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BING'S DOGBONE SPACE AND CURTIS' CONJECTURE

by

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ABSTRACT

Bing's dogbone space  $\mathcal{D}$  is an upper semi continuous decomposition space of  $E^3$  which fails to be  $E^3$  although the associated decomposition consists only of points and tame arcs. It has proved difficult to find topological properties of  $\mathcal{D}$  which distinguish it from  $E^3$ . In this paper, we prove a conjecture of Morton Curtis in 1961 that certain points of  $\mathcal{D}$  fail to possess small simply connected neighbourhoods.

I wish to acknowledge my gratitude to my supervisor Dr. Whittaker for his unselfish and often indispensable aid during my graduate studies at UBC, and to Dr. Luft for his support and enthusiasm. I am grateful also for some conversations and a blizzard of letters from R. H. Bing.

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## INTRODUCTION

Bing's dogbone space (which is denoted by  $\mathcal{D}$  in this paper) is a decomposition space of  $E^3$  which fails to be homeomorphic to  $E^3$  even though the associated decomposition space is upper semicontinuous and point-like, and each element of the decomposition is either a point or a tame arc. The appearance of  $\mathcal{D}$  in [12] caused some surprise since it was thought at the time that all usc point-like decomposition spaces of  $E^3$  would turn out to be  $E^3$ . Although  $\mathcal{D}$  dates from 1955 and has become rather well-known, it has been found hard to determine those topological properties of the space which distinguish it from  $E^3$ . Bing's original paper [12] showed that  $\mathcal{D}$  is a non-manifold; but  $\mathcal{D}$  is a simply connected homology manifold and locally simply connected. This paper contains a proof of a conjecture of Morton Curtis that  $\mathcal{D}$  fails to possess small simply connected open neighbourhoods about certain points. This property is stronger than local simple connectivity (see our comments in II §1). A proof of Curtis' Conjecture was announced in 1964 [14]; however the detailed proof has not appeared. Only one other topological property distinguishing  $\mathcal{D}$  and  $E^3$  is known: some points of  $\mathcal{D}$  cannot be enclosed in 2-spheres [11], [13]. The general state of affairs seems to be that some points of  $\mathcal{D}$  have no closed or open 3-cell neighbourhood systems, but do have systems of neighbourhoods bounded by double tori.

Our arguments use elementary methods exclusively (except for an easily circumvented reference to the Hopf property of knot groups) and may well appear old-fashioned. We are less than proud of much of the exposition, which was intended to combine the detail appropriate to a

thesis with the directness of a journal paper and somehow didn't. The reader will probably share our pain at the length of the argument (the whole paper is essentially one theorem). The reader who is unfamiliar with pathological decomposition spaces is advised to read [3], which is brief and exceptionally entertaining, and then skim Ch. III. We will mention some notational peculiarities: we follow common practise in describing geometric constructions, even complicated ones, by the use of diagrams. "Theorem" in this paper means 'working theorem'; thus 'theorems' appear in the introductory chapter only.

## CHAPTER ONE

### 0. Introduction.

This first chapter gives preliminary material for the arguments in Ch III and especially Ch IV. The reader who wishes to skim the paper will find that Ch II, which contains the discussion of Curtis' Conjecture, is largely independent of this first chapter. In this paper, our approach to elementary topology is along the lines of the easier chapters of [10], in particular, we always assume a separable metric space. In this chapter, sections 1 and 2 are elementary, §3 contains working theorems for Ch IV, and §4 is essentially a comment on Bing's Theorems 6 and 7 of [12]. Section 5 is part of the argument of Ch IV which is self-contained and has been smuggled into the preliminary material, although it could have been left until it appeared naturally in the main argument.

### 1. Notation.

The arguments in this paper use elementary methods exclusively, so that notation should present no problems. We use  $\emptyset$  for the null set and the symbol  $\square$  for the end of the proof of a numbered result. The expression 'Bd A' may mean either the manifold boundary of the manifold-with-boundary A, or the point-set boundary of the set A. A similar comment applies to the expression 'Int A'. This reflects common practise; we will comment whenever the meaning is unclear. As mentioned in the preface, our attitude to the construction of tame sets will be cavalier; we will construct many important tame sets simply by describing the set and perhaps giving a picture of it. We advise against the intuitive approach of imagining our constructions as straight-sided polyhedra whose structural detail is so fine that the polyhedra approximate the figures closely. Several of our arguments will require extensive repair if our geometric constructions are interpreted in this way. If necessary, methods in [4] could be used to

show that each of our constructions is in fact a curvilinear polyhedron.

## 2. Elementary Results.

In this section we give some 'obvious' results which we have found hard to justify by simple references. This may be a matter of ignorance, especially in the case of (2.1) and (2.3). We define an annulus to be a topological sphere with two holes. The proofs are omitted.

(2.1). Let  $a$  be an arc which intersects two disjoint closed sets  $S_1, S_2$ . Then there is a sub-arc  $a^*$  of  $a$  which connects  $S_1$  and  $S_2$  and meets  $S_1 \cup S_2$  only at the end points of  $a^*$ .

(2.2). Any two annuli  $A_1, A_2$  are homeomorphic. Any homeomorphism of one boundary component of  $A_1$  onto a boundary component of  $A_2$  may be extended to a homeomorphism of  $A_1$  onto  $A_2$ .

(2.3). The union of two locally connected (lc) continua which intersect is a lc continuum.

(2.4). Let  $O$  be a bounded connected open set in the plane whose boundary is lc. Then any two points  $x$  and  $y$  in  $\bar{O}$  may be connected by an arc which lies in  $O$  except possibly for its end points.

(2.5). Let  $A$  be a 2-manifold with boundary, and  $K$  a continuum in  $A$ . Then any two points of  $K$  may be connected by an arc in  $\text{Int } A$  (except possibly for end points) which lies within a distance  $\epsilon$  of  $K$ .

(2.6). Let  $C_1, C_2$  be disjoint simple closed curves in  $E^2$ . Then

one of the following exclusive alternatives is true:

- a)  $C_1 \subset \text{Int } C_2$  or equivalently  $\overline{\text{Int } C_1} \subset \text{Int } C_2$ .
- b)  $C_2 \subset \text{Int } C_1$  or equivalently  $\overline{\text{Int } C_1} \subset \text{Int } C_2$ .
- c) Each of  $C_1, C_2$  lies in the others exterior, or equivalently  $\overline{\text{Int } C_1} \cap \overline{\text{Int } C_2} = \emptyset$

(2.7). Let  $A$  be an annulus, and  $C$  a simple closed curve in  $\text{Int } A$  which bounds no disk in  $A$ . Then  $C$  separates  $A$  into components  $B_1, B_2$  such that  $B_1 \cup C$  and  $B_2 \cup C$  are annuli.

### 3. Sliding Curves on Spheres.

(3.1). We will often need to 'move' or 'deform' curves in  $E^3$ . This will be done by sliding the curves on convenient spheres, disks and annuli in  $E^3$ . The sort of thing that may be encountered is shown in fig. 1. A double ended lasso has loops  $p$ ,  $q$  and 'middle'  $z$ . We may want to push  $z$  over to the position of  $z'$  in the figure or expand  $p$  so that it looks like  $p'$ . This can be done with a homeomorphism  $H: E^3 \rightarrow E^3$  which carries, say,  $z$  onto  $z'$  and can thus be said to move ' $z$  to  $z'$ '.

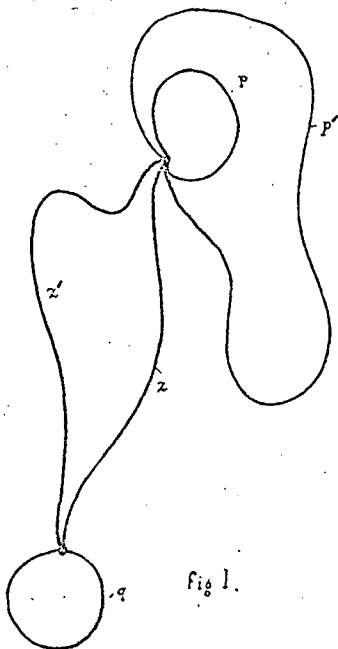


fig 1.

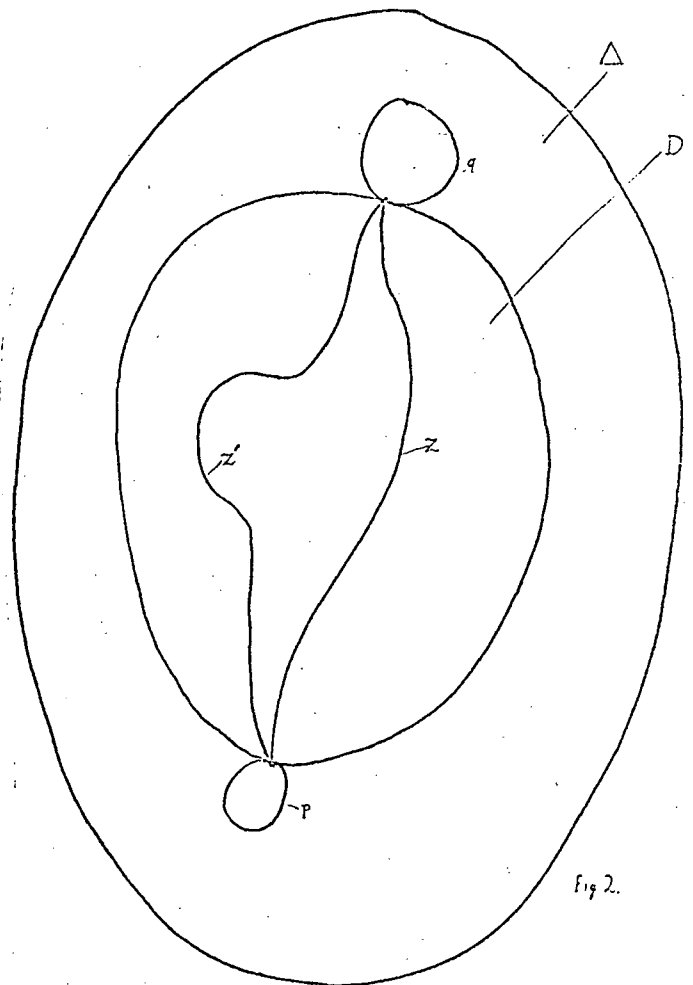


fig 2.



(3.2). A- B- and B'-moves.

We give three standard moves in Theorems 1 and 2 .

Theorem 1. Let  $D$  be a disk in  $E^3$ ,  $J$  a collar of  $D$ , and  $a, a'$  two arcs which have common end points and lie in  $\text{Int } D$  except for these end points, which lie in  $\text{Bd } D$ . Then there is a homeomorphism  $A(a, a', D, J)$  of  $E^3$  onto itself which carries  $a$  onto  $a'$ ,  $D$  onto itself, and which fixes  $E^3 - J$ .

We call  $A(a, a', D, J)$  'the A-move' and say that  $A(a, a', D, J)$  moves  $a$  to  $a'$ . (Of course the fact that  $a$  moves to  $a'$  is only one of a number of things that have to be kept in mind. We write the move as a function of  $D$  and  $J$  to emphasize that the trick of using the move depends on the right definition of  $D$  and  $J$ ).

Theorem 2. Let  $A$  be an annulus in  $E^3$ . Let  $c, c'$  be simple closed curves which lie in the interior of  $A$  and bound no disks in  $A$ . Let  $Q$  be a collar of  $A$ . Then there is a homeomorphism  $B(c, c', A, Q)$ , also called a B-move, of  $E^3$  onto itself which carries  $c$  onto  $c'$ ,  $A$  onto itself, and which fixes  $\text{Bd } Q$  and  $E^3 - Q$ . If, in addition,  $c$  and  $c'$  have a common base point  $y$ , then there is a homeomorphism  $B'(c, c', A, Q)$  and the following additional property: if  $h$  is the embedding associated with  $Q$ , so that  $Q = h[A \times [-1, 1]]$ , then the B'-move fixes  $y$  and in fact all of  $h[y \times [-1, 1]]$ .

The B'-move is a move 'keeping the base point fixed'. One could probably fix the base point by providing that  $c \cup c'$  could hit  $\text{Bd } A \subset \text{Bd } Q$



so that  $y \in \text{Bd } Q$  (the B-move does not permit this), however the  $B'$ -move as given above fits the intended applications better and is easier to prove. We will give an example which shows why we want the  $B'$ -move to fix  $h[y \times [-1,1]]$ . Fig. 3 shows  $c, c', A$ , and an arc  $a \cup b$  such that  $a$  misses  $A$  and  $b$  is a straight arc perpendicular to  $A$ . We want to move  $c$  to  $c'$  while leaving  $a \cup b$  fixed. We do this with a  $B'$ -move  $B'(c, c', y, A, Q)$  in which  $Q$  is defined so that all points of  $Q$  lie near  $A$  (i.e. for  $x \in A$ ,  $h[x \times [-1,1]]$  is short) and so that each arc  $h[x \times [-1,1]]$  with  $x \in A$  is perpendicular to  $A$ . For a sufficiently 'thin'  $Q$ , the  $B'$ -move will fix  $a$  because  $a \subset \overline{E^3 - Q}$ , and  $b$  will be fixed because  $b$  lies in  $h[y \times [-1,1]]$  wherever it hits  $Q$ . Evidently the utility of the  $B'$ -move is limited; However subsequent use of the  $B'$ -move will be very much along the lines of this example.

#### 4. The Phragmen-Brouwer Properties. The Zoratti Theorem.

The Phragmen-Brouwer Properties are usually given for the  $n$ -sphere, but hold also on a disk. We quote from Wilder, [I, II 4.1]. Let  $S$  be a locally connected metric space. Then the following properties of  $S$  are equivalent.

(4.11). If  $A, B$  are disjoint, closed subsets of  $S$ , and  $x, y \in S$  such that neither  $A$  nor  $B$  separates  $x$  and  $y$  in  $S$ , then  $A \cup B$  does not separate  $x$  and  $y$  in  $S$ . (By ' $X$  separates  $x$  and  $y$  in  $S$ ' is meant ' $x$  and  $y$  are in different components of  $S - X$ ').

(4.12). If  $S = A \cup B$ , where  $A, B$  are closed and connected, then

$A \cap B$  is connected.

(4.13). If  $A, B$  are disjoint closed subsets of  $S$  and  $a \in A$ ,  $b \in B$ , then there exists a closed connected subset  $C$  of  $S - (A \cup B)$  which separates  $a$  and  $b$ .

Theorem II 4.12 of [1] states that these properties are equivalent in a locally connected metric space. From VII, 9.3 of [1] (note also 9.2), a disk  $D$  will have properties (4.11), (4.12), (4.13), if its first Betti number is zero; thus (4.11) ... (4.13) hold on  $D$ .

(4.2). We get the following important working theorems from (4.11). These theorems resemble Theorems 6 and 7 of [12].

Theorem 3. Let  $D$  be a 2-cell in  $E^3$  and  $F_1, F_2$  closed disjoint subsets of  $E^3$ . Let  $pxq, pyq$  be arcs in  $D$  which share the end points  $p$  and  $q$ , and such that arc  $pxq$  misses  $F_1$ , arc  $pyq$  misses  $F_2$ . Then there exists an arc  $pzq$  with end points  $p, q$  such that arc  $pzq \subset D - F_1 - F_2$ .

Theorem 4. Let  $D, F_1, F_2, p, q$ , arcs  $pxq, pyq$  be defined as in Th 3 except that arc  $px \cup$  arc  $yq$  misses  $F_1$ , arc  $py \cup$  arc  $xq$  misses  $F_2$ . Then there exists an arc  $pzq \subset D$  with end points  $p, q$ , such that arc  $pzq$  misses either  $F_1$ , or  $F_2$ .

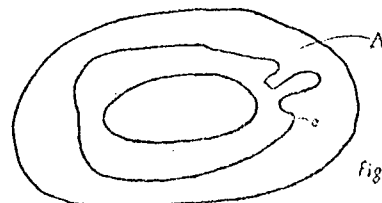
Proofs of Th 3 and Th 4. Since  $D$  is simply connected,  $pxq$  and  $pyq$  are homotopic in  $D$  by a homotopy which fixes  $p$  and  $q$ . Using this fact, the proofs of Th 6 and Th 7 of [12] may be used word for word to prove Th 3 and Th 4 respectively, reading  $D$  for  $M$  in [12]  $\square$ .

(4.4). The Plane Separation Theorem and the Zoratti Theorem.

We quote these results, slightly simplified, from [10, VI §3].

(4.41). The Plane Separation Theorem. Let  $A, B$  be compact sets in  $E^2$  which intersect in at most one point. Let  $a \in A - B, b \in B - A$ , and let  $\epsilon > 0$ . Then there is a simple closed curve  $J$  which separates  $a$  and  $b$  in  $E^2$ , lies within an  $\epsilon$ -neighbourhood of  $A$ , and misses  $A \cup B$  except possibly at the point  $A \cap B$ .

(4.42). The Zoratti Theorem. If  $K$  is a component of a compact set  $M$  in the plane, then there is a simple closed curve  $J$  whose interior contains  $K$ , which misses  $M$ , and which lies in an  $\epsilon$ -neighbourhood of  $K$ .



## 5. Annulus Dodging Theorems.

Suppose  $A$  is an annulus and  $F$  is a closed set in  $A$ . When can we say that a simple closed curve which looks like  $c$  in fig. 4 exists so as to miss  $F$ ? The answer is about what would be expected. We say that  $F$  bridges  $A$  iff the two boundary components of  $A$  are in the same component of  $\text{Bd } A \cup F$ , or equivalently, iff some component of  $F$  meets both boundary components of  $A$ .

We will prove the equivalence. Let the boundary components of  $A$  be  $\ell$  and  $m$ . ' $\leftarrow$ ' is obvious. If no component of  $F$  meets both  $\ell$  and  $m$ , then no component of  $F$  meets both  $\ell \cap F$  and  $m \cap F$ , and by I(9.3) of [10] (taking  $A, B, K$  to be  $\ell \cap F, m \cap F, F$ ), there is a separation of  $F$  into compacta  $F_\ell, F_m$  such that  $F_\ell$  meets only  $\ell$ ,  $F_m$  meets only  $m$  in  $\text{Bd } A$ . Evidently this denies the existence of a connected subset of

$F \cup \ell \cup m$  which meets both  $\ell$  and  $m$ .

(5.1). If  $F$  fails to bridge  $A$ , then there is a simple closed curve  $c$  in  $\text{Int } A$  such that  $c$  bounds no disk in  $A$  and  $c$  misses  $F$ .

Proof: We can assume that  $A$  is the set  $1 \leq x^2 + y^2 \leq 2$  in  $E^2$ .

Let  $D$  be the set  $x^2 + y^2 \leq 1$ . Let  $\ell$ ,  $m$  be the boundary components  $x^2 + y^2 = 1$ ,  $x^2 + y^2 = 2$  respectively.

Consider the component  $K$  of  $\ell \cup m \cup F$  which contains (the connected set)  $\ell$ . The set  $\ell \cup m \cup F$  is clearly compact, and by the Zorotti theorem (4.4.2) there is a simple closed curve  $c$  which lies in  $E^2 - F - \ell - m$ , contains  $K$  in its interior and lies in an  $\epsilon$ -neighbourhood of  $K$ . We will show that  $c$  has the properties required by (5.1). To see that  $c \subset \text{Int } A$ :  $K$  contains  $\ell$  and misses  $m$ , since otherwise  $F$  bridges  $A$ . Thus  $K \subset (A - D) - m = \text{Int}(A \cup D)$ . Since  $K$  is compact,  $K$  has an  $\epsilon$ -neighbourhood in  $\text{Int}(A \cup D)$ , and we can assume that  $c$  lies in this neighbourhood. Thus  $c \subset \text{Int}(A \cup D)$ . But  $c$  encloses  $K \supset \ell$  and hence  $D$  (by (2.6)); therefore  $c \subset \text{Int}(A \cup D) - D = \text{Int } A$ . We know that  $c$  bounds no disk in  $A$  because, from the Schoenflies theorem,  $c$  bounds just one disk in  $E^2$ . This disk is  $\overline{\text{Int } c}$  which is not a subset of  $A$  since it contains  $D$ . Since  $c$  misses  $F$  (by construction), lies in  $\text{Int } A$ , and bounds no disk in  $A$ , the proof of (5.1) is complete.  $\square$ .

Remark: the converse of 5.1 is true and easily proved.

We will look at some generalizations, the choice being influenced by later applications.

Theorem 5. Let  $F_1, F_2$  be disjoint closed sets in the annulus  $A$ . If each of  $F_1, F_2$  fails to bridge  $A$ , then there is a simple closed curve  $c$  in  $\text{Int } A - F_1 - F_2$  such that  $c$  bounds no disk in  $A$ .

Proof: This result is trivial once we show that if neither of  $F_1, F_2$  bridges  $A$ , then  $F_1 \cup F_2$  fails to bridge  $A$ . Once this is done, the proof of Th. 5 is completed by applying (5.1) taking  $F$  to be  $F_1 \cup F_2$ . To see that  $F_1 \cup F_2$  fails to bridge  $A$ : since  $F_1$  does not bridge  $A$ , no component of  $\ell \cup m \cup F_1$  intersects both  $\ell$  and  $m$ , (for otherwise some component of  $\ell \cup m \cup F_1$  would contain  $\ell$  and  $m$ ). By I (9.3) of [10], taking  $A, B, K$  in that theorem to be  $\ell, m, \ell \cup m \cup F_1$ , there is a separation of  $\ell \cup m \cup F_1$  into disjoint compact sets  $U_1$ ,

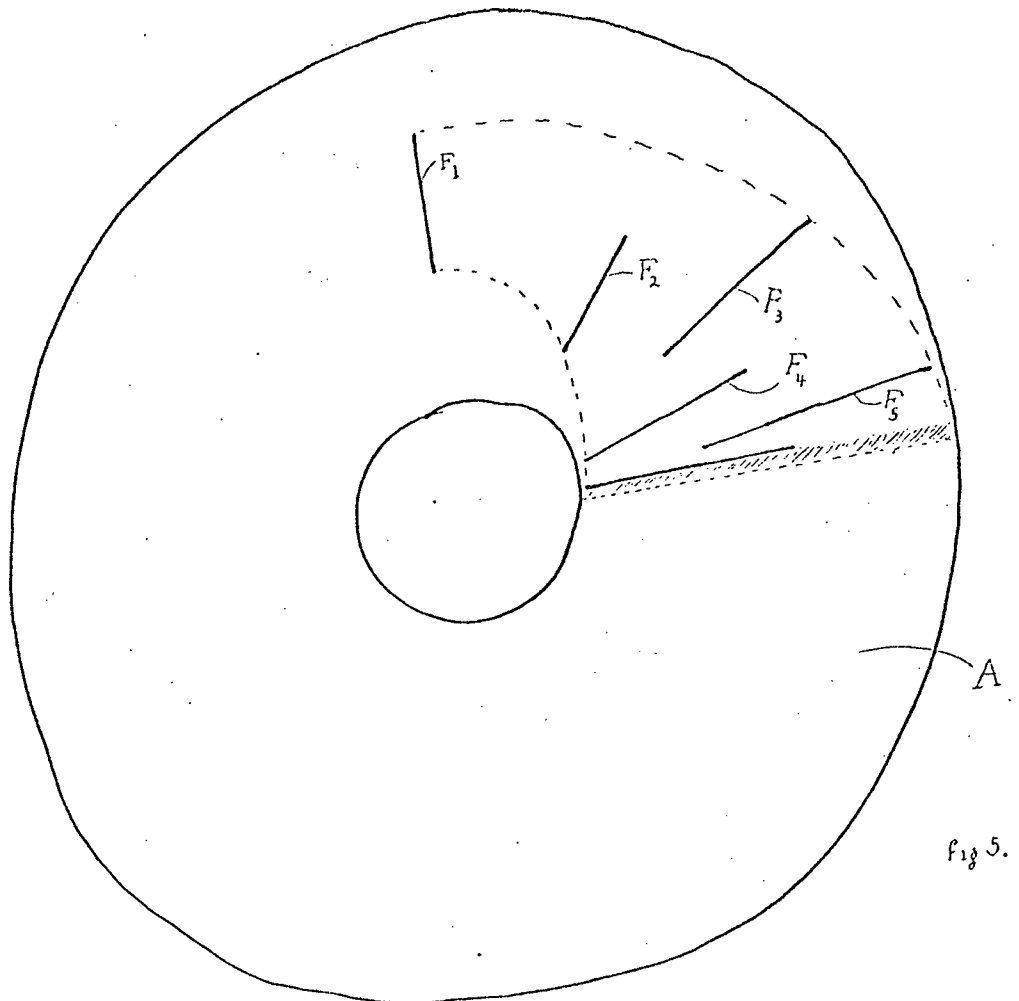


fig 5.

$U_2$  so that  $\ell \subset U_1$ ,  $m \subset U_2$ . Similarly there is a separation of  $\ell \cup m \cup F_2$  into disjoint compact sets  $V_1$ ,  $V_2$ , with  $\ell \subset V_1$ ,  $m \subset V_2$ . It is easily checked that  $U_1 \cup V_1$  misses  $U_2 \cup V_2$ . Evidently  $\ell \cup m \cup F_1 \cup F_2$  may be separated into the disjoint closed sets  $U_1 \cup V_1$  and  $U_2 \cup V_2$  with  $\ell \subset U_1 \cup V_1$ ,  $m \subset U_2 \cup V_2$ . Therefore  $\ell$  and  $m$  are not in the same component of  $\ell \cup m \cup F_1 \cup F_2$  and  $F_1 \cup F_2$  fails to bridge  $A$   $\square$ .

We remark that ' $F_1 \cup F_2$ ' may be replaced by a finite union of disjoint closed sets with a few trivial changes in the proof. Theorem 5 is false for a non-compact union of sets  $F_1, F_2, \dots$ . Fig. 5 shows  $A$  and a collection  $F_1, F_2, \dots$  such that  $A$  is the set  $1 \leq r \leq 2$  in polar coordinates and for  $i = 1, 2, 3, \dots$ ,  $F_i$  is a subset of the ray  $\theta = 1/i$ . Although each  $F_i$  does not bridge  $A$  (nor does the union  $\bigcup_{i=1}^{\infty} F_i$ ), the curve  $c$  in Th. 5 cannot be constructed.

We next look at the case where the curve  $c$  is constructed as in Th. 5 but with the further property that  $c$  contains a given base point  $x$ . In this case  $c$  cannot in general miss either of  $F_1, F_2$ , as Fig. 6 shows.

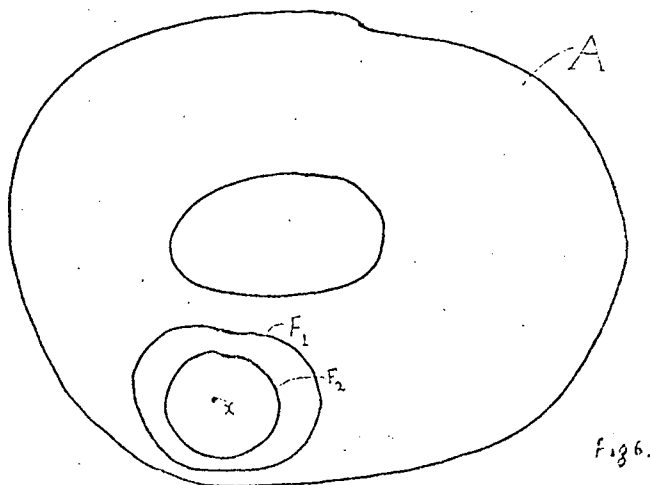


Fig. 6.

We will give a characterization of those placements of  $x$ ,  $F_1$ ,  $F_2$ , so that  $c$  can be made to miss one of  $F_1, F_2$ . We say that a simple closed curve  $c$  with base point  $x$  has Property  $\sim P$  (read 'property not - P') with respect to closed sets  $F_1, F_2$  iff one of the following is true:

$\sim P(a)$ :  $c$  misses one of  $F_1, F_2$ .

$\sim P(b)$ : There exists a point  $y \in c - x$

and a decomposition of  $c$  into

arcs  $c_1, c_2$ , with  $c_1 \cup c_2 = c$

and  $c_1 \cap c_2 = \{x, y\}$ , such that

$F_1$  misses  $c_1$ ,  $F_2$  misses  $c_2$  (see fig. 7).

This is an ugly and awkward definition. An equivalent and prettier statement is 'c has Property  $\sim P$  iff any point in  $c - x$  may be joined to  $x$  by an arc which misses one of  $F_1, F_2$ '; however we will not prove this, and we will use the earlier statement exclusively. The odd name of this

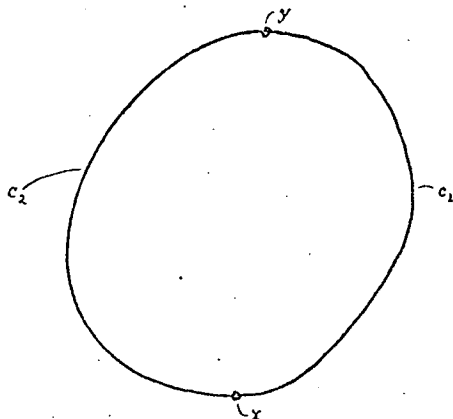


Fig 7.

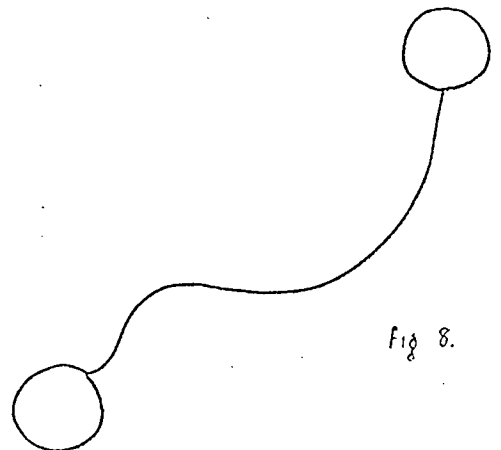
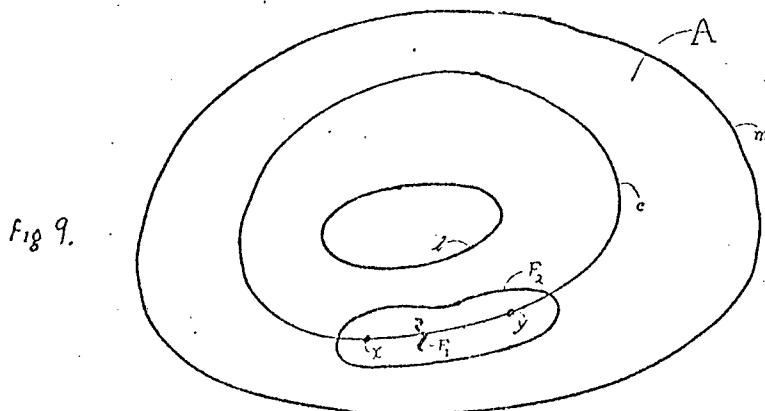


Fig 8.

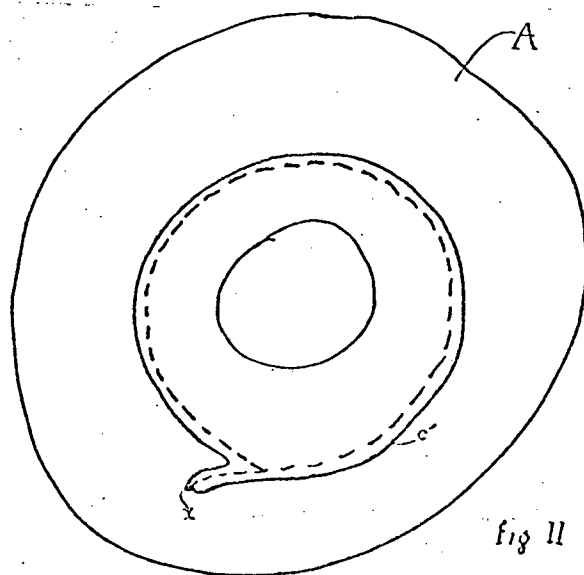
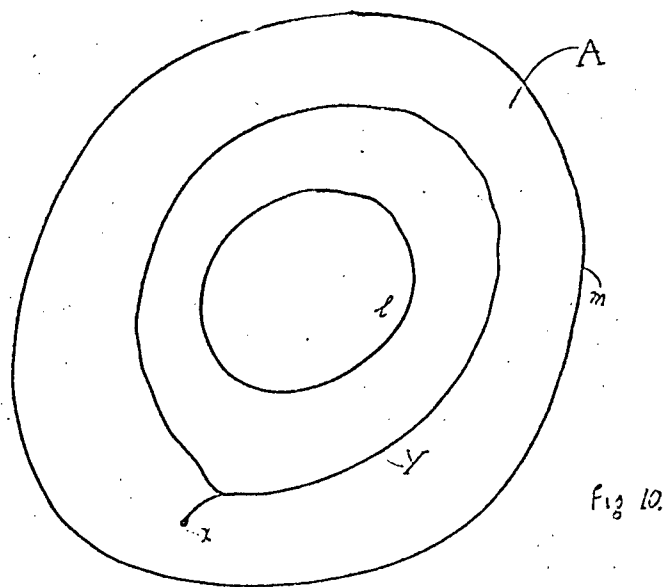
property is intended to recall Bing's Property  $P$  in [12]. This property is defined on double ended lassos (see fig. 8). Later we will define Property  $\sim P$  on double ended lassos and it will turn out that the loops of such lassos, with the obvious base points, have Property  $\sim P$  in the present sense. The next theorem says that if  $c$  with base point  $x$  has Property  $\sim P$ , then there is a loop  $c'$  which behaves like  $c$  and misses one of  $F_1, F_2$ .

Theorem 6. Let  $A, F_1, F_2$  be defined as in Th. 5, including the condition that neither  $F_1$  nor  $F_2$  bridges  $A$ . Let  $x \in \text{Int } A$ . Let  $c$  be a simple closed curve which lies in  $\text{Int } A$  and bounds no disk in  $A$  and contains  $x$ . If  $c$  has Property  $\sim P$  with respect to  $x, F_1, F_2$ , then there exists a simple closed curve  $c'$  which lies in  $\text{Int } A$ , bounds no disk in  $A$ , has base point  $x$ , and misses one of  $F_1, F_2$ .

This result cannot be improved so as to allow us to specify which of  $F_1, F_2$  is to be missed by  $c'$ . Fig. 9 shows a case where  $c'$  in Th. 6 cannot be made to miss  $F_2$  although  $F_1 \cup F_2$  fails to bridge  $A$ , and  $c$  exists with Property  $\sim P$ . (There are simpler counter examples in which only  $F_2$  hits  $c$ . One of these may be derived by removing  $F_1$







from fig. 9. However fig 9 shows that matters do not improve if we insist that both  $F_1$  and  $F_2$  hit  $c$ .)

Proof of Th 6. We can assume that  $A$  is the set  $1 \leq x^2 + y^2 \leq 2$  in  $E^2$ . The inner and outer boundary components of  $A$  will be called  $\ell$  and  $m$  respectively. Since neither of  $F_1, F_2$  bridges  $A$ , it follows from Th 5 that there is a simple closed curve  $e \subset \text{Int } A$  which bounds no disk in  $A$  and misses  $F_1 \cup F_2$ . If  $x \in e$ , then the proof is completed by letting  $e$  be  $c'$ ; thus we assume that  $x \notin e$ . We make the further assumption that  $x \in \text{Int } e$ ; it turns out that this restriction is easy to remove. Assuming that  $x \in \text{Int } e$ , we construct  $c'$  by first defining a lasso  $Y$  as shown in fig 10. The loop of  $Y$  is either  $e$  or a curve which behaves like  $e$  and is constructed similarly, while the 'handle' of  $Y$  joins the loop to  $x$ . The whole of  $Y$  misses one of  $F_1, F_2$ . The curve  $c'$  lies near  $Y$  and meets  $x$  as shown in fig 11.

Construction of  $Y$ . The lasso  $Y$  consists of the union of a simple closed curve  $r$  and an arc  $s$ , and is constructed so as to have the following properties:

$Y \subset \text{Int } A$ ,

$Y$  misses one of  $F_1, F_2$ ,

the circle  $r$  bounds no disk in  $A$ ,

the end points of  $s$  are  $x$  and a point  $z \in r$ ,

and  $s - z$  misses  $r$ .

The construction of  $Y$  is divided into two cases.

Case one:  $e$  meets  $c - x$ . We assume that  $c$  satisfies Property  $\sim P(b)$ , since if  $c$  satisfies Property  $\sim P(a)$ , we immediately let

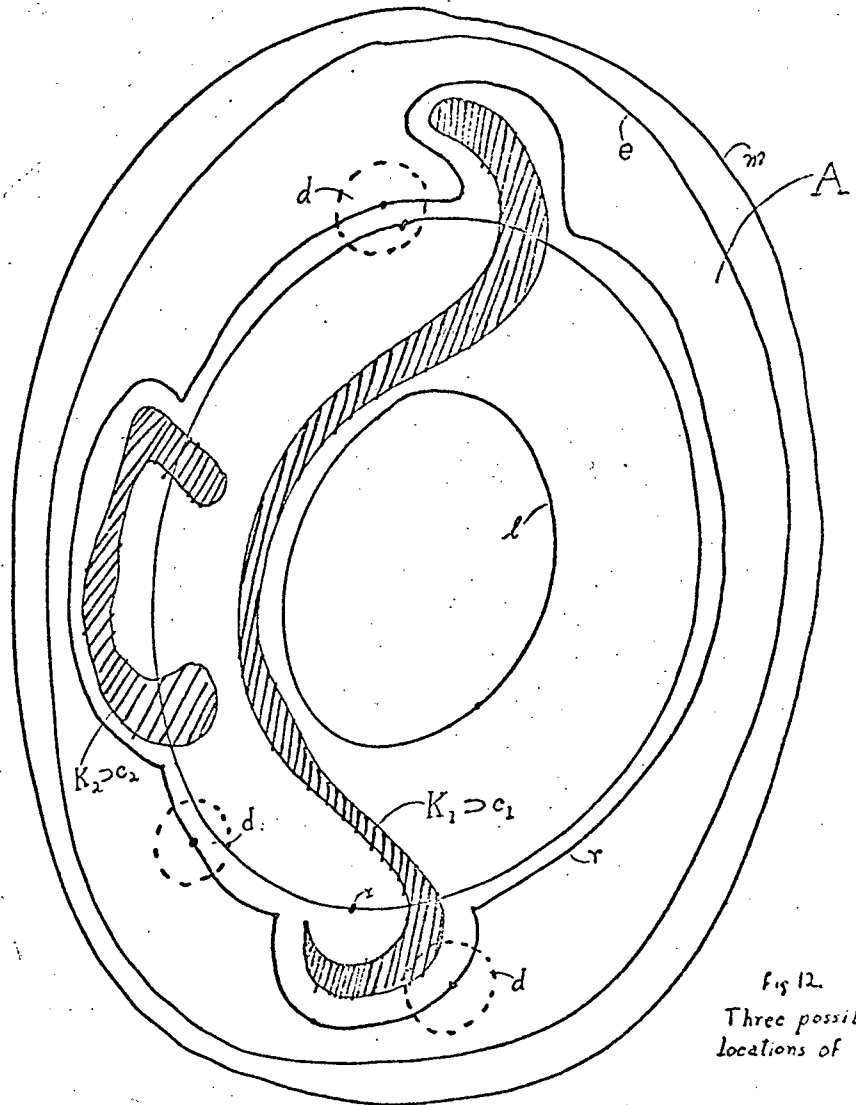


Fig 12.  
Three possible  
locations of  $d$ .

