa-Huu-Payak school in Port Alberni, BC. This orthography appears widely in materials developed by the Nuu-chah-nulth communities (eg. *The Nuu-chah-nulth Alphabet Book*, created by the Nuu-chah-nulth-eets Tsitsiqi Language and Cultural Resource Project, and the *Ha-shilth-sa* community newspaper). The orthography is closely based on the system represented in standard sources such as Sapir and Swadesh (1939).

The orthography captures a three-way contrast in vowel quality, and a distinction between short and long vowels: (i, ii), (u, uu), (a, aa). A lexically-determined contrast between long and ‘persistently’ long vowels is standardly assumed for Southern Wakashan (Sapir and Swadesh 1939). ‘Persistently’ long vowels escape a vowel-shortening rule which targets long vowels outside of the first foot of a word (Jacobsen 1979, Wilson 1986, Stonham 1990, Werle 2002). I represent both long and ‘persistently’ long vowels as long vowels in the second line of my four-line glosses; however, only ‘persistently’ long vowels surface as long outside of the first foot.

The consonant inventory is complex, and distinguishes several places of articulation. The orthographic representations for each phoneme are listed in the table below.

(4) *Consonant inventory (Nakayama 1997: 9)*

	Labial	Apical	Alveolar	Lateral	Palatal	Velar	Labio-velar	Uvular	Labio-uvular	Pharyngeal	Glottal
Stops	p	t	c	ɬ	ç	k	k ^w	q	q ^w	ʕ	ʔ
Ejectives	p̰	t̰	c̰	ɬ̰	ç̰	k̰	k̰ ^w		(q̰ ^w)		
Fricatives			s	ɬ	ʃ	x	x ^w	(χ)		ħ	h
Resonants	m	n			y		w				
Glottal resonants	m̰	n̰			y̰		w̰				

APPENDIX C

INFLECTIONAL PARADIGMS (AHOUSAHT DIALECT)

Inflectional paradigms are a primary source of inter-dialectal variation in Nuu-chah-nulth. The study of correspondences between dialects is in its infancy. In an effort to increase transparency of the terminology I have adopted, and to aid pedagogy and inter-dialectal comparisons, I provide here controlled example sentences for each of the subject/mood agreement suffixes in the Ahousaht dialect.³ This work builds upon Nakayama's (1997, 2001) description of Ahousaht inflection.

<i>Mood/Person</i>	<i>1st singular</i>	<i>2nd singular</i>	<i>3rd</i>	<i>1st plural</i>	<i>2nd plural</i>
INDICATIVE	-siiš	-ʔiick	-ʔiis	-niiš	-ʔiicuuš
INTERROGATIVE	-hs	-k	-h	-hin	-hsuu
CONFIRMATION	-haas	—	-haa(č)	—	—
QUOTATIVE	-waaʔičas	-waaʔick	-waaʔis	-waaʔičin	-waaʔicuuš
ABSOLUTIVE	-s	?	Ø	-na	-suu
SUBORDINATE	-qs	-k	-q	-qin	-qsuu
DEPENDENT	-sa	-suuk	-huuk	-na	-suu
RELATIVE	-qs	-ʔiitk	-ʔiitq	-qin	-ʔiitqsuu
INDEFINITE RELATIVE	-(y)iis	-(y)iik	-(y)ii	-(y)in	-(y)iisuu
CONDITIONAL	-quus	-quuk	-quu	-q ^w in	-quusuu

C.1 Indicative

- (5) a. ʔusaakaʔsiš
 ʔusaak-ʔaʔ-siiš
 tired-TEMP-1SG.IND
 I'm tired now.
- b. ʔusaakaʔiick huuxsʔatuʔi
 ʔusaak-ʔaʔ-ʔiick huuxs-ʔatu-ʔi
 tired-TEMP-2SG.IND rest-down-2SG.IMP>3.OBJ
 You're tired now. Take a rest!
- c. ʔusaakaʔiis
 ʔusaak-ʔaʔ-ʔiis
 tired-TEMP-3.IND
 S/he is tired now.
- d. ʔusaakaʔniš
 ʔusaak-ʔaʔ-niiš
 tired-TEMP-1PL.IND
 We're tired now.

³ Many thanks are due to Mary Jane Dick for creating these example sentences.

- e. pusaak'aʔicuus huuxsʔatuʔaʔiic
 pusaak-'aʔ-ʔicuus huuxs-ʔatu-ʔaʔ-'iic
 tired-TEMP-2PL.IND rest-down-TEMP-2PL.IMP
 You're tired now. Take a rest now!
- f. pusaak'aʔiisʔaʔ
 pusaak-'aʔ-ʔiis-ʔaʔ
 tired-TEMP-3.IND-PL
 They're tired now.

C.2 Interrogative

- (6) a. siʔashs
 siʔa-iic-ʔs
 1SG-belong.to-1SG.Q
 Does it belong to me? (= is it mine?)
- b. suʔask
 suʔa-iic-k
 2SG-belong.to-2SG.Q
 Does it belong to you?
- c. ʔuuch
 ʔu-iic-ʔ
 Ø-belong.to-3.Q
 Does it belong to him/her?
- d. niʔaashin
 niʔa-iic-ʔin
 1PL-belong.to-1PL.Q
 Does it belong to us?
- e. siʔaashsuu
 siʔa-iic-ʔsuu
 2PL-belong.to-2PL.Q
 Does it belong to you (pl)?
- f. ʔuuchʔaʔ
 ʔu-iic-ʔ-ʔaʔ
 Ø-belong.to-3.Q-PL
 Does it belong to them?