$c' = c$ . Thus we take  $c$  to be the union of arcs  $c_1, c_2$  which meet only at their end points, and for  $i = 1, 2$ ,  $c_i$  misses  $F_i$ . If  $e$  meets, say,  $c_1$  (it will do no harm if  $e$  meets both  $c_i$ ), then use (2.5) to construct an arc  $s$  which joins  $x$  and  $e \cap c_1$ , and lies so near  $c_1$  that  $s$  misses  $F_1$  (or take the obvious sub-arc of  $c_1$ ). Let  $r = e$ ,  $Y = r \cup s$ . To check that  $Y$  has the required properties;  $Y$  misses one of  $F_1, F_2$  because  $s$  misses one of  $F_1, F_2$  and  $r$  misses both;  $r = e$  bounds no disk in  $A$  by construction; and  $Y \subset \text{Int } A$  because  $e \cup c \subset \text{Int } A$ . Finally, from (2.1), we can assume that  $s$  meets  $r$  only at a single point  $z$ .

Case two:  $e$  misses  $c$ . As before, we assume that  $c$  has Property  $\sim P(b)$ . Outline of proof: a) As usual we take  $c$  to be the union of arcs  $c_1, c_2$ ; let  $K_1$  be  $c_1$  plus those components of  $F_2$  which hit  $c_1$  and let  $K_2$  be  $c_2$  plus those components of  $F_1$  which hit  $c_2$ .  $K_1 \cup K_2$  is a component of  $c \cup F_1 \cup F_2$ . b) A 'Zoretti curve'  $r$  is constructed so that  $r$  misses  $c \cup F_1 \cup F_2$ , lies in  $\text{Int } A$ , encloses  $K_1 \cup K_2$ , and bounds no disk in  $A$ . c) Some care needs to be taken to attach the tail  $s$  to  $r$  so that  $s$  misses one of the  $F_i$ . Construct a disk  $d \subset \text{Int } A$  with centre on  $r$ , (see fig 12) so that  $d$  is big enough to hit  $K_1 \cup K_2$  but small enough to miss one  $F_i$ . This is managed by a careful choice of the  $\epsilon$  associated with the Zoretti curve. d) There is an arc  $s$  near  $K_1 \cup K_2 \cup d$  which has the required properties.

Details of proof.

a) Let  $K_1 = c_1$  plus those components of  $F_2$  which hit  $c_1$ . Let  $K_2 = c_2$  plus those components of  $F_1$  which hit  $c_2$ . We will show that  $K_1 \cup K_2$  is a component of  $c \cup F_1 \cup F_2$ . Let  $K$  be the component of  $c \cup F_1 \cup F_2$  which contains the connected set  $K_1 \cup K_2$ , and suppose

that some point  $p$  exists in  $K - (K_1 \cup K_2)$ . Then  $p$  lies in one of  $F_1, F_2$ , say  $F_1$ . Since  $p \notin K_1 \cup K_2$ , no component of  $F_1$  meets both  $p$  and  $c \cap F_1$ . By I(9.3) of [10], there is a separation of  $F_1$  into compacta  $U_1, U_2$  containing  $c \cap F_1$  and  $p$  respectively. Evidently  $U_2$  misses not only  $U_1$  but  $F_2$  and the whole of  $c$ ; thus  $U_1 \cup F_2 \cup c$  is a compactum disjoint from  $U_2$ , and there is therefore a separation of  $c \cup F_1 \cup F_2 = U_1 \cup U_2 \cup c \cup F_2$  into compacta containing  $c$  and  $p$  severally. This denies the assumption that  $p$  lies with  $c$  in a connected subset of  $c \cup F_1 \cup F_2$ .

b) Since  $x \in \text{Int } e$  and  $e$  misses  $c \cup F_1 \cup F_2$ , all of  $K_1 \cup K_2$  lies in  $\text{Int } e$  by the usual argument. Since  $K_1 \cup K_2$  is a component of  $c \cup F_1 \cup F_2$  we can construct a Zoratti curve  $r$  which misses  $c \cup F_1 \cup F_2$ , encloses  $K_1 \cup K_2$ , and lies within a distance  $\epsilon$  of  $K_1 \cup K_2$ . The following argument shows that  $r$  bounds no disk in  $A$ : since  $c$  bounds no disk in  $A$ ,  $\overline{\text{Int } c}$ , which is a disk, must meet points of  $E^2 - A$ . Since  $r$  encloses  $K_1 \cup K_2 \supset c$ , by (2.6)  $r$  encloses  $\overline{\text{Int } c}$ . Hence the unique disk bounded by  $r$  meets points not in  $A$ . To see that  $r \subset \text{Int } A$ : we saw that  $c$  encloses points of  $E^2 - A$ . These points cannot be in  $\text{Ext } m$  by (2.6), since  $m$  encloses  $\text{Int } A \supset c$ . Hence  $\text{Int } c$  meets  $\text{Int } \ell$ , and by a connectedness argument, since  $c$  misses  $\overline{\text{Int } \ell}$ ,  $\text{Int } c \supset \overline{\text{Int } \ell}$ . Since  $r$  encloses  $\text{Int } c$ ,  $r$  misses  $\overline{\text{Int } \ell}$ . Since  $r$  lies close to the compactum  $K_1 \cup K_2 \subset \text{Int } m$ ,  $r$  can be assumed to lie in  $\text{Int } m$ . Therefore  $r \subset \text{Int } m - \overline{\text{Int } \ell} = \text{Int } A$ .

c) We construct a (closed) disk of radius  $2\epsilon$  with centre anywhere on  $r$ . Clearly  $d$  will hit  $K_1 \cup K_2$ . We show that  $d \subset \text{Int } A$  by showing

that  $d \subset \text{Int } m$  and  $d$  misses  $\overline{\text{Int } \ell}$ . The distance  $\epsilon$  could have been chosen so that  $4\epsilon$  (i.e. the diameter of  $d$ ) is less than the distance separating  $c$  and  $\text{Int } \ell$ , and the distance separating  $K_1 \cup K_2$  and  $m$ ; and we assume that this was done (the last distance is positive because  $K_1 \cup K_2$  is compact and lies in  $\text{Int } m$ ). Since  $d$  hits  $K_1 \cup K_2$ ,  $d \subset \text{Int } m$  by the choice of  $\epsilon$ . If  $d$  hits  $\overline{\text{Int } \ell}$ , then  $d$  must also hit  $c$ , since points of  $d \cap r$  lie in the exterior of  $c$  which encloses  $\overline{\text{Int } \ell}$  as we saw. By the choice of  $\epsilon$ ,  $d$  cannot meet both  $c$  and  $\overline{\text{Int } \ell}$ ; thus  $d$  misses  $\overline{\text{Int } \ell}$ .

We also assume that  $\epsilon$  was chosen so that for  $i = 1, 2$ ,  $4\epsilon$  is less than the distance separating  $K_i$  from  $F_i$ . The disk  $d$  must hit one of  $K_1, K_2$ , say  $K_1$  (it does no harm if  $d$  hits both  $K_i$ ). Since  $d$  hits  $K_1$ ,  $d$  misses  $F_1$  since otherwise  $F_1$  would be closer to  $K_1$  than the diameter of  $d$ .

d) The continuum  $K_1 \cup d$  meets  $x$  and  $r$  and misses  $F_1$ . Using (2.5), let  $s$  be an arc in  $\text{Int } A$  which joins  $x$  and  $r$  and lies so near  $K_1 \cup d$  that  $s$  misses  $F_1$ . (note that although  $K_1$  may not miss  $\text{Bd } A$ , (2.5) provides that  $s$  misses  $\text{Bd } A$ ). To see that  $Y = r \cup s$  has the required properties:  $r \cup s \subset \text{Int } A$  by construction;  $Y$  misses one of  $F_1, F_2$  because  $s$  misses one  $F_i$  and  $r$  misses both. The circle  $r$  bounds no disk in  $A$  as we saw in b); and finally we can assume that  $s$  has end points  $x$  and  $z \in r$  with  $r \cap s = z$  by (2.1).

This completes the construction of  $Y$  assuming that  $x \in \text{Int } e$ .

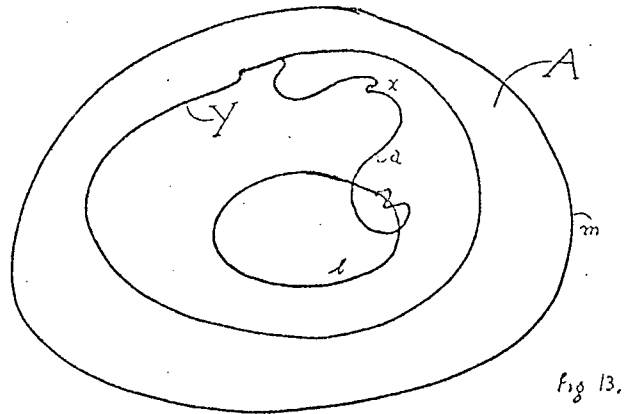


fig 13.

Construction of  $c'$ . We maintain the assumption that  $x \in \text{Int } e$  during this construction. We will first construct a continuum  $a \subset A$  which joins  $\overline{\text{Int } l}$  to  $x$  so that  $a$  meets  $Y$  only at  $x$ . As suggested by fig 13, the plane separation theorem can then be used to separate  $Y - x$  and  $\overline{\text{Int } l} \cup a - x$ . Construction of  $a$ : since  $r$  encloses  $c$ ,  $x \in \text{Int } r$ . Let  $Q$  be the open set  $\text{Int } r - s$ .  $Q$  is connected because  $s - r$  does not disconnect  $\text{Int } r$  ([10, VI(3.4)]). Evidently  $\text{Bd } Q = Y$ , and since each of  $r, s$  is a lc continuum, so is  $Y$ . Using (2.4), connect  $x$  to a point in  $\overline{\text{Int } l}$  by an arc  $a$  which lies in  $Q$  except for  $x$ . Since  $Y$  misses  $\overline{\text{Int } l}$ ,  $Y$  and  $\overline{\text{Int } l} \cup a$  are continua in  $\text{Int } m$  which meet only at the point  $x$ . We now use (4.41) to separate  $Y - x$  and  $(\overline{\text{Int } l} \cup a) - x$  by a circle  $c'$  which lies so close to  $Y$  that it misses one of  $F_1, F_2$ . Evidently  $c'$  must pass through  $x$  (since otherwise  $(\overline{\text{Int } l} \cup a) - x$  and  $Y - x$  are subsets of a connected set in  $E^2 - c'$ ). We know that  $c' \subset \text{Int } A$  because  $c'$  misses  $\overline{\text{Int } l}$  by construction and  $c'$  lies so near  $Y \subset \text{Int } m$  that  $c' \subset \text{Int } m$ . It remains to show that  $c'$  bounds no disk in  $A$ . To see this: we know that  $r$  encloses  $l$ . This means that  $c'$  cannot enclose  $r$ , since this would imply that  $\text{Int } c' \subset r \cup l$  (from (2.6)), whereas we know that  $c'$  separates  $r$  and  $l$ .

Thus  $\text{Ext } c' \supset r$  and  $\text{Int } c' \supset \ell$ . The fact that  $\text{Int } c' \supset \ell$  implies that  $c'$  bounds no disk in  $A$  by the usual argument.

The construction of  $c'$  is now complete except that the restriction  $x \notin \text{Int } e$  must be removed. Since the proof is easy if  $x \in e$ , we only look at the case that  $x \in \text{Ext } e$ . Since we know that  $A$  is homeomorphic to a nice annulus, it is easy to construct a homeomorphism  $\phi$  of  $A$  onto itself which exchanges  $\ell$  and  $m$ , i.e.  $\phi[\ell] = m$ ,  $\phi[m] = \ell$ . Then  $\phi[A \cap \text{Ext } e] = \text{Int } \phi[e] \cap A$  and  $\phi[A \cap \text{Int } e] = \text{Ext } \phi[e] \cap A$ , using the fact that  $A \cap \text{Ext } e$ ,  $A \cap \text{Int } e$  are connected to  $m$ ,  $\ell$  respectively in  $A - e$ . Then if  $x \in \text{Ext } e$ , apply earlier arguments to  $\phi[A]$ , using the fact that  $\phi(x) \in \text{Int } \phi[e]$ , etc.  $\square$ .

Theorem 7. Let  $A$ ,  $F_1$ ,  $F_2$  be defined as in Th 5 and Th 6 except that  $F_1$  bridges  $A$  while  $F_2$  does not. Let  $c$  be a simple closed curve in  $\text{Int } A$  which bounds no disk in  $A$  and contains the base point  $x$ . Then if  $c$  has Property  $\sim P$  with respect to  $x$ ,  $F_1$ ,  $F_2$ , there exists a simple closed curve  $c'$  which meets  $x$ , lies in  $\text{Int } A$ , bounds no disk in  $A$ , and misses  $F_2$ .

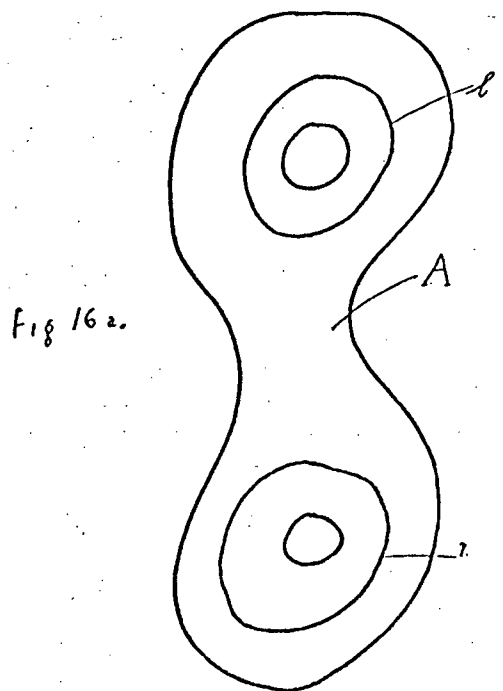
Th 7 is proved in the same way as Th 6. At first glance one might think that one of Th 6, Th 7 is stronger than the other; but in fact this is not true. If  $F_1$ ,  $F_2$  fail to bridge  $A$ , one might wish to add pieces to, say,  $F_1$  so that the enlarged  $F_1$  would bridge  $A$ ; this would obtain the conclusion of Th 7 which is stronger than that of Th 6 (since it predicts which  $F_1$  is hit by  $c'$ ). However it may not be possible to do this ( $F_1 \cup F_2$  might be a number of circles concentric with  $m$  in  $A$ ).

Proof of Th 7. Use (5.1) with  $F$  taken to be  $F_2$  to construct



a simple closed curve  $e$  which lies in  $\text{Int } A$ , misses  $F_2$ , and bounds no disk in  $A$ . If  $e$  meets  $x$ , then  $e$  is the required  $c'$ . If  $x \notin e$ , assume that  $x \in \text{Int } e$  as before. Since  $e$  bounds no disk in  $A$ ,  $e$  separates  $\ell$  and  $m$  by (2.7); in particular  $e$  meets some component  $k$  of  $F_1$  which hits both  $\ell$  and  $m$  (there must be at least one since  $F_1$  bridges  $A$ ). For similar reasons,  $c$  meets the same component  $k$ . Let  $y' \in k \cap e$ . Because  $c$  has Property  $\sim P$ , there is an arc  $b \subset c$  such that  $b$  misses one of  $F_1, F_2$  and connects a point of  $k$  to  $x$ . Since  $k \subset F_1$ , evidently  $b \cup k$  misses  $F_2$ . Since  $b \cup k$  is a continuum which connects  $y' \in e$  to  $x$  and misses  $F_2$  (as does  $e$ ), we can construct the lasso  $Y$  as in the proof of Th 6, reading  $b \cup k$  for  $c \cup d$  and  $e$  for  $r$ . In the proof of Th 6, the curve  $r$  misses  $F_1 \cup F_2$ , whereas here  $e$  misses just  $F_2$ ; however following the procedure of the proof of Th 6 will yield a lasso  $Y$  which misses  $F_2$ . The lasso  $Y$  is used to construct  $c'$  precisely as in the proof of Th 6, keeping in mind the fact that  $Y$  misses  $F_2$ , so that the resulting  $c'$  also misses  $F_2$ . The assumption that  $x \in \text{Int } c$  is removed just as in the proof of Th 6  $\square$ .

1. An upper semicontinuous decomposition  $G$  of  $E^3$  into compact sets (or simply a decomposition of  $E^3$ ) is a collection of disjoint compact sets  $\Lambda$  of  $E^3$  such that the union of the elements of the decomposition is  $E^3$ , and each element  $\Lambda \in G$  possesses a system of open neighbourhoods which are unions of elements of  $G$ . The decomposition space  $G$  associated with  $G$  is a topological space in which each point is as element  $\Lambda \in G$ , and the open sets are just those subsets of  $G$  the union of whose elements is open when considered as subset of  $E^3$ . Thus each point  $\Lambda$  of  $G$  has a system of neighbourhoods each of which is open 'both in  $G$  and in  $E^3$ '. One can use this intuitive idea to get a certain geometric grasp of the topology of  $G$  simply by remembering that 'some points are sets' and keeping an eye on the neighbourhoods; for example one often does geometry on a torus or Klein bottle by looking at the equivalent decomposition space of a rectangle 'with certain sides identified'. If an element  $\Lambda \in G$  contains more than one point of  $E^3$ , then  $\Lambda$  is called a big element of  $G$ . If  $\Lambda$  is a singleton, then  $\Lambda$  is a small element of  $G$ . In  $G$ , the corresponding points are called big and small points. The decompositions  $G$  in which we will be interested are all pointlike, which is to say that the complement of each  $\Lambda \in G$  is topologically equivalent to that of a point; in particular, each  $\Lambda$  is connected. We definitely assume some acquaintance with these ideas and do not regard the present text as an adequate introduction. The classical approach to decompositions and decomposition spaces may be found in Ch VII of [10]. Our approach will be more along the lines of [3, §6]. We will use two main classical results: i) an upper semicontinuous decomposition space (i.e. the decomposition space associated with an upper semicontinuous decomposition) of  $E^3$  is a separable metric space. ii) there is an



obvious way of expressing  $G$  as a quotient space. In this case the quotient topology turns out to be the decomposition space topology, and the canonical mapping  $\phi$  of the quotient space carries each  $\Lambda \in G$  onto the corresponding point  $\phi[\Lambda]$  in  $G$ . We will often write  $A^*$  for  $\phi[A]$  if  $A$  is a subset of  $E^3$ . In the sequel, 'decomposition space' will mean 'pointlike upper semi continuous decomposition space of  $E^3$ '.

An important question is: if  $G$  is a decomposition space, is  $G$  homeomorphic to  $E^3$ ? That  $G$  is homeomorphic to  $E^3$  is Wardwell's conjecture (in [8]) and is known to be false. R. H. Bing showed this in 1957 with a celebrated example ([12]) which reinforced everyone's worst prejudices against the analytic topology of  $E^3$ . In Bing's example, the dogbone space of our title, most of the elements of the decomposition are small. Each big element is a tame arc (so that the example refutes a very strong form of Wardwell's conjecture), and the big points in the decomposition space form a totally disconnected set.

Detailed construction of the dogbone decomposition.

We will describe an infinite sequence of compact sets whose elements intersect to form the set of big elements of the dogbone decomposition  $G$ . Our construction differs slightly from Bing's, but we assume an acquaintance with the original construction in [12] and will not prove, for example, that the various embeddings to be described can be assumed to be polyhedral.

Dogbone space takes its name from the distinctive shape of the double handlecube  $A$  depicted in fig 16a. We imagine  $A$  imbedded in  $E^3$ . A path  $\ell \subset \text{Int } A$ , which makes one circuit of the circle marked  $\ell$

fig 16 b.

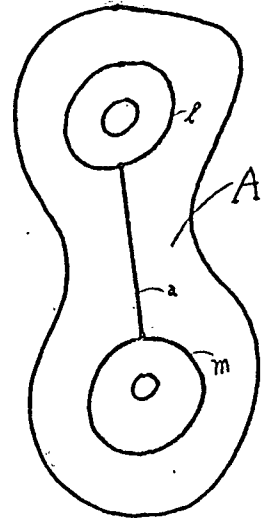


fig 17.

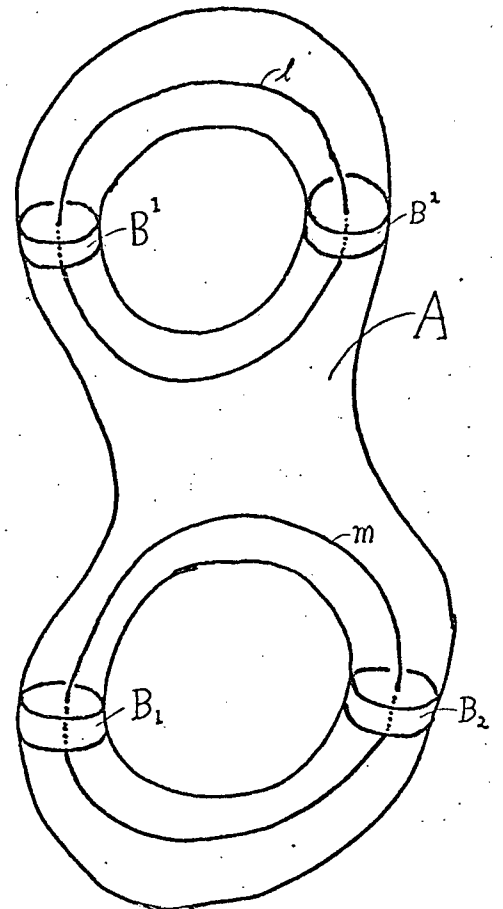
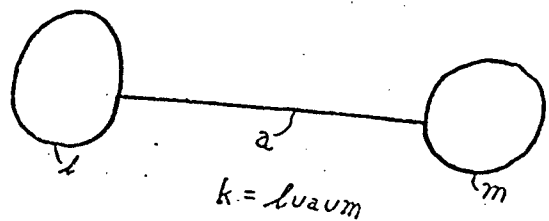


fig 18.



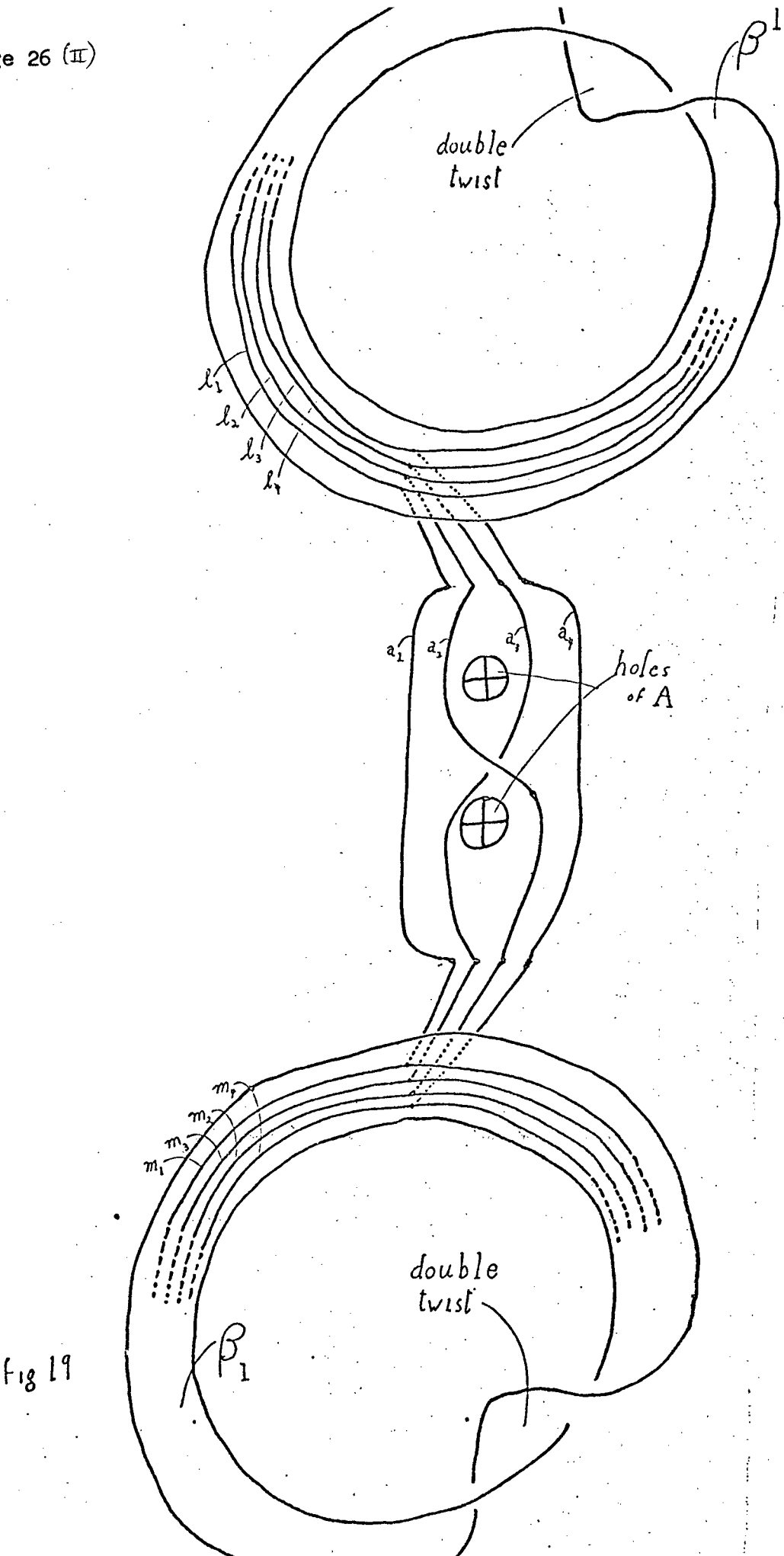


fig 19

in fig 16a is called the upper eye of  $A$ . A path  $m \subset \text{Int } A$  which makes one circuit of the curve marked  $m$  in the figure is called the lower eye of  $A$  (we imagine the dogbone placed vertically in  $E^3$  so that it makes sense to talk about 'upper' and 'lower' here). One could imagine  $A$  to be a closed  $r$ -neighbourhood of a planar double ended lasso consisting of the eyes  $\ell$  and  $m$  laid out as nice circles plus a straight connecting arc  $a$  (with  $r$  of course, taken sufficiently small, say less than one-third of the common diameter of the nice circles  $\ell$  and  $m$ ). We call  $\ell \cup m \cup a$  the centre of  $A$ . The centre of a dogbone will not be important in this chapter (but will be needed in Chapters III, IV). The idea of  $A$  as an  $r$ -neighbourhood of its centre  $k$  is introduced mainly to pin down the embedding of  $k$  in  $A$ ; we usually draw  $k$  and  $A$  as in fig 16b. Fig 17 shows four short solid cylinders  $B^1, B^2, B_1, B_2$ , which are subsets of  $A$  and cut into the eyes of  $A$  as the figure suggests. The removal of one of  $B^1, B^2$  and one of  $B_1, B_2$  from  $A$  leaves a set whose closure is a cube. A dogbone can be imagined in the topologically equivalent form of a thick double ended lasso as shown in fig 18. In a sense, we are pictorially confusing the dogbone with its centre. Let  $A_1, A_2, A_3, A_4$  be four dogbones embedded as shown in fig 19 by embeddings  $h_j: A \rightarrow A$ ,  $j = 1, 2, 3, 4$  so that the  $A_j = h_j[A]$  are mutually disjoint and lie in  $\text{Int } A$ . In fig 19, two double twisted bands  $\beta^1$  and  $\beta_1$  are placed so that  $\beta^1(\beta_1)$  lies in the interior of the upper (lower) component of  $A - B_1 - B_2 - B^1 - B^2$ . In the obvious way, the centre of  $A_j$  is called  $k_j$ ,  $j = 1, 2, 3, 4$ , with upper loop  $\ell_j$  and lower loop  $m_j$ . The  $\ell_j$  are placed so as to lie as parallels on  $\beta_1$ . The connecting arcs  $a_j$  are laid out in a peculiar

Toroidal Coordinates  $(\varphi, r, \theta)$ .

$(r, \theta)$  defines a point on the disk  $\delta$ . As  $\varphi$  increases in  $0 \leq \varphi \leq 2\pi$ ,  $\delta$  sweeps a toroid which is a figure of revolution about the planar circle  $C$ .

Fig 20.

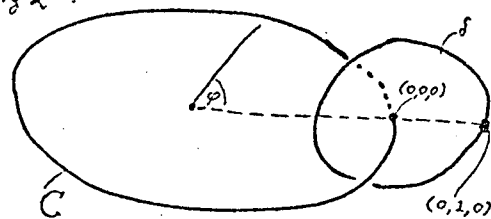
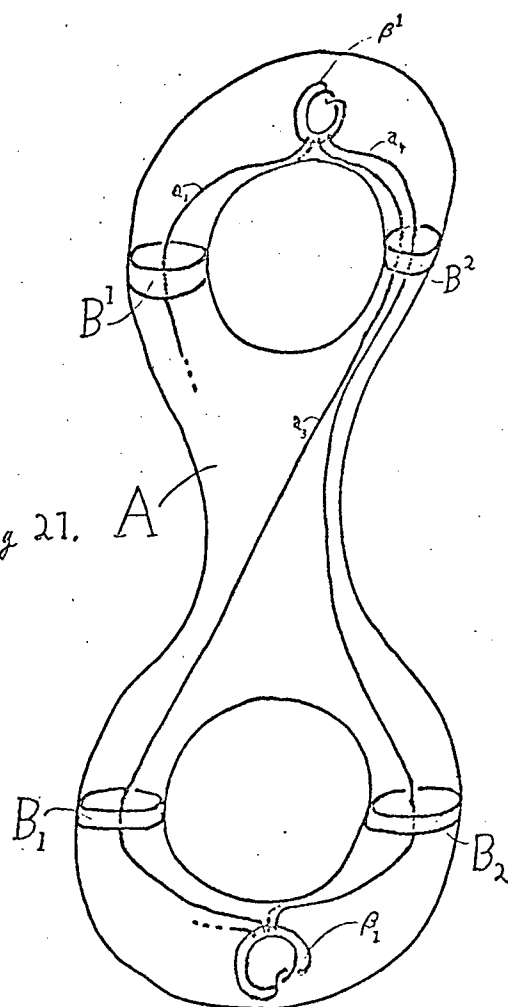


fig 21. A





way which is characteristic of the dogbone construction. Using toroidal coordinates (which we recall in fig 20), we could define  $\beta^1$  and  $\beta_1$  to be appropriate translations of the set  $r \leq 1$ ,  $\theta = \phi$  and thus construct a band with an even double twist. However the bands in the drawing are translations of the set

$$r \leq 1, \quad \theta = 0: \quad \pi/3 \leq \phi \leq 2\pi$$

$$r \leq 1, \quad \theta = 6\phi: \quad 0 \leq \phi \leq \pi/3$$

This gives a 'flatter' band and a better picture. Another concession to art appreciation is the placing of  $\beta^1$  and  $\beta_1$  so that their 'flat' parts lie on the plane of  $k$ . This necessitates a right angled bend in the  $a_j$  near  $\beta^1$  and again near  $\beta_1$ . The additional conditions are imposed on  $a_j$  that  $a_j$  misses  $\beta^1 \cup \beta_1$  except at  $a_j \cap \ell_j$  and  $a_j \cap m_j$ , and that the part of  $a_j$  lying within a distance  $\varepsilon$  of  $\beta^1(\beta_1)$  consists of a single straight arc perpendicular to  $\beta^1(\beta_1)$ . Note that the order of  $\ell_j$ 's on  $\beta^1$  is  $\ell_1, \ell_2, \ell_3, \ell_4$  while the order of  $m_j$ 's on  $\beta_1$ , due to the unusual embedding of the  $A_j$ , is  $m_1, m_3, m_2, m_4$ . The  $B_i$  and  $B^i$  locate the  $A_j$  in the following way (see fig. 21):

$$A_1 \text{ lies in } \text{Int}(A - B^2 - B_2)$$

$$A_2 \text{ lies in } \text{Int}(A - B^2 - B_1)$$

$$A_3 \text{ lies in } \text{Int}(A - B^1 - B_2)$$

$$A_4 \text{ lies in } \text{Int}(A - B^1 - B_1)$$

The closure of the component of  $A - B^1 - B^2 - B_1 - B_2$  which contains  $\beta^1(\beta_1)$  is called  $K_1(K_2)$ . Finally we let  $A_1 \cup A_2 \cup A_3 \cup A_4 = \mathcal{A}_1$ .

Now since each dogbone  $A_j$  is homeomorphic to  $A$ , we can embed four dogbones  $A_{j1}, A_{j2}, A_{j3}, A_{j4}$  in each  $A_i$  just as the  $A_j$  are embedded in  $A$ . We could write  $A_{jk} = h_j h_k[A]$ . The union of the 16  $A_{jk}$ ,  $j, k$ , chosen from 1, 2, 3, 4, is called  $\mathcal{A}_2$ . The construction proceeds as in [12] with the definition of  $64 A_{jkl} = h_j h_k h_\ell[A]$  where  $h_j h_k h_\ell$  embeds  $A$  in  $A_{jk}$  just as  $A_\ell$  is embedded in  $A$ . The union of the 64  $A_{jkl}$  is called  $\mathcal{A}_3$ . The construction proceeds in this way, defining at each  $m$ -th stage  $4^m$  dogbones whose union is  $\mathcal{A}_m$ . Let the intersection  $A \cap \mathcal{A}_1 \cap \mathcal{A}_2 \cap \mathcal{A}_3 \cap \dots = A_0$ . The components of  $A_0$  are compact and are defined to be the big elements of  $G$  while the remaining points of  $E^3$  are the small elements. The dogbone space  $\mathcal{D}$  is the associated decomposition space of  $G$ .

Remark 1. In  $k_1 \cup \dots \cup k_4$ , each upper (lower) eye fails to shrink to a point in the complement of any other upper (lower) eye. This is easily checked using, say, Ch XV of [6].

Remark 2. We are sure that the construction of  $\mathcal{D}$  here is the same as that given by Bing in [12]. In the Appendix we show a deformation of the upper part of  $k_1 \cup \dots \cup k_4$  to look like the upper part of Bing's construction. We think that the reader will see the plausibility, but we give no strict proof that our embedding of  $A_1 \cup \dots \cup A_4$  is the same as the corresponding embedding in [12], and our attitude in this paper will be that Dogbone space has been redefined.

Remark 3. We know little about the  $h_j$  except that they embed  $A$  in certain ways. We cannot, for example be sure of the location of the  $64 h_j h_k h_\ell[k]_A$ . However the various subsets of  $A_{jkl}$  are images of sub-

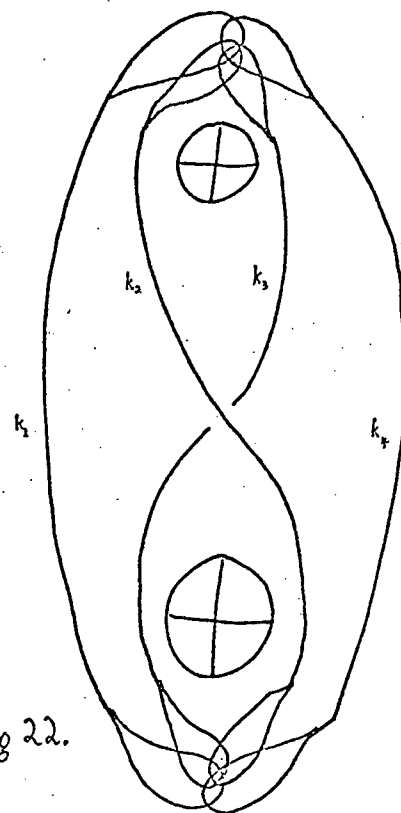


Fig 22.

sets of  $A_{jkl}$  are images of subsets of  $A$  and continue to be related to each other in all the ways which are preserved by a homeomorphism of  $A$ ; and we will usually apply results obtained for  $A$  to any  $A_{jk\dots r}$  without further justification. Note that  $k_j$  has a property which is not preserved by homeomorphism:  $a_j$  is perpendicular to  $\beta^1$  or  $\beta_1$  wherever it lies near these sets. This property is lost after the first stage of the dogbone construction. This does not prevent the construction of  $\mathcal{D}$ , but further comment will be required when we use the property in Chapters III and IV.

Remark 4. Partly out of adherence to the traditional representation in [3] and partly because the use of  $\beta^1$  and  $\beta_1$  will not become apparent until Ch III (apart from the fact that they cause the eyes to link together) we will often use the picture in fig 22 to describe the embedding of  $k_1 \cup \dots \cup k_4$  in  $A$ . We will use pictures like fig 22 in which the crossovers of the links are ignored, whenever the exact manner of linking is unimportant. In this chapter, the only thing which needs to be kept in mind concerning the linking of the  $\ell_j$  and  $m_j$  is that no  $\ell_j(m_j)$  will shrink to a point in the complement of any other  $\ell_j(m_j)$ . Another pictorial abbreviation shown in fig 22 is the omission of much of the boundary of  $A$ , even though the figure purports to describe the embedding of the four centres in  $A$ . As in fig 22, we will often show only the holes of  $A$  which will be represented by the symbol  $\oplus$ .

Intuitively it often helps to see a decomposition space as  $E^3$  with certain sets identified. One typically finds the small elements distributed so that it is easy to define a neighbourhood system for the

big elements. Thus a lot can be learned about the topology of the decomposition space by looking at elements of the associated decomposition. However if we try to approach  $\mathcal{D}$  in this way, we find that the components of  $A_0$ , which constitute the big elements of  $G$ , are hard to see. To find a big element, note that each big element of  $G$  is the limit of a sequence of dogbones  $A, A_j, A_{jk}, \dots$ . Evidently each big element may be specified by an infinite sequence  $j, k, \dots$  of integers chosen from  $1, 2, 3, 4$ ; and the  $A, A_j, A_{jk}, \dots$  constitute a neighbourhood system of this big element. Because  $A$  is compact, we know that if  $\Lambda$  is a big element of  $G$ , then if  $\Lambda$  lies in an open set  $V$ , some member  $A_{jk\dots r}$  of the neighbourhood system lies in  $V$ . (see I, 7.2 of [10]). It is known that each big element of  $G$  is a tame arc (see [12, §2]). The canonical mapping  $\phi$  is a local homeomorphism near small elements of  $G$  (because  $A_0$  is compact) but not of course in general. The fact that  $\phi$  is monotone means that  $\phi^{-1}$  preserves connectedness (VIII (2.2) of [10]). Simple connectivity properties are more complicated. As will appear later, any open set  $V^* \subset \mathcal{D}$  which lies in  $A^*$  and contains a big point of  $\mathcal{D}$  cannot be simply connected. We must expect a proof of this property to be delicate since it is known that  $\mathcal{D}$  is locally simply connected. ([5]). (Roughly, what happens is that any mapping of  $S^1$  into small neighbourhood  $V^*$  of a big point of  $\mathcal{D}$  will shrink to a point in the second smallest dogbone which contains  $V^*$ . Thus one can satisfy the definition of 'locally simply connected' by taking a smaller neighbourhood  $V^*$  although  $V^*$  itself will never be simply connected.)

For the rest of this section we will prove a result which relates simple connectivity in  $\mathcal{D}$  to the same property in  $E^3$ . A mapping

$f$  of  $S^1$  into a space  $X$  shrinks to a point in  $X$  iff  $f$  is homotopic in  $X$  to a constant mapping or represents the identity in  $\pi_1(X)$  for an appropriate base point. A third equivalent statement is: consider  $S^1$  to be the boundary of a disk  $\Delta$ : then  $f:S^1 \rightarrow X$  shrinks to a point iff  $f$  can be extended to a mapping  $\bar{f}$  of  $\Delta$  into  $X$ .

(1.1). Let  $V^*$  be an open set in  $\mathcal{D}$ . If  $f:S^1 \rightarrow V^*$  so that  $\text{rng } f$  consists of small points, then  $\phi^{-1}f$  will shrink to a point in  $V$ , where  $\phi$  is the canonical mapping of  $E^3$  onto  $\mathcal{D}$ .

Corollary: if  $V^*$  is simply connected then so is  $V$ .