C.3 Confirmation

- (7) a. hiʔʔaas maʔtiiʔakʔi Ken
 hiʔ-ʔaas maʔtii-ʔak-ʔi Ken
 LOC-1SG.CONF house-POSS-3.PS Ken
 Am I at Ken's house? ("I want to check")
- b. ʔuuchaa
 ʔu-iic-ʔaa
 Ø-belong.to-3.CONF
 Does it belong to him/her? ("I want to check")

- b. ' ʔuuchaač
 ʔu-iic-ḥaa-č
 Ø-belong.to-3.CONF-INV
 Does it belong to him?
 (context: Ken has left the store with a bag of sugar. You can no longer see him
 or the bag of sugar.)
- c. ʔuuchaaʔa†
 ʔu-iic-ḥaa-ʔa†
 Ø-belong.to-3.CONF-PL
 Does it belong to them? ("I want to check")

C.4 Quotative

- (8) a. siʔaaqwitaswaʔič'as naʔuuqs
 siʔaaq-witas-waʔič'as naʔuu-qs
 1SG-gonna-1SG.QUOT accompany-in.vessel
 It's me who's gonna go along.
- b. suwaaqwitaswaʔick naʔuuqs
 suwaaq-witas-waʔick naʔuu-qs
 2SG-gonna-2SG.QUOT accompany-in.vessel
 It's you who's gonna go along.
- c. ʔuhwitaswaʔiš naʔuuqs
 ʔuh-witas-waʔiš naʔuu-qs
 DEIC-gonna-3.QUOT accompany-in.vessel
 It's him/her who's gonna go along.
- d. niwaaqwitaswaʔič'in naʔuuqs
 niwaaq-witas-waʔič'in naʔuu-qs
 1PL-gonna-1PL.QUOT accompany-in.vessel
 It's us who are gonna go along.
- e. siwaaqwitaswaʔicuuš naʔuuqs
 siwaaq-witas-waʔicuuš naʔuu-qs
 2PL-gonna-2PL.QUOT accompany-in.vessel
 It's you who are gonna go along.
- f. ʔuhwitaswaʔišʔa† naʔuuqs
 ʔuh-witas-waʔiš-ʔa† naʔuu-qs
 DEIC-gonna-3.QUOT-PL accompany-in.vessel
 It's them who are gonna go along.

C.5 Absolute

- (9) a. čitkpiʔaqʔsiš ʔusaaks
 čitkpi-ʔaqʔ-siiš ʔusaak-s
 retire-FUT-1SG.IND tired-1SG.ABS
 I'm gonna go to bed. I'm tired.
 (NB: *ʔusaaksa; *ʔusaak)

- b. čitkpiʔaqʔiʔiʃ ʔusaak
 čitkpi-ʔaqʔ-iʔiʃ ʔusaak-Ø
 retire-FUT-3SG.IND tired-3.ABS
 S/he's gonna go to bed. S/he's tired.
- c. čitkpiʔaqʔniʃ ʔusaakna
 čitkpi-ʔaqʔ-niʃ ʔusaak-na
 retire-FUT-1PL.IND tired-1PL.ABS
 We're going to go to bed. We're tired.
- d. čitkpiʔaqʔhsuu ʔusaaksuu
 čitkpi-ʔaqʔ-hsuu ʔusaak-suu
 retire-FUT-2PL.Q tired-2PL.ABS
 Are you going to go to bed? You two are tired.

C.6 Subordinate

- (10) a. ʔuqʔaamits kʷikʷixasiʔwitasʔatqs
 ʔuqʔaa-mit-s kʷikʷix-as-ʃiʔ-witas-'at-qs
 think-PST-1SG.ABS kiss-cheek-PERF-gonna-PAS-1SG.SUB
 I thought s/he was gonna kiss me on the cheek.
- b. ʔuqʔaamits kʷikʷixasiʔwitasʔatk
 ʔuqʔaa-mit-s kʷikʷix-as-ʃiʔ-witas-'at-k
 think-PST-1SG.ABS kiss-cheek-PERF-gonna-PAS-2SG.SUB
 I thought s/he was gonna kiss you on the cheek.
- c. ʔuqʔaamits kʷikʷixasiʔwitasʔatq
 ʔuqʔaa-mit-s kʷikʷix-as-ʃiʔ-witas-'at-q
 think-PST-1SG.ABS kiss-cheek-PERF-gonna-PAS-3.SUB
 I thought s/he was gonna be kissed on the cheek.
- d. ʔuqʔaamits kʷikʷixasiʔwitasʔatqin
 ʔuqʔaa-mit-s kʷikʷix-as-ʃiʔ-witas-'at-qin
 think-PST-1SG.ABS kiss-cheek-PERF-gonna-PAS-1PL.SUB
 I thought s/he was gonna kiss us on the cheek.
- f. ʔuqʔaamits kʷikʷixasiʔwitasʔatqsuu
 ʔuqʔaa-mit-s kʷikʷix-as-ʃiʔ-witas-'at-qsuu
 think-PST-1SG.ABS kiss-cheek-PERF-gonna-PAS-2PL.SUB
 I thought s/he was gonna kiss you (pl) on the cheek.
- g. ʔuqʔaamits kʷikʷixasiʔwitasʔatqʔaʔ
 ʔuqʔaa-mit-s kʷikʷix-as-ʃiʔ-witas-'at-q-ʔaʔ
 think-PST-1SG.ABS kiss-cheek-PERF-gonna-PAS-3.SUB-PL
 I thought they were gonna be kissed on the cheek.

C.7 Dependent

- (11) a. siqiiʔʔaqʔsiʃ ʔuʔiiha ʔin haʔwiiqʔsa
 siqiiʔ-ʔaqʔ-siʃ ʔu-ʔiiha ʔin haʔwiiqʔ-sa
 cook-FUT-1SG.IND Ø-because COMP hungry-1SG.DEP
 I'm going to cook because I'm hungry.
 (NB: ✓ haʔwiiqʔ-s ; * haʔwiiqʔ-siʃ)