We can use this result to examine sets  $V^*$  which we suspect not to be simply connected, by looking at the associated  $V \subset E^3$ . The result (1.1) and its corollary are not new and are particular cases of Lemma 1 of [2]. The proof of (1.1) introduces methods which will recur frequently in the sequel, and we will complicate the (pretty easy) proof slightly by introducing more generality in the method than is needed for the present argument.

Outline of proof. a) Assume that  $f$  maps the boundary of a disk  $\Delta$  into  $V^*$ . Since  $f$  shrinks to a point, there is a mapping  $\bar{f}:\Delta \rightarrow V^*$  such that  $\bar{f}|_{\text{Bd}\Delta} = f$ . Recall that  $A_0^*$  is the union of the big points of  $\mathcal{D}$ . The set  $f^{-1}[A_0^*]$  is compact. Let  $Q$  be a disk with holes such that  $Q \subset \Delta$ , the outer boundary of  $Q$  is  $\text{Bd}\Delta$ , and the (open) holes of  $Q$  contain  $f^{-1}[A_0^*]$ . b) The mapping  $\bar{f}$  maps  $Q$  into small points of  $\mathcal{D}$ ; thus  $\phi^{-1}\bar{f} = \bar{f}'$  on  $Q$ . Let the (open) holes of  $Q$  be  $u_1, \dots, u_n$ . For each  $u_r$  extend  $\phi^{-1}\bar{f}|_{\text{Bd } u_r}$  to a mapping  $\gamma_r$  into  $V$  by shrinking  $\phi^{-1}\bar{f}|_{\text{Bd } u_r}$  to a point in a certain cube in  $V$ .

c) Glue the  $\gamma_r$ ,  $r = 1, \dots, n$ , to  $\phi^{-1}\bar{f}|_Q$  to form a mapping of  $\Delta$  into  $V$ .

Details of proof. a) We know that  $\bar{f}: \Delta \rightarrow V^*$  so that  $f = \bar{f}|_{Bd\Delta}$ . Since  $A_0$  is compact, so is  $A_0^*$  and  $\bar{f}^{-1}[A_0^*]$ . Note that  $\bar{f}^{-1}[A_0^*]$  misses  $Bd\Delta$  because  $\bar{f}[Bd\Delta]$  consists of small points, from the hypothesis. To obtain the disk with holes  $Q$ , we use the following result which will be needed several times in the sequel.

(1.2) Let  $\Delta$  be a disk in  $E^2$  and  $S$  a compact set in  $\Delta$ . Then there exist  $n$  disks  $W_1, \dots, W_n$  such that  $W_r \subset \Delta$  and

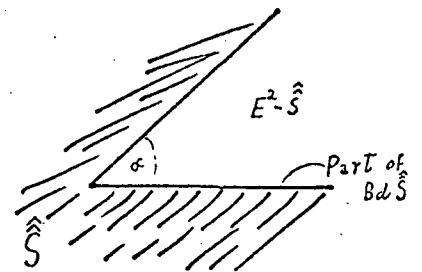
- i)  $W_r \cap W_s = \emptyset$ ,  $r \neq s$ .
- ii)  $S \subset W_1 \cup \dots \cup W_n$ .
- iii) Each point of  $Bd W_r$  lies withing a positive distance  $\varepsilon$  of  $S$ .
- iv) If  $S$  misses  $Bd\Delta$ , then  $S \subset \text{Int } W_1 \cup \text{Int } W_2 \cup \dots \cup \text{Int } W_n$ , and  $\Delta - \text{Int } W_1 - \dots - \text{Int } W_n$  is a disk with holes. If  $S$  hits  $Bd\Delta$ , then  $S$  misses  $Bd W_r - Bd\Delta$  for each  $r = 1, \dots, n$ .

Proof of (1.2). We can assume that  $S \neq \emptyset$  and that  $\Delta$  has the form of an equilateral triangle. Triangulate  $\Delta$  into a finite number of 2 - simplexes (i.e. closed triangular disks) whose diameter is less than  $\varepsilon/2$ , and whose edges are parallel to the three sides of the big triangle  $\Delta$ . Note that the three vertices of  $\Delta$  each belong to one 2 - simplex only so that the three vertices of  $\Delta$  cannot be cut points of any union of 2 - simplexes. The only properties of the 2 - simplexes which will be used are that each 2 - simplex has an edge of length less than  $\varepsilon/2$ , and if two 2 - simplexes meet, they meet either along the whole of one edge or only at a vertex. Let  $\hat{S}$  be the finite union of those 2 - simplexes

which meet  $S$ . Evidently  $\hat{S}$  is lc and each component of  $\hat{S}$  is a lc continuum. For later reference, note that  $S$  cannot meet  $\text{Bd } \hat{S}$  at a point interior to  $\Delta$ ; for assume that  $S$  meets  $\text{Bd } \hat{S}$  at a vertex  $v \in \text{Int } \Delta$ . Then by construction of  $\hat{S}$ , the entire star of  $v$  lies in  $\hat{S}$  and  $v \notin \text{Bd } \hat{S}$ .  $S$  cannot meet  $\text{Bd } \hat{S}$  at the interior of an edge in  $\text{Int } \Delta$  by a similar argument. We alter  $\hat{S}$  to a set  $\hat{\hat{S}}$  which has no cut points in this way: a cut point of  $\hat{S}$  cannot lie in the interior of a 2-simplex in  $\hat{S}$ , nor in the interior of an edge belonging to one 2-simplex, nor in the interior of an edge belonging to two 2-simplexes. Thus the cut points of  $\hat{S}$  are a (finite) subset of the vertexes. Let the cut points be  $t_1, \dots, t_k$ , and cover each  $t_s$  with a set  $b_s$ ,  $s = 1, \dots, k$ , which is a disk of radius  $\epsilon/6$  and centre  $t_s$  if  $t_s \in \text{Int } \Delta$ ; and is a semi-disk of the same centre and radius if  $t_s$  lies on  $\text{Bd } \Delta$  and is not a vertex of the big triangle  $\Delta$  (thus  $b_s$  is a 'disk relative to  $\Delta$ '). We do not define  $b_s$  for the three remaining points of  $\Delta$  since these points are never cut points of  $\hat{S}$ . Note that the  $b_s$  are disjoint. Define  $\hat{\hat{S}}$  to be  $\hat{S} \cup b_1 \cup \dots \cup b_k$ . It will turn out that the  $\text{Bd } W_r$  are some of the components of  $\text{Bd } \hat{\hat{S}}$ . We know the following facts about  $\hat{\hat{S}}$ : the components of  $\hat{\hat{S}}$  are lc continua and are consequently bounded apart. Every point of  $\hat{\hat{S}}$  (in particular every point of  $\text{Bd } \hat{\hat{S}}$ ) lies within a distance  $\epsilon$  of  $S$ ; the boundary of  $\hat{\hat{S}}$  consists of the union of a finite number of straight arcs (which are either edges of 2-simplexes or edges minus the interior of one or two  $b_s$ ) and a finite number of segments of circles (i.e. proper subsets of various  $\text{Bd } b_s$ ). Such a subset is precisely  $\text{Bd } b_s - \text{Bd } \Delta$  intersected with a connected subset of  $\text{St } t_s$ ; a suitable upper bound for the number of segments is the number of  $b_s$ .

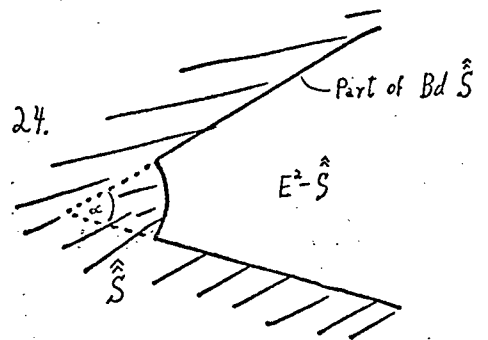


fig 23.



The angle  $\alpha$  may  
be  $r\pi/3$ ;  $r=1,2,3,4,5$ .

fig 24.



times the number of subsets of 2 - simplexes). Two straight arcs in  $Bd S$  meet as in fig 23; a straight arc meets a segment as in fig 24. Segments never meet because the  $b_s$  are disjoint. Evidently  $\hat{S}$  has no cut point on its boundary and hence no cut point at all. Let the components of  $\hat{S}$  be  $W'_1, \dots, W'_m$ . These will be reordered so that the first  $n$  components will lie in the disks required in (1.2). Since each  $W'_a$ ,  $a = 1, \dots, m$ , is a lc continuum with no cut point, by IV (9.3) and VI (2.5) of [10], the unbounded complementary domain of  $W'_a$  is bounded by a simple closed curve which will be called  $c_a$ . Evidently  $c_a \subset W'_a$ . Reorder the  $W'_a$  and corresponding  $c_a$  so that  $c_1, \dots, c_n$  are contained in the interior of no other circle  $c_a$ , while each of  $c_{n+1}, \dots, c_m$ , if they exist, is contained in the interior of a  $c_a$ . Let  $W_a = \text{Int } c_a$ ,  $a = 1, \dots, m$ . We will show that  $W_1, \dots, W_n$  satisfy i), ii), iii), iv) of the statement of (1.2).

Proof of i). If  $r \neq s$  then neither of  $c_r, c_s$  is contained in the interior of the other. Then  $\overline{\text{Int } c_r} \cap \overline{\text{Int } c_s} = W_r \cap W_s = \emptyset$  by I(1.6).

Proof of ii).  $S \subset \hat{S} = W'_1 \cup \dots \cup W'_m$ . Each  $W'_a \subset \overline{\text{Int } c_a}$ ,  $a = 1, \dots, m$ , since  $\text{Ext } c_a$  is the unbounded complementary domain of  $W'_a$ . Hence  $S \subset W_1 \cup \dots \cup W_m$ . And in fact  $S \subset W_1 \cup \dots \cup W_n$  because for  $n+1 \leq a \leq m$ ,  $c_a$  lies in some  $\text{Int } c_r$ ,  $r = 1, \dots, n$ , and by I(1.6),  $\text{Int } c_a = W_a \subset W_r$ .

Proof of iii). Each  $Bd W_r$  is a  $c_r \subset Bd \hat{S}$ , and we saw earlier that all of (the closed set)  $\hat{S}$  lies near  $S$ .

Proof of iv). Take  $\varepsilon$  less than the distance from (compact)  $S$  to  $Bd\Delta$  if  $S$  misses  $Bd\Delta$ . The rest follows from the definition of a disk with holes, from i), and from the fact that a point of  $Bd\hat{S}$  and hence a point of  $Bd\hat{S}$  misses  $S$  wherever it lies in  $Int\Delta$   $\square$ .

We now return to a) in the proof of (1.1). Since  $f^{-1}[A_0^*]$  is compact, from (1.2) there are disks  $W_1, \dots, W_n$  which lie in  $\Delta$  and such that  $f^{-1}[A_0^*] \subset W_1 \cup \dots \cup W_n$  and each  $Bd W_r$  lies within a distance  $\varepsilon$  of  $f^{-1}[A_0^*]$ . Since  $f^{-1}[A_0^*]$  misses  $Bd\Delta$ , by (1.2)iv, the set  $\Delta - Int W_1 - \dots - Int W_n = Q$  is a disk with holes. Let  $u_r$  be the 'holes' of  $Q$ ,  $r = 1, \dots, n$ , i.e.  $u_r = Int W_r$ .

b) Since  $\bar{f}^{-1}[A_0^*]$  lies in the holes  $u_r$  of  $Q$ ,  $\bar{f}[Q]$  consists only of small points. Thus  $\phi^{-1}$  is a well defined mapping when restricted to  $\bar{f}[Q]$  and  $\phi^{-1}\bar{f}|_Q$  maps  $Q$  into  $V \subset E^3$ . We now find cubes in which to shrink  $\phi^{-1}\bar{f}|_{Bd u_r}$ ,  $r = 1, \dots, n$ . Since  $\bar{f}[\Delta] \cap A_0^*$  is compact and the dogbones (considered as sets in  $\mathcal{D}$ ) evidently form a neighbourhood system of  $A_0^*$ , there is a covering of  $f[\Delta] \cap A_0^*$  by a finite number of dogbones  $J_1^*, \dots, J_q^*$  each of which lies in  $V^*$ . Look at the corresponding  $J_1, \dots, J_q$  in  $E^3$ . If  $J_s$ ,  $s = 1, \dots, q$ , belongs to the  $m$ th stage of the dogbone construction, define  $J_{s1}, J_{s2}, J_{s3}, J_{s4}$  to be the four dogbones of the  $m+1$ st stage lying in  $J_s$ . Note that since  $J_s \subset V$ , each  $J_{sj}$  lies in a cube  $M_{sj}$  which is a subset of  $J_s$  and hence of  $V$  (if  $J_s$  were the dogbone  $A \subset V$  then  $J_{s1} \subset M_{s1} = A - B^2 - B_2$ ,  $J_{s2}$  lies in  $M_{s2} = A - B^2 - B_1$  etc.) Now in a) above, we could have chosen  $\varepsilon$  so small that each  $\bar{f}[Bd W_r] = \bar{f}[Bd u_r]$  lies in some  $J_{sj}^*$  (for  $\mathcal{D}$  is a separable metric

space, and there is a minimum distance in the dogbone metric separating the compact set  $\bar{f}[\Delta] \cap A_0^*$  from the complement of the union of the  $J_{sj}^*$ ). Clearly  $\phi^{-1}\bar{f}[\text{Bd } u_r]$  is defined and lies in the union of the  $J_{sj}$ . We can assume the  $J_{sj}$  are disjoint because we could have removed from the covering  $J_1, \dots, J_q$  any  $J_s$  which was contained in any other member of the covering. Since  $\phi^{-1}\bar{f}[\text{Bd } u_r]$  is connected and the closed sets  $J_{sj}$  are separated,  $\phi^{-1}\bar{f}[\text{Bd } u_r]$  lies entirely in some one  $J_{sj}$  and  $\phi^{-1}\bar{f}|_{\text{Bd } u_r}$  shrinks to a point in  $M_{sj} \subset V$ . Thus there is an extension  $\gamma_r$  of  $\phi^{-1}\bar{f}|_{\text{Bd } u_r}$  to all of  $\bar{u}_r$ , i.e.  $\gamma_r: \bar{u}_r \rightarrow M_{sj} \subset V$  and  $\gamma_r|_{\text{Bd } u_r} = \phi^{-1}\bar{f}|_{\text{Bd } u_r}$ .

c) In view of the set-theoretic definition of function we can express the idea of 'mappings glued together' by unions of mappings. Consider the union  $\phi^{-1}\bar{f}|_Q \cup \gamma_1 \cup \dots \cup \gamma_n$ . This is a well-defined mapping of  $Q \cup \text{dom } \gamma_1 \cup \dots \cup \text{dom } \gamma_n = Q \cup u_1 \cup \dots \cup u_n$  into  $V$  because each mapping in the union has its image in  $V$  and because where the domains intersect the intersection is closed and the mappings agree on the intersection; in fact every point of domain intersection occurs on a  $\text{Bd } u_r$  where we know that  $\gamma_r$  agrees with  $\phi^{-1}\bar{f}|_{\text{Bd } u_r}$  by construction of  $\gamma_r$ . Finally we note that the new mapping  $\phi^{-1}\bar{f}|_Q \cup \gamma_1 \cup \dots \cup \gamma_n$  agrees with  $\phi^{-1}\bar{f}$  on  $\text{Bd } \Delta \subset Q$  and is thus a homotopy which shrinks  $\phi^{-1}\bar{f}$  to a constant mapping into  $V$ . This completes the proof of (1.1)  $\square$ . We will record the argument in this paragraph as a separate result.

(1.3). Let  $\Delta, W_1, \dots, W_n$  be defined as in (1.2) including the fact that  $\Delta - \text{Int } W_1 - \dots - \text{Int } W_n$  is not necessarily a disk with holes.

Let  $g: \Delta - \text{Int } W_1 - \dots - \text{Int } W_n \rightarrow E^3$ . Then each  $g|_{\text{Bd } W_r}$  is defined; and if  $g|_{\text{Bd } W_r}$  shrinks to a constant mapping in a space  $P_r$ ,  $r = 1, \dots, n$ , then there is a mapping of  $\Delta$  into  $\text{rng } g \cup P_1 \cup \dots \cup P_n$ . In particular,  $g|_{\text{Bd } \Delta}$  will shrink to a point in  $\text{rng } g \cup P_1 \cup \dots \cup P_n$ .

Proof: The argument of c) in the proof of (1.1) is used and is valid even if  $\Delta - \text{Int } W_1 - \dots - \text{Int } W_n$  is not a disk with holes. It is easy to see that  $\text{Bd } \Delta \subset \Delta - \text{Int } W_1 - \dots - \text{Int } W_n$  since  $W_r \subset \Delta$ ; then  $g$  is defined on  $\text{Bd } \Delta$ . Since  $\text{Bd } W_r \subset \Delta$ ,  $g|_{\text{Bd } W_r}$  is always defined  $\square$ .

Proof of the Corollary to (1.1). Let  $\psi: S^1 \rightarrow V$ . If  $V^*$  is simply connected, we can use (1.1) to show that  $\psi$  shrinks to a point in  $V$  only if  $\text{rng } \psi$  misses  $A_0$ . Evidently in order to apply (1.1), it

is sufficient to show that  $\psi$  is homotopic in  $V$  to a mapping

$\psi': S^1 \rightarrow V - A_0$ . We use ' $\approx$ ' to mean 'is homotopic in  $V$  to'.

To construct  $\psi'$ : using an argument like that of b) in the proof of (1.1), cover  $\psi[S^1] \cap A_0$  with dogbones  $J_1, \dots, J_q$  which are disjoint and lie in  $V$ . Dogbones  $J_{sj}$ ,  $j = 1, 2, 3, 4$ , are defined just as in b) of (1.1) so that  $\bigcup_{rj} J_{rj}$  covers  $\psi[S^1] \cap A_0$  and each  $J_{sj}$  lies in a cube  $M_{rj} \subset V$  (the construction of the  $J_{sj}$  here is not identical to that of the  $J_{sj}$  in b) of (1.1), but the construction here is easier since we need not consider sets in  $\mathcal{D}$ ). We assume that some point  $z$  exists in  $\psi[S^1] \cap (E^3 - \bigcup_{sj} \text{Int } J_{sj})$ , for otherwise, since  $S^1$  is connected and the  $\text{Int } J_{sj}$  are separated,  $\text{rng } \psi$  lies in one  $J_{sj} \cup M_{sj}$ , and the proof is concluded by shrinking  $\psi$  to a point in  $M_{sj} \subset V$ . Now choose  $\delta > 0$  so that if  $x$  and  $y$  are closer together on  $S^1$  than the distance  $\delta$ , then  $\psi(x)$  and  $\psi(y)$  are closer together than the

distance from  $\psi[S^1] \cap A_0$  to  $E^3 - \bigcup_{sj} J_{sj}$  (remember that  $\bigcup_{sj} J_{sj}$  is a neighbourhood of  $\psi[S^1] \cap A_0$  so that this distance is positive). If every point of  $S^1$  lies closer than  $\delta$  to  $\psi^{-1}[E^3 - \bigcup_{sj} J_{sj}]$ , then by the definition of  $\delta$  no point of  $S^1$  maps under  $\psi$  into  $A_0$ , and we can let  $\psi' = \psi$ . If some point of  $S^1$  fails to lie within  $\delta$  of  $\psi^{-1}[E^3 - \bigcup_{sj} J_{sj}]$ , then there is an open interval;  $e_1'$  in  $\psi^{-1}[\bigcup_{sj} \text{Int } J_{sj}]$  such that the length of  $e_1'$  is greater than  $\delta$ . Let  $e_1$  be the largest open interval such that  $e_1' \subset e_1 \subset \psi^{-1}[\bigcup_{sj} \text{Int } J_{sj}]$ . Then  $\bar{e}_1$  is a closed interval of length greater than  $\delta$  whose end points  $p_1$  and  $q_1$  map into  $\text{Bd } \bigcup_{sj} J_{sj}$  by the usual continuity argument. (Since  $S^1$  is a circle, we must make an easy allowance for the possibility that  $\psi(p_1) = \psi(q_1) = z$ .) Because the  $J_{sj}$  are separated and  $\psi[\bar{e}_1]$  is connected,  $\psi[\bar{e}_1]$  lies in the interior of just one  $J_{sj}$  which we will call  $R_1$ , while  $\psi(p_1)$  and  $\psi(q_1)$  lie in  $\text{Bd } R_1$ . Define the mapping  $\psi_1: S^1 \rightarrow E^3$  so that  $\psi = \psi_1$  on  $S^1 - e_1$  while  $\psi_1|_{\bar{e}_1}$  is a path in (connected)  $\text{Bd } R_1$  with end points  $\psi(p_1)$  and  $\psi(q_1)$  (this is well defined because  $p_1$  and  $q_1$  map into  $\text{Bd } R_1$  under  $\psi$ ). Both  $\psi$  and  $\psi_1$  are paths in  $V$  and  $\psi|_{\bar{e}_1} \approx \psi_1|_{\bar{e}_1}$  because they share end points and both map into the same cube  $M_{sj} \supset R_1$  with  $M_{sj} \subset V$ . Evidently  $\psi \approx \psi_1$ . Since  $\text{rng } \psi_1|_{\bar{e}_1} \subset \text{Bd } R_1 \subset E^3 - A_0$ , the homotopy has moved images of points in  $e_1$  away from  $A_0$ . We now look for an open interval  $e_2'$  in  $S^1 - \bar{e}_1$  where  $e_2'$  is of length greater than  $\delta$  and such that  $e_2'$  maps into  $\bigcup_{sj} \text{Int } J_{sj}$  under  $\psi_1$  (and in fact under  $\psi$ , since  $\psi = \psi_1$  on  $S^1 - e_1$ ). If  $e_2'$  does not exist, let  $\psi_1 = \psi'$ . If  $e_2'$  exists, then there is an open interval  $e_2$  of maximal length such that  $e_2' \subset e_2 \subset S^1 - \bar{e}_1$  and  $\psi_1[e_2] \subset \bigcup_{sj} \text{Int } J_{sj}$ . The end points  $p_2, q_2$  of  $e_2$  map under  $\psi_1$  into  $E^3 - \bigcup_{sj} \text{Int } J_{sj}$ , either because of the maximality of  $e_2$  if the

end point is in  $S^1 - \bar{e}_1$ , or, if the end point is in  $\text{Bd}(S^1 - \bar{e}_1) = \text{Bd } e_1$ , because  $\psi[\text{Bd } e_1] \subset \text{Bd } R_1$ . By a continuity argument,  $\psi_1[e_2]$  lies in the interior of some one  $J_{sj}$  called  $R_2$  and  $\psi_1(p_2)$  and  $\psi_1(q_2)$  lie in  $\text{Bd } R_2$ . Define  $\psi_2: S^1 \rightarrow E^3$  so that  $\psi_2$  agrees with  $\psi_1$  on  $S^1 - e_2$  (note that this means that  $\psi_2$  agrees with  $\psi$  on  $S^1 - e_1 - e_2$ ), and so that  $\psi_2|_{e_2}$  is a path in  $\text{Bd } R_2$  with end points  $\psi_1(p_2)$  and  $\psi_1(q_2)$ . By a previous argument,  $\psi_2 \approx \psi_1 \approx \psi$ . Note that the fact that  $\psi_2 = \psi$  on  $S^1 - e_1 - e_2$  means that  $\psi_2 = \psi$  on the end points of both  $e_1$  and  $e_2$ .

In general, suppose that mappings  $\psi_1 \approx \dots \approx \psi_{r-1}$  of  $S^1$  into  $V$ , intervals  $e_1, \dots, e_{r-1}$  and components  $R_1, \dots, R_{r-1}$  of  $\bigcup_{sj} J_{sj}$  have been defined so that each  $e_s \subset S^1 - \bar{e}_1 - \dots - \bar{e}_{s-1}$ ,  $s = 1, \dots, r-1$ , and for each  $\psi_s$ ,  $\psi_s = \psi_{s-1}$  on  $S^1 - e_s$ ,  $\psi_s[\bar{e}_s] \subset \text{Bd } R_s \subset E^3 - A_0$ . Now look for an open interval  $e'_r \subset S^1 - \bar{e}_1 - \bar{e}_2 - \dots - \bar{e}_{r-1}$  such that the length of  $e'_r$  is greater than  $\delta$  and  $\psi_{r-1}[e'_r] \subset \bigcup_{sj} \text{Int } J_{sj}$ , or equivalently  $\psi_{r-1}[e'_r] \subset \text{Int } R_r$ , where  $R_r$  is a single  $J_{sj}$  (and hence lies in a cube  $M_{sj} \subset V$ ). If there is no such interval, let  $\psi_{r-1}$  be  $\psi'$ . If  $e'_r$  exists, then let  $e_r$  be the largest open interval in  $S^1 - \bar{e}_1 - \dots - \bar{e}_{r-1}$  such that  $\psi_{r-1}[e_r] \subset \text{Int } R_r$ . We know that  $\psi_{r-1}$  carries the end points  $p_r, q_r$  of  $e_r$  into  $E^3 - \text{Int } R_r$  by the maximality of  $e_r$  if the end point is in  $S^1 - \bar{e}_1 - \dots - \bar{e}_{r-1}$ , or, if the end point is in  $\text{Bd}(S^1 - \bar{e}_1 - \dots - \bar{e}_{r-1}) \subset \bar{e}_1 \cup \dots \cup \bar{e}_{r-1}$ , because  $\psi_{r-1}$  carries  $\bar{e}_1 \cup \dots \cup \bar{e}_{r-1}$  into  $\bigcup_{sj} \text{Bd } J_{sj}$ . (To see that  $\psi_{r-1}[\bar{e}_s]$  lies in some  $\text{Bd } J_{sj}$ : for  $s = 1, \dots, r-1$ ,  $\psi_s[\bar{e}_s] \subset \text{Bd } R_s$  by construction.  $\psi_{s+1}$  agrees with  $\psi_s$  on  $S^1 - e_{s+1} \supset \bar{e}_s$  since

$e_{s+1}$  lies in  $S^1 - \bar{e}_1 - \dots - \bar{e}_s$ ;  $\psi_{s+2}$  agrees with  $\psi_{s+1}$  on  $S^1 - e_{s+2} \supset \bar{e}_s$  since  $e_{s+2} \subset S^1 - \bar{e}_1 - \dots - \bar{e}_s - \bar{e}_{s+1}$ ;  $\psi_{s+3}$  agrees with  $\psi_{s+2}$  on  $\bar{e}_s$ , etc. until  $\psi_{r-1}$  agrees with  $\psi_{r-2}$  on  $\bar{e}_s$ . Since  $\psi_s = \psi_{s+1} = \dots = \psi_{r-1}$  on  $\bar{e}_s$ ,  $\psi_{r-1}[\bar{e}_s] = \psi_s[\bar{e}_s] \subset \text{Bd } R_s$ . Since we know that the end points of  $e_r$  are mapped by  $\psi_{r-1}$  outside of  $\text{Int } R_r$ , and  $\psi_{r-1}[\bar{e}_r] \subset \text{Int } R_r$ , by continuity,  $\psi_{r-1}(p_r)$  and  $\psi_{r-1}(q_r)$  lie in  $\text{Bd } R_r$ . Let  $\psi_r = \psi_{r-1}$  on  $S^1 - e_r$  and  $\psi_r|_{\bar{e}_r}$  be a path in  $\text{Bd } R_r$  with end points  $\psi_{r-1}(p_r)$  and  $\psi_{r-1}(q_r)$ . Evidently  $\psi_r|_{e_r} \approx \psi_{r-1}|_{e_r}$  and  $\psi_r \approx \psi_{r-1}$ .

Since each  $e_r$  is of length greater than  $\delta$  and  $r\delta$  must be less than the circumference of  $S^1$ , (it is easily checked that the  $e_r$  are disjoint) the sequence  $\psi_1, \dots, \psi_r, \dots$  ends at  $\psi_k$ . Let  $\psi'$  be  $\psi_k$ . We know that  $\psi_k: S^1 \rightarrow V$  because each  $\psi_r$  does this; and  $\psi \approx \psi_1 \approx \dots \approx \psi_k = \psi'$  in  $V$ . Before we can show that  $\text{rng } \psi'$  misses  $A_0$ , we must show that  $\psi = \psi' = \psi_k$  on  $S^1 - e_1 - \dots - e_k$ .

To see this:

$$\psi_1 = \psi \text{ on } S^1 - e_1,$$

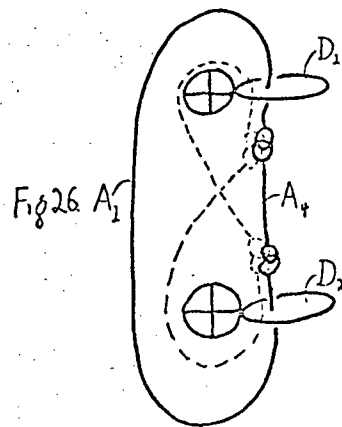
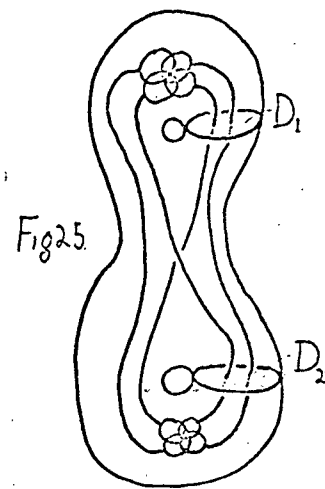
$$\psi_2 = \psi_1 \text{ on } S^1 - e_2 \text{ and } \psi_2 = \psi \text{ on } S^1 - e_1 - e_2,$$

...

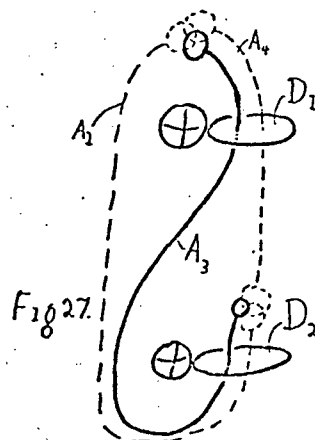
$$\psi_k = \psi_{k-1} \text{ on } S^1 - e_k \text{ and } \psi_k = \psi \text{ on } S^1 - e_1 - \dots - e_k.$$

It is now easy to show that  $\text{rng } \psi' = \text{rng } \psi_k$  misses  $A_0$ , for  $\psi_k$  carries every  $\bar{e}_s$  into  $\text{Bd } R_s \subset E^3 - A_0$  by a previous result; and if  $x \in S^1 - \bar{e}_1 - \dots - \bar{e}_k$ , then  $x$  lies within a distance  $\delta$  of a point  $y$  such that  $\psi_k(y) \in E^3 - \bigcup_{sj} \text{Int } J_{sj}$ . We can assume that  $y \in S^1 - e_1 - e_2 - \dots - e_k$  because otherwise  $y \in e_1 \cup \dots \cup e_k$  and





$A_4$  may be shrunk;  
 $A_2, A_3, A_4$  now miss at  
 least one  $D_i$ . But  $A_1$   
 must hit both.

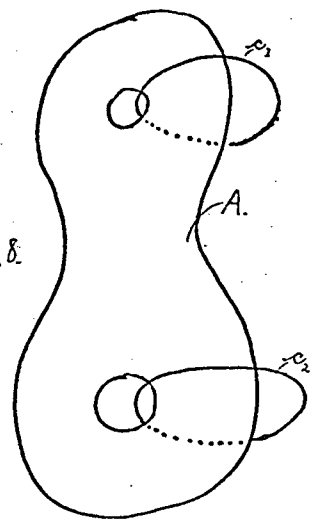


$A_1$  &  $A_4$  may be  
 moved so that  
 each of  $A_1, A_4$   
 misses one  $D_i$ .  
 But now  $A_3$  meets  
 both.

some point  $y'$  of  $\text{Bd}(e_1 \cup \dots \cup e_k)$   $S^1 - e_1 - \dots - e_k$  lies closer to  $x$  than  $y$  does. Since  $\psi_k$  carries  $y' \in \text{Bd}(e_1 \cup \dots \cup e_k) \subset \bar{e}_1 \cup \dots \cup \bar{e}_k$  into  $\bigcup_{sj} \text{Bd } J_{sj} \subset E^3 - \bigcup_{sj} \text{Int } J_{sj}$ , we could have originally chosen  $y'$  instead of  $y$ . But if both  $x$  and  $y'$  lie in  $S^1 - e_1 - \dots - e_k$ , then since  $\psi = \psi_k$  on  $S^1 - e_1 - \dots - e_k$  and  $\psi_k(y') \subset E^3 - \bigcup_{sj} \text{Int } J_{sj}$ ,  $\psi(x) = \psi_k(x)$  lies so near to  $\psi(y') = \psi_k(y')$  that  $\psi(x)$  misses  $A_0$  by our definition of  $\delta$ .  $\square$ .

2. In his paper [12], Bing was concerned with an interesting property of  $G$  which we will make use of here and in Ch IV. The formidable aspect of  $G$  lies in what might be called its 'topological idiom', as shown in fig 25: four double ended lassos strung in a special way inside a 2-holed torus. Bing's intent in using this idiom to construct  $G$  was to utilize this property: let  $D_1, D_2$ , be the planar disks shown in fig 25. Then, no matter how the four lassos are deformed (provided that they remain linked and stay in the interior of the 2-holed torus), some one lasso will hit both  $D_1$  and  $D_2$ . Figs 26, 27 show unsuccessful attempts by the lassos to avoid this necessity, and there is a proof of a very similar idea in §7 of [12]. Bing hoped to show that this property was induced through the construction of  $G$  in the following sense: assume that fig 25 shows  $D_1, D_2$  in relation to the first stage of the construction of  $G$ , then, no matter how  $A_1, A_2, A_3, A_4$  were deformed, one of these, say  $A_1$ , would hit both of  $D_1, D_2$ . Additionally, however, it might turn out that for any deformation of  $A_2$  inside  $A$ , one of the 16  $A_{jk}$  would hit both  $D_1$  and  $D_2$ , and so on for the 64  $A_{jkl}$  etc. Bing found that there was no easy

Fig 28



proof of this (see §7 of [12]); however he was able to define a property which he called  $Q$  on the dogbones of the decomposition and show that  $A$  had this property. If a dogbone had property  $Q$ , this implied trivially that it intersected both of  $D_1, D_2$ ; at the same time it could be shown that if a dogbone  $B$  had property  $Q$ , then one of the four dogbones of the next stage of the dogbone construction lying in  $B$  would have property  $Q$ . Evidently there would be a descending intersection chain of dogbones each with property  $Q$  and the limit of the chain would be a big element of  $G$  which touched both  $D_1$  and  $D_2$ . We can express this idea in a slightly different way:

(2.1) (Bing). Let  $D_1, D_2$  be topological disks whose boundaries  $c_1, c_2$  lie in  $A$  and link the upper and lower eyes respectively of  $A$  as shown in fig 28. Then either  $D_1$  meets  $D_2$  in  $A$ , or some big element of  $G$  meets both  $D_1$  and  $D_2$ .

We will refer frequently to fig 28, which shows the relationship of  $c_1, c_2$  to  $A$ . Strictly speaking, we take  $c_i, i = 1, 2$ , to be an embedding of  $S^1$  in  $E^3$ ; however we frequently will confuse the embedding with the circle which is its range (at the same time reserving the right to write  $\text{rng } c_i$  when we wish to make the distinction clear).

Bing showed that (2.1) was inconsistent with the existence of a homeomorphism between  $\mathcal{D}$  and  $E^3$  (Th 12 of [12]). In this paper we will be interested in this conjecture:

(2.2). Let  $\Delta$  be a 2-simplex. For  $i = 1, 2$ , let  $f_i: \Delta \rightarrow E^3$  so that  $f_i|_{\text{Bd}\Delta} = c_i$  and  $f_2|_{\text{Bd}\Delta} = c_2$  are paths whose ranges lie in  $E^3 - A$  and which will not shrink to a point in the complements of the

upper and lower eyes respectively of  $A$ . Then either  $f_1[\Delta]$  and  $f_2[\Delta]$  intersect in  $A$ , or some big element of  $G$  meets both  $f_1[\Delta]$  and  $f_2[\Delta]$ .

In (2.2), we replace the disks  $D_i$  of (2.1) with singular disks  $f_i[\Delta]$ . The conjecture is plausible and lacks earthshaking surprise. It is interesting because it leads directly to the following topological property of  $\mathcal{D}$ :

(2.3). If (2.2) is true, then  $\mathcal{D}$  fails to possess arbitrarily small simply connected open neighbourhoods about any big point.

The conclusion of (2.3) is called Curtis' conjecture (see 3, §6), and (2.3) reduces it to the somewhat more plausible conjecture (2.2). The remainder of this chapter consists of a proof of (2.3). The pleasures of (2.2) will be deferred to Chapters III and IV.

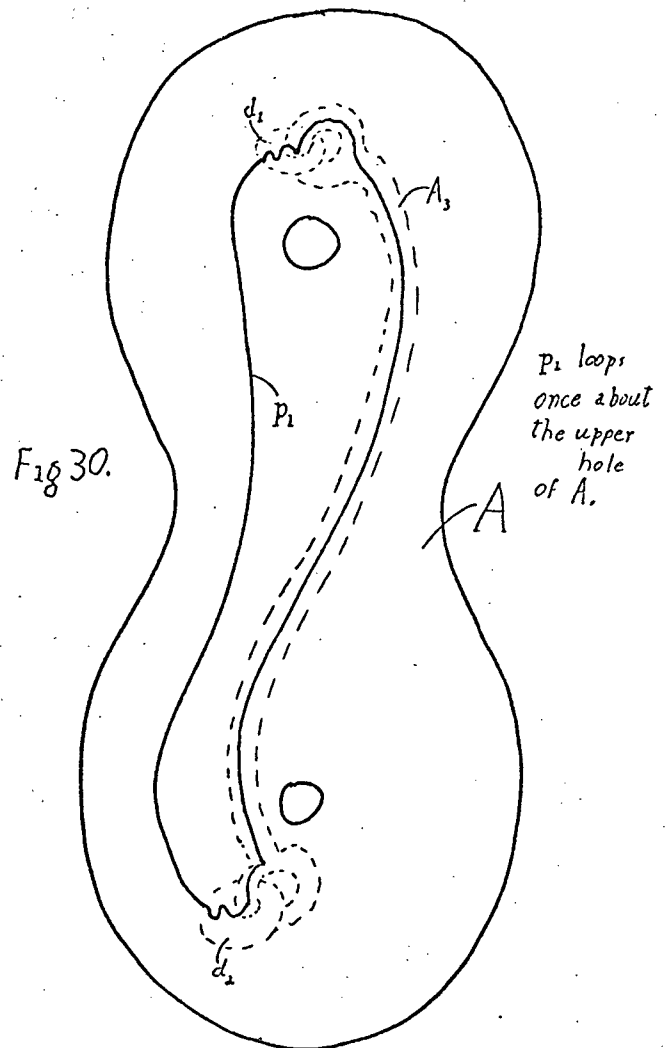
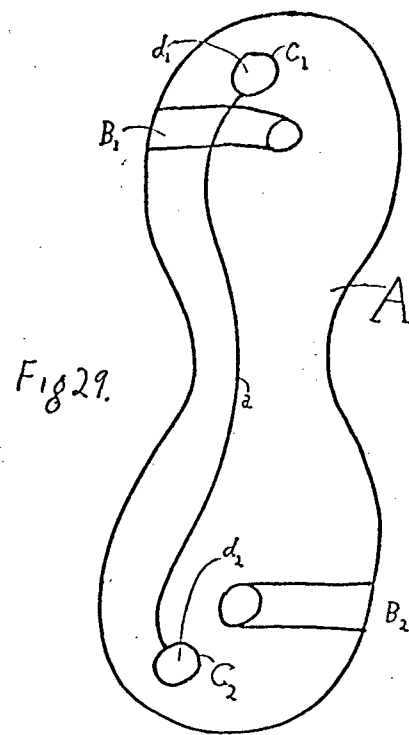
Proof of (2.3). Suppose that  $\Lambda$  is a big element of  $G$  and that in  $\mathcal{D}$ ,  $\Lambda^* = \phi[g]$  lies in a simply connected open neighbourhood  $V^*$  such that  $\Lambda^* \subset V^* \subset A^*$ . Clearly  $\Lambda \subset V \subset A$ , and  $V$  is open in  $E^3$ . We could write  $\Lambda = A \cap A_j \cap A_{jk} \cap \dots$  for some sequence of dogbones  $A, A_j, A_{jk}, \dots$ . By the Corollary to (1.1) (of lemma 1 of [2]),  $V$  is simply connected if  $V^*$  is. Thus our assumption implies that  $V$  is simply connected. We will demonstrate that this is false by showing that  $\Lambda \subset V \subset A$  with  $V$  simply connected, implies that the upper eye  $\ell$  and the lower eye  $m$  of  $A$  shrink to a point in  $A$ . We define an upper (lower) principal path of  $A_j$  to be a mapping of  $S^1$  into  $\text{Int } A_j$  which is homotopic in  $A_j$  to the upper eye  $\ell_j$  (the lower eye  $m_j$ ) of  $A_j$ . Upper and lower principal paths of other dogbones, including

$A$ , are defined analogously; this a mapping of  $S^1$  into  $\text{Int } A_{jk}$  which is homotopic in  $A_{jk}$  to  $h_{jk}[\ell]$  is an upper principal path of  $A_{jk}$ . As usual, we will often confuse the mapping with its range. We know that  $A, A_j, A_{jk}, \dots$  is a neighbourhood system of  $\Lambda$  and, by a previous remark, that some member  $A_{jk} \dots r_s$  of the system lies in  $V$ . However this fact plus the following lemma leads to a contradiction.

Lemma for (2.3). If one of  $A_j, j = 1, 2, 3, 4$  contains an upper principal path  $e_1$  and a lower principal path  $e_2$  which intersect and lie in  $V$ , then  $A$  contains upper and lower principal paths which intersect and lie in  $V$ . In general, if  $A_j \dots r_s$  contains intersecting upper and lower principal paths which lie in  $V$ , then so does  $A_j \dots r$ .

To apply the lemma we look at the neighbourhood  $A_j \dots r_s$  which we know to be a neighbourhood of  $\Lambda$  in  $V$ . Obviously  $V \cap A_j \dots r_s$  contains intersecting upper and lower principal paths of  $A_j \dots r_s$  since any intersecting principal paths will qualify. The lemma implies that the dogbone  $A_{ij} \dots r$  contains intersecting principal paths in  $V$ . Repeated application of the lemma leads to the conclusion that  $A$  contains an upper principal path which lies in  $V$ . Since  $V \subset A$ ,  $V$  is simply connected, and the upper principal paths of  $A$  are all homotopic to  $\ell$  in  $A$ , therefore  $\ell$  must shrink to a point in  $A$ . This is clearly false from fig 16a. Thus the proof of (2.3) will be complete when we have proved the lemma.

Proof of the lemma for (2.3): Simplified version. Suppose that  $e_1$  and  $e_2$  lie in  $A_1$ . The following outline reflects our original intuition of the proof. Although the 'proof' we give now is



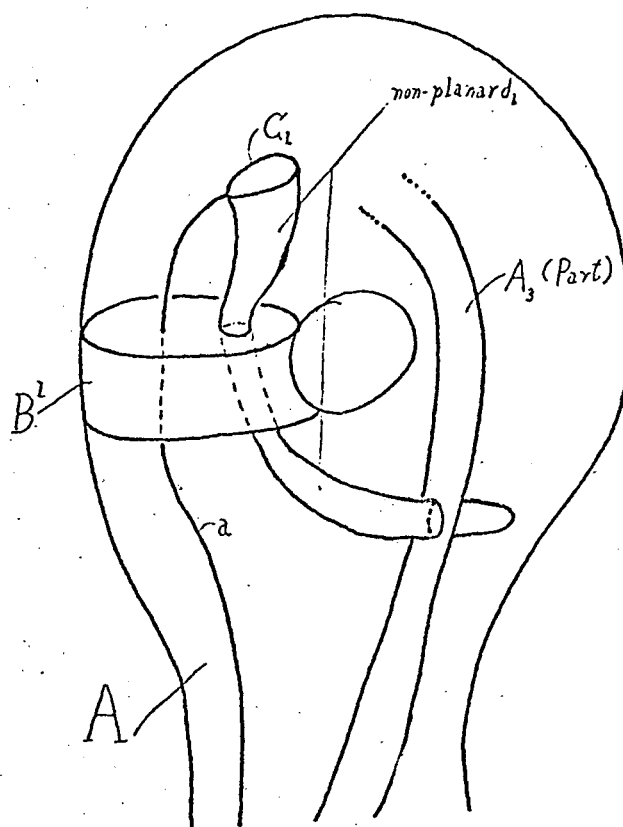


Fig 31a.

A non-planar  $d_1$  may meet  $A_3$  as shown. It is now possible to construct  $p_1$  so that  $p_1$  does not loop about the upper hole of  $A$  as required.

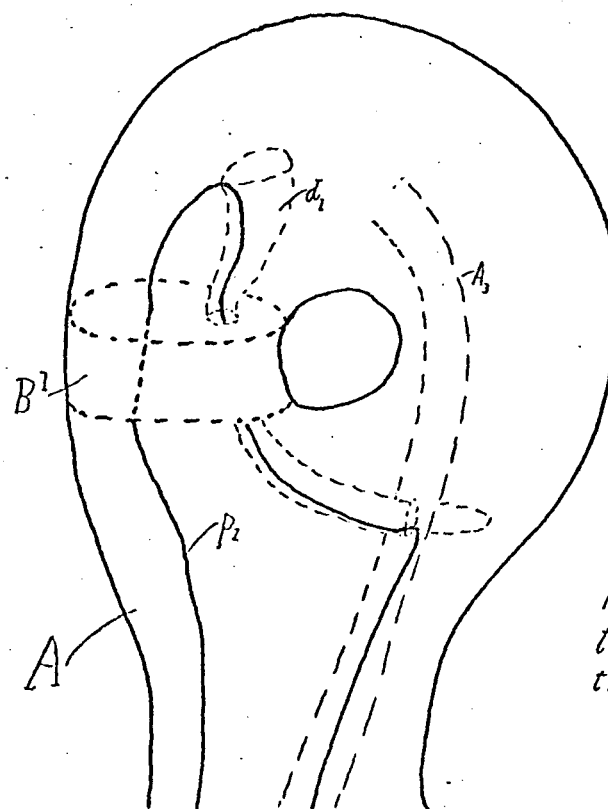


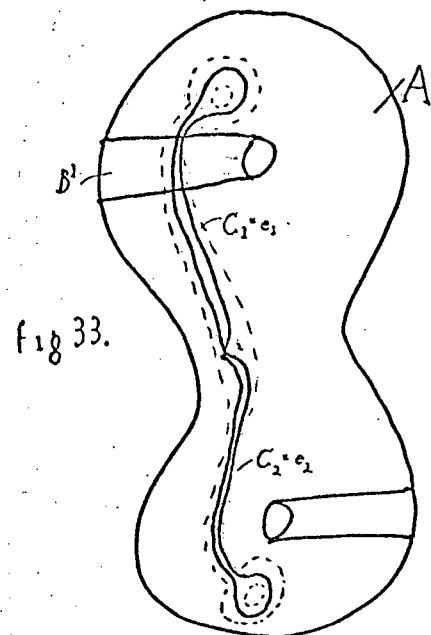
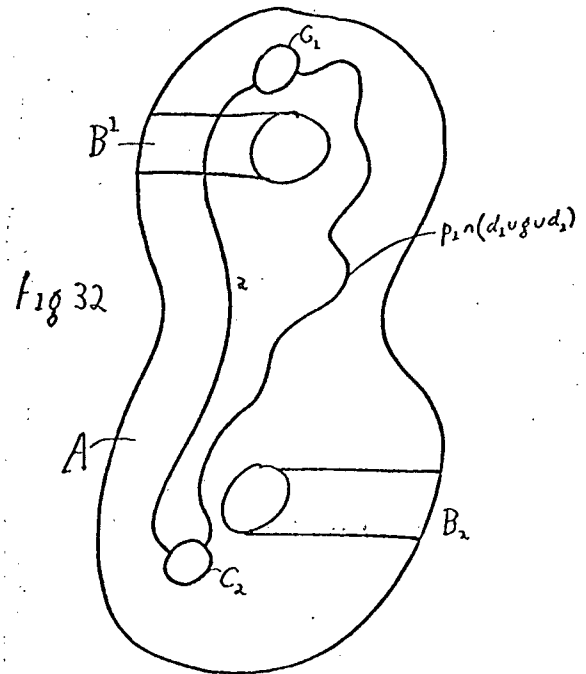
Fig 31b.

The curve  $p_1$  may lie in  $a \cup d_1 \cup A_3 \cup g$  as constructed in Fig 31a, and fail to loop once about the hole.



simple minded and needs much patching, we give the crude version because we think that it clarifies basic ideas which tend to be submerged in the final version of the proof. Suppose that by good fortune the paths  $e_1, e_2$  take the form of the double ended lasso  $J$  in fig 29.  $J$  consists of circles  $C_1, C_2$  and connecting arc  $a$  as shown. The circle  $C_1$  will not shrink to a point in the complement of the upper eye of  $A_3$ . Similarly  $C_2$  will not shrink to a point in the complement of the lower eye of  $A_3$ . We also pretend that  $J$  lies in  $V$  and that disjoint planar disks  $d_1, d_2$  bounded by  $C_1, C_2$  also lie in  $V$ . By (2.1) some big element  $g$  in  $A_3$  meets both  $d_1$  and  $d_2$ ; and  $g$  lies in  $V \supset d_1$  since  $V$  contains every element of  $G$  that it intersects (remember that  $V$  is the pre-image of an open set in  $\mathcal{D}$ ). We can now construct the upper principal path  $p_1$  shown in fig 30 from parts of  $V$  lying in  $a, d_1, d_2, g$ . A similar procedure using  $A_2$  instead of  $A_3$  will yield the lower principal path  $p_2$ . The paths  $p_1, p_2$  intersect in  $A$  so that  $p_1 \cup p_2$  is the set required by the conclusion of the lemma.

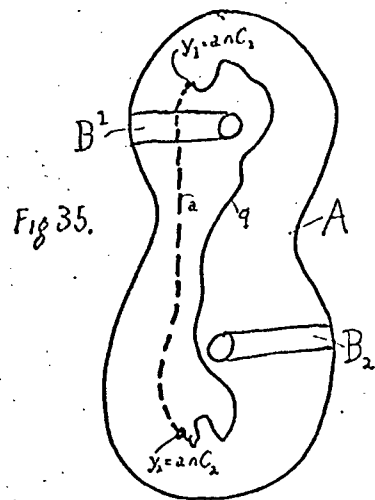
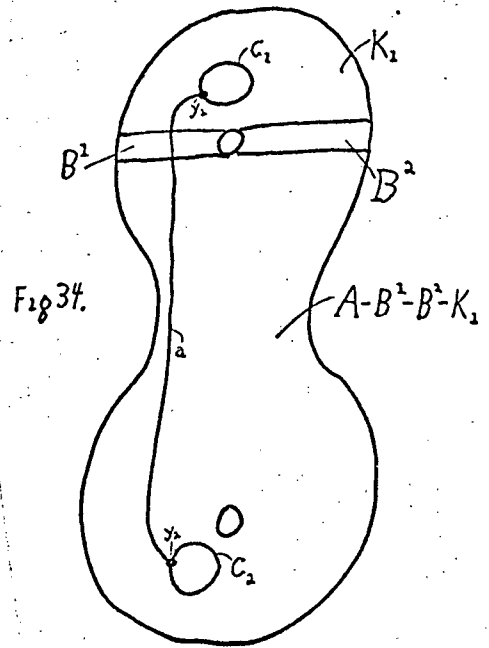
The above 'proof' is far too easy and will fail if we allow  $d_1, d_2$  to be non-planar, for then  $p_1$  may not be a principal path as figs 31a, b show. We ensure that  $p_1$  makes one circuit about the upper hole of  $A$  by trapping  $p_1 \cap a$  in the cube  $A - B^2 - B^2$  (which is easy) and  $p_1 \cap (d_1 \cup g \cup d_2) = q$  in the cube  $A - B^1 - B_2$  (see fig 32). This last step is hard since one would fear that the connectivity would be spoilt by parts of  $d_1 \cup d_2$  projecting from the cube. The trick of controlling the homotopy class of  $p_1$  by constructing certain arcs in



cubes only works if the  $C_i$  lie in  $A - B^1 - B_2$ . But if we use the obvious candidate for  $J$ , viz.  $C_i = e_i$  with arc a degenerate, then fig 33 shows that this may not happen, and in fact  $J$  cannot usually be  $e_1 \cup e_2$ . However we show that, provided that intersecting principal paths exist in  $A_1 \cap V$ , there is a double ended lasso (perhaps with singularities) in  $A \cap V$  which has just the properties which we assigned to  $J$ . We will now give the final version of the proof of the lemma for (2.3). This proof uses the ideas of the earlier crude version, but incorporates the various improvements suggested in this paragraph.

Outline of final version of proof. We first give the proof assuming that  $e_1 \subset e_2 \subset A_1$ , then indicate alterations in the case that  $e_1 \cup e_2$  lies in  $A_2, A_3$  or  $A_4$ . a) Let  $e_1, e_2$  be upper and lower principal paths of  $A_1$  which lie in  $V$  and intersect at least at  $p$ . We follow the sketch of the 'proof' already given, but as previously explained, we cannot use  $e_1 \cup e_2$  for  $J$  in fig 32. We construct  $J = C_1 \cup C_2 \cup a$  so as to satisfy five properties i), ... v). Sometimes we will regard  $C_i$  as a mapping (not necessarily an embedding) of  $S^1$  and sometimes as the range of this mapping. The set  $J$  must satisfy the following properties: for  $i = 1, 2$ ,

- i)  $C_i[Bd\Delta] \subset V \cap \text{Int}(A - B^1 - B_2)$
- ii)  $\text{rng } C_i$  misses  $A_3$ .
- iii)  $C_1(C_2)$  fails to shrink to a point in  $E^3 - \ell_3(E^3 - m_3)$ .
- iv) There is a point  $y_1 \in \text{rng } C_1 \cap \text{rng } e_1 \cap K_1$  and a point  $y_2$  in  $\text{rng } C_2 \cap \text{rng } e_2 \cap \overline{A - B - B_2 - K_1}$  (recall that  $K_1$  is the topological cube which is the closure of the upper component of  $A - B^1 - B^2$ , see fig 34).

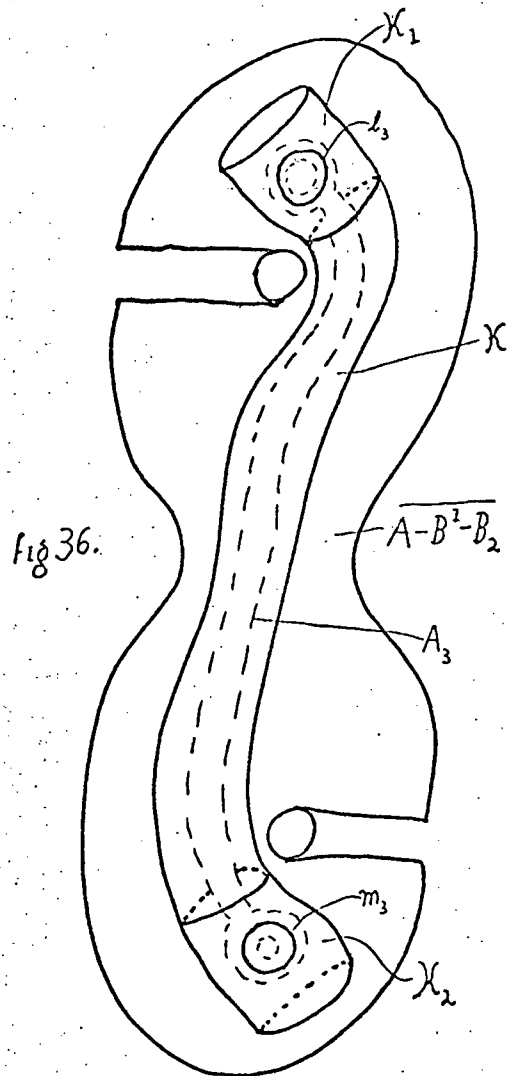


v) the arc  $a \subset V \cap A_1$  and the points  $y_1, y_2$  of iv) are the end points of  $a$ .

The idea of ii) and iii) is that we want the  $C_i$  to act like the circles  $c_1, c_2$  in fig 28 with respect to  $A_3$ . Property iv) provides the end-points of  $a$  'above and below  $B^1$ '. This plus v) and the fact that the  $C_i$  are trapped in  $A - B^1 - B_2$  allows us eventually to construct an upper principal path of  $A$  which winds one around the upper hole of  $A$ . This happens because we will join  $y_1$  and  $y_2$  by a path like  $q \subset A - B^1 - B_2$  in fig 35. b) For  $i = 1, 2$ , let  $f_i: \Delta \rightarrow V$  so that  $f_i|_{\text{Bd}\Delta} = C_i$ . Using (1.2) and (1.3), obtain a new mapping  $\bar{f}_i$  which agrees with  $f_i$  on  $\Delta - \text{Int } W_1 - \dots - \text{Int } W_n$ , where  $\text{Int } W_r$ ,  $r = 1, \dots, n$ , are holes in  $\Delta$ ; in particular  $\bar{f}_i = f_i$  in  $\text{Bd}\Delta$ . The  $\bar{f}_i[W_r]$  may leave  $V$  (!) but this does not harm the proof. c) By (2.2),  $\bar{f}_1[\Delta]$  and  $\bar{f}_2[\Delta]$  either intersect in  $A_3$  or hit the same big element  $g$  in  $A_3$ . There is a path  $q$  from  $a \cap C_1$  to  $a \cap C_2$  which resembles  $q$  in fig 35 and lies in  $V$  and in  $\text{Int } (A - B^1 - B_2)$ . The path  $q$  travels to  $A_3$  in  $\bar{f}_1[\Delta]$ , passes from  $\bar{f}_1[\Delta]$  to  $\bar{f}_2[\Delta]$  in  $A_3$  either at the intersection of  $\bar{f}_1[\Delta]$  and  $\bar{f}_2[\Delta]$  or using the element  $g$ , and then proceeds to  $a \cap C_2$  by means of  $\bar{f}_2[\Delta]$ . d) The path which begins at  $a \cap C_1$ , travels to  $a \cap C_2$  on  $q$  and returns to  $a \cap C_1$  on  $a$ , is an upper principal path of  $A$  which lies in  $V$ . e) The lower principal path of  $A$  in  $V$  may be constructed as in a), b), c), d) above, using  $A_2$  and  $A - B_1 - B^2$  instead of  $A_3$  and  $A - B^1 - B_2$ . f) If  $k = 2, 3, 4$  the lemma remains true.

Details of Proof. Suppose that  $e_1, e_2$  are upper and lower

principal paths respectively of  $A_1$  which lie in  $V$  and intersect at  $p$ . For  $i = 1, 2$ , since  $e_i$  shrinks to a point in  $V$ , there are mappings  $\bar{e}_i: \Delta \rightarrow V$  such that  $\bar{e}_i|_{Bd\Delta} = e_i$ . We do not claim that  $\text{rng } \bar{e}_i$  lies in  $A_1$  or even  $A$ . We use (1.2), taking  $f, S$ , to be  $e_1, \bar{e}_1^{-1}[A - B^1 - B_2]$ . Thus there are disjoint disks  $W_1, \dots, W_m$  in  $\Delta$  such that  $\bar{e}_1^{-1}[A - B^1 - B_2] \subset W_1 \cup W_2 \cup \dots \cup W_m$ , each point  $x$  of  $Bd W_r$  lies near  $\bar{e}_1^{-1}[A - B^1 - B_2]$ , and  $x \in Bd W_r$  misses  $\bar{e}_1^{-1}[A - B^1 - B_2]$  if  $x \notin Bd\Delta$ . Those  $W_r$  which hit  $Bd\Delta$  are called  $W_1, \dots, W_n$ ; those  $W_r$  which miss  $Bd\Delta$  are  $W_{n+1}, \dots, W_m$  (with obvious adjustments of one or the other class does not exist). We now apply (1.3) with  $g$  taken to be the restriction of  $\bar{e}_1$  to  $\Delta - \text{Int } W_1 - \dots - \text{Int } W_m$ . For  $r = n+1, \dots, m$ ,  $\bar{e}_1|_{Bd W_r} = g|_{Bd W_r}$  maps into  $\text{Ext}(A - B^1 - B_2)$  because  $Bd W_r$  misses  $Bd\Delta$  for  $r > n$  and hence misses  $\bar{e}_1^{-1}[A - B^1 - B_2]$ . Thus for  $r = n+1, \dots, m$ ,  $\bar{e}_1|_{Bd W_r}$  shrinks to a point in  $\text{Ext}(A - B^1 - B_2)$  which is the exterior of a cube in  $E^3$ ; and we can let  $\text{Ext}(A - B^1 - B_2)$  be  $P_{n+1} = \dots = P_m$  in the hypothesis of (1.3). There is no chance that  $\bar{e}_1|_{Bd W_r}$  is  $C_1$  for  $r > n$ , since  $\ell_3$  misses  $\text{Ext}(A - B^1 - B_2) = P_r$ . We suspect that  $C_1$  is an  $\bar{e}_1|_{Bd W_r}$  for  $r \leq n$ . Assume that every  $\bar{e}_1|_{Bd W_r} = g|_{Bd W_r}$  will shrink to a point in  $E^3 - \ell_3$ . Use (1.3) again, letting  $P_1 = P_2 = \dots = P_n$  be  $E^3 - \ell_3$ . Then with  $\text{Ext}(A - B^1 - B_2)$  taken to be  $P_{n+1} = \dots = P_m$ ,  $g|_{Bd\Delta} = e_1$  will shrink to a point in  $\text{rng } g \cup P_1 \cup \dots \cup P_n \cup P_{n+1} \cup \dots \cup P_m$ . Each  $P_r$  misses  $\ell_3$  either by definition or because  $P_r$  misses  $A - B^1 - B_2$ . And  $\text{rng } g$  misses



$\ell_3$  as well; for  $g = \bar{e}_1|_{\tilde{\Delta}} - \text{Int } W_1 - \dots - \text{Int } W_m$  and from (1.2) ii, iv, the only points of  $\Delta - \text{Int } W_1 - \dots - \text{Int } W_m$  which can map into

$\overline{A - B^1 - B_2}$  are those in  $\text{Bd } \Delta$ . Such points are in  $\text{dom } e_1$  and map into  $A_1$ . Hence  $\text{rng } g \subset A_1 \cup \text{Ext}(A - B^1 - B_2) \subset E^3 - \ell_3$ . Therefore

$g|_{\text{Bd } \Delta} = e_1$  shrinks to a point in  $\text{rng } g \cup P_1 \cup \dots \cup P_m \subset E^3 - \ell_3$ , which contradicts the fact the  $e_1$  is an upper principal path. Thus it is

false that every  $\bar{e}_1|_{\text{Bd } W_r}$ ,  $r \leq n$ , shrinks to a point in  $E^3 - \ell_3$ . Let  $C_1$  be one of the  $\bar{e}_1|_{\text{Bd } W_r}$  which fails to shrink to a point in  $E^3 - \ell_3$ .

As regards  $C_1$ : the above argument plus the fact that  $\text{rng } C_1 \subset \text{rng } \bar{e}_1 \subset V$  shows that iii) is true; ii) is true because from (1.2) iv, every point

$x$  in  $\text{Bd } W_r$  is either in  $\text{Int } \Delta$ , in which case  $C_1(x) \in E^3 - \overline{(A - B^1 - B_2)} \subset E^3 - A_3$ , or  $x \in \text{Bd } \Delta$ , when  $C_1(x) = e_1(x) \in A_1 \subset E^3 - A_3$ . In general, i) is not true because some candidates for  $C_1(x)$  lie outside of

$\overline{A - B^1 - B_2}$  as we have just seen. However we can assume that  $C_1[\text{Bd } W_r]$

lies in  $\text{Int } (A - B^1 - B_2)$  by the following argument: By (1.2), we assume that  $\text{dom } C_1$  (which is one of the  $\text{Bd } W_r$ ) lies so near

$\bar{e}_1^{-1}[A - B^1 - B_2]$  that  $\text{rng } C_1$  lies within  $\varepsilon$  of  $A - B^1 - B_2$  (remember that  $C_1 = g = \bar{e}_1$  on  $\text{dom } C_1$ ). In this paragraph a) so far, we could

have replaced  $\overline{A - B^1 - B_2}$  by a cube  $K \supset A_3$  such that an  $\varepsilon$ -neighbourhood

of  $K$  lies in  $\text{Int } (A - B^1 - B_2)$ . Such a cube is shown in fig 36. If

this had been done, we would have  $\text{rng } C_1$  in the  $\varepsilon$ -neighbourhood of

$K$ , i.e.  $\text{rng } C_1 \subset \text{Int } (A - B^1 - B_2)$ . We assume that this was done and

that  $\text{rng } C_1 \subset \text{Int } (A - B^1 - B_2)$ . Proof of iv): In (1.2) iv, each

$\text{Bd } W_r$  misses  $S$  (in (1.2)) except where  $\text{Bd } W_r$  hits  $\text{Bd } \Delta$ . In the



present context, with  $\overline{e_1^{-1}}[K]$  for  $S$  (i.e. continuing to use  $K$  for  $A - B^1 - B_2$ ), the domain of  $C_1$  is a  $Bd W_r$  and  $C_1[Bd W_r - Bd\Delta]$  misses  $K$ . To show that there is a  $y_1 \in \text{rng } C_1 \cap \text{rng } e_1^{-1} K_1$   $= C_1[Bd W_r] \cap e_1[Bd\Delta] \cap K_1 = C_1[Bd W_r \cap Bd\Delta] \cap K_1$ , assume that  $C_1[Bd\Delta \cap Bd W_r] \cap K_1 = \emptyset$ . In fig 36, note the two cubes  $K_1, K_2$  which are placed so that  $\ell_3 \subset K_1 \subset K \cap K_1$  and  $m_3 \subset K_2 \subset K \cap K_2$ . Then  $C_1[Bd W_r \cap Bd\Delta] \cap K_1 = \emptyset$  because  $K_1 \supset K_1$ ; and  $C_1[Bd W_r - Bd\Delta] \cap K_1 = \emptyset$  because  $C_1[Bd W_r - Bd\Delta]$  misses  $K$  as we just saw. This means that all of  $\text{rng } C_1 \subset E^3 - K_1$  and  $C_1$  shrinks to a point in  $E^3 - K_1 \subset E^3 - \ell_3$ , which contradicts the choice of  $C_1$ .

We repeat the entire procedure of this paragraph a) taking  $\overline{e_2}, \overline{e_2}, m_1, m_3, K_2, A - B^1 - B_2 - K_1$ , for  $e_1, \overline{e_1}, \ell_1, \ell_3, K_1, K_1$ . This is just the preceding argument 'upside down' and constructs the path  $C_2 \ni y_2$  as required. The only unexpected thing is the use of the cube  $A - B^1 - B_2 - K_1$  for the original cube  $K_1$ ; this reflects the fact that  $y_1$  should be found in  $K_1$  and  $y_2$  not necessarily in  $K_2$  but merely 'in  $A_1$  and below  $B^1$ '. We now have  $y_1$  and  $y_2$  as required by iv). To construct  $a$ , join  $y_1$  to  $p$  by a path  $a_1$  lying in  $\text{rng } e_1 \subset A_1 \cap V$ ; and  $y_2$  to  $p$  by a path  $a_2$  in  $\text{rng } e_2 \subset A_1 \cap V$ . Let  $a = a_1 \cup a_2$ .

b) We can assume that  $\text{dom } C_i = Bd\Delta$ ,  $i = 1, 2$ . Since  $\text{rng } C_i \subset V$ ,  $C_i$  shrinks to a point in  $V$  and there is a mapping  $f_i: \Delta \rightarrow V$  such that  $f_i|_{Bd\Delta} = C_i$ . It happens to be true that (2.2) gives us a big element  $g$  in  $V \cap A_3$  which hits both  $f_1[\Delta]$  and  $f_2[\Delta]$

(unless they intersect), but we are not sure that there is a connected set in  $f_i[\Delta]$  that will join  $g'$  and  $y_i$  and stay in  $A - B^1 - B_2$ , so that it is not yet possible to build  $q \subset V \cap (A - B^1 - B_2)$  as in

fig 35. By (1.2), taking  $S$  to be  $\text{Ext}(A - B^1 - B_2)$ , there

are disks  $W_1^i, \dots, W_n^i$  in  $\Delta$  such that  $f_i^{-1}[\text{Ext}(A - B^1 - B_2)] \subset W_1^i \cup \dots \cup W_n^i$ .

Since  $\text{Bd}\Delta$  misses  $f_i^{-1}[\text{Ext}(A - B^1 - B_2)]$  (because  $f_i[\text{Bd}\Delta] \subset \text{Int}(A - B^1 - B_2)$ ),

$\Delta - \text{Int } W_1^i - \dots - \text{Int } W_n^i$  is a disk with holes. We assume that each

$\text{Bd } W_r^i$  lies so near  $f_i^{-1}[\text{Ext}(A - B^1 - B_2)]$  that  $f_i[\text{Bd } W_r^i]$  lies close

to  $\text{Ext}(A - B^1 - B_2)$ . Since we know exactly what  $A - B^1 - B_2$  looks

like, we can construct an  $\epsilon$ -neighbourhood  $N$  of  $\text{Ext}(A - B^1 - B_2)$  so

that  $N$  is simply connected. We can assume that each  $f_i[\text{Bd } W_r^i] \subset N$ ;

then  $f_i[\text{Bd } W_r^i]$  shrinks to a point in  $N$ ; and by (1.3), taking

$N = P_1 = P_2 = \dots = P_n$ , and  $g = f_i|_{\Delta - \text{Int } W_1^i - \dots - \text{Int } W_n^i}$ , there

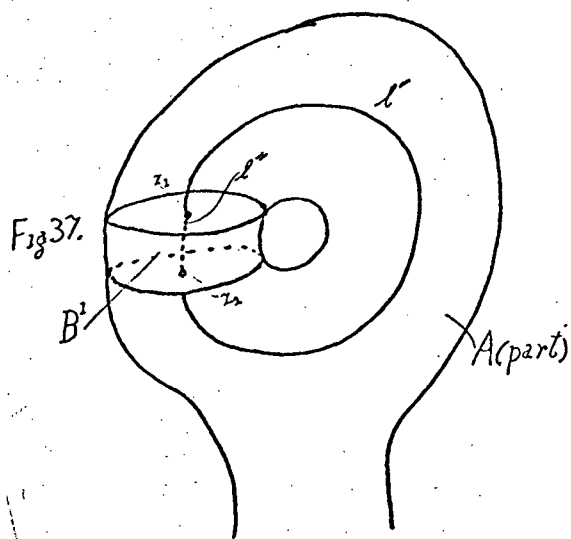
is a mapping  $\bar{f}_i: \Delta \rightarrow \text{rng } g \cup P_1 \cup \dots \cup P_n = f_i[\Delta - \text{Int } W_1^i - \dots - \text{Int } W_n^i] \cup N$

such that  $\bar{f}_i = f_i$  on  $\Delta - \text{Int } W_1^i - \dots - \text{Int } W_n^i$ . In particular

$\bar{f}_i = f_i$  on  $\text{Bd}\Delta$ . It is important that  $\bar{f}_i[\Delta - \text{Int } W_1^i - \dots - \text{Int } W_n^i]$

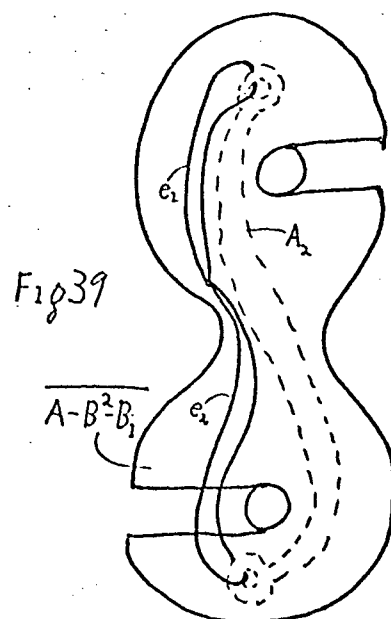
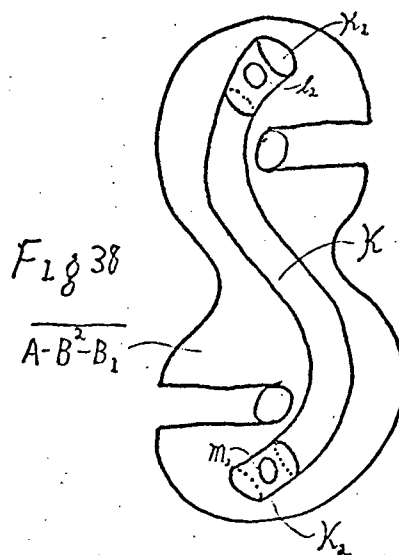
$= f_i[\Delta - \text{Int } W_1^i - \dots - \text{Int } W_n^i] \subset V$ .

c) Since  $\text{rng } C_i = f_i[\text{Bd}\Delta] = \bar{f}_i[\text{Bd}\Delta]$  misses  $A_3$  and fails to shrink to a point in the absence of the appropriate eyes of  $A_3$ , by (2.2),  $\bar{f}_1[\Delta]$  and  $\bar{f}_2[\Delta]$  either intersect in  $A_3$  or hit the same big element  $\lambda$  in  $A_3$ . We can combine these ideas by saying ' $f_1[\Delta]$  and  $f_2[\Delta]$  meet the same element  $\lambda$  in  $A_3$ ' and allowing  $\lambda$  to be either a big element or a small element. Since for a small  $\epsilon$ ,  $N$  misses  $A_3$ ,  $\lambda \cap \text{rng } \bar{f}_i$  must lie in  $\text{rng } \bar{f}_i - N \subset \bar{f}_i[\Delta - \text{Int } W_1^i - \dots - \text{Int } W_n^i] \subset V$ , and  $f_i^{-1}[\lambda] \subset \Delta - \text{Int } W_1^i - \dots - \text{Int } W_n^i$ , which we saw was a disk with



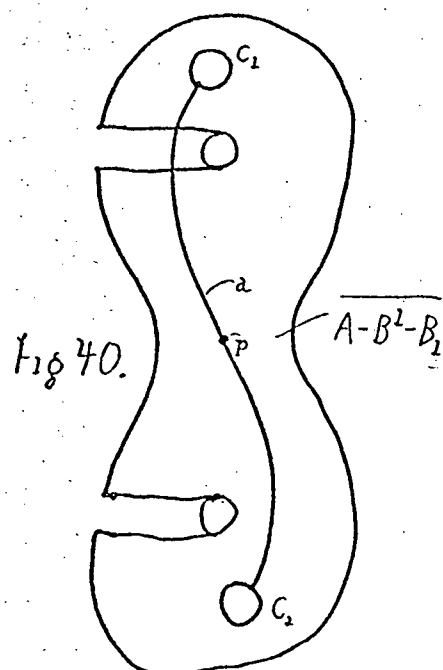
holes and which contains  $C_i^{-1}(y_i) \subset \text{dom } C_i = \text{Bd } \Delta$ . Since  $\lambda \cap f_i[\Delta]$  and  $y_i$  lie in the image under  $\bar{f}_i$  of a disk with holes which maps into  $V$ , there is a path  $v_i$  which joins  $y_i$  and  $\lambda$  in  $V$ . Furthermore  $\overline{v_i} \subset A - B^1 - B_2$ , because  $v_i$  may be constructed in  $\bar{f}_i[\Delta - \text{Int } W_1 - \dots - \text{Int } W_n] = f_i[\Delta - \text{Int } W_1 - \dots - \text{Int } W_n]$  which misses  $\text{Ext}(A - B^1 - B_2)$  by the construction of the  $W_r$ . Hence  $\overline{v_i} \subset V \cap (A - B^1 - B_2)$ . Let  $q$  be a path joining  $y_1$  and  $y_2$  in  $\overline{v_1 \cup \lambda \cup v_2}$ . Clearly  $q \subset V \cap (A - B^1 - B_2)$ .

d) We will show that the path  $\xi_1$  which travels from  $y_1$  to  $y_2$  in  $q$  and returns to  $y_1$  in  $a$  is an upper principal path of  $A$  in  $V$  by showing that  $\xi_1 \subset A \cap V$  and that  $\xi_1$  is homotopic to  $\ell$  in  $A$ . Let  $\ell$  be decomposed into two paths  $\ell'$  and  $\ell''$  such that  $\ell'' \subset B^1$  and  $\ell' \subset E^3 - B^1$ . We assume that  $\ell$  pierces  $\text{Bd } B^1$  in just two points  $z_1, z_2$  as shown in fig 37. We can do this because  $\text{Bd } B^1$  is horizontal near  $\ell$  and because  $\ell$  can be a nice circle. Construct arcs  $z_1 y_1$  and  $z_2 y_2$  in the cubes  $K_1$  and  $A - B^1 - B_2 - B^2 - K_1$  respectively. (The idea here is that both  $z_i y_i$  will lie in  $A - B^1 - B_2$ , the cube which locates  $A_3 \supset q$ , and in  $A - B^2 - B_2$ , the cube which locates  $A_1 \supset a$ ). The path which begins at  $z_1$  and travels to  $z_2$  through  $z_1 y_1, a$ , and  $z_2 y_2$  is homotopic in the cube  $A - B^2 - B_2$  to  $\ell''$ . The path which begins at  $z_2$  and travels to  $z_1$  via  $z_2 y_2, q$ , and  $z_1 y_2$  is homotopic in the cube  $A - B^1 - B_2$  to  $\ell'$ . Combining homotopies, the path  $\xi_1$  which begins at  $z_1$ , travels to  $z_2$  in  $z_1 y_1 \cup q \cup z_2 y_2$  and returns to  $z_1$  in  $z_2 y_2 \cup a \cup z_1 y_1$ , is



homotopic in  $A$  to  $\ell$ . The path  $\xi_1'$  is evidently homotopic to  $\xi_1$ . Note that  $\xi_1$  passes through the point  $p \in a$ . Eventually  $p$  will be the 'official' intersection point of the principal paths  $\xi_1$  and  $\xi_2$  of  $A$ .