C.9 Indefinite relative

- (13) a. wahši?aqʔsiš šuwis yaaqʷitiisʔaaʔ ʔiihmisʔap
 wah-šiʔ-ʔaqʔ-siiš šuwis yaq-čit[+L]-iis-ʔaaʔ ʔiih-mis-'ap
 throw.out-PERF-FUT-1SG.IND shoes REL-AUX-1SG.IREL-HAB big-NOM-TR
 I'm going to throw away the shoes which I am always possessive of.
- b. wahši?aqʔsiš šuwis yaaqʷitiikʔaaʔ ʔiihmisʔap
 wah-šiʔ-ʔaqʔ-siiš šuwis yaq-čit[+L]-iik-ʔaaʔ ʔiih-mis-'ap
 throw.out-PERF-FUT-1SG.IND shoes REL-AUX-2SG.IREL-HAB big-NOM-TR
 I'm going to throw away the shoes which you are always possessive of.
- c. wahši?aqʔsiš šuwis yaaqʷitiʔaaʔ ʔiihmisʔap
 wah-šiʔ-ʔaqʔ-siiš šuwis yaq-čit[+L]-ii-ʔaaʔ ʔiih-mis-'ap
 throw.out-PERF-FUT-1SG.IND shoes REL-AUX-3.IREL-HAB big-NOM-TR
 I'm going to throw away the shoes which s/he is always possessive of.
- d. wahši?aqʔsiš šuwis yaaqʷitiqinʔaaʔ ʔiihmisʔap
 wah-šiʔ-ʔaqʔ-siiš šuwis yaq-čit[+L]-in-ʔaaʔ ʔiih-mis-'ap
 throw.out-PERF-FUT-1SG.IND shoes REL-AUX-1PL.IREL-HAB big-NOM-TR
 I'm going to throw away the shoes which we are always possessive of.
- e. wahši?aqʔsiš šuwis yaaqʷitiisuuʔaaʔ ʔiihmisʔap
 wah-šiʔ-ʔaqʔ-siiš šuwis yaq-čit[+L]-iisuu-ʔaaʔ ʔiih-mis-'ap
 throw.out-PERF-FUT-1SG.IND shoes REL-AUX-2PL.IREL-HAB big-NOM-TR
 I'm going to throw away the shoes which you (pl) are always possessive of.
- f. wahši?aqʔsiš šuwis yaaqʷitiʔaaʔʔaʔ ʔiihmisʔap
 wah-šiʔ-ʔaqʔ-siiš šuwis yaq-čit[+L]-ii-ʔaaʔ-ʔaʔ ʔiih-mis-'ap
 throw.out-PERF-FUT-1SG.IND shoes REL-AUX-3.IREL-HAB-PL big-NOM-TR
 I'm going to throw away the shoes which they are always possessive of.

C.10 Conditional

- (14) a. ʔanyaʔaqʔsiš hanaʔaʔas taanaqiipquus
 ʔanya-ʔaqʔ-siiš hanaʔaq-'as taanaq-iip-quus
 only-FUT-1SG.IND lahal-ASP money-obtain-1SG.COND
 I will go play lahal only if I get money.
- b. ʔanyaʔaqʔʔick hanaʔaʔas taanaqiipquuk
 ʔanya-ʔaqʔ-ʔiick hanaʔaq-'as taanaq-iip-quuk
 only-FUT-2SG.IND lahal-ASP money-obtain-2SG.COND
 You will go play lahal only if you get money.
- c. ʔanyaʔaqʔʔiis hanaʔaʔas taanaqiipquu
 ʔanya-ʔaqʔ-ʔiis hanaʔaq-'as taanaq-iip-quu
 only-FUT-3.IND lahal-ASP money-obtain-3.COND
 S/he will go play lahal only if s/he gets money.
- d. ʔanyaʔaqʔniis hanaʔaʔas taanaqiipqʷin
 ʔanya-ʔaqʔ-niis hanaʔaq-'as taanaq-iip-qʷin
 only-FUT-1PL.IND lahal-ASP money-obtain-1PL.COND
 We will go play lahal only if we get money.

- e. ?anya?aqʔiĩš hanaʔaʔas taanaqipquusuu
 ?anya-?aqʔ-?iĩš hanaʔaq-ʔas taanaq-iip-quusuu
 only-FUT-3.IND lahal-ASP money-obtain-2PL.COND
 I will go play lahal only if you (pl) get money.
- f. ?anya?aqʔiĩš?a† hanaʔaʔas taanaqipquu?a†
 ?anya-?aqʔ-?iĩš-?a† hanaʔaq-ʔas taanaq-iip-quu-?a†
 only-FUT-3.IND-PL lahal-ASP money-obtain-3.COND-PL
 I will go play lahal only if they get money.

APPENDIX D

ADDITIONAL EXAMPLE SENTENCES

D.1 Nominal complements

(1) *ʔu-ʔint* “serve”

- a. *ʔuʔintʔanitniš* Mary *ʕičpaʔ* *kʷaqmis*
ʔu-ʔint-’at-mit-niış Mary *ʕič-paʔ* *kʷaq-mis*
 Ø-serve-PAS-PST-1PL.IND Mary rotten-taste s.h.eggs-NOM
 We were served rotten-tasting spawned herring eggs by Mary.
- b. *ʕičpaʔʔintʔanitniš* *kʷaqmis* Mary
ʕič-paʔ-ʔint-’at-mit-niış *kʷaq-mis* Mary
 rotten-taste-serve-PAS-PST-1PL.IND s.h.eggs-NOM Mary
 We were served rotten-tasting spawned herring eggs by Mary.

(2) *ʔu-kʷist* “move away”

- a. *ʔukʷistʔamitʔiș* *mamaʔni* *hamuut*
ʔu-kʷist-’ap-mit-ʔiış *mamaʔni* *hamuut*
 Ø-move.away-TR-PST-1SG.IND white.people bones
 The white people dug the bones out. (eg. they were making a highway)
- b. *hamuutkʷistʔamitʔiș* *mamaʔni*
hamuut-kʷist-’ap-mit-ʔiış *mamaʔni*
 bones-move.away-TR-PST-1SG.IND white.people
 The white people dug the bones out.

(3) *ʔu-suuʔ* “to die”

- a. *ʔusuuʔwaʔiș* Ken
ʔu-suuʔ-waʔiș
 Ø-die-3.QUOT
 Ken died.
- b. *ʔayasuuʔwaʔiș*
ʔaya-suuʔ-waʔiș
 many-die-3.QUOT
 Lots died.

(4) *ʔu-yista* “travelling in a vessel”

- a. *ʔuyistaʔiș* John *qʷiqʷiqsak*
ʔu-yista-ʔiış John *qʷiqʷiqsu-ʔak*
 Ø-travel.in.vessel-3.IND John in.laws-POSS
 John travelled in a vessel with his in-laws.
- b. *ʔayayistaʔișʔaʔ*
ʔaya-yista-ʔiış-ʔaʔ
 many-travel.in.vessel-3.IND-PL
 There's lots of them travelling in a vessel.

(5) *ʔu-ʔath* “reside at”

- a. *ʔuʔathʔiʃ* *quuʔas*
ʔu-ʔath-ʔiʃ *quuʔas*
 Ø-**reside**-3.IND people
 There is people living there.
- b. *quuʔacʔathʔiʃ*
quuʔac-ʔath-ʔiʃ
 people-**reside**-3.IND
 There is people living there.

(6) *ʔu-htin* “made of”

- a. *naʃiʔi* *ʔuhtinʔakʔiʃ* *tiʔiʔaʔt ʃuwisukʔi*
naʃi-ʔi *ʔu-htin-ʔak-ʔiʃ* *tiʔiʔaʔt ʃuwis-uk-ʔi*
 look-2SG.IMP>3.OBJ Ø-**made.of**-POSS-3.IND cloth shoes-POSS-3.PS
 Look! His/her shoes are made of cloth.
- b. *naʃiʔi* *tiʔiʔaʔt htinʔakʔiʃ* *ʃuwisukʔi*
naʃi-ʔi *tiʔiʔaʔt htin-ʔak-ʔiʃ* *ʃuwis-uk-ʔi*
 look-2SG.IMP>3.OBJ cloth-**made.of**-POSS-3.IND shoes-POSS-3.PS
 Look! His/her shoes are made of cloth.

(7) *ʔu-ʃaqsup* “woman of __ tribe”

- a. *ʔuʃaqsupwaʔiʃ* *ʃaahʊusʔath*
ʔu-ʃaqsup-waʔiʃ *ʃaahʊusʔath*
 Ø-**woman.of.tribe**-3.QUOT Ahousaht
 She is a woman of Ahousaht.
- b. *ʃaahʊusʃaqsupwaʔiʃ*
ʃaahʊus-ʃaqsup-waʔiʃ
 Ahousaht-**woman.of.tribe**-3.QUOT
 She is a woman of Ahousaht.

(8) *ʔuʔuu-yuk* “crying for”

- a. *ʔuʔuuyukʔiʃ* John *nuwiiqsakitʔi*
ʔu-ayuk[+R]-ʔiʃ John *nuwiiqsu-ʔak-mit-ʔi*
 Ø-**cry.for**-3.IND John father-POSS-PST-3.PS
 John was crying for his late father.
- b. *nuʔucayukʔiʃ* John
nuʔuc-ayuk-ʔiʃ John
 father-**cry.for**-3.IND John
 John was crying for his father.

(9) *ʔuu-wit* “expect”

- a. *ʔuuwitʃsuu* *ʔayaquu* *quuʔas hinatʃiʔ*
ʔu-wit[+L]-ʃsuu *ʔaya-quu* *quuʔas hinat-ʃiʔ*
 Ø-**expect**-2PL.Q many-3.COND people arrive-PERF
 Are you expecting a lot of people to come?

- b. ʔuunawitʔhsuu
 ʔuna-wit[+L]-ʔhsuu
 how.many-**expect**-2PL.Q
 How many are you expecting?

(10) ʔu-ʔaata “need”

- a. ʔuʔaataʔiš sapnii ʔukʷi
 ʔu-ʔaata-ʔiš sapnii ʔukʷi
 Ø-**need**-3.IND bread y.sibling
 Your younger sibling needs bread.
- b. sapniqʔataʔiš ʔukʷi
 sapniq-ʔaata-ʔiš ʔukʷi
 bread-**need**-3.IND y.sibling
 Your younger sibling needs bread.

(11) ʔu-ʔaatuk “look after”

- a. ʔuʔaatukʔiš Louis ʔaʔiičʰumʔakʔi
 ʔu-ʔaatuk-ʔiš Louis ʔaʔiičʰum-ʔak-ʔi
 Ø-**look.after**-3.IND Louis parents-POSS-3.PS
 Louis is looking after his parents.
- b. ʔaʔiičaʔaatukʔiš Louis
 ʔaʔiiča-ʔaatuk-ʔiš Louis
 parents-**look.after**-3.IND Louis
 Louis is looking after (his) parents.

(12) ʔuu-ʔatsumʔi “like someone (ie. have a crush on)”

- a. ʔuuʔatsumʔiʔiš Lucy paastinʔathʔi
 ʔu-ʔatsumʔi[+L]-ʔiš Lucy paastinʔath-ʔi
 Ø-**like**-3.IND Lucy American-DET
 Lucy likes the American.
- b. ʔaacaʔatsumʔiʔač Lucy
 ʔacaq-ʔatsumʔi[+L]-ʔaa-č Lucy
 who-**like**-3.IND-INV Lucy
 Who does Lucy like?

(13) ʔuuʔu-tyak “be afraid of”

- a. ʔuuʔutyaksiʔaaʔ ʔiyi
 ʔu-tyak[+R]-siiʔ-ʔaaʔ ʔiyi
 Ø-**fear**-1SG.IND-always snakes
 I am always afraid of snakes.
- b. ʔiihiyityaksiʔaaʔ
 ʔiyi-tyak[+R]-siiʔ-ʔaaʔ
 snakes-**fear**-1SG.IND-always
 I am always afraid of snakes.

(14) *ʔuʔu-ʔiih* “hunting, collecting”

- a. *ʔuʔuʔiihwaʔiš* Louis *suuḥaa*
ʔu-ʔiih[+R]-waʔiš Louis *suuḥaa*
 Ø-**gather**-3.IND Louis *spring.salmon*
 Louis is gathering salmon (i.e. trying to accumulate it).
- b. *susuʔiihwaʔiš* Louis
suuḥ-ʔiih[+R]-waʔiš Louis
spring.salmon-gather-3.IND Louis
 Louis is gathering salmon (i.e. trying to accumulate it).

(15) *ʔu-uc* “belong to”

- a. *ʔuucʔiš* *ḥuwi* *taana*
ʔu-iic-ʔiiš *ḥuwi* *taana*
 Ø-**belong.to**-3.IND father money
 The money belongs to (your) father.
- b. *mamaʔḥiqiicʔiš*
mamaʔḥiq-iic-ʔiiš
 white.person-**belong.to**-3.IND
 It belongs to a Caucasian.

(16) *ʔuu-ʔiʔ* “take”

- a. *ʔuuʔiʔitʔiš* John *ḥuksʔi*
ʔu-ʔiʔ[+L]-mit-ʔiiš John *ḥuksʔi*
 Ø-**take**-PST-3.IND John rock
 John took a rock.
- b. *ḥuksʔiʔiʔitʔiš* John
ḥuksʔi-ʔiʔ-mit-ʔiiš John
 rock-**take**-PST-3.IND John
 John took a rock

(17) *ʔu-yuʔaat* “find”

- a. *ʔuyuʔaat*s *ḥuʔaqminḥ* *taakinis*
ʔu-uʔaat-s *ḥuʔ-aq[+S]-minḥ* *taakinis*
 Ø-**find**-1SG.ABS good-AUG-PL socks
 I found some very nice socks.
- b. *ḥuʔuʔaat*s *taakinis*
ḥuʔ-uʔaat-s *taakinis*
 good-**find**-1SG.ABS socks
 I found some nice socks.