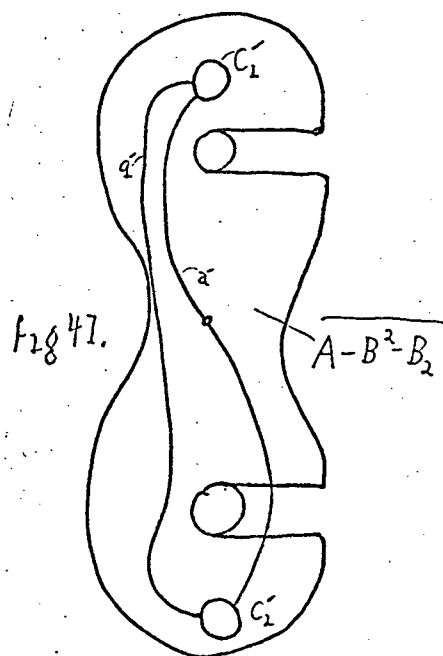
e) There is no difficulty in altering the argument to construct a lower principal path  $\xi_2$  if one keeps in mind the fact that 'the pictures are different' and that everything in the construction of  $\xi_1$  must be repeated. We cannot, for example, use the  $C_i$  from a) because they were defined with respect to  $\overline{A - B^1 - B_2}$  and we must replace  $\overline{A - B^1 - B_2}$  (the cube which located  $A_3$  and 'shaped' the right side of  $\xi_1$ ) with  $\overline{A - B^2 - B_1}$  which locates  $A_2$ . The idea is to start with  $e_1$  and  $e_2$  as before, but to use  $A_2$  rather than  $A_3$  as suggested in fig 38 which, in a sense, is a replacement for fig 35. The new  $\xi_2$  turns out to contain  $p \in e_1 \cap e_2$  just as  $\xi_1$  does; this establishes that  $\xi_1 \cap \xi_2 \neq \emptyset$ . We begin by finding a new lasso  $J' = C_1' \cup C_2' \cup a'$  so that  $C_1' \cup C_2' \subset V \cap \text{Int}(\overline{A - B^2 - B_1})$ ,  $C_1' \subset A_3$ , and  $C_1'$  contains a point  $y_1'$  such that  $y_1' \in \text{rng } C_1' \cap \text{rng } e_1 \cap (\overline{A - B^2 - B_1 - K_2})$  and  $y_2' \in \text{rng } C_2' \cap \text{rng } e_2 \cap K_2$ . The arc  $a'$  lies in  $V \cap A_1$  and has end points  $y_1', y_2'$ . One finds  $C_1' \cup C_2' \cup a'$  by adapting the procedure in a); there is very little more involved than reading  $m, m_j, B_1, B^2, K_2, \overline{A - B^2 - B_1 - K_2}$  for  $\ell, \ell_j, B^1, B_2, \overline{A - B^1 - B_2 - K_1}, K_2$ , and priming every new construction. It will be found that the arc  $a'$  contains  $p$  just as the original  $a$  does. For the construction of  $K'$ ,  $K_1', K_2'$ , replace fig 36 by fig 39. It is quite easy to adapt b) and



c) by keeping in mind that the important cube is  $\overline{A - B^2 - B_1}$  which replaces  $\overline{A - B^1 - B_2}$  in the construction of  $\xi_1$ . (The point is that in b), c), one must use a cube whose boundary encloses the 'important' dogbone  $A_2$ , see fig 38). Finally we construct a path  $q'$  which joins  $y'_1, y'_2$  in  $\overline{A - B^2 - B_1}$ . This plus  $a' \subset \overline{A - B^2 - B_2}$  can be combined into the path  $\xi_2$  which can be shown to be homotopic to  $m$  by adapting d) above, decomposing  $m$  into paths  $m'' \subset B_1$  and  $m' \subset \overline{E^3 - B_1}$  etc. The path  $\xi_2$  lies in  $V$  by an argument which should appear naturally from the adaptation of a), b), c) to construct  $\xi_2$ ; and  $\xi_2$  is clearly in  $A$ . Since the point  $p$  lies in both  $a$  and  $a'$  and hence in both  $\xi_1$  and  $\xi_2$ , therefore  $\xi_1 \cap \xi_2 \neq \emptyset$ .

f) The proof is now complete if  $e_1 \cup e_2$  lies in  $A_1$ , i.e. if  $j = 1$ . There is no difficulty in constructing a proof for the lemma when  $j = 4$  in view of the symmetry of the construction of the  $A_j$ . We will give only an outline of the proof for  $j = 2$  (and by symmetry for  $j = 3$ ) for these reasons: 1) the details can be filled in along the lines of a) ... e) above, and, 2) the argument in a), ... e) is sufficient to prove the 'meat' of (2.2), viz. that there are uncountably many big points of  $\mathcal{D}$  which fail to possess arbitrarily small simply connected open neighbourhoods, these being images of elements of the form  $A \cap A_i \cap A_{ij} \cap A_{ijk} \dots$  where  $i, j, k, \dots$  are chosen from 1 or 4. To construct the principal path  $\xi_1$  when  $j = 2$ , use the paths  $e_1, e_2$ , which we now assume to lie in  $A_2 \cap V$ , and the cube  $\overline{A - B^1 - B_1}$  (see fig 40) which acts toward  $A_2$  just as  $\overline{A - B^1 - B_2}$  acts toward  $A_1$  in a) (i.e.  $\overline{A - B^1 - B_1}$  separates  $A_2$  just under the



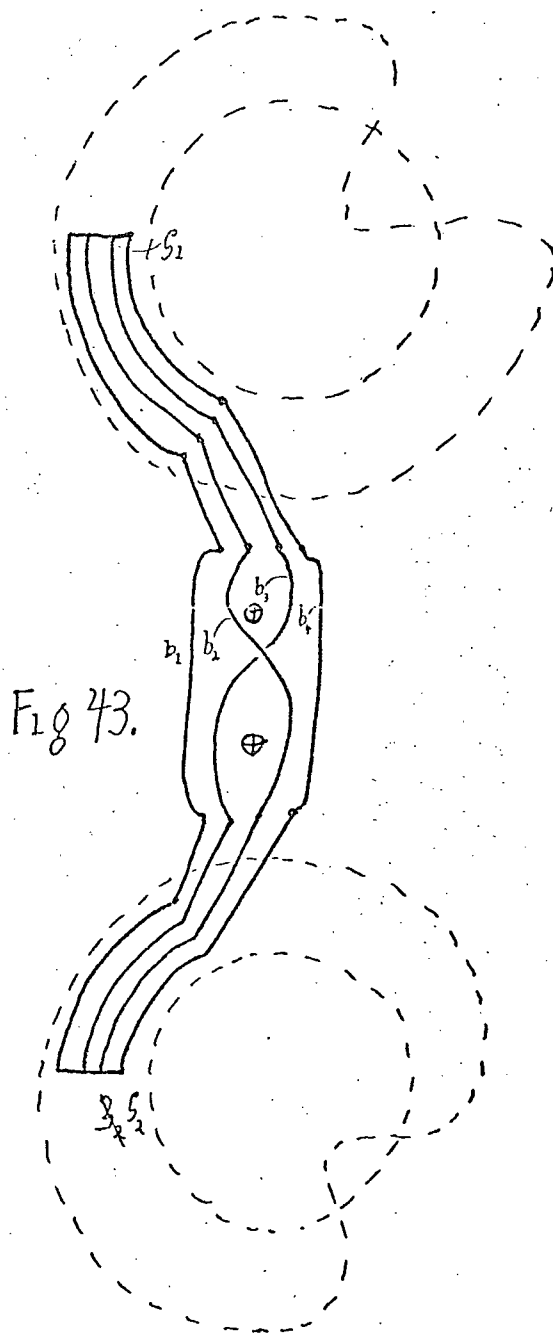
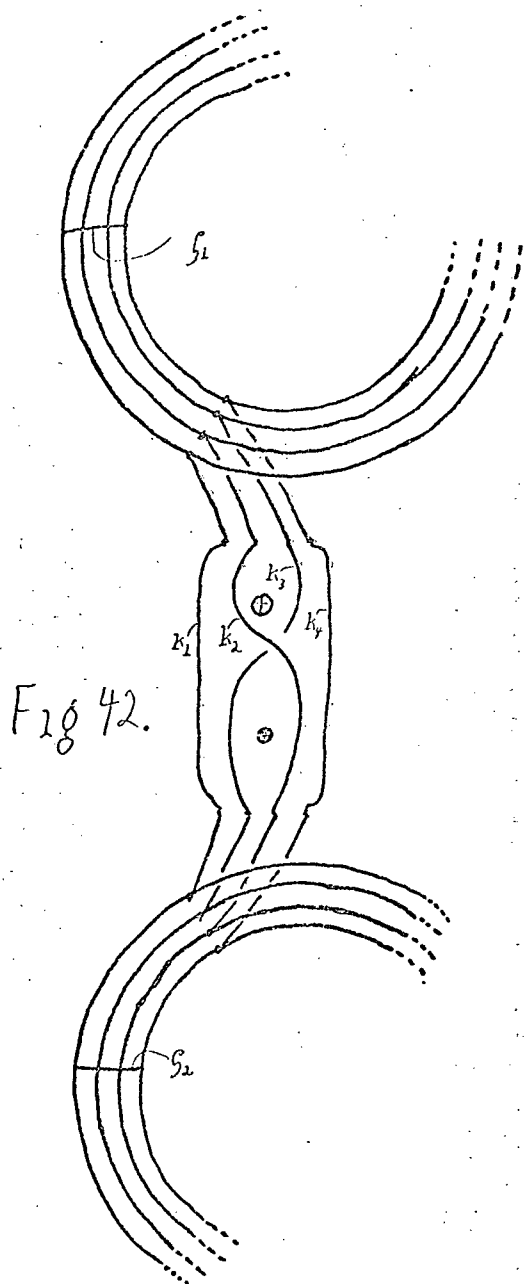


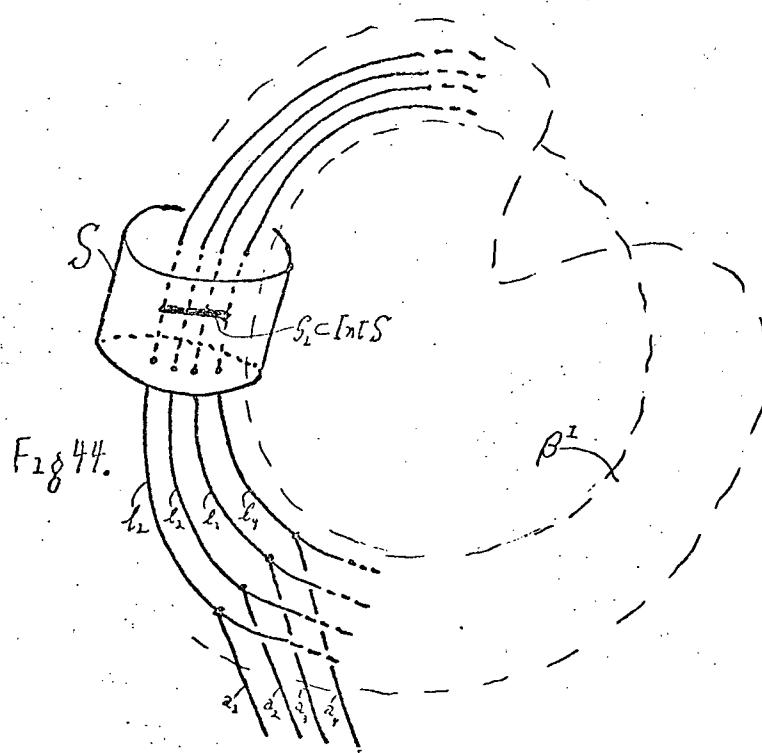
upper eye while  $\overline{A - B^1 - B_2}$  does the same for  $A_1$ ). Using the argument of a), construct a lasso  $J = \overline{C_1 \cup a \cup C_2}$  which is related to  $V$ ,  $e_1 \cup e_2$ ,  $A_4$ , and  $\overline{A - B^1 - B_1}$  just as  $J$  in a) was related to  $V$ ,  $e_1 \cup e_2$ ,  $A_3$ ,  $\overline{A - B^1 - B_2}$ . Fig 40 shows the new  $J$ . When  $C_1$  and  $C_2$  shrink to a point in  $V$  they hit the same element  $\lambda$  of  $A_4$  ( $\lambda$  may be a big element or a point). The path  $q$  joining the end points of  $a$  in  $V \cap \overline{(A - B^1 - B_1)}$  may be constructed by adapting the argument of b), c), and  $\xi_1 = a \cup q$  may be shown to be an upper principal path of  $A$  by an argument like that of d). Just as in the case  $j = 1$ , the arc  $a$  contains a point  $p \in e_1 \cap e_2$ . Thus  $p \in \xi_1$ . To construct the lower principal path  $\xi_2$  when  $j = 2$ , we start as before with  $e_1 \cup e_2 \subset A_2$ , but we use the cube  $\overline{A - B^2 - B_2} \supset A_1$  and construct  $J' = \overline{C'_1 \cup a' \cup C'_2}$  so that  $J'$  is related to  $V$ ,  $e_1 \cup e_2$ ,  $A_1$ ,  $\overline{A - B^2 - B_2}$ , just as  $J$  in e) is related to  $V$ ,  $e_1 \cup e_2$ ,  $A_2$ ,  $\overline{A - B^2 - B_1}$ , see fig. 41. Fig. 41 also shows  $q'$  which is used with  $a'$  to form  $\xi_2$ . The arc  $a'$  and hence the path  $\xi_2$  turns out to contain  $p$ ; hence  $\xi_1 \cap \xi_2 \neq \emptyset$  as before.  $\square$ .

### CHAPTER THREE: GENERALIZATION OF A THEOREM OF BING: LEMMAS.

1. In this chapter, we give two lemmas for the proof of II (2.2), the generalization of Bing's theorem II (2.1). In proving II (2.1), Bing defined a property  $Q$  such that  $A$  had Property  $Q$ , and if a dogbone  $A_{jk} \dots r$  had Property  $Q$ , then one of  $A_{jk} \dots r_1$ ,  $A_{jk} \dots r_2$ ,  $A_{jk} \dots r_3$ ,  $A_{jk} \dots r_4$  had Property  $Q$ . This meant that there was a chain  $A \supset A_j \supset A_{jk} \supset \dots$  of dogbones with Property  $Q$ . Since the possession of Property  $Q$  implied intersection with both disks  $D_i$  in II (2.1), the limit of the chain was a big element  $\Lambda$  which hit both  $D_1$  and  $D_2$  (see the discussion in II§2). We follow Bing's proof closely (in spite of the fact that we alter Property  $Q$  to a property which has to be applied to a whole  $\mathcal{A}_m$  to be of any use) and in fact depend on the reader's familiarity with [12] for the motivation in this chapter and the next. In the remainder of this paper,  $i = 1, 2$ , and  $j = 1, 2, 3, 4$ .

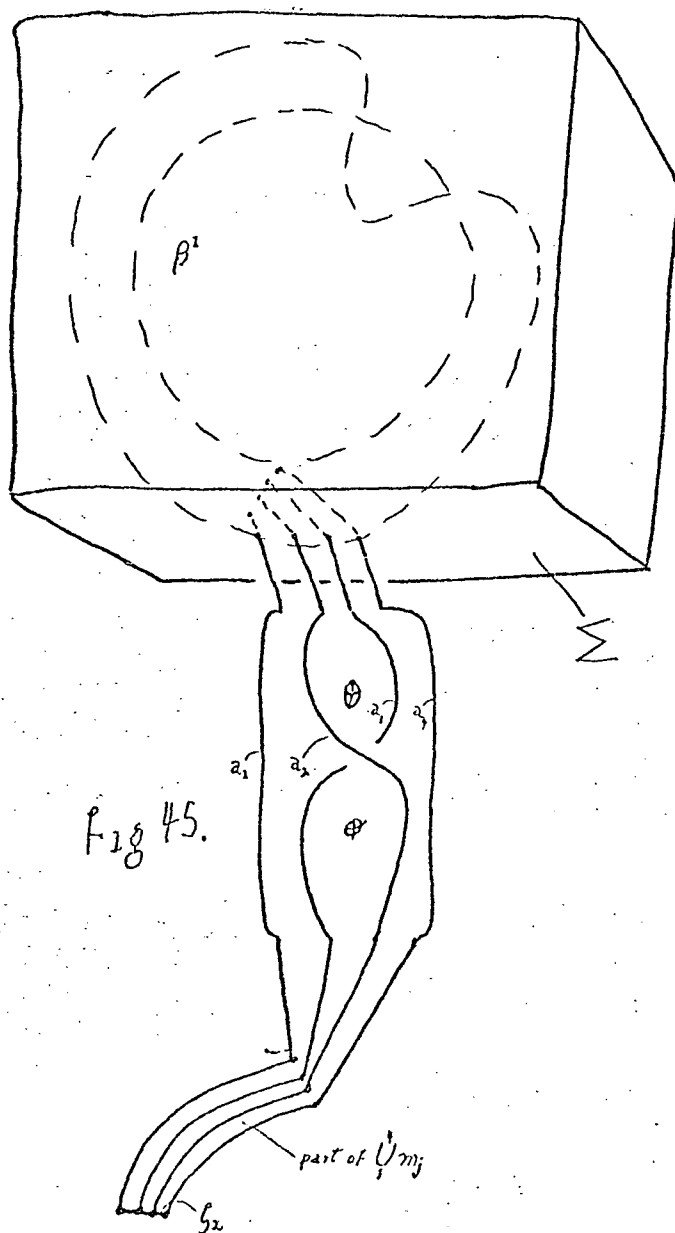
In the proof of II (2.1) in [12], it is evident that the crucial part of the argument is the proof of [12, Th 10], where it is shown that if the four centres of  $A_1, A_2, A_3, A_4$  fail to have Property  $P$ , then some set homotopic to the centre of  $A$  also fails to have Property  $P$ , (The precise definition of Property  $P$  is unimportant until Ch IV). In Ch IV we will prove just this result with the disks  $D_i$  in [12§7] replaced by the singular disks  $f_i[\Delta]$  in II (2.2). Our proof will differ from the proof of [12, Th 10] in that whereas in [12 Th 10] the disks  $D_i$  remain unchanged during the proof, in our proof of the analogous result the  $f_i[\Delta]$  are replaced by new singular disks  $f'_1[\Delta]$  which retain the desirable properties of the  $f_i[\Delta]$ . Although





this is a considerable change, it turns out that our Ch IV resembles the argument of [12§7] very closely. In the present chapter, we prove an important lemma which shows that if each  $k_j$  (in fig 19) misses one of  $f_1[\Delta]$ ,  $f_2[\Delta]$ , then the new  $f_i[\Delta]$  may be constructed so that not only does each  $k_j$  miss one  $f_i'[\Delta]$ , but both  $f_i'[\Delta]$  miss each of the arcs  $\zeta_1$  and  $\zeta_2$  shown in fig 42. The  $\zeta_i$  lie in  $\beta^1$  and  $\beta_1$  and tie the upper and lower loops of the  $k_j$  together as shown in the figure. If we can obtain such singular disks  $f_i'[\Delta]$ , the reward is considerable, for then parts of the  $k_j$  can be erased as shown in fig 43, leaving the set  $b_1 \cup b_2 \cup b_3 \cup b_4 \cup \zeta_1 \cup \zeta_2$  shown in this figure. Since each  $b_j \subset k_j$ , each  $b_j$  misses one  $f_i'[\Delta]$  while  $\zeta_1 \cup \zeta_2$  misses both. One can now apply Part II of the proof of Th 10 of [12] to  $b_1 \cup \dots \cup b_4 \cup \zeta_1 \cup \zeta_2$  instead of to  $\bigcup_{pqj} r_{js}$  in [12, fig 2]. This can be done with very little change in the argument of [12] and results in the construction of a centre of  $A$  which fails to have Property  $P$ . We say that mappings  $g_i: \Delta \rightarrow E^3$  are  $Z$ -disjoint iff  $Z \subset E^3$  and  $\text{rng } g_1 \cap \text{rng } g_2 \cap Z = \emptyset$ , i.e. iff the ranges are disjoint at least in  $Z$ .

Lemma One. Consider  $A$ ,  $A_j$ ,  $\beta^1$ ,  $k_j$  as defined in Ch II (see fig 19). Let  $Z \supset A$  and let  $c_1: \text{Bd } \Delta \rightarrow E^3 - Z$ . Let  $g_i: \Delta \rightarrow E^3$  be  $Z$ -disjoint mappings such that  $g_i|_{\text{Bd } \Delta} = c_i$ . Let  $S$  be the sphere shown in fig 44 consisting of the cylindrical annulus  $\Omega$  with disks  $d_1$ ,  $d_2$  for end caps. Each  $\ell_j$  pierces each  $d_i$  exactly once and  $\beta^1$  misses  $\Omega$ . Let  $S \subset \text{Int } A$  and let  $N$  be an  $\eta$ -neighbourhood of  $S$  such that  $N \subset \text{Int } A$ . The arc  $\zeta_1$  shown in fig 42 lies in  $\text{Int } A - N$ . Then there exist  $Z$ -disjoint mappings  $\bar{g}_i: \Delta \rightarrow E^3$  such that



- i)  $\bar{g}_1 = c_1$  on  $Bd\Delta$ ,
- ii)  $\bar{g}_1: \Delta \rightarrow (rng\ g_1 - Int\ S) \cup N$ ,
- iii) If  $\ell_j \cup \Omega$  misses  $rng\ g_1$ , then  $\ell_j$  misses  $rng\ \bar{g}_1$ .

Corollary. Let  $K_1$  be the cube defined in Ch II (see fig 21). Then ii) and iii) in Lemma One may be replaced by

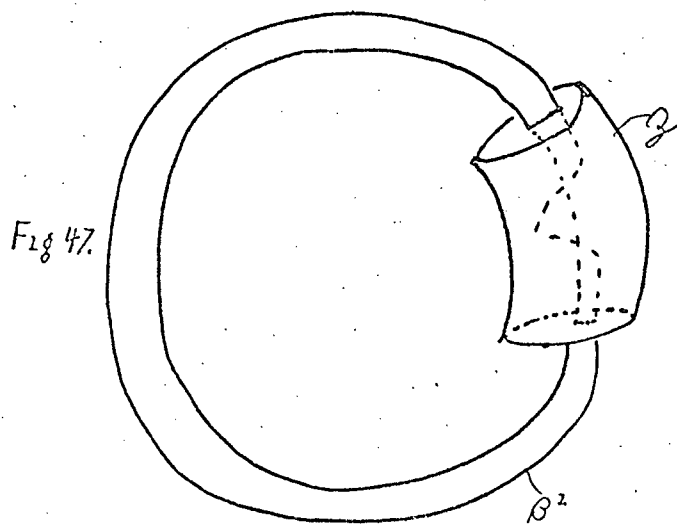
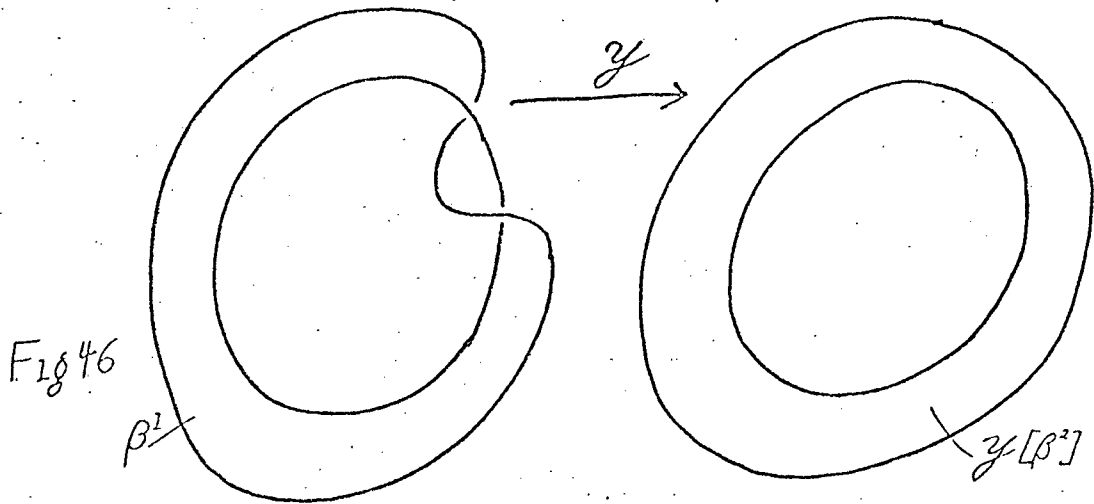
- ii)  $\bar{g}_1: \Delta \rightarrow rng\ g_1 \cup K_1$ ,
- iii) If  $k_j \cup \Omega$  misses  $rng\ g_1$ , then  $k_j$  misses  $rng\ \bar{g}_1$ .

The proof of Lemma One is delayed to §2, which may be read after Ch IV if desired.

We give a second lemma which is intended to repair a gap which would otherwise appear in the proof in Ch IV. This lemma is quite specialized, but appears here because its proof is just a variation of the proof of Lemma One. As before, the proof is delayed to §2 and may be omitted on a first reading.

Lemma Two. Consider  $A$ ,  $Z$ ,  $\zeta_i$ ,  $k_j$ ,  $a_j$ ,  $\beta^1$ , as defined in fig 42. Let  $\Sigma$  be the sphere shown in fig 45. The sphere  $\Sigma$  together with an  $\eta$ -neighbourhood  $N$  of  $\Sigma$  lies in  $Int\ A$ ;  $\beta^1 \subset Int\ \Sigma - n$ , and each of  $a_1$ ,  $a_2$ ,  $a_3$  pierces  $\Sigma$  as shown. Let mappings  $g_i: \Delta \rightarrow E^3$  be  $Z$ -disjoint with  $g_i|_{Bd\Delta} = c_i$ , where  $c_i$  is defined as in Lemma One. Both  $rng\ g_i$  miss the set  $\zeta_1 \cup k_1 \cup k_2 \cup k_3$ . Let  $u_{12}$ ,  $u_{13}$  be arcs in  $\beta^1$  which join  $a_1 \cap \beta^1$  and  $a_2 \cap \beta^1$ ,  $a_1 \cap \beta^1$  and  $a_3 \cap \beta^1$  respectively and miss  $rng\ g_1$ . Let  $v_{12}$ ,  $v_{13}$  be arcs in  $\beta^1$  which join  $a_1 \cap \beta^1$  and  $a_2 \cap \beta^1$ ,  $a_2 \cap \beta^1$  and  $a_3 \cap \beta^1$  respectively and miss  $rng\ g_2$ . The arcs  $u_{12}$ ,  $u_{13}$ ,  $v_{12}$ ,  $v_{13}$  are not necessarily





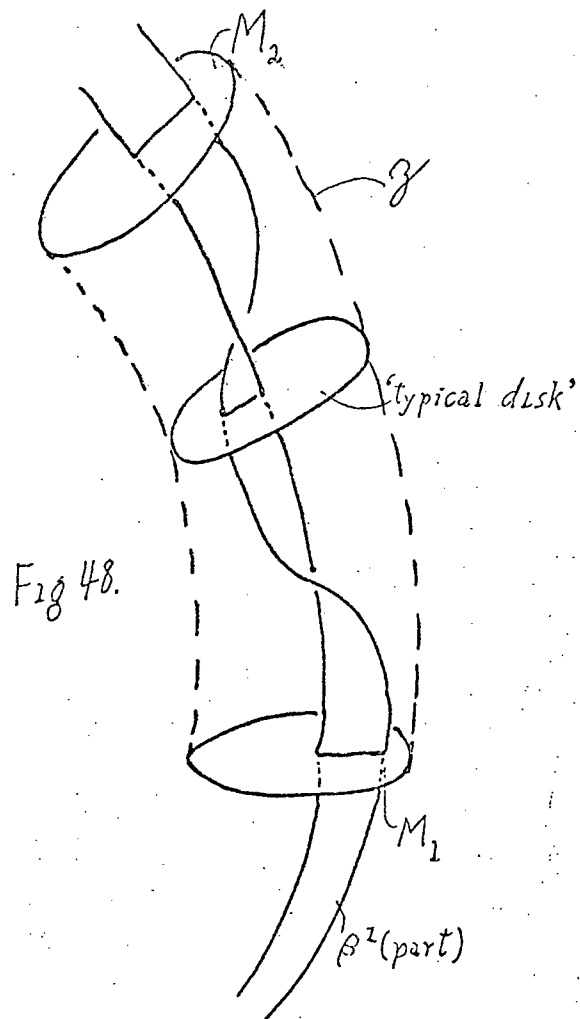
disjoint. Then there exist  $Z$ -disjoint mappings  $g'_i: \Delta \rightarrow (\text{rng } g_i - \text{Int } \Sigma) \cup N$  such that  $g'_i = c_i$  on  $\text{Bd } \Delta$ , and one of  $\text{rng } g'_1$ ,  $\text{rng } g'_2$  misses  $k_1 \cup k_2 \cup k_3$ .

Corollary. One of  $\text{rng } g'_1$ ,  $\text{rng } g'_2$  misses  $\zeta_1 \cup b_1 \cup b_2 \cup b_3 \cup \zeta_2$ , and  $g'_i: \Delta \rightarrow \text{rng } g'_i \cup K_1$ .

Although  $u_{12}$  lies in an annulus and is joined to  $\Sigma$  by the orderly arcs  $a_1 \cap \overline{\text{Int } \Sigma}$ ,  $a_2 \cap \overline{\text{Int } \Sigma}$ , the arc  $(a_1 \cup u_{12} \cup a_2) \cap \overline{\text{Int } \Sigma}$  may be knotted in  $\overline{\text{Int } \Sigma}$ , as a few moments experiment will show (an arc  $ab$  in a cube  $K$  with  $ab \subset \text{Bd } D = a \cup b$  is knotted if there is no disk  $D \subset K$  with  $ab \subset \text{Bd } D \subset \text{Bd } K \cup ab$ ). To be knotted the arc must make more than one circuit on the twisted annulus. A similar comment applies to  $u_{13}$ ,  $v_{12}$ ,  $v_{13}$ .

## 2. Proof of Lemma One.

(2.1). As a preliminary, we describe an untwisting function  $y: E^3 \rightarrow E^3$  which is onto and one-to-one and which unwinds the twist in  $\beta^1$ , i.e.  $y(\beta^1)$  is the planar annulus shown in fig 46. For well known reasons  $y$  cannot be a mapping, but we ensure that  $y$  will be discontinuous only on the curved cylindrical surface  $z$  shown in fig 47. In fig 47, the end caps of  $z$  are called  $M_1$ ,  $M_2$ , and the cube  $\overline{\text{Int}(z \cup M_1 \cup M_2)}$  is called  $K$ . Eventually  $y$  will be composed with a mapping whose range misses  $z$ . Thus the result of the composition will be a mapping. The function  $y$  is defined to be the identity on  $E^3 - K$  and on both  $M_i$ . To define  $y$  in  $\text{Int } K$ : Imagine  $K$  to be cut free of the space by means of a cut on  $z$  and on  $M_2$ , remaining attached only on  $M_1$ .



$K$  may be thought of as a stack of circular disks of infinitesimal thickness. These disks span the cylinder  $z$  and each meets  $\beta^1$  in a straight arc. Fig 48 shows  $M_1$ , which is called the initial disk;  $M_2$ , which is called the final disk; and a 'typical disk' in the stack between  $M_1$  and  $M_2$ . Now apply a twist (which may be thought of as an isotopy of  $K$ ) to  $M_2$  so that  $M_2$  rotates once (i.e. through an angle of  $2\pi$ ) in place. When this happens, the disk  $M_1$ , which is attached to the space, necessarily remains fixed and does not rotate. Each disk intermediate between  $M_1$  and  $M_2$  rotates through an angle which is close to zero for disks close to  $M_1$  and approaches  $2\pi$  for disks whose location approaches that of  $M_2$ . The rotations of the various disks in the stack can be contrived so that  $\beta^1 \cap K$  is carried onto the plane which contains  $\beta^1 - K$ , and so that the final result is homeomorphic to  $K$ . In fig 48, the 'typical disk', which is located half-way between  $M_1$  and  $M_2$  will rotate through an angle of  $\pi$ . This carries its intersection with  $\beta^1$  on to the desired plane. Since  $M_2$  has returned to its original position, we can restore the cut at  $M_2$ . Evidently  $y$  is one-to-one and continuous in  $\text{Int } K$ ,  $\text{Ext } K$ , and on  $M_1 \cup M_2$ . The fact that we cannot sew up the cut on  $z$  appears in the definition of  $y$  as a discontinuity on  $z$ . Clearly  $y$  carries  $\beta^1$  into the plane containing  $\beta^1 - K$ .

(2.2). We will prove a simpler version of Lemma One to show the general approach.

(2.21). Let  $S$  be a sphere in  $E^3$  having a simply connected neighbourhood  $N$ . Let  $g: \Delta \rightarrow E^3$  so that  $g[\text{Bd } \Delta] \subset \text{Ext } S$ . Then there

exists a mapping  $\bar{g}:\Delta \rightarrow (\text{rng } g - \text{Int } S) \cup N$  which agrees with  $g$  on  $\text{Bd}\Delta$ .

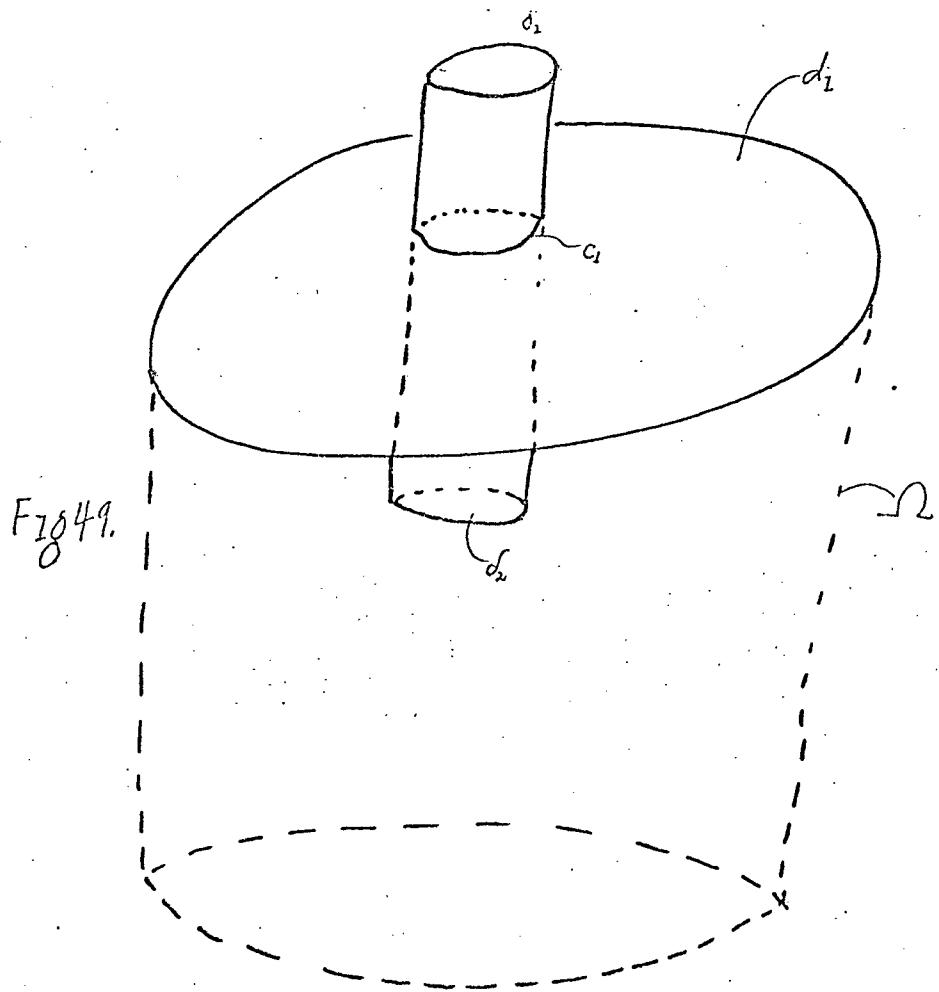
When simplified in this way, (2.21) is insignificant, for there are easier proofs of stronger results, as the reader doubtless sees. However our proof is intended to show how II(1.2) is used in the proof of Lemma One.

Proof. Apply II(1.2) to obtain disks  $W_1, \dots, W_n$  in  $\Delta$  such that  $g^{-1}[\overline{\text{Int } S}] \subset W_1 \cup \dots \cup W_n$ . Since  $g^{-1}[\overline{\text{Int } S}]$  misses  $\text{Bd}\Delta$ ,  $\Delta - \text{Int } W_1 - \dots - \text{Int } W_n$  is a disk with holes and  $g^{-1}[\overline{\text{Int } S}] \subset \text{Int } W_1 \cup \dots \cup \text{Int } W_n$ . If  $\epsilon$  in II(1.2) is sufficiently small, then  $g$  carries each  $\text{Bd } W_r$  into  $N$ , for  $g[\text{Bd } W_r]$  lies close to, but not in  $\overline{\text{Int } S}$  and hence close to  $S$ . In II(1.3), take (simply connected)  $N$  to be  $P_1 = P_2 = \dots = P_n$  to obtain the mapping  $\bar{g} = g|_{\Delta - \text{Int } W_1 - \dots - \text{Int } W_n} \cup \gamma_1 \cup \gamma_2 \cup \dots \cup \gamma_n : \Delta \rightarrow \text{rng } g \cup N$ . Since each point  $x$  in  $\Delta$  lies either in  $\Delta - \text{Int } W_1 - \dots - \text{Int } W_n$  in which case  $\bar{g}(x) \in E^3 - \overline{\text{Int } S}$ , or in some  $W_r$ , in which case  $\bar{g}(x) \in N$ ,  $\text{rng } \bar{g}$  misses  $\text{Int } S - N$ . Thus  $\text{rng } \bar{g}$  lies in  $(\text{rng } g - \text{Int } S) \cup N$ . Finally  $\bar{g} = g$  on  $\text{Bd}\Delta$  because the two mappings differ only in  $W_1 \cup W_2 \cup \dots \cup W_n$ , which misses  $\text{Bd}\Delta$ .

(2.3). We will now give a formal proof of Lemma One.

Case one: neither  $g_i[\Delta]$  meets  $S$ . Let  $\bar{g}_i = g_i$ . Since  $\bar{g}_i[\Delta]$  meets  $\text{Ext } S$ , a connectivity argument shows that  $\bar{g}_i[\Delta]$  misses  $\text{Int } S$ . The rest of the requirements of Lemma One are clear.

In the next two cases we insist that one of the  $\text{rng } g_i$  touch  $\Omega$  while the other does not.



Case two: exactly one  $\text{rng } g_i$  meets  $S$ . The  $\text{rng } g_i$  which meets  $S$  also meets  $\Omega$ . Assume that  $\text{rng } g_1$  meets  $\Omega \subset S$ . Let  $\bar{g}_2 = g_2$ . Evidently i), ii), iii) of Lemma One are true of  $\bar{g}_2$ . Apply the argument of 2.2, taking  $g$  in 2.2 to be  $g_1$ , and construct a mapping  $\bar{g}_1: \Delta \rightarrow (\text{rng } g_1 - \text{Int } S) \cup N$  which agrees with  $c_1$  on  $\text{Bd } \Delta$ . With regard to  $\bar{g}_1$ , i) and ii) are satisfied, and iii) is vacuously satisfied since  $g_1[\Delta]$  hits  $\Omega$ . The  $\bar{g}_i$  are Z-disjoint because we could have taken  $N$  small enough to miss  $\text{rng } g_2$ . Thus  $\emptyset = Z \cap \text{rng } g_1 \cap \text{rng } g_2 = Z \cap (\text{rng } g_1 \cup N) \cap \text{rng } g_2 \supset Z \cap \text{rng } \bar{g}_1 \cap \text{rng } \bar{g}_2$ .

Case three: both  $\text{rng } g_i$  meet  $S$ . One  $\text{rng } g_i$ , say  $\text{rng } g_1$ , meets  $\Omega$ ; the other ( $\text{rng } g_2$ ) does not. The aim of the proof will be to construct an intermediate pair of mappings  $g_i^k$  such that  $\text{rng } g_2^k$  misses  $S$  although  $\text{rng } g_1^k$  may not. The argument then reduces to an easy variation of either case one or case two.