(18) *ʔu-ʔuuʔ* “get paid”

- a. *ʔuʔuuʔʔiš* John *taana* *ʔuutaqčip* *huupuukʷas* Bill
ʔu-ʔuuʔ-ʔiiš John *taana* *ʔu-taq[+L]-čip* *huupuukʷas* Bill
 Ø-**paid**-3.IND John money Ø-fix-BEN car Bill
 John got paid money fixing Bill's car.

- | | | | | | |
|----|---|------|-----------------|------------------------|------|
| b. | taanaq ^{puu} λ ^{ʔi} š | John | ʔuutaqčip | huupuuk ^{was} | Bill |
| | taanaq- ^{puu} λ- ^{ʔi} š | John | ʔuu-taq[+L]-čip | huupuuk ^{was} | Bill |
| | money- paid -3.IND | John | Ø-fix-BEN | car | Bill |
- John got paid money fixing Bill's car.

(19) *ʔuu-mačuk* “talk about”

- a. ʔuumačuk^{ʔi}š taana
 ʔu-**mačuk**[+L]-^{ʔi}š taana
 Ø-**talk.about**-3.IND money
 She's talking about money.
- b. taanaqmačuk^{ʔi}š
 taana-**mačuk**[+L]-^{ʔi}š
 money-**talk.about**-3.IND
 She's talking about money.

(20) *ʔuu-maasa* “buy something and bring it back (home)”

- a. ʔuumaasaʔum sapnii wikiitšⁱλ^{uk}niš
 ʔu-**maasa**-ʔum sapnii wikiit-šⁱλ^{uk}-niš
 Ø-**bring**-2SG.IMP2>3.OBJ bread NEG-PERF-POSS-1PL.IND
 Go buy and bring home bread. We have none.
- b. ʔayaaλ^{uk}niš haʔum q^{wi}iimaasamitii ʔuh Louis
 ʔaya-ʔaλ^{uk}-niš haʔum q^{wi}ii-**maasa**-mit-ii ʔuh Louis.
 many-TEMP-POSS-1PL.IND food REL-**bring**-PST-3.IREL DEIC Louis
 We have a lot of food. What is it that Louis brought?

(21) *ʔuu-tiʔiʔa* “resemble”

- a. ʔuutiʔa^{ʔi}š Louis nuwiiqsak(ʔi) hiix^wathi
 ʔu-tiʔiʔa[+L]-^{ʔi}š Louis nuwiiqsu-ʔak-(ʔi) hiix^wathi
 Ø-**resemble**-3.IND Louis father-POSS-(3.PS) cranky
 Louis is just like his father- cranky.
- b. nuwictiʔiʔa^{ʔi}š Louis hiix^wathi
 nuwic-tiʔiʔa-^{ʔi}š Louis hiix^wathi
 father-**resemble**-3.IND Louis cranky
 Louis is cranky like his father.

(22) *ʔu-naak* “have”

- a. ʔunaak^{ʔi}š ʔuk^{wi} pišmis pawatšⁱλ taana
 ʔu-**naak**-^{ʔi}š ʔuk^{wi} pišmis pawat-šⁱλ taana
 Ø-**have**-3.IND y.sibling troubles lose-PERF money
 (Your) younger sibling has troubles. S/he lost her/his money.
- b. pišmisnak^{ʔi}š ʔuk^{wi} pawatšⁱλ taana
 pišmis-**naak**-^{ʔi}š ʔuk^{wi} pawat-šⁱλ taana
 troubles-**have**-3.IND y.sibling lose-PERF money
 (Your) younger sibling has troubles. S/he lost her/his money.

(23) *ʔu-naq* “be fond of”

- a. *ʔunaquksiš* *kʷaaʔuuc* *kʷaqmis*
ʔu-naq-uk-siiš *kʷaaʔuuc* *kʷaq-mis*
 Ø-**fond.of**-POSS-1SG.IND grandchild s.h.eggs-NOM
 My grandchild really likes spawned herring eggs.
- b. *kʷaqaquksiš* *kʷaaʔuuc*
kʷaq-naq-uk-siiš *kʷaaʔuuc*
 s.h.eggs-**fond.of**-POSS-1SG.IND grandchild
 My grandchild really likes spawned herring eggs.

(24) *ʔuʔu-kuk* “looking like, resembling”

- a. *ʔuʔukukʔiš* Robin *ʔumʔiiqsak*
ʔu-kuk[+R]-ʔiiš Robin *ʔumʔiiqsu-ʔak*
 Ø-**look.like**-3.IND Robin mother-POSS
 Robin looks like her mother.
- b. *ʔuʔumʔackukʔiš* Robin
ʔumʔac-kuk[+R]-ʔiiš Robin
 mother-**look.like**-3.IND Robin
 Robin looks like her mother.

(25) *ʔu-haa* “buy”

- a. *ʔuhaaʔasči* *ʔiʔickuk*
ʔu-haa-ʔas-čii *ʔiʔickuk*
 Ø-**buy**-ASP-2SG.GO flour
 Go and buy flour!
- b. *ʔiʔickukhaʔasči*
ʔiʔickuk-haa-ʔas-čii
 flour-**buy**-ASP-2SG.GO
 Go and buy flour!

(26) *ʔu-hsaa* “longing for a type of food”

- a. *ʔuhsaaʔiš* Louis *čamas*
ʔu-hsaa-ʔiiš Louis *čamas*
 Ø-**crave**-3.IND Louis sweets
 Louis is hungry for sweets.
- b. *čamashsaʔiš* Louis
čamas-hsaa-ʔiiš Louis
 sweets-**crave**-3.IND Louis
 Louis is hungry for sweets.

(27) *ʔuu-ksnaʔaaʔ* “handling”

- a. *ʔuuksnaʔaaʔʔiš* John *kaakani*
ʔu-snaʔaaʔ[+L]-ʔiiš John *kaakani*
 Ø-**handling**-3.IND John toy
 John is playing with the toy.

- b. kaakanicsnaʃaaʔʔiʃ John
 kaakani-snaʃaaʔ[+L]-ʔiʃ John
 toy-handling-3.IND John
 John is playing with the toy.

(28) *ʔu-kʔaa* “named”

- a. ʔukʔaaʔiʃ Louis
 ʔu-ʔaa-ʔiʃ Louis
 Ø-named-3.IND Louis
 His name is Louis.
- b. ʔačaqʔah
 ʔačaq-ʔaa-h
 what-named-3.Q
 What's his name?

(29) *ʔuʔu-kʷink* “talk to”

- a. ʔuʔukʷačinkitsiʃ
 ʔukʷa-čink[+R]-mit-siiʃ
 self-talk.to-PST-1SG.IND
 I was talking to myself.
- b. yaacwaasʔaʔʔiʃ haakʷaaʔ yayaqʷinkitqs
 yaac-waas-'aʔ-ʔiʃ haakʷaaʔ yaq-čink-mit-qs
 walk-outside-TEMP-3.IND girl REL-talk.to-PST-1SG.REL
 The girl who I talked to left.

(30) *ʔuʔu-qhta* “wearing at the feet”

- a. ʔuʔuqhtaʔ šuwis
 ʔu-qhta[+R]-h šuwis
 Ø-on.foot-3.Q shoes
 Is s/he wearing shoes?
- b. wiwikcashtaʔiʃ ʔukʷi
 wik-cas-qhta[+R]-ʔiʃ ʔukʷi
 NEG-side-on.foot-3.IND y.sibling
 (Your) younger sibling is wearing his/her shoes on the wrong foot.

(31) *ʔu-čaas* “betting (in a gambling situation)”

- a. ʔučaassiʃ haququmʔ
 ʔu-čaas-siiʃ haququmʔ
 Ø-bet-1SG.IND ten.dollars
 I'm betting ten dollars.
- b. haququmʔčassiʃ
 haququmʔ-čaas-siiʃ
 ten.dollars-bet-1SG.IND
 I'm betting ten dollars.

(32) *ʔu-ʔaap* “buy”

- a. *ʔuʔaapʊm* taakinis
ʔu-ʔaap-ʊm taakinis
 Ø-**buy**-2SG.IMP2>3OBJ socks
 You must buy socks!
- b. *takiniʔapʊm*
taakinis-ʔaap-ʊm
 socks-**buy**-2SG.IMP2>3OBJ
 You must buy socks!

(33) *ʔu-ʧi* “married to”

- a. *ʔuʧiʔiʃ* Louis *ʔuʔaq* *ʔuucma*
ʔu-ʧi-ʔiʃ Louis *ʔuʔ-aq[+S]* *ʔuucma*
 Ø-**married**-3.IND Louis good-AUG woman
 Louis is married to a very good woman.
- b. *ʔuʔʧiʔiʃ* Louis *ʔuucma*
ʔuʔ-ʧi-ʔiʃ Louis *ʔuucma*
 good-**married**-3.IND Louis woman
 Louis is married to a good woman.

(34) *ʔu-ʔak* “being for some purpose”

- a. *ʔuʔakʔiʃ* *haʔum*
ʔu-ʔak-ʔiʃ *haʔum*
 Ø-**for**-3.IND food
 This is for food.
(context: you're handing someone \$20, and you don't want them to spend it on bingo)
- b. *ʔuʔakukʔiʃ* *ʔaʔaakʔi*
ʔu-ʔak-uk-ʔiʃ *ʔaʔa-ʔak-ʔi*
 Ø-**for**-POSS-3.IND child-POSS-3.PS
 It is meant for his/her child.

(35) *ʔuu-ʧak* “cook, prepare”

- a. *ʔuuʧaksiʃ* *suuʔaa*
ʔuu-ʧak-siiʃ *suuʔaa*
 Ø-**cook**-1SG.IND spring.salmon
 I'm cooking salmon.
- b. *ʔaayaʧaksiʃ* *wikʊm* *yaacʃiʔ*
ʔaya-ʧaak[+L]-siiʃ *wik-ʊm* *yaac-ʃiʔ*
 many-**cook**-1SG.IND NEG-2SG.IMP2 walk-PERF
 I'm cooking lots- don't walk out!

(36) *ʔuu-ʧʧii* “cook”

- a. *ʔuuʧʧiiʔum* *ʧisqmis* *wiiʃʔaqʔaps* *ʔiʃicwisaqʔin*
ʔuu-ʧʧii-ʊm *ʧisqmis* *wiiʃ-ʔaqʔ-ʔap-s* *ʔiʃicwisaqʔin*
 Ø-**cook**-2SG.IMP2>3OBJ meat
 You must cook the meat! I don't want it to rot (I don't want to lose it to rot).

- b. čišqmishčiŋum
čišqmis-hčii-um
meat-cook-2SG.IMP2>3OBJ
You must cook the meat!

(37) ŋu-uqʰ “inside”

- Q: wiŋaqʰukk čamʰaqʰyʰakʰi
wik-aqʰ-uk-k čamʰaqʰyʰak-ŋi
NEG-inside-POSS-2SG.Q oven-DET
You have nothing in your oven?

- A: ŋuuqʰuksiš qaawic
ŋu-aqʰ-uk-siš qaawic
Ø-inside-POSS-1SG.IND potatoes
I've got potatoes in there.

(38) ŋu-ʔas “on the ground”

- a. ŋuʔasuksiš supicmis
ŋu-ʔas-uk-siš supicmis
Ø-on.ground-POSS-1SG.IND sand
I've got sand (on the ground).
- b. supicmisʔasuksiš
supicmis-ʔas-uk-siš
sand-on.ground-POSS-1SG.IND
I've got sand (on the ground).

(39) ŋu-ʔat “on flat surface”

- a. ŋuʔatukʰiš ʰiɪhciip ʰučʰin Kay
ŋu-ʔat-uk-ŋiš ʰiɪhciip ʰučʰin Kay
Ø-on.surface-POSS-3.IND flowers dress Kay
Kay has flowers on her dress.
- b. ʰiɪhciipʔatʰiš ʰučʰin Kay
ʰiɪhciip-ʔat-ŋiš ʰučʰin Kay
flowers-on.surface-3.IND dress Kay
Kay has flowers on (her) dress.

(40) ŋu-caas “on the side of the hand”

- a. ŋucaasʰi ʔaʰ suu
ŋu-caas-ʰi ʔaʰ suu
Ø-side-2SG.IMP>3OBJ DEIC hold
Hold it on this side!
- b. ʔapcaʔapatukʰick kupʰsiʰ
ʔap-caas-ʔap-ʔat-uk-ŋick kup-ʰsiʰ
right-side-TR-PAS-POS-2SG.IND point-PERF
S/he pointed to your right side.