Outline of proof. a) Choose a component  $z_1$  of  $\text{rng } g_2 \cap S$ . It is important that, since  $\text{rng } g_2$  misses  $\Omega$ ,  $\text{rng } g_2 \cap S \subset \text{Int } d_1 \cup \text{Int } d_2$ . Using this fact, we construct a circle  $c_1 \subset \text{Int } d_1 \cup \text{Int } d_2 - \text{rng } g_1 - \text{rng } g_2$  which encloses (on one of the  $d_i$ ) points of exactly one of  $\text{rng } g_1 \cap S$ ,  $\text{rng } g_2 \cap S$ . Although we choose  $z_1 \subset \text{rng } g_2 \cap S$ ,  $c_1$  may turn out to enclose points of  $\text{rng } g_1 \cap S$ .

b) Assume that  $\overline{\text{Int } c_1} \subset \text{Int } d_1$ . Construct a sphere  $\omega \cup \delta_1 \cup \delta_2$  in the shape of a pill-box as shown in fig 49 so that  $c_1$  is the equator of  $\omega \cup \delta_1 \cup \delta_2$ .

c) An argument like that of case two but using  $\omega \cup \delta_1 \cup \delta_2$  instead of  $S$  yields a pair of Z-disjoint mappings

$g_1^1: \Delta \rightarrow \text{rng } g_1 \cup N$  such that  $g_1^1 = c_1$  on  $\text{Bd}\Delta$ ,  $\text{Int } c_1$  misses  $\text{rng } g_1^1 \cup \text{rng } g_2^1$ ; and if  $\text{rng } g_1$  misses  $\Omega \cup \ell_j$ , then so does  $\text{rng } g_1^1$ . The argument of case two is used virtually as is if  $c_1$  encloses points of  $\text{rng } g_1 \cap S$ . If  $c_1$  encloses points of  $\text{rng } g_2 \cap S$  the argument must be modified somewhat, since the method of case two would not ordinarily ensure that  $\text{rng } g_2^1$  would miss all the  $\ell_j$  that  $\text{rng } g_2$  misses.

d) It turns out that  $\text{rng } g_2^1$  misses  $\Omega$ .

If some component  $z_2 \subset \text{rng } g_2^1 \cap S$  exists in, say,  $\text{Int } d_1$ , then we repeat steps a), b) c) to define mappings  $g_i^2: \Delta \rightarrow \text{rng } g_i^1 \cup N$  with properties analogous to those of the  $g_i^1$ . We continue to construct mappings  $g_i^3, g_i^4, \dots$ , first finding a component  $z_{r+1} \subset \text{rng } g_2^r \cap (\text{Int } d_1 \cup \text{Int } d_2)$  and then constructing the pair  $g_i^{r+1}: \Delta \rightarrow \text{rng } g_i^r \cup N$  such that  $g_i^{r+1} = c_i$  on  $\text{Bd}\Delta$  and if  $\text{rng } g_i$  misses  $\Omega \cup \ell_j$ , then so do  $\text{rng } g_i^1, \text{rng } g_i^2, \dots, \text{rng } g_i^r, \text{rng } g_i^{r+1}$ . We show that  $\text{rng } g_i^r \cap S \supset \text{rng } g_i^{r+1} \cap S$  and that the sequence of mappings ends at a pair  $g_i^k$  for which  $\text{rng } g_2^k \cap S = \emptyset$  although  $\text{rng } g_1^k$  may hit  $S$ .

e) The situation now reduces to case one or case two. An additional argument shows that iii) of Lemma One is satisfied.

Details of proof. a) If we assume that  $\text{rng } g_2$  misses  $\Omega$  but hits  $S$ , then there is a component  $z_1$  of  $\text{rng } g_2 \cap S$  lying in  $\text{Int } d_1$  or  $\text{Int } d_2$ , say  $\text{Int } d_1$ . By the Zoratti Theorem, there is a circle  $\chi_1$  in  $\text{Int } d_1$  which misses  $\text{rng } g_2$ , encloses  $z_1$ , and lies so near to  $z_1$  that it misses  $\text{rng } g_1$  (by 'encloses' we mean 'encloses relative to  $d_1$ '). If  $\chi_1$  encloses points of just one of  $\text{rng } g_1 \cap S, \text{rng } g_2 \cap S$ ,



then let  $\chi_1$  be  $c_1$ . We must expect that  $\chi_1$  will enclose points of both  $\text{rng } g_1 \cap S$  and  $\text{rng } g_2 \cap S$  ( $z_1$  could be itself a circle enclosing points of  $\text{rng } g_1 \cap S$ ). In this case use the Plane Separation Theorem in  $\text{Int } \chi_1 \subset \text{Int } d_1$  to construct a circle  $\chi_2 \subset \text{Int } \chi_1$  which misses  $(g_1[\Delta] \cup g_2[\Delta]) \cap S$  and separates the component  $z_1$  of  $g_2[\Delta] \cap S$  from a component  $z'$  of  $g_1[\Delta] \cap S$  in  $\text{Int } \chi_1$ . Since  $z_1$  may be in  $\text{Int } \chi_1 - \overline{\text{Int } \chi_2}$ , we cannot predict that  $\text{Int } \chi_2$  contains points of  $\text{rng } g_2 \cap S$ ; but by the Plane Separation Theorem we know that  $\chi_2$  encloses points of  $(\text{rng } g_1 \cup \text{rng } g_2) \cap S$ . If  $\chi_2$  encloses points of both  $\text{rng } g_1 \cap S$  and  $\text{rng } g_2 \cap S$ , then separate  $\text{Int } \chi_2 \cap (\text{rng } g_1 \cup \text{rng } g_2)$  still further by means of another application of the Plane Separation Theorem. We repeat this procedure, defining circles  $\chi_3, \chi_4, \dots; \chi_r$  being constructed in  $\text{Int } \chi_{r-1}$  whenever  $\text{Int } \chi_{r-1}$  contains points of both  $\text{rng } g_i^{r-1} \cap S$ . The following argument shows that the sequence  $\chi_1, \chi_2, \dots$  must be finite: each annulus  $\overline{\text{Int } \chi_r} - \text{Int } \chi_{r+1}$  contains points of  $(\text{rng } g_1 \cup \text{rng } g_2) \cap S$ . Without loss of generality in the construction of the  $\chi_r$ , we could have replaced the sets  $\text{rng } g_i \cap S$  with 'thickened' sets obtained by covering the  $\text{rng } g_i \cap S$  by small disks of area  $\sigma$  (from compactness, the thickened  $\text{rng } g_1 \cap S$  can be assumed to remain disjoint from the thickened  $\text{rng } g_2 \cap S$ ). But since each of the disjoint open annuli must therefore have area at least  $\sigma$ , the number of  $\chi_r$  must be finite and the sequence ends at some  $\chi_t$ . Since  $\chi_{t+1}$  could be defined if  $\chi_t$  encloses points of both  $\text{rng } g_1 \cap S$  and  $\text{rng } g_2 \cap S$ ,  $\chi_t$  must enclose points of only one of  $\text{rng } g_1 \cap S$ ,  $\text{rng } g_2 \cap S$ . Let  $\chi_t$  be  $c_1$ . We repeat that we do not know which of  $\text{rng } g_1 \cap S$ ,  $\text{rng } g_2 \cap S$  is intersected by  $\text{Int } \chi_t = \text{Int } c_1$ .

Fig 50

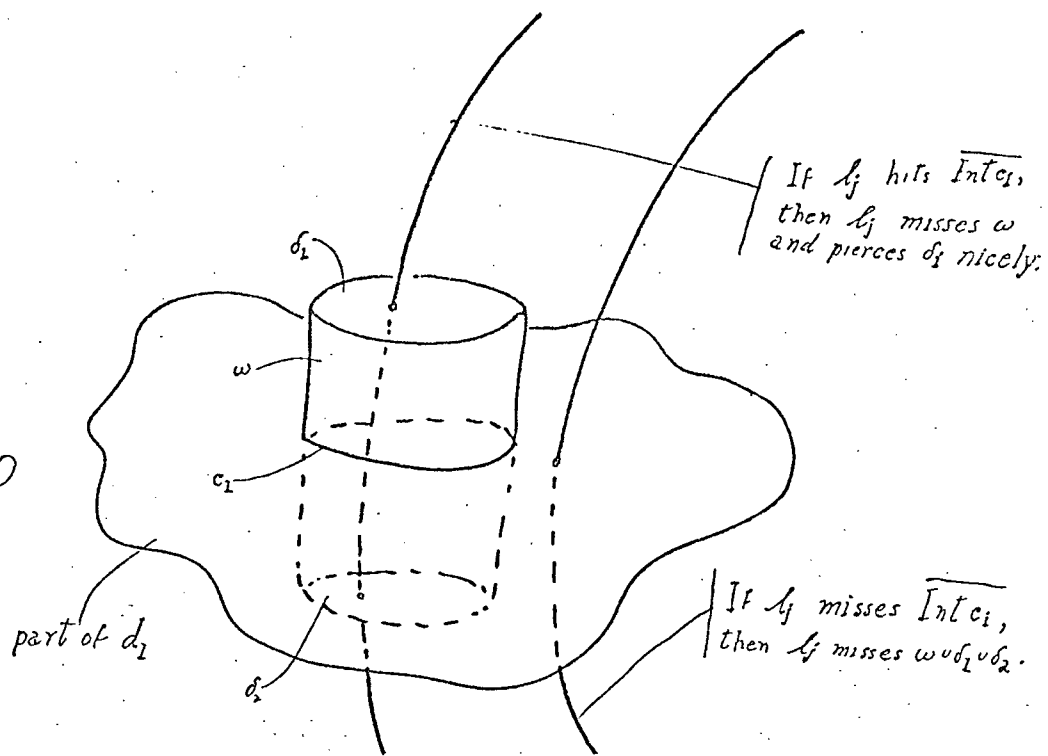
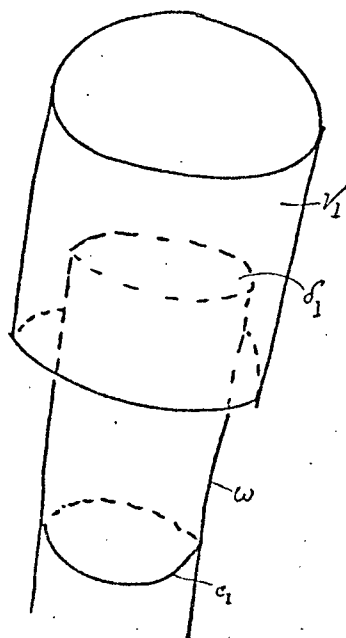


Fig 51.



b) Now assuming  $d_1$  to be horizontal, we build a small sphere in the shape of a pill box consisting of vertical cylinder  $\omega$  and end caps  $\delta_1, \delta_2$ , which are parallel to  $d_1$  (see fig 49). The cylinder  $\omega$  intersects  $d_1$  only at  $c_1$  and extends equal distances above and below  $d_1$ . Thus  $\text{Int } c_1$  (considered as a subset of  $d_1$ ) lies in  $\text{Int}(\omega \cup \delta_1 \cup \delta_2)$ . Since  $c_1$  misses  $\text{rng } g_1 \cup \text{rng } g_2$  we build  $\omega$  so near  $c_1$  that  $\omega$  misses  $\text{rng } g_1 \cup \text{rng } g_2$ . Fig 50 shows  $\omega \cup \delta_1 \cup \delta_2$  and part of  $d_1$ . We assume that  $c_1$  has been moved slightly if necessary so as to miss  $(\lambda_1 \cup \lambda_2 \cup \lambda_3 \cup \lambda_4) \cap d_1$ . We also assume that  $\omega$  misses every  $\lambda_j$  although this may necessitate making  $\omega$  smaller or even curving  $\omega$  slightly to follow the curve of  $\lambda_j$ . The sphere  $\omega \cup \delta_1 \cup \delta_2$  is constructed as in fig 50 so that if some  $\lambda_j$  meets  $d_1$  then  $\lambda_j$  (misses  $\omega$  and) pierces each  $\text{Int } \delta_i$  just once. Let  $v_i$  be the simply connected neighbourhood of  $\delta_i$  shown in fig 51. We construct  $v_i$  so that  $\delta_i - \lambda_1 - \lambda_2 - \lambda_3 - \lambda_4$  is a deformation retract of  $v_i - \lambda_1 - \lambda_2 - \lambda_3 - \lambda_4$ , and so that  $v_i$  misses  $S \supset \text{Int } c_1$  and any  $\lambda_j$  which misses  $\text{Int } c_1$ , i.e. any  $\lambda_j$  which misses  $\omega \cup \delta_1 \cup \delta_2$ . We assume that  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$  has been constructed so that  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$  lies in  $N$ , and (since  $c_1 \subset \text{Int } d_2$ ) so that  $\overline{\text{Int}(\omega \cup \delta_1 \cup \delta_2)} \cup v_1 \cup v_2$  misses  $\Omega \cup d_2$ .

c) Assume for the moment that  $\text{Int } c_1$  contains points of  $\text{rng } g_1 \cap S$ . Because  $\text{rng } g_1$  hits  $\Omega$ , we can ignore iii) in Lemma One as far as  $\bar{g}_1$  is concerned, i.e. the  $g_1^k$  which we are about to construct need not miss any  $\lambda_j$ . We assume that  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$  lies so near  $\text{Int } c_1$  that  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$  misses  $\text{rng } g_2$ . Since

$\omega$  also misses  $\text{rng } g_1$ ,  $\text{rng } g_1$  meets  $\omega \cup \delta_1 \cup \delta_2$  only in  $\delta_1$  or  $\delta_2$ . Apply an argument like that of 2.2 to  $\omega \cup \delta_1 \cup \delta_2$ , i.e. use II(1.2), taking  $S$  in II(1.2) to be  $\omega \cup \delta_1 \cup \delta_2$  and  $N$  to be  $v_1 \cup v_2$ , to obtain disks  $W_1, \dots, W_n$  in  $\Delta$  such that  $g_1^{-1}[\overline{\text{Int}(\omega \cup \delta_1 \cup \delta_2)}]$  lies in  $\text{Int } W_1 \cup \dots \cup \text{Int } W_n$ . Since  $g_1[\text{Bd } W_r]$  lies near  $\text{rng } g_1 \cap (\omega \cup \delta_1 \cup \delta_2)$  as we saw in 2.2, and since  $\omega$  misses  $\text{rng } g_1$ , therefore  $g_1[\text{Bd } W_r]$  lies near  $\delta_1 \cup \delta_2$ , i.e. in  $v_1 \cup v_2$ . Evidently each  $g_1[\text{Bd } W_r]$  lies in one  $v_i$ . Since each  $g_1[\text{Bd } W_r]$  lies in a simply connected subset of  $v_1 \cup v_2$ , we can construct the mappings  $\gamma_r$  in II(1.3) and, following the argument of 2.2, define a mapping  $g_1^1: \Delta \rightarrow [\text{rng } g_1 - \text{Int}(\omega \cup \delta_1 \cup \delta_2)] \cup v_1 \cup v_2$  such that  $g_1^1 = c_1$  on  $\text{Bd } \Delta$ . Let  $g_2 = g_2^1$ . Then it is true of both  $g_i^1$  that  $g_i^1: \Delta \rightarrow \text{rng } g_i \cup N$ ,  $g_i^1 = c_1$  on  $\text{Bd } \Delta$ , and if  $\text{rng } g_i$  misses  $\Omega \cup \ell_j$  then so does  $\text{rng } g_i^1$  (this last property is only true because  $\text{rng } g_1$  hits  $\Omega$  and because  $g_2$ , which can miss some  $\Omega \cup \ell_j$ , is identical to  $g_2^1$ ). Additionally  $\text{Int } c_1$  on  $d_1$  misses  $\text{rng } g_2$  because  $g_2 = g_2^1$  and misses  $\text{rng } g_1$  because  $\text{Int } c_1$  misses  $v_1 \cup v_2$ . The  $g_i^1$  are  $Z$ -disjoint because  $\text{rng } g_2^1 = \text{rng } g_2$ , and  $\text{rng } g_1^1$  exceeds  $\text{rng } g_1$  only in  $v_1 \cup v_2$  which misses  $\text{rng } g_2^1$ . (By ' $\text{rng } g_1^1$  exceeds  $\text{rng } g_1$  only in  $N$ ', we mean that  $\text{rng } g_1 \cup N \supset \text{rng } g_1^1$ .)

Suppose that instead of  $\text{rng } g_1 \cap S$ ,  $\text{Int } c_1$  encloses points of  $\text{rng } g_2 \cap S$ . Let  $g_1 = g_1^1$ , and construct  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$  as in b), this time so that  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$  misses  $\text{rng } g_1$  and  $\omega$  misses  $\text{rng } g_2$ . Constructing  $g_2^1$  is harder than constructing  $g_1^1$  as we did in the last paragraph for we must ensure that  $\text{rng } g_2^1$  misses any set  $\Omega \cup \ell_j$  that  $\text{rng } g_2$  misses. We must take careful account of the various  $\ell_j$ . Some  $\ell_j$  are not missed by  $\text{rng } g_2$  and can be ignored.

Some  $\ell_j$  are missed by  $\text{rng } g_2$  but do not meet  $\overline{\text{Int } c_1}$ ; we note that  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$  has been constructed so that any  $\ell_j$  which misses  $\overline{\text{Int } c_1}$  also misses  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$ . With this precaution, it is safe to ignore those  $\ell_j$  which miss  $\text{rng } g_2$  and also miss  $\overline{\text{Int } c_1}$ . In the remainder of this paragraph we will assume that  $\ell_1$  and  $\ell_2$  are those  $\ell_j$  which miss  $\text{rng } g_2$  and hit  $\overline{\text{Int } c_1}$ . We think that the procedure in the general case that some subset  $\ell_{j_1}, \ell_{j_2}, \dots, \ell_{j_s}$  of  $\ell_1, \ell_2, \ell_3, \ell_4$  misses  $\text{rng } g_2$  and hits  $\overline{\text{Int } c_1}$  will be evident. We proceed to define  $g_2^1$  using II(1.2) and II(1.3) as before. The only difficulty occurs when we wish to shrink  $g_2|_{\text{Bd } W_r}$  to a point so as to define  $\gamma_r$ . It was easy to shrink  $g_1|_{\text{Bd } W_r}$  to a point in one component, say  $v_1$ , of  $v_1 \cup v_2$  in the course of defining  $g_1^1$ . But in this case we must shrink  $g_2|_{\text{Bd } W_r}$  to a point in  $v_1 - \ell_1 - \ell_2$ ; otherwise  $\text{rng } \gamma_r$  and hence  $\text{rng } g_2^1$  will hit  $\ell_1 \cup \ell_2$ . The reason that  $g_2|_{\text{Bd } W_r}$  will shrink to a point on  $v_1 - \ell_1 - \ell_2$  is that  $g_2[W_r]$  misses  $\ell_1 \cup \ell_2$  (because  $\text{rng } g_2$  does) and can be assumed to miss  $z$  in fig 47 without loss of generality. There is a retract  $R$  (though  $W$  not a deformation retract) of  $E^3 - z - \ell_1 - \ell_2$  onto  $\delta_1 - \ell_1 - \ell_2$ . Additionally it turns out that  $R$  restricted to  $v_1 - \ell_1 - \ell_2$  is a deformation retract of  $v_1 - \ell_1 - \ell_2$  onto  $\delta_1 - \ell_1 - \ell_2$ . This means that  $Rg_2|_{\text{Bd } W_r}$  is homotopic to  $g_2|_{\text{Bd } W_r}$  in  $v_1 - \ell_1 - \ell_2$ ; and since  $Rg_2|_{\text{Bd } W_r}$  shrinks to a point in  $Rg_2[W_r] \subset v_1 - \ell_1 - \ell_2$ , therefore  $g_2|_{\text{Bd } W_r}$  shrinks to a point in  $v_1 - \ell_1 - \ell_2$  as required. We delay the description of the retract  $R$  and the proof that  $g_2[\text{Bd } W_r]$  can miss  $z$  until the end of this proof. Except for the use of  $R$  to make  $g_2|_{\text{Bd } W_r}$  shrink to a point, the construction of  $g_2^1$  is like that of  $g_1^1$ ,

and we have  $g_2^1: \Delta \rightarrow [\text{rng } g_2 - \text{Int}(\omega \cup \delta_1 \cup \delta_2)] \cup (v_1 \cup v_2 - \ell_1 - \ell_2)$ . The  $g_i^1$  are Z-disjoint by an argument like that in the previous paragraph, and  $g_i^1: \Delta \rightarrow \text{rng } g_i \cup N$ ,  $g_i^1 = c_i$  on  $\text{Bd}\Delta$  as before. We know that  $\text{rng } g_2^1$  misses  $\Omega$  because  $\text{rng } g_2^1$  exceeds  $\text{rng } g_2$  only in  $v_1 \cup v_2$  which is remote from  $\Omega$ . If  $\ell_j$  misses  $\text{rng } g_2$ , then either  $\ell_j$  meets  $\text{Int } c_1$ , in which case  $\ell_j$  misses  $\text{rng } g_2^1$  because  $\ell_j$  is one of  $\ell_1, \ell_2$  above; or else  $\ell_j$  misses  $\text{Int } c_1$ , in which case  $\ell_j$  misses  $\text{rng } g_2^1$  because  $\ell_j$  is remote from  $v_1 \cup v_2$ .

Note that the  $g_i^1$  satisfy the hypothesis of Lemma One. The important difference between  $g_i$  and  $g_i^1$  is that  $\text{Int } c_1 \cap \text{rng } g_i^1 = \emptyset$  whereas  $\text{Int } c_1$  hits one of  $\text{rng } g_1, \text{rng } g_2$ . Since  $\text{rng } g_i^1 \subset \text{rng } g_i \cup v_1 \cup v_2$ ,  $\text{rng } g_i^1 \cap S \subset \text{rng } g_i \cap S$  (because  $v_1 \cup v_2$  misses  $S$ ). Evidently we can write  $(\text{rng } g_1^1 \cup \text{rng } g_2^1) \cap S \subset (\text{rng } g_1 \cup \text{rng } g_2) \cap S$  where the inclusion is proper.

d) Since the  $g_i^1$  satisfy the hypothesis of Lemma One, we look for a component  $z_2$  of  $\text{rng } g_2^1 \cap S$  in  $\text{Int } d_1 \cup \text{Int } d_2$  and repeat a), b), c) to obtain a circle  $c_2 \subset \text{Int } d_1 \cup \text{Int } d_2$  and Z-disjoint mappings  $g_i^2: \Delta \rightarrow \text{rng } g_i^1 \cup N$  with  $g_i^2 = c_i$  on  $\text{Bd}\Delta$ , and if  $\text{rng } g_i$  misses  $\Omega \cup \ell_j$ , then  $\text{rng } g_i^1$  and  $\text{rng } g_i^2$  also miss  $\Omega \cup \ell_j$ . Furthermore  $(\text{rng } g_1^2 \cup \text{rng } g_2^2) \cap S \subset (\text{rng } g_1^1 \cup \text{rng } g_2^1) \cap S \subset (\text{rng } g_1 \cup \text{rng } g_2) \cap S$ , both inclusions being proper. We can continue in this way, defining mappings  $g_i^3, g_i^4, \dots$  and components  $z_3 \subset \text{rng } g_2^2 \cap (\text{Int } d_1 \cup \text{Int } d_2)$ ,  $z_4 \subset \text{rng } g_2^3 \cap (\text{Int } d_1 \cup \text{Int } d_2)$ , ... so that  $g_i^r: \Delta \rightarrow \text{rng } g_i^{r-1} \cup N$ ,  $g_i^r = c_i$  on  $\text{Bd}\Delta$ , and if  $\text{rng } g_i$  misses  $\Omega \cup \ell_j$ , then so does  $\text{rng } g_i^r$ .

Furthermore  $(\text{rng } g_1^r \cup \text{rng } g_2^r) \cap S \subset (\text{rng } g_1^{r-1} \cup \text{rng } g_2^{r-1}) \cap S$  where the inclusion is proper. An argument from compactness like that used in a) to show that the number of  $\chi_r$  was finite can be used to show that there must be a final pair of Z-disjoint mappings  $g_i^k$ . Since  $g_i^{k+1}$  could be defined if  $z_{k+1}$  existed in  $\text{rng } g_2^k \cap S$ , therefore  $\text{rng } g_2^k$  must miss  $S$ .

Since  $\text{rng } g_i^r \subset \text{rng } g_i^{r-1} \cup N$ , evidently  $g_i^k: \Delta \rightarrow \text{rng } g_i^{k-1} \cup N \subset \text{rng } g_i^{k-2} \cup N \subset \dots \subset \text{rng } g_i \cup N$ ; and  $g_i^k = c_i$  on  $\text{Bd}\Delta$ , while if  $\Omega \cup \ell_j$  misses some  $\text{rng } g_i$ , then  $\Omega \cup \ell_j$  misses  $\text{rng } g_i^k$ . Since  $\text{rng } g_2^k$  misses  $S$ , the argument reduces to either Case one or Case two. In the course of the argument of Case one or two,  $g_2^k$ , whose range already misses  $S$ , will be set equal to  $\bar{g}_2$ . This means that  $\bar{g}_2$  has the properties of  $g_2^k$ ; thus i), ii), iii) of Lemma One are true for  $\bar{g}_2$ . The argument of either Case one or of Case two will now construct a new  $\bar{g}_1: \Delta \rightarrow \text{rng } g_1^k \cup N \subset \text{rng } g_1 \cup N$  with  $\bar{g}_1 = c_1$  on  $\text{Bd}\Delta$ , so that  $\bar{g}_1, \bar{g}_2$  are Z-disjoint. This proves i), ii) of Lemma One for  $\bar{g}_1$ , while iii) is vacuously true by the Case three assumption.

Case four: Exactly one  $\text{rng } g_i$  meets  $S$  but misses  $\Omega$ . The reader will find that the method of case three works here almost word or word if it is assumed that the  $\text{rng } g_i$  which hits  $S$  is  $\text{rng } g_2$ . When we arrive at the point in Case three where  $g_i^k$  is defined, we can let  $g_i^k$  be  $\bar{g}_i$  immediately (or go to Case one). Actually the retract  $R$  works on  $S$  in Case two just as well as on  $\omega \cup \delta_1 \cup \delta_2$  in Case three. Thus a quick proof is possible by adapting Case two.

Case five: Both  $\text{rng } g_i$  hit  $S$ ; both  $\text{rng } g_i$  miss  $\Omega$ .

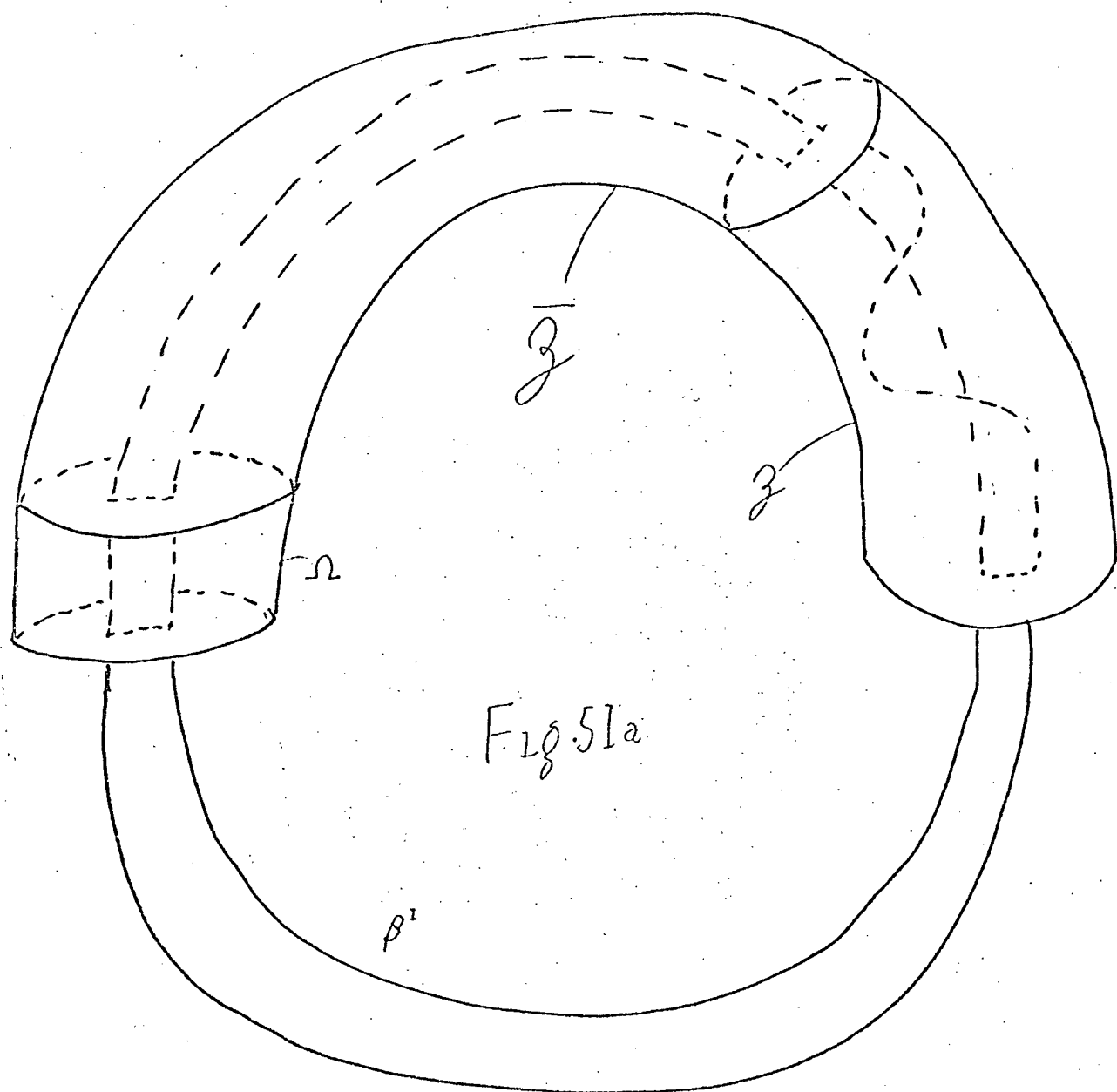
Proceed as in Case three to the point where the  $g_i^k$  are defined, allowing for the fact that iii) in Lemma One applies to both  $\overline{g_i}$  rather than only to  $\overline{g_2}$  as in Case three (thus one may have to use the retract  $R$  to construct both  $g_i^r$ , whereas in Case three,  $R$  was used only to construct  $g_2^r$ ). When the  $g_i^k$  are defined, the argument reduces to Case four or Case one.

Case six: Both  $\text{rng } g_i$  hit  $S$ ; both  $\text{rng } g_i$  hit  $\Omega$ . This case is not used in the applications of Lemma One, which always require every set  $\Omega \cup \ell_j$  to miss one  $\text{rng } g_i$ . It is not hard to prove Case six using the ideas of the other cases.

(2.4). The retract  $R$ .

This retract was used in 2.3 Case three c). We will show how to define  $R: E^3 - z - \ell_1 - \ell_2 \rightarrow \delta_1 - \ell_1 - \ell_2$ ; the definition of  $R$  when  $\delta_1$  is replaced by  $\delta_2$  is similar. Strictly speaking, the proof of Lemma One requires a retraction onto  $d_1 - \ell_{j_1} - \dots - \ell_{j_s}$ , where  $\ell_{j_1}, \dots, \ell_{j_s}$  are some subset of  $\ell_1, \ell_2, \ell_3, \ell_4$ ; however we continue the assumption in 2.3 Case three c) that  $\text{rng } g_2$  misses  $\ell_1 \cup \ell_2$ . Assume that the unique boundary component of  $\beta^1$  which is a planar circle lies on the  $Y - Z$  plane and that the centre of this circle is the origin. The idea is that if we untwist  $\beta^1$  by means of  $y$ , all the circles  $y[\ell_j]$  will be nice circles on the  $Y - Z$  plane with centre the origin. We assume further that  $\delta_1$  lies on the left-hand  $X - Y$  half-plane. We describe  $R$  in terms of several mappings which are applied in sequence to  $E^3 - z - \ell_1 - \ell_2$ . Each mapping will leave





$\delta_1 - \ell_1 - \ell_2$  fixed, and the last will be onto  $\delta_1 - \ell_1 - \ell_2$ .

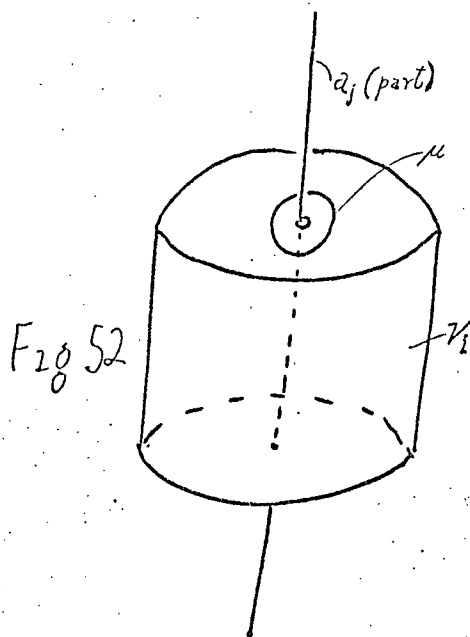
First: untwist  $\beta^1$  by applying the mapping  $y|_{E^3 - z - \ell_1 - \ell_2}$  ( $y$  becomes a mapping by restricting it so that the domain misses the 'bad' set  $z$ ). Each  $y[\ell_j]$  is a plane circle with centre the origin. Second: using the symmetry of  $E^3 - y(\ell_1 \cup \ell_2)$  across the  $X - Z$  plane, reflect the right-hand half-space minus  $y(\ell_1 \cup \ell_2)$  onto the left-hand half-space minus  $y(\ell_1 \cup \ell_2)$ . This reflection carries  $E^3 - z - y(\ell_1 \cup \ell_2)$  into those points in  $E^3$  with non-positive coordinates which do not lie on  $\ell_1 \cup \ell_2$ . Third: retract the left-hand half-space minus  $y(\ell_1 \cup \ell_2)$  (which is the same as the left-hand half-space minus  $\ell_1 \cup \ell_2$ ) onto the left-hand  $X - Y$  half-plane minus  $\ell_1 \cup \ell_2$ . This is easy because the remaining parts of  $\ell_1, \ell_2$  are nice semicircles with centre the origin; one could imagine the  $X - Z$  plane hinged along the  $X$  axis. Using this hinge, topple the upper half of the  $X - Z$  plane onto the left-hand  $X - Y$  plane; simultaneously bring the lower half of the  $X - Z$  plane up to meet the left-hand  $X - Y$  plane. These movements define a (deformation) retract which crushes the left-hand half space minus  $\ell_1 \cup \ell_2$  onto the left-hand  $X - Y$  half-plane minus  $\ell_1 \cup \ell_2$ . Finally retract the left-hand  $X - Y$  half-plane minus  $\ell_1 \cup \ell_2$  onto  $\delta_1 - \ell_1 - \ell_2$ . The four successive mappings define  $R$ . Note that  $R$  acts on  $v_1$  as a deformation retract (this was used in §2.3 Case three c) ).

Finally we will show that  $g_2[W_r]$  in 2.3 Case three c) can be assumed to miss the curved cylinder  $z$ . Since  $g_2[\Delta]$  misses  $\Omega$ , by the case three assumption, we can construct a mapping  $\hat{g}_2: \Delta \rightarrow E^3$  whose range misses the curved cylinder  $\bar{z}$  in fig 51a and which agrees

with  $g_2$  on every point of  $\Delta$  which maps under  $g_2$  outside of a small neighbourhood of  $\bar{z}$ . In fig 51a,  $\bar{z}$  is constructed so as to contain  $\Omega \cup Z$  and to miss  $\ell_1 \cup \ell_2$ . Thus  $\text{rng } \hat{g}_2$  can be assumed to miss  $\ell_1 \cup \ell_2$ . The sets  $v_1, v_2$  (see 2.3 Case Three b)) miss  $\Omega$  and could have been constructed so as to miss all of  $\bar{z}$ . Hence we assume that  $\hat{g}_2 = g_2$  on  $\text{Bd } W_r$  since  $g_2[\text{Bd } W_r] \subset v_1$  by the definition of  $W_r$ . We do not intend that  $\hat{g}_2$  should replace  $g_2$  since  $g_1, \hat{g}_2$  may not be  $Z$ -disjoint; but if  $g_2[W_r]$  hits  $z$ , we can apply the retract  $R$  not to  $g_2|_{W_r}$  but to  $\hat{g}_2|_{W_r}$  and use the fact that  $g_2|_{\text{Bd } W_r}$  shrinks to a point in  $v_1 - \ell_1 - \ell_2$  iff  $\hat{g}_2|_{\text{Bd } W_r}$  does. Essentially the same argument applies to the construction of the other mappings in the sequence  $g_2, g_2^{(1)}, g_2^{(2)}, \dots \square$ .

### 3. Proof of Lemma Two.

We will modify the argument of §2 so as to serve as a proof of Lemma Two. We assume familiarity with §2 in what follows. Modifying the argument of §2 to fit fig 45 presents a small and a large difficulty. The small problem is that we cannot build pillboxes according to the nice picture in fig 49, where  $c_1$  is planar and the  $\delta_1$  can be considered to be horizontal while  $\omega$  is vertical. It will be appreciated that the problem is more apparent than real; we have room to construct  $\Sigma \subset \text{Int } A$  with some obvious smoothness conditions so that if  $c$  is any circle on  $\Sigma$  and  $\text{Int } c$  is defined, then a sphere  $\omega \cup \delta_1 \cup \delta_2$  can be constructed together with neighbourhoods  $v_1, v_2$  so that  $\omega \cup \delta_1 \cup \delta_2 \cup v_1 \cup v_2$  behaves like the corresponding set in §2, i.e.  $\omega \cap \Sigma = c$ ,  $\delta_1, \delta_2$  are disks in  $E^3 - \Sigma$  which meet  $\omega$  only at its



two boundary components, while the  $v_i$  are simply connected neighbourhoods of the  $\delta_i$  which miss  $\Sigma$ . Furthermore, if  $a_j$  hits  $\text{Int } c$ , then  $a_j$  pierces each  $\text{Int } \delta_i$  just once and misses  $\omega$ ; while if  $a_j$  misses  $\text{Int } c$ , then  $a_j$  misses  $\text{Int}(\omega \cup \delta_1 \cup \delta_2) \cup v_1 \cup v_2$ . We require that when  $\text{Int } c$  and hence  $\delta_i$  hits just one  $a_j$ , then  $v_i - a_j$  is homeomorphic to the structure shown in fig 52. This requirement is easy to manage; for  $\Sigma$  can be made to meet the  $a_j$  near  $\beta^1$ , where (according to the definition on Ch II) the  $a_j$  are straight and parallel and perpendicular to  $\beta^1$  (in fact it is easy to make  $a_j \cap N$  a straight arc perpendicular to  $\Sigma$ ).

The hard problem is that in Lemma Two we cannot use the retract  $R$ , which was crucial to the proof of iii) of Lemma One. The reason is that we permit the arcs  $(a_1 \cup u_{12} \cup a_2) \cap \text{Int } \Sigma$ , etc. to be knotted, and in general deviate from the specialized geometry in fig 19. Recall that  $R$  was used to show that certain mappings  $g_i|_{\text{Bd } W_r}$  will shrink to a point in  $v_i - \ell_1 - \ell_2 - \ell_3 - \ell_4$ . Instead of  $R$ , we use the following easy but very weak result.

(3.1). Let  $v_i$  be the usual neighbourhood of  $\delta_i$ . Let  $v_i$  intersect only  $a_1$  as shown in fig 52. Let  $f: \text{Bd } \Delta \rightarrow v_i - a_1$ . Let  $F: \Delta \rightarrow E^3$  so that  $f = F$  on  $\text{Bd } \Delta$  and  $\text{rng } F$  misses a simple closed curve  $L$  such that  $L \supset a_1$ . The curve  $L$  may be knotted. Then  $f$  shrinks to a point in  $v_i - a_1$ . A similar result is true if  $a_2$  or  $a_3$  replaces  $a_1$ .