(41) *ʔuu-kciifas* “on the front (outside)”

- a. ʔuukciifasʔiš tʔucup Kay
 ʔu-ciiʔas[+L]-ʔiiš tʔucup Kay
 Ø-**on.front**-3.IND sea.urchin Kay
 Kay has sea urchin in front of her house.
- b. tʔucupciifasʔiš Kay
 tʔucup-ciiʔas[+L]-ʔiiš Kay
 sea.urchin-**on.front**-3.IND Kay
 Kay has a sea urchin in front of her house.

(42) *ʔuʔu-wakuʔ* “on the ear”

- a. ʔuʔuwakuʔʔiš tuxʷii
 ʔu-wakuʔ[+R]-ʔiiš tuxʷii
 Ø-**on.ear**-3.IND earrings
 She's wearing earrings.
- b. tutuxʷakuʔʔiš
 tuxʷ-wakuʔ[+R]-ʔiiš
 earrings-**on.ear**-3.IND
 She's wearing earrings.

(43) *ʔu-ʔaʔca* “moored, eg. alongside a wharf”

- a. ʔuʔaʔcaʔiš ʔaya hiškʷiiʔaθ
 ʔu-aʔca-ʔiiš ʔaya hiškʷiiʔaθ
 Ø-**moored**-3.IND many Hesquiat
 There is lots of Hesquiat moored at the float.
- b. ʔayaʔcaʔiš hiškʷiiʔaθ
 ʔaya-aʔca-ʔiiš hiškʷiiʔaθ
 many-**moored**-3.IND Hesquiat
 There is lots of Hesquiat moored at the float.

(44) *ʔu-ʔaksuʔ* “on the mouth”

- a. ʔuʔaksuʔʔiš ʔuyi
 ʔu-aksuʔ-ʔiiš ʔuyi
 Ø-**on.mouth**-3.IND medicine
 She's got medicine on her mouth.
- b. ʔuyiiksusuʔʔiš
 ʔuyi-aksuʔ-ʔiiš
 medicine-**on.mouth**-3.IND
 She's got medicine on her mouth.

(45) *ʔuu-kʷiças* “covered in bed”

- a. ʔuukʷiçasʔiš ʔukʷi ʔaya kachaq čaawiiʔči
 ʔu-iças[+L]-ʔiiš ʔukʷi ʔaya kachaq čawa-iʔ[+L]-čii
 Ø-**covered.in.bed**-3.IND y.sibling many blankets one-take-2SG.GO
 Your younger sibling is covered with many blankets. Go and take one.

- b. ?aayičas?iš ýuk^{wi} kachaq čaawiičči
 ?aya-ičas[+L]-?iš ýuk^{wi} kachaq čawa-iič[+L]-čii
 many-covered.in.bed-3.IND y.sibling blankets one-take-2SG.GO
 Your younger sibling is covered with many blankets. Go and take one.

(46) ?uu-ciýuk “going to”

- a. ?uuciýuksiš načiqs
 ?u-ciýuk[+L]-siiš načiqs
 Ø-going.to-1SG.IND Tofino
 I'm going to Tofino.
- b. waasciýukk
 waas-ciýuk[+L]-k
 where-going.to-2SG.Q
 Where are you going?

(47) ?u-ca “go to; go in direction of”

- a. ?ucačiłwıtassiš načiqs
 ?u-ca-čił-ııtas-siiš načiqs
 Ø-go.to-PERF-gonna-1SG.IND Tofino
 I'm going to Tofino.
- b. načiqscačiłwıtassiš
 načiqs-ca-čił-ııtas-siiš
 Tofino-go.to-PERF-gonna-1SG.IND
 I'm going to Tofino.

(48) ?uuwiqš “invite for”

- a. ?uuwiqš?iš Louis naqtuup ýuk^{wi}iqsak
 ?u-wiqš[+L]-?iš Louis naq-tuup ýuk^{wi}iqsu-?ak
 Ø-invite.for-3.IND Louis drink-NOM y.sibling-3.PS
 Louis is inviting his younger sibling for a drink.
- b. naaqawiqš?iš Louis ýuk^{wi}iqsak
 naq-aa-wiqš[+L]-?iš Louis ýuk^{wi}iqsu-?ak
 drink-CONT-invite.for-3.IND Louis y.sibling-3.PS
 Louis is inviting his younger sibling for a drink.

(49) ?u-yii “give”

- a. ?uyii?anitsiš taana na?iiq
 ?u-yii-’at-mit-siiš taana na?iiq
 Ø-give-PAS-PST-1SG.IND money aunt/uncle
 I was given money by my aunt/uncle.
- b. taanaqayii?anitsiš na?iiq
 taanaq-yii-’at-mit-siiš na?iiq
 money-give-PAS-PST-1SG.IND aunt/uncle
 I was given money by my aunt/uncle.

(50) *ʔu-čiiṯṯ* “use as fuel”

- a. *ʔu-čiiṯṯ-ʔiṣ* Loius *qaqmapṯ* *ʔuuk^{wi}ṯ*
ʔu-čiiṯṯ-ʔiṣ Loius *qaqmapṯ* *ʔuuk^{wi}ṯ*
 Ø-**use.as.fuel**-3SG.IND Loius *alder* *smoke.fish*
 Louis is using alder as fuel while smoking fish.
- b. *qaqmapṯ-čiiṯṯ-ʔiṣ* Louis
qaqmapṯ-čiiṯṯ-ʔiṣ Louis
alder-use.as.fuel-3SG.IND Louis
 Louis is using alder as fuel.

D.2 Verbal complements

D.2.1 Main predicates

(51) *ʔu-cuk* “need”

- a. *ʔucuk-ʔiṣ* *naʔiik^{at}* *ʔuuḥčii(ʔat)*
ʔu-cuk-ʔiṣ *naʔiik-ʔat* *ʔu-ḥčii[+L]-ʔat*
 Ø-**requires**-3.IND *immediately*-PAS Ø-**cook**-(PAS)
 It needs to be cooked right away.
- b. *naʔiikcuk-ʔiṣ* *ʔuuḥčiiʔat*
naʔiik-cuk-ʔiṣ *ʔu-ḥčii[+L]-ʔat*
immediately-need-3.IND Ø-**cook**-PAS
 It needs to be cooked right away.

(52) *ʔuu-ṇakuuḥ* “observe”

- a. *ʔuuṇakuuḥṯiṣ* *suwā* *kuukuuṯṣ* *kaṯkintapiiḥ*
ʔu-ṇakuuḥ[+L]-mit-siṣ *suwā* *kuuṯ-ṣ[+R]* *kaṯkintapiiḥ*
 Ø-**observe**-PST-1SG.IND *you* *steal*-SPOR *strawberries*
 I was watching you stealing strawberries!
- b. *kuukuuṯṣṇakuuḥṯiṣ* *suwā* *kaṯkintapiiḥ*
kuuṯ-ṣ[+R]-ṇakuuḥ[+L]-mit-siṣ *suwā* *kaṯkintapiiḥ*
steal-SPOR-**observe**-PST-1SG.IND *you* *strawberries*
 I was watching you stealing strawberries!

(53) *ʔu-utuṯ* “dream of”

- a. *ʔuutuṯṯiṣ* *ʔin* *qaḥṣiṯḥuk* *neen*
ʔu-utuṯ[+R]-mit-siṣ *ʔin* *qaḥ-ṣiṯ-ḥuuk* *neen*
 Ø-**dream.of**-PST-1SG.IND COMP *die*-PERF-3.DEP *grandparent*
 I dreamt that grandparent passed away.
- b. *qaqaḥatuṯṯiṣ* *neen*
qaḥ-utuṯ[+R]-mit-siṣ *neen*
die-dream.of-PST-1SG.IND *grandparent*
 I dreamt that grandparent passed away.

(54) *ʔu-ṣiṯ* “come upon, discover”

- a. *ʔuṣiṯ-ʔiṣ* *Mary* *ʔin* *ṣiḥṣiiḥa* *Kay*
ʔu-ṣiṯ-ʔiṣ *Mary* *ʔin* *ṣiḥ-a[+R]* *Kay*
 Ø-**come.upon**-3.IND *Mary* COMP *cry*-ITER *Kay*
 Mary found Kay crying away.

- b. ሻከሻከሻከሻከሻከሻ
 ሻከሻ-a[+R]-ሻከ-ሻከሻ Mary Kay
 cry-ITER-come.upon-3.IND Mary Kay
 Mary found Kay crying away.

(55) *ሕ-ሕ-ሕ* “hear (PERF)”

- a. ሕሕሕሕሕሕሕሕ ሕ ተሕሕሕሕ
 ሕ-ሕ-ሕ-ሕ-ሕ-ሕ ሕ ተሕሕሕ-ሕ
 Ø-hear-PERF-PST-1SG.IND COMP sick-2SG.DEP
 I heard that you were sick.
- b. ተሕሕሕሕሕሕሕሕ ሕሕ
 ተሕሕ-ሕ-ሕ-ሕ-ሕ-ሕ ሕሕ
 sick-hear-PERF-PST-1SG.IND you
 I heard that you were sick.

(56) *ሕ-ሕ* “wait for”

- a. ሕሕሕሕሕሕ ተሕሕሕሕሕሕሕሕ ሕሕሕ
 ሕ-ሕ[+L]-ሕ-ሕ ተሕሕሕ-ሕሕ-’ሕ-ሕ ሕሕ-ሕ
 Ø-wait.for-1PL.IND dark-INCEP-TEMP-3.COND drive/leave-PERF
 We are waiting for night-time to leave.
- b. ተሕሕሕሕሕሕሕሕ ሕሕሕ
 ተሕሕሕ-ሕሕ-ሕ[+L]-ሕ-ሕ ሕሕ-ሕ
 dark-INCEP-wait.for-1PL.IND drive/leave-PERF
 We are waiting for night-time to leave.

(57) *ሕ-ሕ* “talk about”

- a. ሕሕሕሕሕሕሕ ሕ ሕሕሕሕሕሕሕ ሕሕሕ
 ሕ-ሕ[+L]-ሕ-ሕ ሕ ሕሕሕ-ሕሕ-ሕ ሕሕሕ
 Ø-talk.about-PST-1SG.IND COMP go.to-gonna-1SG.DEP Victoria
 I was talking about that I plan to go to Victoria.
- b. ሕሕሕሕሕሕሕሕ ሕሕሕ
 ሕሕሕ-ሕ-ሕ-ሕ-ሕ-ሕ ሕሕሕ
 go.to-talk.about-PST-1SG.IND Victoria
 I was talking about going to Victoria.

D.2.2 Auxiliary predicates

(58) *-ሕ* “try to stay”

- a. ሕሕሕሕሕሕ ሕሕ
 ሕ-ሕ[+L]-ሕ ሕሕ
 NEG-try.to.stay-3.IND eat
 S/he is trying to keep from eating.
- b. ሕሕሕሕሕሕ John
 ሕሕሕ-ሕ[+L]-ሕ John
 awake-try.to.stay-3.IND John
 John is trying to stay awake.

(59) *-maḥsa* “want to”

- a. huuṭḥuuṭamaḥsaʔiš Kay ʔatquu wikuuqstamaḥsaʔat
 huuṭ-a[+R]-maḥsa-ʔiš Kay ʔatquu wik-ʔuuqsta-maḥsa-ʔap-ʔat
 dance-ITER-want.to-3.IND Kay although NEG-amongst-want.to-TR-PAS
 Kay wants to dance although they don't want her participating.
- b. čʔitapṭṭinmaḥsakʔiš Lucy ciyapuxs
 čʔitapṭ-ḥtin-maḥsa-ʔak-ʔiš Lucy ciyapuxs
 sedge.grass-made.of-want.to-POSS-3.IND Lucy hat
 Lucy wants her (own) hat made of sedge grass.

(60) *-wʔas* “gonna”

- ʔacsiḥwʔasitsiš ʔinḥii wiqsiqaqʔiš
 ʔac-šiḥ-wʔas-mit-siš ʔinḥii wiqsiq-aq[+S]-ʔiš
 fish-PERF-gonna-PST-1SG.IND although stormy-AUG-3.IND
 I was gonna go fishing, but it is *real* stormy.

(61) *-qaath* “claim”

- načaaṭqathitsiš načaaṭʔakʔi
 načaaṭ-qaath-mit-siš načaaṭʔak-ʔi
 read-claim-PST-1SG.IND book-DET
 I claimed/pretended I was reading the book.