Proof. Let  $\mu$  be the small circle shown in fig 52. Then  $\mu$  can be considered to represent the sole generator  $y$  of  $v_i - a_1$ ,

and also (by consulting, say, the definition in [6 Ch VI]) a generator of the Wirtinger presentation of  $E^3 - L$  (we specify the particular presentation only to be sure that  $\mu$  does not represent a trivial generator). If  $i: \pi_1(v_1 - a_1) \rightarrow \pi_1(E^3 - L)$  is the inclusion homomorphism, then, with a change of basepoint,  $f \in y^m$  for some integer  $m$ , and  $i(y)$  is an element of  $\pi_1(E^3 - L)$ . Then  $f \in i(y^m) = (i(y))^m$ , which is the identity of  $\pi_1(E^3 - L)$  because  $f$  shrinks to a point in  $E^3 - L$ . Since  $i(y)$  is a non-trivial element (in fact a Wirtinger generator) of  $\pi_1(E^3 - L)$ , either  $m = 0$  or  $i(y)$  is an element of finite order. It is known ([7, (31.9)]) that the fundamental group of the complement of a knot has no element of finite order; therefore  $m = 0$ , and  $f$  represents the identity  $y^0$  in  $\pi_1(v_1 - a_1)$   $\square$ .

To prove Lemma Two, we will apply arguments like those of §2 to a disk  $D$  rather than the sphere  $S$ . We will first define some simple closed curves to play the part of  $L$  in (3.1): Let  $u_{12}, u_{13}$  be the unique simple closed curves which are subsets of  $\zeta_2 \cup a_1 \cup u_{12} \cup a_2$ ,  $\zeta_2 \cup a_1 \cup u_{13} \cup a_3$ , respectively. Let  $v_{12}, v_{13}$  be identical to  $u_{12}, u_{13}$  respectively except that  $u_{12}$  is replaced by  $v_{12}$  and  $u_{13}$  by  $v_{13}$ . From the hypothesis of Lemma Two, it is clear that  $u_{12}$  and  $u_{13}$  miss  $\text{rng } g_1$  while  $v_{12}, v_{13}$  miss  $\text{rng } g_2$ . Now if  $D$  is a disk which is a subset of  $\Sigma$  and  $\text{Bd } D$  misses  $\text{rng } g_1 \cup \text{rng } g_2 \cup a_1 \cup a_2 \cup a_3$ , then if  $\text{rng } g_1 \cup \text{rng } g_2$  meets  $D$ , we can define a circle  $c_1$  just as in §2 so that  $c_1 \subset \text{Int } D$  and  $c_1$  encloses points of just one of  $\text{rng } g_1 \cap \Sigma$ ,  $\text{rng } g_2 \cap \Sigma$ . Then a pillbox  $\omega \cup \delta_1 \cup \delta_2$  can be constructed as usual, and finally a pair of mappings  $g_i^1: \Delta \rightarrow \text{rng } g_i \cup v_1 \cup v_2$ , where the  $v_i$  are the usual neighbourhoods of the  $\delta_i$ , and the  $g_i^1$  have properties like the  $g_i^1$  in §2, Case three. If  $\text{Int } c_1$  and hence  $\omega \cup \delta_1 \cup \delta_2$  meets just one of  $a_1, a_2$ ,

$a_3$  say  $a_1$ , then we use (3.3) instead of the retract  $R$  to shrink the various mappings  $g_i|_{\text{Bd } W_r}$  to a point in  $v_1 \cup v_2$  as was done in §2, Case three. Thus if  $\text{rng } g_i$  hits  $\text{Int } c_1$ ,  $\text{rng } g_i^1$  misses  $a_1$  because  $\text{Int } c_1$  meets only one of  $a_1, a_2, a_3$ ; while if  $\text{rng } g_i$  misses  $\text{Int } c_1$ ,  $\text{rng } g_i^1$  misses  $a_1$  because  $g_i^1 = g_i$ . And by the usual arguments,  $a_2$  and  $a_3$ , which are remote from  $\omega \cup \delta_1 \cup \delta_2$ , continue to miss both  $\text{rng } g_i^1$ . In applying (3.1), we let  $L$  be  $u_{12}$  or  $v_{12}$  (assuming that  $\text{Int } c_1$  hits  $a_1$ ) depending as  $\text{rng } g_1$  or  $\text{rng } g_2$  hits  $\text{Int } c_1$ . If  $\text{Int } c_1$  hits  $a_2$  only, let  $L$  be  $u_{12}$  or  $v_{12}$  again; if  $\text{Int } c_1$  hits  $a_3$  only, let  $L$  be  $u_{13}$  or  $v_{13}$ . Unfortunately, as the reader doubtless sees, if  $c_1$  encloses more than one of  $a_1 \cap \Sigma$ ,  $a_2 \cap \Sigma$ ,  $a_3 \cap \Sigma$ , then the present argument fails (because the argument with (3.1) is weaker than the original argument in §2 which used the retract  $R$ ), and  $g_i^1$  cannot be constructed so that  $\text{rng } g_i^1$  misses all of  $a_1, a_2, a_3$ . The trick of proving Lemma Two is to apply the argument of §2 so that none of  $\text{Int } c_1, \text{Int } c_2, \dots$  ever hits more than one of  $a_1, a_2, a_3$ . By extending the above ideas to further pairs  $g_i^2, g_i^3, \dots$  and using methods from §2, we can prove

(3.2). In the context of Lemma Two, let  $D$  be a disk such that  $D \subset \Sigma$ , and let  $\text{Bd } D$  miss  $\text{rng } g_1 \cup \text{rng } g_2 \cup a_1 \cup a_2 \cup a_3$ . Then there exist circles  $c_1, c_2, \dots, c_m$  in  $\text{Int } D$  and  $Z$ -disjoint mappings  $g_1^1, g_1^2, \dots, g_1^m$  such that  $g_1^r: \Delta \rightarrow \text{rng } g_1^{r-1} \cup (n - \Sigma)$ ,  $g_1^1 = g_1^2 = \dots = g_1^m = c_1$  on  $\text{Bd } \Delta$ ,  $c_r$  encloses (relative to  $D$ ) points of just one of  $\text{rng } g_1^{r-1} \cap \Sigma$ ,  $\text{rng } g_2^{r-1} \cap \Sigma$ , and  $\text{rng } g_i^m$  misses  $D$ . If, additionally, each  $c_r$  can be constructed so that  $c_r$  encloses just one of  $a_1 \cap \Sigma$ ,  $a_2 \cap \Sigma$ ,

$a_3 \cap \Sigma$ , then  $\text{rng } g_i^m$  can be constructed so as to miss  $a_1 \cup a_2 \cup a_3$ .

Proof. (3.2) is proved in the same way as Lemma One. We can ignore Cases two and three in the proof of Lemma One because the fact that  $\text{Bd } D$  misses  $\text{rng } g_1 \cup \text{rng } g_2$  evidently takes the place of the condition in Lemma One that  $\Omega$  misses  $\text{rng } g_1 \cup \text{rng } g_2$ . Clearly we cannot have  $\text{rng } g_i^m$  miss  $\text{Int } \Sigma$  in this version of the argument because  $D$  is a proper subset of  $\Sigma$ . The only part of the proof which does not have an exact counterpart in §2 is the statement that  $\text{rng } g_i^r \subset \text{rng } g_i^{r-1} \cup (n - \Sigma)$ . The reason that  $\text{rng } g_i^r$  exceeds  $\text{rng } g_i^{r-1}$  only in  $n - \Sigma$  is, as usual, that  $v_1 \cup v_2$  is remote from  $\Sigma$ .

(3.3). Corollary. If, additionally to the hypothesis of (3.2),  $D$  misses  $\text{rng } g_1$ , then  $\text{rng } g_1^m$  misses  $a_1 \cup a_2 \cup a_3$  regardless of the number of  $a_1, a_2, a_3$  which are hit by the  $\text{Int } c_r$ . Similarly, if  $\text{rng } g_2$  misses  $D$ , then  $\text{rng } g_2^m$  misses  $a_1 \cup a_2 \cup a_3$ .

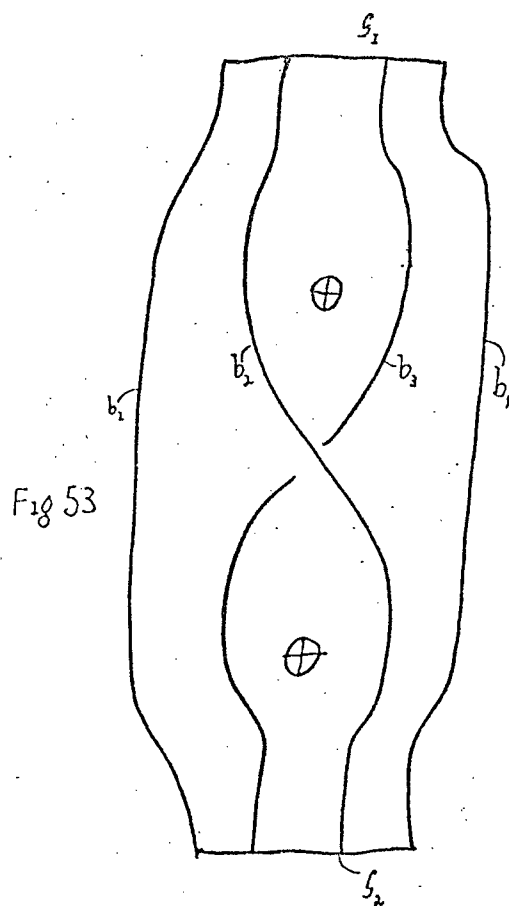
Proof. According to the argument of §2, if  $\text{rng } g_i$  misses  $D$ , then we let  $g_i = g_i^m$  immediately.

We will now give the proof of Lemma Two. The following question does not look like a simplification at first glance: Do there exist  $Z$ -disjoint mappings  $f_i: \Delta \rightarrow (\text{rng } g_i \cup n) - a_1 - a_2 - a_3$  with  $f_i = c_i$  on  $\text{Bd } \Delta$ , and a decomposition of  $\Sigma$  into disks  $D_1, D_2$  so that  $D_1 \cup D_2 = \Sigma$  and  $D_1 \cap D_2 = \text{Bd } D_1 = \text{Bd } D_2$ , and so that  $\text{Int } D_2$  misses one  $\text{rng } f_i$ , say  $\text{rng } f_1$ , and hits  $a_2$  and  $a_3$ ; while  $\text{Int } D_1$  hits  $a_1$ ?



Case one: the mappings  $f_i$  exist as described above. Look at the decomposition  $\Sigma = D_1 \cup D_2$ . Apply (3.2) to  $D_1$  to convert the  $f_i$  to  $Z$ -disjoint mappings  $\bar{f}_i: \Delta \rightarrow \text{rng } f_i \cup (n - \Sigma)$  with  $\bar{f}_i = c_i$  on  $\text{Bd } \Delta$  and such that  $\text{rng } \bar{f}_i$  misses  $a_1$  and  $D_1$ . In (3.2), the condition that  $\text{Int } c_r$  hit at most one of  $a_1, a_2, a_3$  is satisfied because  $a_2, a_3$  miss  $D_1 \supset \text{Int } c_r$ . Furthermore  $\text{rng } \bar{f}_i$  misses  $a_2$  and  $a_3$  by the usual argument. Now apply (3.3) to  $D_2$ , to replace the  $\bar{f}_i$  with  $Z$ -disjoint mappings  $g'_i: \Delta \rightarrow \text{rng } \bar{f}_i \cup (n - \Sigma)$  with  $g'_i|_{\text{Bd } \Delta} = c_i$ . We know that  $\text{rng } \bar{f}_i$  misses  $D_2$  since  $\text{rng } f_i$  does, and  $\bar{f}_i$  evidently satisfies the hypothesis of (3.2) and (3.3). By (3.3),  $\text{rng } g'_i$  misses  $a_1 \cup a_2 \cup a_3$ , although  $\text{rng } g'_i$  probably does not. Since  $\text{rng } g'_i$  now misses all of  $\Sigma$ ,  $\text{rng } g'_i$  misses  $\text{Int } \Sigma$  except perhaps in  $n$ .

Case two: no mappings  $f_i$  exist as described. Let  $d \subset \Sigma$  be a disk pierced by  $a_1$  which is small enough to miss both  $\text{rng } g_i$  and  $a_2 \cup a_3$ . Let  $D = \overline{\Sigma - d}$ . Using (3.2), construct a sequence of circles and mappings  $c_1, g_1^1, c_2, g_1^2, c_3, g_1^3, \dots$  as described in (3.2), ending in the construction of  $Z$ -disjoint mappings  $g_i^m = g'_i: \Delta \rightarrow \text{rng } g_i \cup (n - \Sigma)$  with  $g'_i = c_i$  on  $\text{Bd } \Delta$  and such that  $\text{rng } g_i^m$  misses  $D$  and  $a_2 \cup a_3$ . We know that every  $c_r$  encloses at most one of  $a_1 \cap \Sigma, a_2 \cap \Sigma, a_3 \cap \Sigma$ , as required by (3.2): for otherwise  $g_i^{r-1}, \overline{\text{Int } c_r}, \Sigma - \text{Int } c_r$  satisfy the definition of  $f_i, D_1, D_2$  given above, which means that (since  $g_i^{r-1}$  exists)  $c_r$  contradicts the Case two assumption. Evidently  $\text{rng } g_i^m$  misses not only  $D \cup a_2 \cup a_3$ , but also  $d \cup a_1$ , so that  $\text{rng } g_i^m$  misses  $a_1 \cup a_2 \cup a_3$  and all of  $\Sigma$ , etc.  $\square$ .



# CHAPTER FOUR. GENERALIZATION OF A THEOREM OF BING:

## MAIN PROOF.

1. We will use Lemma One, Lemma Two and I§5 to prove II(2.2). The organization of the proof is much like that of [12 §7] and we depend on the reader's familiarity with [12] for orientation (although a detailed reading is required only of the section called 'Part II of Proof' in [12 §7]). As in [12 §7], we first give a (somewhat altered) definition of Property Q, then induce Property Q through the steps of the dogbone construction. This argument occupies most of the length of this chapter. As in [12 §7], it follows immediately (and for more or less the same reasons) that some big element of the decomposition hits both singular disks  $f_i[\Delta]$  in II(2.2).

Still following [12], we will not present a formal induction, but will show that if  $A$  has Property Q, then so does  $A_1 \cup A_2 \cup A_3 \cup A_4 = a_1$  (Bing proves that one  $A_j$  has Property Q; our version of Property Q is only useful when applied to  $a_1, a_2, a_3, \dots$ , of Ex 2 in §2). The proof of this is divided into Part I and Part II as in [12 §7]. In Part I, we look at the set  $\zeta_1 \cup b_1 \cup b_2 \cup b_3 \cup b_4 \cup \zeta_2$  (see fig 43) which serves a purpose like that of the set  $\bigcup_j pq_jr_js$  in [12, fig 2]. We show that the  $f_i$  in II(2.2) can be replaced by mappings  $g'_i$  such that each  $\text{rng } g'_i$  misses one  $b_j$  and both  $\zeta_i$ . We call the set  $\zeta_1 \cup b_1 \cup b_2 \cup b_3 \cup b_4 \cup \zeta_2$  the cradle of  $A$ , and later represent it as in fig 53, which preserves the embedding of  $\zeta_1 \cup b_1 \cup b_2 \cup b_3 \cup b_4 \cup \zeta_2$  in  $A$ . In [12],  $\bigcup_j pq_jr_js$  behaves like the cradle of  $A$  in that each  $pq_jr_js$  misses one of the disks  $D_i$  in II(2.1). In Part II of our proof we follow [12] very closely and require a detailed reading

of the corresponding part of [12, Th 10]. There are a few alterations; these are required by the fact that some homotopies are replaced by isotopies.

## 2. Properties P and Q.

We will define a Property P on double ended lassos  $\ell \cup a \cup m$  with respect to closed sets  $Y_1, Y_2$ . The lasso  $\ell \cup a \cup m$  consists of circles  $\ell$  and  $m$  connected by an arc  $a$ . In Ch II we often specified constructions only up to homotopy (e.g. the intersecting principal paths of Ch II). The consequence was that we ignored singularities in these constructions. In this chapter, this practice is emphatically not allowed; in particular, in the lasso  $\ell \cup a \cup m$ , the circles  $\ell$  and  $m$  are disjoint simple closed curves and  $a$  meets  $\ell \cup m$  only at its end points. One of the things that make the present chapter harder than Ch II is that geometric constructions have to be moved isotopically, whereas in Ch II homotopy was good enough.

Properties P and Q are defined in terms of their negatives, which we write Property  $\sim P$  and Property  $\sim Q$ . A double ended lasso  $\ell \cup a \cup m$  has Property  $\sim P$  with respect to closed sets  $Y_1, Y_2$  iff one of the following two conditions obtains.

$\sim P(a)$ :  $\ell \cup a \cup m$  misses  $Y_1$  or  $Y_2$  (or both),

$\sim P(b)$ :  $\ell \cup a \cup m$  meets both  $Y_1$  and  $Y_2$ . The set  $a \cup m$  misses  $Y_1 \cup Y_2$ ;  $\ell$  contains a point  $y \notin \ell \cap a$  such that of the two distinct arcs in  $\ell$  with end points  $y$  and  $\ell \cap a$ , one misses  $Y_1$  while the other misses  $Y_2$ .

We intend that Property  $\sim P(b)$  should be symmetric, i.e.  $a \cup \ell$  may miss  $Y_1 \cup Y_2$  and the point  $y$  may be in  $m - a$ . Regardless of whether  $\ell \cup a \cup m$  has Property  $\sim P(a)$  or Property  $\sim P(b)$ , each of  $\ell$  and  $m$  has Property  $\sim P$  as defined in I §5 for circles with base point (the base points here are taken to be  $\ell \cap a$ ,  $m \cap a$ ). This statement, which is important, is easily checked. Evidently  $\ell \cup a \cup m$  may have both Property  $\sim P(a)$  and Property  $\sim P(b)$ .

Property  $\sim P$  is the negative of Bing's Property  $P$  in [12]. It is easy to see that our Property  $\sim P$  implies the negative of Bing's property, i.e. our Property  $\sim P$  implies that if  $x_1 \in \ell$  and  $x_2 \in m$  and  $\ell \cup a \cup m$  has Property  $\sim P$  (by our definition), then there is an arc in  $\ell \cup a \cup m$  with end points  $x_1$ ,  $x_2$  which misses one of  $Y_1$ ,  $Y_2$ . We will neither use nor prove the complete equivalence of the two definitions here, although a proof will be found to be straightforward.

Property  $Q_{Z, c_1, c_2}$  is defined on dogbones. If a dogbone  $X$  has Property  $\sim Q_{Z, c_1, c_2}$ , this means roughly that the centre of  $X$  has Property  $\sim P$  with respect to the ranges of certain mappings  $f_1$ ,  $f_2$ . To be precise, let  $Z \supset X$  and for  $i = 1, 2$ ,  $c_i: Bd\Delta \rightarrow E^3 - Z$ . Then  $X$  has Property  $\sim Q_{Z, c_1, c_2}$  iff there exist  $Z$ -disjoint mappings  $g_1$ ,  $g_2$  such that  $g_i: \Delta \rightarrow E^3$ ,  $g_i = c_i$  on  $Bd\Delta$ , and the centre of  $X$  has Property  $\sim P$  with respect to  $\text{rng } g_1$ ,  $\text{rng } g_2$ . We also say ' $X$  has Property  $\sim Q_{Z, c_1, c_2}$  with respect to  $g_1$ ,  $g_2$ ' with the obvious meaning. We define  $X$  to have Property  $Q_{Z, c_1, c_2}$  iff  $X$  fails to have Property  $\sim Q_{Z, c_1, c_2}$  (i.e. with respect to every qualified pair of mappings  $g_i$ ). Note that a statement like ' $X$  has Property  $Q_{Z, c_1, c_2}$ '

with respect to  $g_1, g_2'$  means very little.

Example 1). Suppose  $Z = X = A$  and  $c_1, c_2$  are the two circles shown in fig 28. Then  $A$  has Property  $Q_{Z, c_1, c_2}$ . For if  $c_1$  ( $c_2$ ) shrinks to a point, it must hit the upper (lower) eye  $\ell$  ( $m$ ) of  $A$ . Thus if  $f_1$  is an extension of  $c_1$  to all of  $\Delta$ , then  $\text{rng } f_1$  hits  $\ell$  and  $\text{rng } f_2$  hits  $m$ . This 'kills' Property  $\sim P$  for  $k = \ell \cup a \cup m$  with respect to  $\text{rng } f_1, \text{rng } f_2$ , since Property  $\sim P$  would require either that one  $f_i[\Delta]$  miss both  $\ell$  and  $m$  or that one of  $\ell$  or  $m$  miss both  $f_i[\Delta]$ .

Example 2). Let  $Z = A_1$ ;  $c_1, c_2$  as in Ex. 1). Then  $A_1$  has Property  $\sim Q_{Z, c_1, c_2}$ ; for the  $c_i$  can shrink to a point so as to miss  $Z$  and  $A_1$ . We emphasize that ' $X$  has Property  $Q_{Z, c_1, c_2}$ ' does not imply that  $c_1, c_2$  link the eyes of  $X$ .

Evidently if  $X$  has Property  $Q_{Z, c_1, c_2}$  and  $f_1, f_2$  are any  $Z$ -disjoint mappings of  $\Delta$  into  $E^3$  with  $f_i = c_i$  on  $\text{Bd}\Delta$ , then the centre of  $X$  fails to have Property  $\sim P(a)$  with respect to  $f_1[\Delta], f_2[\Delta]$ , and consequently both  $f_1[\Delta]$  and  $f_2[\Delta]$  meet (the centre of)  $X$ . This suggests that the obvious way to attack the proof of II(2.2) is to let  $Z = A$  and let  $c_1, c_2$  be the  $c_i$  in II(2.2), and we will eventually do this. But it turns out that in this case there is no sequence  $A \supset A_j \supset A_{jk} \supset \dots$  such that each of  $A, A_j, A_{jk}, \dots$  has Property  $Q_{A, c_1, c_2}$ , with the  $c_i$  defined as in II(2.2); in fact every dogbone  $X \neq A$  has Property  $\sim Q_{A, c_1, c_2}$ . We overcome this difficulty with the next definition.

A set  $\{X_1, \dots, X_m\}$  of dogbones has Property  $\sim Q_{Z, c_1, c_2}$  iff each  $X_r$ ,  $r = 1, 2, \dots, m$  has Property  $\sim Q_{Z, c_1, c_2}$  with respect to the same pair of mappings  $f_1, f_2$ , and the same triple  $Z, c_1, c_2$ . If  $\{X_1, \dots, X_m\}$  fails to have Property  $\sim Q_{Z, c_1, c_2}$ , then we will say that  $\{X_1, \dots, X_m\}$  has Property  $Q_{Z, c_1, c_2}$ . If the set of components of some  $a_s$  has Property  $Q_{Z, c_1, c_2}$  and if  $g_i: \Delta \rightarrow E^3$  is an extension of  $c_i$ ,  $i = 1, 2$ , and the  $g_i$  are  $Z$ -disjoint; then some component  $X$  of  $a_s$  fails to have Property  $\sim Q_{Z, c_1, c_2}$  with respect to the  $g_i$ . As we saw earlier, this means that both  $g_i[\Delta]$  meet  $X$ . We will say that  $a_s$  has Property  $Q_{Z, c_1, c_2}$  iff the set of components of  $a_s$  has Property  $Q_{Z, c_1, c_2}$ . Eventually we will show that each of  $a_1, a_2, a_3, \dots$  has Property  $Q_{Z, c_1, c_2}$ .

3. We now give our version of [12, Th 10].

(3.1). Let  $Z \supset A$  and  $c_1, c_2$  by any circles whatever in  $E^3 - Z$ . In particular, the  $c_i$  do not necessarily link the eyes of  $A$ . Then if  $\{A_1, A_2, A_3, A_4\}$  has Property  $\sim Q_{Z, c_1, c_2}$ , so has  $A$ .

We remark that in [12], the proof of Th 10 does not use the fact that  $Bd D_1, Bd D_2$  (in fig 1 of [12]) link the eyes of  $A$ , even though a short proof of [12, Th 10] can be constructed along the lines of the second paragraph of [12 §7]. The reason is that in later applications of the argument of the proof of [12, Th 10] (which is a disguised induction step) to, say,  $A_1$  and  $A_{11}, A_{12}, A_{13}, A_{14}$ , the  $Bd D_i$  do not in fact link the eyes of  $A_1$ . For a similar reason we state (3.1) for very general circles  $c_i$  rather than the  $c_i$  in fig 28. We assume that

$Z, c_1, c_2$  have been chosen once and for all before the proof of (3.1) begins, and will now write Property  $\sim Q$  for Property  $\sim Q_{Z, c_1, c_2}$ . We will not refer to Bing's Property Q again in this paper. We will continue the convention in Ch III that  $i = 1, 2$ , and  $j = 1, 2, 3, 4$ .

Proof of (3.1): Part I

In this part of the proof we assume that  $\{A_1, A_2, A_3, A_4\}$  has Property  $\sim Q$  with respect to mappings  $g_1, g_2$  and show that the  $g_i$  can be replaced by  $Z$ -disjoint mappings  $g'_i: \Delta \rightarrow E^3$  with  $g'_i = c_i$  on  $Bd\Delta$  and with the property that in the cradle  $\zeta_1 \cup b_1 \cup b_3 \cup b_4 \cup \zeta_2$  (see fig 43), each  $b_j$  misses one  $\text{rng } g'_i$  while  $\zeta_1 \cup \zeta_2$  misses both. By the definition of Property  $\sim Q$ , each  $k_j$  has Property  $\sim P$  with respect to the  $\text{rng } g_i$ . Look at  $\beta^1 \cup \beta_1$ , and recall the definition of bridging in I §5. The construction of the  $g'_i$  divides into three cases depending on the way that the sets  $\text{rng } g_i \cap (\beta^1 \cup \beta_1)$  bridge  $\beta_1$  and  $\beta^1$ . If  $\text{rng } g_1 \cap \beta^1$  or  $\text{rng } g_2 \cap \beta^1$  bridges  $\beta^1$ , but not both, then we say that  $\beta^1$  is bridged once by  $\text{rng } g_1$  or  $\text{rng } g_2$  respectively. If both sets  $\text{rng } g_1 \cap \beta^1, \text{rng } g_2 \cap \beta^1$ , bridge  $\beta^1$ , then  $\beta^1$  is said to be bridged twice. The bridging of  $\beta_1$  is defined analogously. The three cases (not exclusive) are

Case one. Each  $k_j$  has Property  $\sim P(a)$ ; neither of  $\beta^1, \beta_1$  is bridged twice.

Case two. Some  $k_j$  have Property  $\sim P(b)$ ; neither of  $\beta^1, \beta_1$  is bridged twice.

Case three. One of  $\beta^1, \beta_1$  is bridged twice.



Fig 54a.

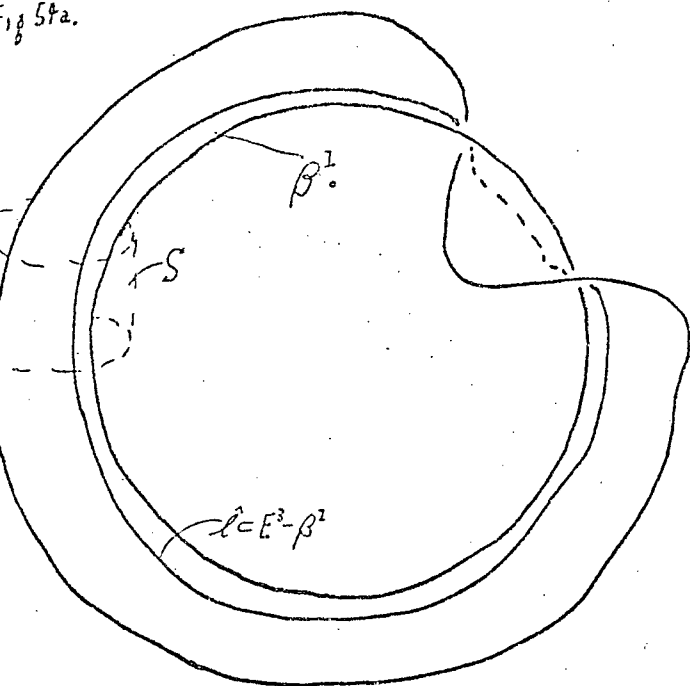


Fig 54b

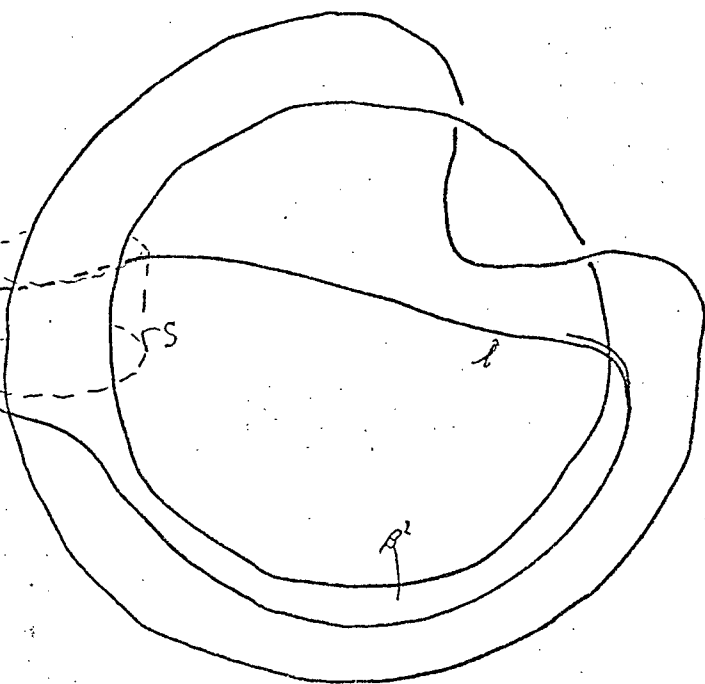
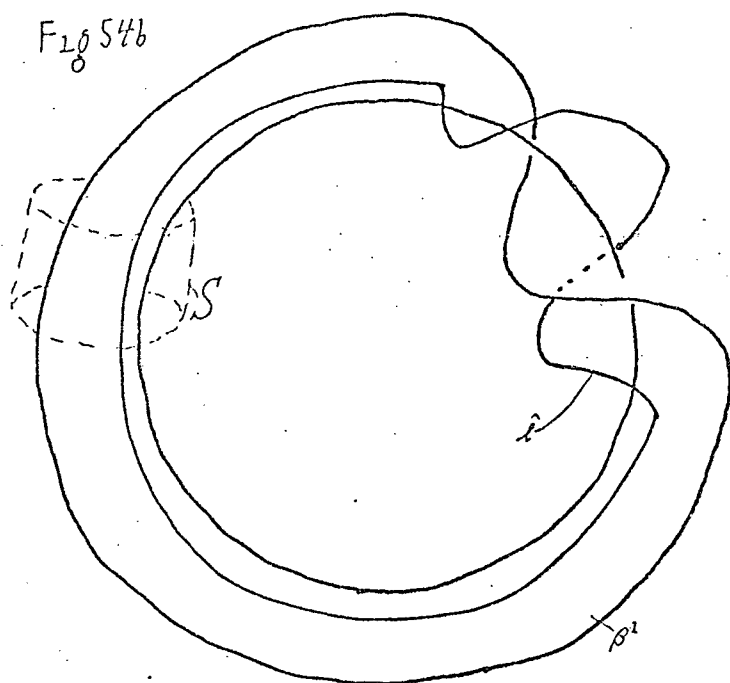
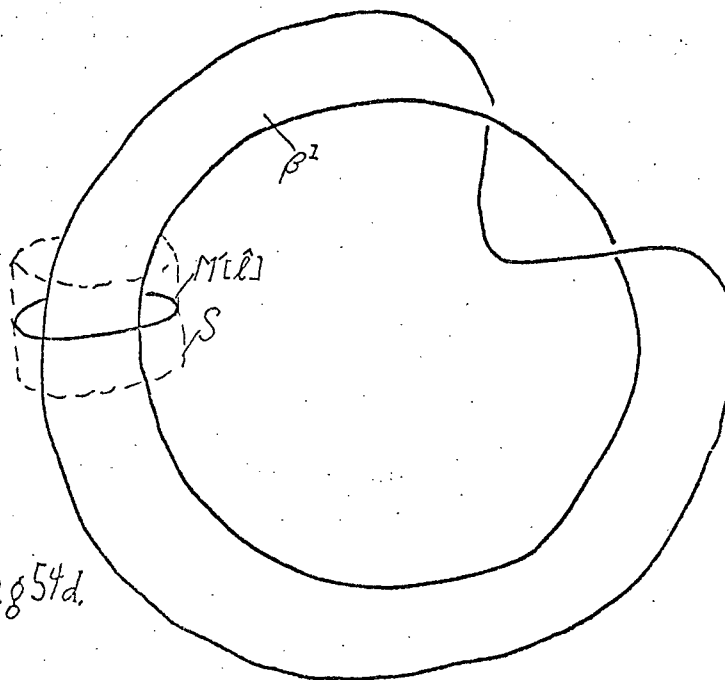


Fig 54c.

Fig 54d.



These cases are clearly exhaustive (taking 'one' in case three to mean 'at least one'; however the reader has probably noticed that if one of  $\beta^1$ ,  $\beta_1$  is bridged twice, the other cannot be bridged even once).

Case one. Since each  $k_j$  misses one  $\text{rng } g_i$ , this case suggests an immediate application of Lemma One. It is easily seen that the hypothesis of Lemma One is satisfied except for the fact that the  $\text{rng } g_i$  may hit  $\Omega$ . If this happens, we alter the  $g_i$  by means of the following argument: assume that  $k_1$  misses  $\text{rng } g_1$  and  $k_4$  misses  $\text{rng } g_2$  (if another pair of  $k_j$  miss the  $\text{rng } g_i$  or if all four miss the same  $\text{rng } g_i$ , the method is similar or easier). Since  $\ell_1$  misses  $\text{rng } g_1$ , there is a circle  $\ell \subset E^3 - \beta^1$  which lies near  $\ell_1$  and approximates it so that  $\ell$  misses  $\text{rng } g_1$ . We imagine  $\ell$  sliding on the surface of the twisted band  $\beta^1$  and eventually coming to rest directly over  $\ell_4$ . Although we use the term 'slide', we intend that  $\ell$  stays close to but does not touch  $\beta^1$ . By sliding  $\ell$  on the side of  $\beta^1$  which is free of the arcs  $a_j$ , we are assured that  $\ell$  can move without touching the  $a_j$ . This shows that there is a homeomorphism  $M$  of  $E^3$  onto itself such that  $M$  is fixed on  $E^3 - K_1$  and on  $\beta^1 \cup a_1 \cup a_2 \cup a_3 \cup a_4$ ; and carries  $\ell$  to a position directly over  $M[\ell_4] = \ell_4$ . Clearly  $M[\ell]$  misses  $\text{rng } Mg_1$ ,  $\ell_4$  misses  $\text{rng } Mg_2$ . Construct a small annulus  $\alpha$  so that its boundary components are  $M[\ell]$  and  $\ell_4$ . This can be done so that  $\alpha$  misses  $k_1, k_2, k_3$  and  $k_4 - \ell_4$ . By Th 5 (in Ch I),  $\text{Int } \alpha$  contains a simple closed curve  $\hat{\ell}$  which bounds no disk in  $\alpha$  and which misses both  $\text{rng } Mg_1$ . Figs 54a, ..., d show how  $\hat{\ell}$  may be moved to the location of an equator of  $S$  without hitting  $\beta^1 \cup k_1 \cup k_2 \cup k_3 \cup k_4$ .

This shows that there is a homeomorphism  $M'$  of  $E^3$  onto itself which fixes  $\beta^1$ , every  $k_j$ ,  $E^3 - K_1$ , and carries  $\hat{\ell}$  onto the location  $M'[\hat{\ell}]$  shown in fig 54d. Evidently  $M'[\hat{\ell}]$  misses both  $M^*Mg_i[\Delta]$ ; and in fact we can assume that all of  $\Omega$  misses both  $M^*Mg_i[\Delta]$ , since otherwise an obvious homeomorphism can be used to push the  $M^*Mg_i$  away from  $\Omega$ . Note that the  $M^*Mg_i$  continue to be Z-disjoint and  $M^*Mg_i = c_i$  on  $Bd\Delta$ , while each  $k_j$  has Property  $\sim P(a)$  with respect to the  $M^*Mg_i[\Delta]$  because both  $M'$  and  $M$  are fixed on each  $k_j$ . We can now apply Lemma One to construct Z-disjoint mappings  $\bar{g}_i$  with  $\bar{g}_i = c_i$  on  $Bd\Delta$ , such that  $\text{rng } \bar{g}_i \subset \text{rng } M^*Mg_i \cup K_1$  and (since  $\Omega$  misses both  $\text{rng } M^*Mg_i$ )  $\text{rng } \bar{g}_i$  misses every  $k_j$  that  $\text{rng } g_i$  misses. Since  $\zeta_1 \subset \text{Int } S - N$ , both  $\text{rng } \bar{g}_i$  miss  $\zeta_1$ . Since  $M$  and  $M'$  are fixed outside of  $K_1$ ,  $\text{rng } \bar{g}_i \subset \text{rng } g_i \cup K_1$ . Now apply a result like Lemma One to 'the  $\beta_1$  end' of  $\bigcup_j k_j$  to construct Z-disjoint mappings  $g'_i$  such that  $g'_i = c_i$  on  $Bd\Delta$ ,  $\text{rng } g'_i \subset \text{rng } \bar{g}_i \cup K_2$ , and  $\text{rng } g'_i$  misses  $\zeta_2$  as well as any  $k_j$  that  $\text{rng } \bar{g}_i$  misses. It may be necessary to alter the  $\bar{g}_i$  with homeomorphisms which act like  $M$ ,  $M'$  above, in order to make  $\text{rng } g'_i$  miss those  $k_j$  which  $\text{rng } \bar{g}_i$  misses. Evidently  $\text{rng } g'_i$  misses both  $\zeta_1$  and every  $k_j$  that  $\text{rng } g_i$  misses. Since each  $k_j$  misses one  $\text{rng } g_i$ , the cradle of  $A$  has the required property.

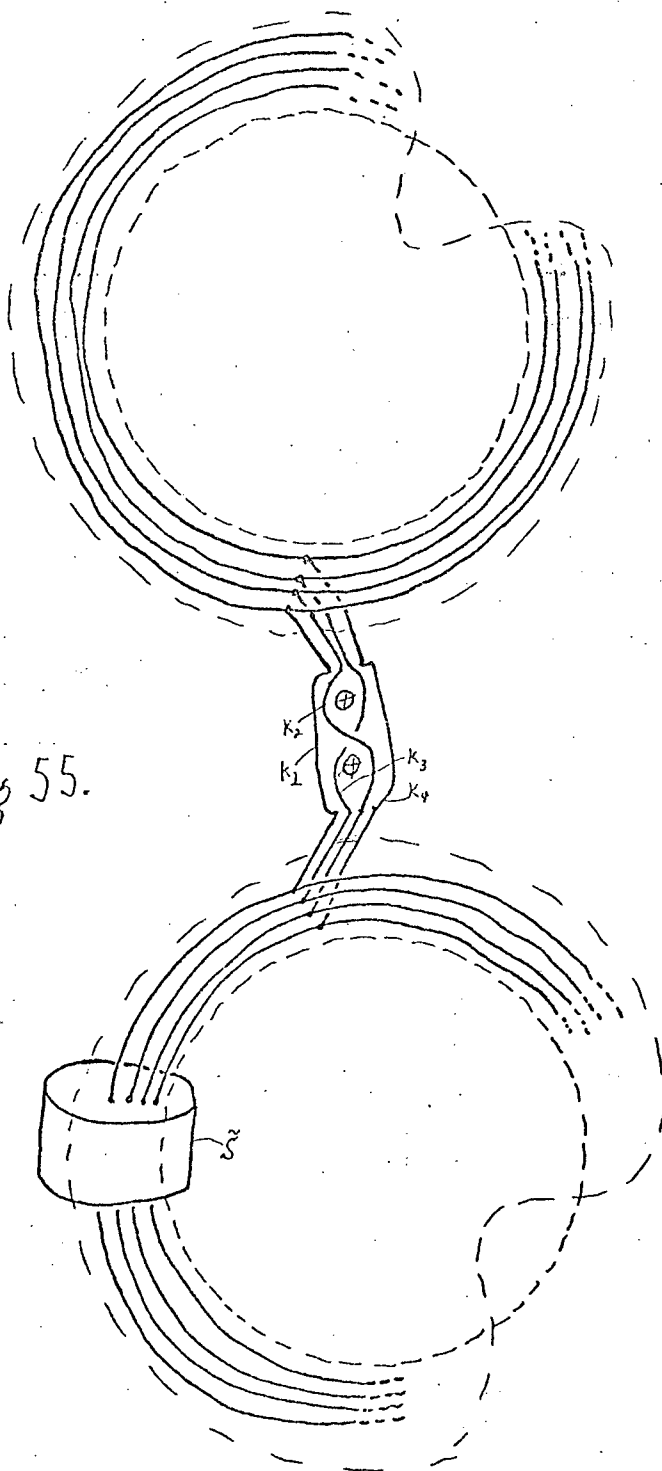
Case two. In this case we allow some of the  $k_j$  to have Property  $\sim P(b)$  with respect to the  $\text{rng } g_i$ . We reduce this case to Case one by converting the  $k_j$  with Property  $\sim P(b)$  to Property  $\sim P(a)$  or, more accurately, we will define mappings  $G_{i0} = g_i$ ,  $G_{i1}$ ,  $G_{i2}$ ,  $G_{i3}$ ,  $G_{i4}$  with the usual properties such that  $k_j$  has Property  $\sim P(a)$  with respect to  $\text{rng } G_{1j}$ ,  $\text{rng } G_{2j}$ , and in fact each  $k_j$  misses one  $\text{rng } G_{i4}$ .

The argument then reduces to Case one.

We will show how  $G_{i1}$  is constructed and indicate the construction of the other  $G_{ij}$ . If  $k_1$  has Property  $\sim P(a)$  with respect to the  $\text{rng } g_1$ , then let  $g_1 = G_{i0} = G_{i1}$ . If  $k_1$  has Property  $\sim P(b)$  with respect to  $\text{rng } G_{10}$ ,  $\text{rng } G_{20}$ , we assume that  $a_1 \cup m_1$  misses  $\text{rng } G_{10} \cup \text{rng } G_{20}$  and that  $\ell_1$  has Property  $\sim P(b)$  (as defined in I §5 for a circles with basepoint  $\ell_1 \cap a_1$ ), since otherwise we simply 'turn the picture upsidedown'. Now by Th 6 or Th 7 (in I §5), since at most one of  $\text{rng } G_{10} \cap \beta^1$ ,  $\text{rng } G_{20} \cap \beta^1$ , bridges  $\beta^1$  there is a circle  $\ell' \subset \text{Int } \beta^1$  which bounds no disk in  $\beta^1$ , contains the base point  $\ell_j \cap a_j$  and misses one of the  $\text{rng } G_{i0}$ , say,  $\text{rng } G_{10}$ . We now have a centre (or at least a double ended lasso) with Property  $\sim P(a)$  since  $\ell' \cup a_1 \cup m_1$  misses  $\text{rng } G_{10}$ ; but  $\ell'$  is likely to be a ver disorderly circle and among other delinquencies, probably hits  $k_2 \cup k_3 \cup k_4$  (which means that  $\ell' \cup a_1 \cup m_1$  can't be used in Lemma One (the construction of  $R$  in Lemma One absolutely requires disjoint  $\ell_j$ )). We get disjoint loops and a picture like fig 44 by the following procedure which recalls the manipulation of  $\ell$  in Case one. Let  $\lambda$  be a simple closed curve which lies near and approximates  $\ell'$  but misses  $\beta^1$ . A short straight arc  $a$  connects  $\ell_1 \cap a_1$  to a base point on  $\lambda$  so that  $a$  meets  $\lambda$  at only one point. We can assume that  $\lambda \cup a$  misses  $\text{rng } G_{10}$ , and we now regard  $\lambda \cup a \cup a_1 \cup m_1$  as a double ended lasso which misses  $\text{rng } G_{10} = \text{rng } g_1$ . Now slide  $\lambda$  over  $\beta^1$ , keeping the base point fixed, so that the final position of  $\lambda$  is directly over  $\ell_1$ . As before, we choose the 'right' side of  $\beta^1$  to slide  $\lambda$  on so that  $\lambda$  will miss  $a_1 \cup a_2 \cup a_3 \cup a_4$ .

We now have a double ended lasso which looks like  $k_1$  except that the upper loop rides near but not on  $\beta^1$ , and it remains only to telescope a  $\cup \lambda$  so that  $a$  collapses and  $\lambda$  moves to the location of  $\ell_1$ . We conclude that there is a homeomorphism  $M''$  of  $E^3$  onto itself which fixes  $E^3 - K_1$ ,  $k_2, k_3, k_4$ , and carries  $\lambda \cup a \cup a_1 \cup m_1$  onto  $k_1$ . Evidently  $M''G_{i0}$  has the required properties of  $G_{i1}$ . Clearly  $k_1$  misses  $G_{i1}$ , and since  $\text{rng } G_{i1} \cap k_2 = \text{rng } G_{i0} \cap k_2 = k_2$  continues to have Property  $\cup P$  with respect to the  $\text{rng } G_{i1}$ , and a similar argument applies to  $k_3, k_4$ . Since  $M$  is not fixed on  $\beta^1$ , we must ask how the  $\text{rng } G_{i1}$  bridge  $\beta^1$ . It is clear that  $\beta^1$  is bridged at most once by the  $\text{rng } G_{i1}$ , since  $\ell_1$ , which misses  $\text{rng } G_{i1}$ , separates the boundary components of  $\beta^1$ ; and of course  $\beta_1$  is bridged by the  $\text{rng } G_{i1}$  just as it was bridged by the  $\text{rng } G_{i0}$ . Thus neither of  $\beta^1, \beta_1$  is bridged twice by the  $\text{rng } G_{i1}$ . If  $k_2$  misses one of  $\text{rng } G_{i1}, \text{rng } G_{21}$ , then let  $G_{i1} = G_{i2}$ . Otherwise  $k_3$  has Property  $\cup P(b)$  with respect to the  $\text{rng } G_{i1}$ , and we construct  $G_{i2}$  so that  $\text{rng } G_{i2} \cap (k_1 \cup k_3 \cup k_4) = \text{rng } G_{i1} \cap (k_1 \cup k_3 \cup k_4)$ , and  $k_2$  misses one of the  $\text{rng } G_{i2}$  (note that we may have to work at the lower end of the figure; the fact that neither band  $\beta^1$  or  $\beta_1$  is bridged twice by the  $\text{rng } G_{i1}$  is used in the second application of Th 6 or Th 7). Evidently  $k_1$  misses one of the  $\text{rng } G_{i2}$ . Proceeding in the same way, we define  $G_{i3}$  so that  $k_3$  misses one  $\text{rng } G_{i3}$ , and since  $G_{i3}$  can be constructed so that  $\text{rng } G_{i3} \cap (k_1 \cup k_2) = \text{rng } G_{i2} \cap (k_1 \cup k_2)$  each of  $k_1, k_2$  misses one  $\text{rng } G_{i3}$ . Finally define  $G_{i4}$  so that each  $k_j$  misses one  $\text{rng } G_{i4}$ . Evidently the  $G_{i4}$  can be used in the argument of Case one to construct the  $g'_i$ . When altering the  $g_i$  to  $G_{i1}$ ,  $G_{i1}$  to  $G_{i2}$ ,

Fig 55.



etc., we preserve 'Z-disjointness' because we adjust only points in  $Z$ . Similarly each  $G_{ij} = c_i$  on  $Bd\Delta$ .

Case three. In this case we know only that one of  $\beta^1, \beta_1$ , say  $\beta^1$  is bridged twice. It is easy to see that if  $\beta^1$  is bridged twice, then no  $k_j$  can have Property  $\mathcal{VP}(a)$ . For this would mean that some  $\ell_{j_0}$  misses, say,  $\text{rng } g_1$ ; then by I(1.7),  $\text{rng } g_1 \cap \beta^1$  cannot bridge  $\beta^1$ , so that the number of bridges is at most one. But if each  $k_j$  has Property  $\mathcal{VP}(b)$ , then in every case,  $m_j$  must miss  $\text{rng } g_1 \cup \text{rng } g_2$  and  $\ell_j$  must have Property  $\mathcal{VP}(b)$ . For evidently if any  $\ell_j \subset E^3 - \text{rng } g_1 - \text{rng } g_2$ , then there can be no bridges at all. We are thus led to the conclusion that when case three holds, there is just one possible configuration (assuming that  $\beta^1$  is bridged twice):  $\beta^1$  is bridged twice,  $\beta_1$  is bridged not even once, and each  $k_j$  has Property  $\mathcal{VP}(b)$  with respect to  $\text{rng } g_1, \text{rng } g_2$ , with  $m_j \cup a_j \subset E^3 - \text{rng } g_1 - \text{rng } g_2$ . Except for the fact that  $\zeta_2$  may not miss  $\text{rng } g_1 \cup \text{rng } g_2$ , the picture begins to resemble fig 45, (though we still must construct the arcs  $u_{13}$ , etc.). We first alter the  $g_i$  so that  $\zeta_2$  misses  $\text{rng } g_1 \cup \text{rng } g_2$ . This is done just as in Case one. Fig 55 shows the  $k_j$  and a sphere  $\tilde{S}$  placed in the usual way with respect to  $\zeta_2$  and the  $m_j$ . In fig 55, the  $k_j$  do not have Property  $\mathcal{VP}(a)$ , so that we use Lemma One itself and not the corollary. Using the method of Case one, construct Z-disjoint mappings  $\bar{g}_i : \Delta \rightarrow (\text{rng } g_i \cup K_2) - \zeta_2$  such that  $\text{rng } \bar{g}_i$  misses every  $m_j$  that  $\text{rng } g_i$  misses. This simply means that  $\text{rng } \bar{g}_1 \cup \text{rng } \bar{g}_2$  misses each  $m_j$ . An examination of the method of Case one shows that if  $\text{rng } g_i$  misses  $a_j$ , so does  $\text{rng } \bar{g}_i$ ;

thus  $\text{rng } \bar{g}_1 \cap \text{rng } \bar{g}_2$  misses all four  $m_j \cup a_j$ . We also know that each point of  $\ell_j$  which misses  $\text{rng } g_i$  also misses  $\text{rng } \bar{g}_i$ ; this means that  $\ell_j$  has Property  $\mathcal{VP}(b)$  with respect to  $\text{rng } \bar{g}_1, \text{rng } \bar{g}_2$ . Therefore the four  $k_j$  have Property  $\mathcal{VP}$  with respect to the  $\text{rng } \bar{g}_i$ . On the other hand, the fact that the inclusion  $\text{rng } \bar{g}_i \subset (\text{rng } g_i \cup K_2) - \zeta_2$  may be proper means that the number of bridges on  $\beta^1$  with respect to  $\text{rng } \bar{g}_1 \cap \beta^1, \text{rng } \bar{g}_2 \cap \beta^1$  may not be two, but may be one or zero. If this happens, then, since the number of bridges on  $\beta_1$  with respect to  $\text{rng } \bar{g}_1 \cap \beta_1, \text{rng } \bar{g}_2 \cap \beta_1$  is zero (because of the presence of, say,  $m_1 \subset E^3 - \text{rng } \bar{g}_1 - \text{rng } \bar{g}_2$ , using a previous argument) we have reduced the situation to either Case one or Case two, i.e. we have each  $k_j$  with Property  $\mathcal{VP}$  with respect to the  $\text{rng } \bar{g}_i$  and neither  $\beta^1$  nor  $\beta_1$  is bridged twice. However in the 'worst case',  $\beta^1$  continues to be bridged twice.

If  $\beta^1$  is bridged twice by the  $\text{rng } \bar{g}_i \cap \beta^1$ , then we use Lemma Two. The hypothesis of Lemma Two is satisfied except that we must construct  $u_{12}, u_{13}, v_{12}, v_{13}$ . Since  $\text{rng } \bar{g}_2 \cap \beta^1$  bridges  $\beta^1$ , there is a component  $Q$  of  $\text{rng } \bar{g}_2 \cap \beta^1$  which connects the boundary components of  $\beta^1$ .  $Q$  is compact and misses  $\text{rng } \bar{g}_1$ . By the definition of Property  $\mathcal{VP}(b)$ ,  $Q$  meets a continuum  $e_1 \subset \ell_1$  and a continuum  $e_2$  in  $\ell_2$  such that  $e_1$  contains  $a_1 \cap \ell_1$  and misses one of  $\text{rng } \bar{g}_1, \text{rng } \bar{g}_2$ . Since  $e_1$  hits  $Q \subset \text{rng } \bar{g}_2$ ,  $e_1$  must miss  $\text{rng } \bar{g}_1$ . Since the whole continuum  $e_1 \cup Q \cup e_2$  misses  $\text{rng } \bar{g}_2$ , we use I(2.5) to construct an arc  $u_{12}$  which joins  $\ell_1 \cap a_1$  and  $\ell_2 \cap a_2$  in  $\beta^1$  and misses  $\text{rng } \bar{g}_1$ . The constructions of  $u_{13}, v_{12}, v_{13}$  are similar.



Now by Lemma Two there are  $Z$ -disjoint mappings  $g'_i: \Delta \rightarrow (\text{rng } \bar{g}_i - \text{Int } \Sigma) \cup n$  (where  $\Sigma, n$  are the sets described in Lemma Two) with  $g'_i = \bar{g}_i = c_i$  on  $\text{Bd}\Delta$ , and such that one  $\text{rng } g'_i$ , say  $\text{rng } g'_1$ , misses  $b_1 \cup b_2 \cup b_3$  while both  $\text{rng } g'_i$  miss  $\zeta_1 \cup \zeta_2$ . Evidently  $\text{rng } g'_i \subset \text{rng } g_i \cup K_1$ .

In the argument of Case three we did not succeed in constructing the  $g'_i$  so that  $\zeta_1 \cup \zeta_2$  misses  $\text{rng } g'_1 \cup \text{rng } g'_2$  and each  $b_j$  misses one  $\text{rng } g'_i$ ; instead  $\zeta_1 \cup \zeta_2$  misses both  $\text{rng } g'_i$  and three  $b_j$  miss the same  $\text{rng } g'_i$ . In Part II of the proof of (3.1), it turns out that it is sufficient to define the  $g'_i$  so that three  $b_j$  miss the same  $\text{rng } g'_i$  (the same thing happens in the proof of [12, Th 10]). With some additional complication, it is possible to improve the argument of Lemma Two so as to yield the usual result, i.e. to construct  $g'_i$  so that each  $b_j$  misses one  $\text{rng } g'_i$ ; however we omit this argument.

We have now completed the three cases of the proof of Part I of (3.1). Note that in each Case, we constructed  $g'_i$  so that  $\text{rng } g'_i \subset \text{rng } g_i \cup K_1 \cup K_2$ . Thus we can write  $\text{rng } g'_i \subset \text{rng } g_i \cup A$ . This will be important when we apply the argument of (3.1) to the components of  $a_2, a_3$ , etc. To summarize the situation: if  $\{A_1, A_2, A_3, A_4\}$  has Property  $\sim Q$  with respect to  $g_1, g_2$ , then there exist  $Z$ -disjoint mappings  $g'_i: \Delta \rightarrow E^3$  such that

$$g'_i = c_i \text{ on } \text{Bd}\Delta,$$

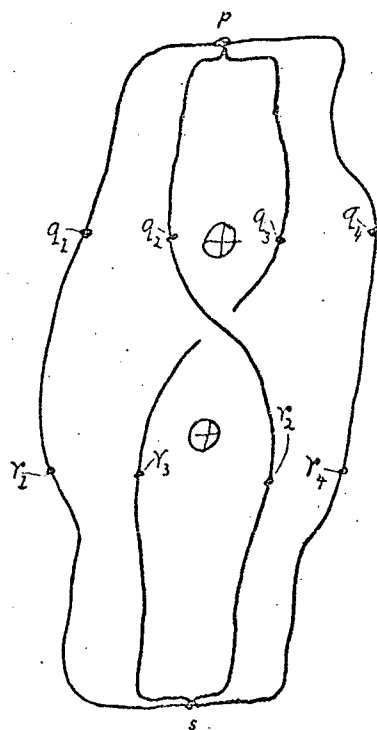
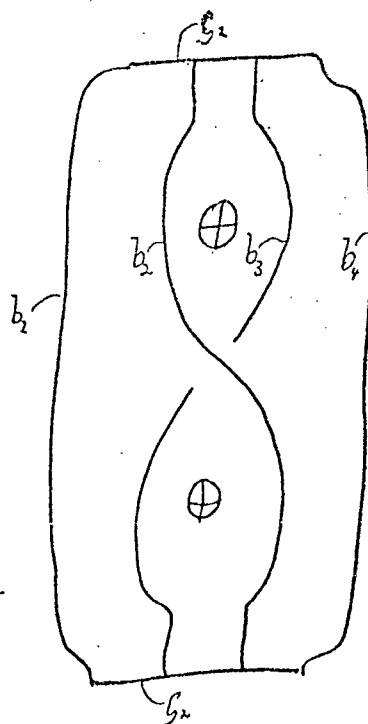
$$\text{rng } g'_i \subset \text{rng } g_i \cup A,$$

if  $\zeta_1 \cup \zeta_2 \cup b_1 \cup b_2 \cup b_3 \cup b_4$  is the cradle of  $A$ , then both

$\text{rng } g'_i$  miss  $\zeta_1 \cup \zeta_2$  and either each  $b_j$  misses one

$\text{rng } g'_i$  or three  $b_j$  miss the same  $\text{rng } g'_i$ .

Fig 56.  
Each arc  
 $pqjrs$  lies  
near  $G_1 \cup b_j \cup G_2$



Part II of the proof of (3.1).

We remind the reader that we are proving a result much like Bing's Th 10 of [12], which is also divided into a Part I and Part II. Our Part II is very similar to Part II in Bing's proof and we absolutely require familiarity in detail with Bing's Part II (this is only a matter of half a page). We think it likely that the reader sees from the proof in [12], how to complete Part II here, and instead of a formal proof, we will give what amounts to a gloss on Bing's method, plus a few comments required by the fact that our Property Q is not quite identical to Bing's.

We begin by replacing  $\zeta_1 \cup b_1 \cup \dots \cup b_4 \cup \zeta_2$  by the figure  $\bigcup_j pq_j r_j^s$  shown in fig 56. This can be done so that either each arc  $pq_j r_j^s$  misses one  $\text{rng } g_i'$  or three  $pq_j r_j^s$  miss the same  $\text{rng } g_i'$ . Our terminology is now like that of [12] except that  $\text{rng } g_i'$  replaces  $D_i$  in [12]. We follow the division into cases found in [12]. We will not prove that the three cases given in [12] exhaust the possibilities, but remark for plausibility that the case division ... 1) Three  $pq_j r_j^s$  miss one  $\text{rng } g_i'$ , 2)  $pq_1 r_1^s$  plus  $pq_2 r_2^s$  misses  $\text{rng } g_1'$ ,  $pq_3 r_3^s$  plus  $pq_4 r_4^s$  misses  $\text{rng } g_2'$ , 3)  $pq_1 r_1^s$  plus  $pq_4 r_4^s$  misses  $\text{rng } g_1'$ ;  $pq_2 r_2^s$  plus  $pq_3 r_3^s$  misses  $\text{rng } g_2'$  ... seems at first glance to ignore the possibility:  $pq_1 r_1^s$  plus  $pq_3 r_3^s$  misses  $\text{rng } g_1'$ ,  $pq_2 r_2^s$  plus  $pq_4 r_4^s$  misses  $\text{rng } g_2'$ . However this last variation is just Case Two with the diagram inverted. We will now describe how Bing's Part II can be altered to show that there exist Z-disjoint mappings  $F_i: \Delta \rightarrow E^3$  such that  $F_i = c_i$  on  $\text{Bd } \Delta$  and the centre of  $\Delta$  has Property  $\mathcal{VP}$  with respect to  $\text{rng } F_1, \text{rng } F_2$ .

Fig 57

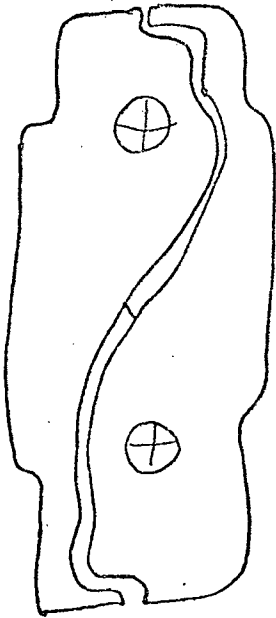


Fig 58

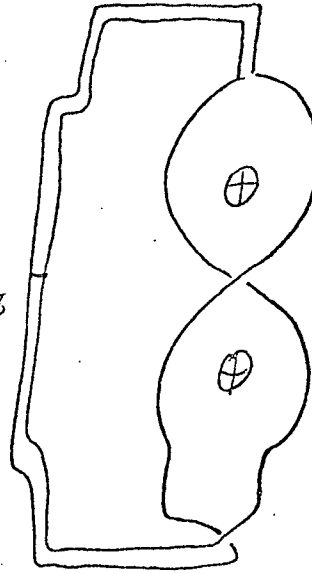


Fig 59

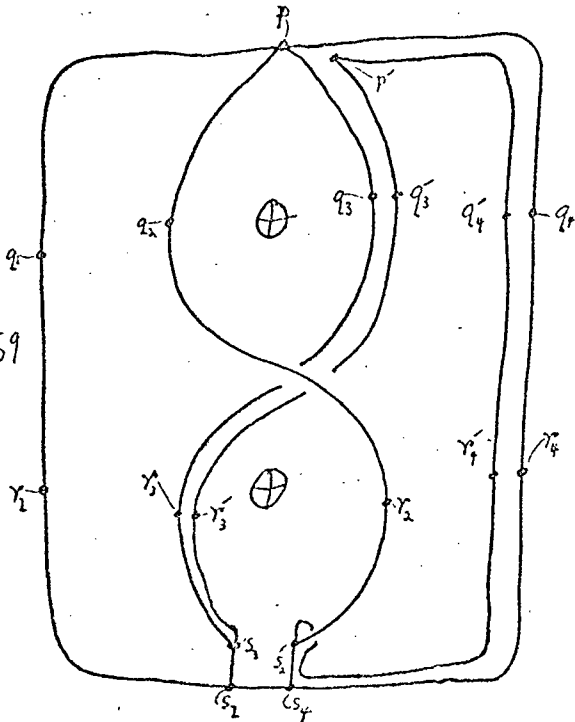
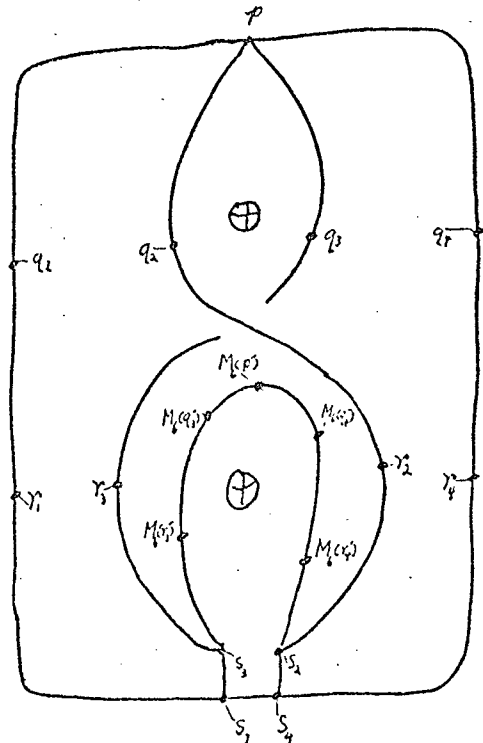


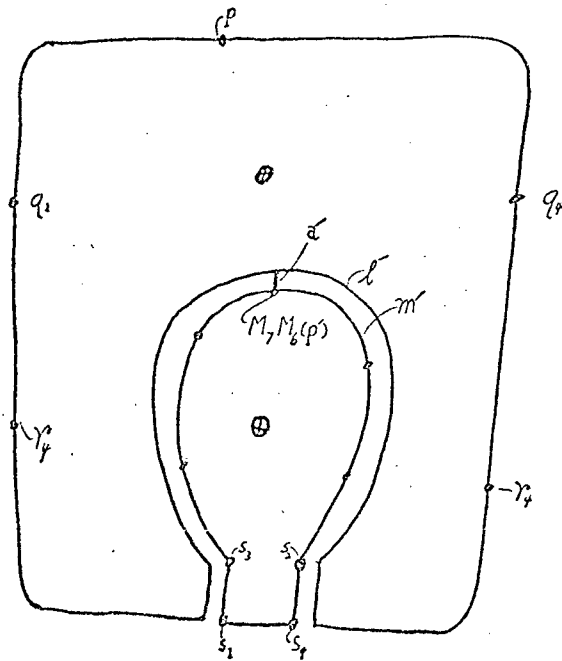
Fig 60.



Case One: any three of  $pq_j r_j s$  ( $j = 1, 2, 3, 4$ ) miss the same  $\text{rng } g'_{i_0}$ . If  $pq_2 r_2 s$  is an arc which fails to miss  $\text{rng } g'_{i_0}$ , then the structure shown in fig 57 lies near  $pq_1 r_1 s \cup pq_3 r_3 s \cup pq_4 r_4 s$  and misses  $\text{rng } g'_{i_0}$ . The structure in fig 57 can be moved to the position of the centre  $k$  of  $A$  by a homeomorphism  $M_5$  which fixes  $E^3 - A$ . Evidently  $M_5 g'_1, M_5 g'_2$  are the required  $F_1, F_2$ . If  $pq_4 r_4 s$  is an arc which fails to miss  $\text{rng } g'_{i_0}$ , then one uses the structure in fig 58 which lies near  $pq_1 r_1 s \cup pq_2 r_2 s \cup pq_3 r_3 s$  and misses  $\text{rng } g'_{i_0}$ . If  $pq_1 r_1 s$  or  $pq_3 r_3 s$  fail to miss  $\text{rng } g'_{i_0}$ , the method is like one of those already given. If all four  $pq_j r_j s$  miss  $\text{rng } g'_{i_0}$ , then 'forget' one of them.

Case Two.  $pq_1 r_1 s$  plus  $pq_2 r_2 s$  misses  $\text{rng } g'_1$ ,  $pq_3 r_3 s$  plus  $pq_4 r_4 s$  misses  $\text{rng } g'_2$ . We replace  $\bigcup_j pq_j r_j s$  with the more complicated construction in fig 59. In fig 59,  $s$  has been replaced by  $s_1, s_2, s_3, s_4$  which lie near  $s$  so that the  $s_j$  and arcs  $s_1 s_3, s_1 s_4, s_2 s_4$  miss  $\text{rng } g'_1 \cup \text{rng } g'_2$ . Abusing the notation slightly, we have arcs  $pq_j r_j s_j$  with  $pq_1 r_1 s_1 \cup pq_2 r_2 s_2 \subset E^3 - \text{rng } g'_1$ ,  $pq_3 r_3 s_3 \cup pq_4 r_4 s_4 \subset E^3 - \text{rng } g'_2$ . We build two new arcs:  $p'q'_3 r'_3 s_3$ , which lies near  $pq_3 r_3 s_3$  and misses  $\text{rng } g'_2$ , and  $p'q'_4 r'_4 s_2$  which lies near  $pq_4 r_4 s_4$  and also misses  $\text{rng } g'_2$ . Apply a move  $M_6$  which carries  $s_3 r'_3 q'_3 p'q'_4 r'_4 s_4$  to the location shown in fig 60 and fixes  $pq_j r_j s_j, s_1 s_3, s_2 s_4$ , and  $s_1 s_4$ . Look at a disk in  $A$  bounded by the circle  $pq_1 r_1 s_1 s_3 M_6(r'_3) M_6(q'_3) M_6(p') M_6(q'_4) M_6(r'_4) s_2 r_2 q_2 p$ . We will call this disk  $T$  and assume that it is just the obvious disk suggested by the figure. Thus  $T$  misses all but the end points of  $pq_4 r_4 s_4 s_2$ . Later we will need the fact that  $T$  can be constructed so as also to miss all but the end points of  $pq_3 r_3 s_3$  (in Case 3). There is an arc

Fig. 61.



$\lambda \subset T$  with end points  $s_2$  and  $s_3$  which misses both  $\text{rng } M_6 g_1'$  because arc  $s_3 M_6(r_3') M_6(q_3') M_6(p') M_6(q_4') M_6(r_4') s_2$  misses  $\text{rng } M_6 g_2'$  and arc  $s_3 s_1 r_1 q_1 p q_2 r_2 s_2$  misses  $\text{rng } M_6 g_1'$ . (We will now begin to abbreviate our arc nomenclature). Define a move  $M_7$  which moves  $\lambda$  to the position of arc  $s_3 M_6(p') s_2$  and fixes each  $p q_j r_j s_j$  and  $s_3 s_1 s_4 s_2$ . Although we do not know the location of  $\lambda$  in  $T$ , this can be done by means of the  $A$  - move defined in I §3. Evidently  $\text{rng } M_7 M_6 g_1'$  misses  $p q_1 r_1 s_1$ ,  $\text{rng } M_7 M_6 g_2'$  misses  $p q_4 r_4 s_4$ , and both  $\text{rng } M_7 M_6 g_1'$  miss the circle  $s_1 s_3 M_6(p') s_2 s_4 s_1$ . Fig 61 shows  $p q_1 r_1 s_1 \cup p q_4 r_4 s_4 \cup s_1 s_3 M_6(p') s_2 s_4 s_1$  replaced by a set  $\ell' \cup a' \cup m'$  which lies very near the first set so that  $m' \cup a'$  misses both  $\text{rng } M_7 M_6 g_1'$ , and  $\ell'$  has Property  $\cup P(b)$  with respect to the  $\text{rng } M_7 M_6 g_1'$  (it is easy to give  $\ell'$  this property since much of  $\ell'$  can coincide with  $s_1 r_1 q_1 p q_4 r_4 s_4$ ). Evidently  $\ell' \cup a' \cup m'$  can be moved to the position of the centre  $\ell \cup a \cup m$  of  $A$ . If this is accomplished by a move  $M_8$ , then the centre of  $A$  has Property  $\cup P(b)$  with respect to the  $\text{rng } M_8 M_7 M_6 g_1'$ , which we define to be the required  $F_1$ .

Case three.  $p q_1 r_1 s_1$  plus  $p q_4 r_4 s_4$  misses  $\text{rng } g_1'$ ,  $p q_2 r_2 s_2$  plus  $p q_3 r_3 s_3$  misses  $\text{rng } g_2'$ . The mechanism of this case resembles that of Case two. We repeat the construction in fig 59 and define  $M_6$  precisely as in Case two, so that we arrive once more at fig 60. However, since the  $\text{rng } g_i$  are related differently to the various parts of the figure, we have this time:  $s_3 s_1 s_4 s_2 \subset E^3 - \text{rng } M_6 g_1 - \text{rng } M_6 g_2$  as usual, but  $p q_1 r_1 s_1 \cup p q_4 r_4 s_4 \cup M_6(p') M_6(r_4') s_2 \subset E^3 - \text{rng } M_6 g_1$ ,  $p q_2 r_2 s_2 \cup p q_3 r_3 s_3 \cup M_6(p') M_6(r_3') s_3 \subset E^3 - \text{rng } M_6 g_2$ . In this case we

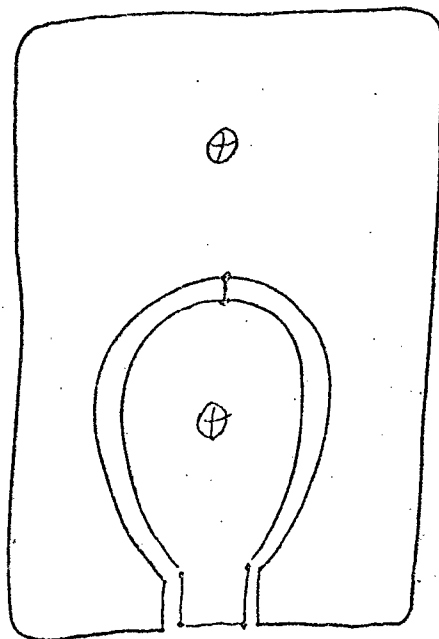


Fig 62.

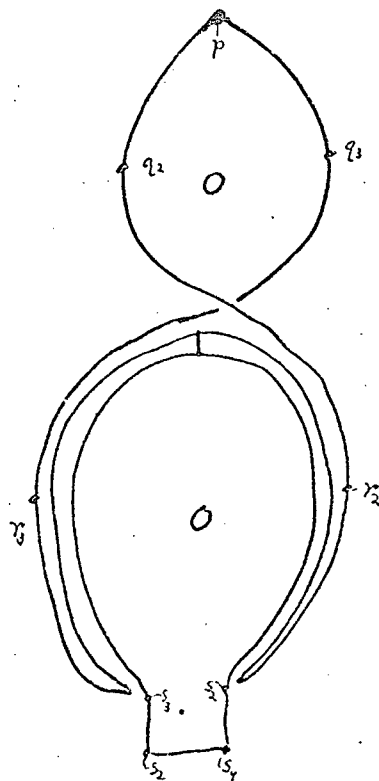


Fig 63.



must use a fact that we stated but did not completely use in Case 2, viz. that  $M_6$  fixes all four  $pq_jr_js_j$ . We assume tht the disk  $T$  is placed so as to miss  $pq_3r_3s_3$ . We use Th 4 from I §4 at this point; at the analogous place in [12], Th 7 of [12] is used. By Th 4, since  $s_3M_6(q_3')M_6(p')$  and  $pq_2r_2s_2$  miss  $\text{rng } M_6g_2$  and  $M_6(p')M_6(q_4')s_2$  and  $s_3s_1r_1q_1p$  miss  $\text{rng } M_6g_1$ , there is an arc  $\bar{\lambda} \subset T$  with end points  $s_3, s_2$ , such that  $\bar{\lambda}$  misses either  $\text{rng } M_6g_1$  or  $\text{rng } M_6g_2$ . Apply a move  $M_7'$ , similar to  $M_7$ , to move  $\bar{\lambda}$  to  $s_3M_6(p')s_2$ . This can be done by an  $A$  - move as before; but some care should be taken so that  $M_7'$  fixes every  $pq_jr_js_j$  (as well as, of course  $s_3s_1s_4s_2$ ); the reader might first prefer to move  $pq_3r_3s_3$  to a new location where it cannot interfere with the collar of  $T$  used in the  $A$  - move. The proof is now completed along the lines of the previous cases, using the fact that if  $s_3M_6(p')s_2$  misses  $\text{rng } M_7M_6g_1$ , then the set shown in fig 62 lying near  $s_1s_3M_6(p')s_2s_4s_1 \cup s_1r_1q_1pq_4r_4s_4$  misses  $\text{rng } M_7M_6g_1$ ; while if  $s_3M_6(p')s_2$  misses  $\text{rng } M_7M_6g_2$ , then the set in fig 63 lying near  $s_1s_3M_6(p')s_2s_4s_1 \cup s_3r_3q_3pq_2r_2s_2$  misses  $\text{rng } M_7M_6g_2$ . This completes part II of the proof of III(2.1)  $\square$ .

Corollary to III(2.1). If  $\{A_1, \dots, A_4\}$  has Property  $\sim Q$  with respect to mappings  $g_1, g_2$ , then  $A$  has Property  $\sim Q$  with respect to mappings  $F_1, F_2$  such that  $\text{rng } F_i \subset \text{rng } g_i \cup A$ .

Proof. We know that  $\text{rng } g_i' \subset \text{rng } g_i \cup A$ . And all the moves given in Part II of the proof of III(2.1) can be defined so as to fix  $E^3 - A$   $\square$ .

4. Proof of II(2.2).

We have now shown that if  $\{A_1, \dots, A_4\}$  has Property  $\sim Q$  then  $A$  has Property  $\sim Q$ . Our argument now diverges somewhat from Bing's in [12]. Suppose that  $f_i: \Delta \rightarrow E^3$  such that  $f_i|_{Bd\Delta} = c_i$  and the  $f_i$  are  $Z$ -disjoint (we continue to take  $Z, c_1, c_2$  to be assigned arbitrarily according to the remark at the beginning of §3). We will show that if  $A$  has Property  $Q$ , then each of  $a_1, a_2, a_3, \dots$  has Property  $Q$ ; the proof of II(2.2) follows directly from this fact. If  $A$  has Property  $Q$ , then by (3.1),  $\{A_1, \dots, A_4\}$  has Property  $Q$ . i.e.  $a_1$  has Property  $Q$ . (3.1) does not imply that some  $A_j$  has Property  $Q$  for the luminous reason that each  $A_j$  has Property  $\sim Q$ , as the argument in §1 Ex 2 shows. However we can show that  $a_2$  has Property  $Q$  by adapting the argument of the proof of (3.1) to show that for each  $A_j$ , if  $\{A_{j1}, \dots, A_{j4}\}$  has Property  $\sim Q$  then so does  $A_j$ . This is easy to do since the proof is simply restated in terms of images under the embedding  $h_j$  of various subsets of  $A$ . Occasionally in the proof of III(2.1) we constructed arcs which were perpendicular to certain surfaces. While  $h_j$  does not preserve this property, the reader will appreciate that we used such constructions for topological purposes, e.g. to make one arc lie along another, or to miss certain subsets, and these properties are preserved by  $h_j$ . We do not re-define  $Z, c_1, c_2$  of course, since we intend to show that the same Property  $Q_{Z, c_1, c_2}$  is possessed by each of  $a_1, a_2, a_3, \dots$ . We originally defined  $Z$  to contain  $A$  so that we have  $Z \supset A_j$  as required. We intend of course to let  $Z = A$  eventually. To show that  $a_2$  has Property  $Q$ , assume that

the set of components of  $a_2$  has Property  $\sim Q$  with respect to qualified mappings  $g_1, g_2$ . Apply a result like the corollary of (3.1) to  $\{A_{11}, \dots, A_{14}\}$  to obtain Z-disjoint mapping  $F_{i1}: \Delta \rightarrow \text{rng } g_i \cup A_1$  such that  $F_{i1} = c_i$  on  $\text{Bd}\Delta$ , and  $A_1$  has Property  $\sim Q$  with respect to the  $F_{i1}$ . We can see that since  $\text{rng } F_i$  does not exceed  $\text{rng } f_i$  in  $E^3 - A_1$ , the dogbones  $A_{21}, \dots, A_{24}, A_{31}, \dots, A_{34}, A_{41}, \dots, A_{44}$  continue to have Property  $\sim Q$  with respect to the  $F_{i1}$ , for as we saw earlier, possession of Property  $\sim P$  depends on the fact that  $\text{rng } g_i$  misses certain continua in various dogbones, and this property is inherited by  $\text{rng } F_i$  at least for dogbones in  $E^3 - A_1$ . Construct Z-disjoint mappings  $F_{i2}: \Delta \rightarrow \text{rng } F_{i1} \cup A_2$  such that  $F_{i2} = c_i$  on  $\text{Bd}\Delta$  and  $A_2$  has Property  $\sim Q$  with respect to the  $F_{i2}$ . Once again, dogbones in  $E^3 - A_2$  which have Property  $\sim Q$  with respect to the  $F_{i1}$  continue to have Property  $\sim Q$  with respect to the  $F_{i2}$ . This means that not only  $A_2$ , but  $A_1, A_{31}, \dots, A_{34}, A_{41}, \dots, A_{44}$  have Property  $\sim Q$  with respect to the  $F_{i2}$ . Evidently we can continue in this way and finally derive Z-disjoint mappings  $F_{i4}: \Delta \rightarrow E^3$  which agree with  $c_i$  on  $\text{Bd}\Delta$  and with respect to which, all of  $A_1, \dots, A_4$  have Property  $\sim Q$ . Assume that the set of components of  $a_3$  have Property  $\sim Q$ . Then an argument like that of (3.1) Corollary can be applied to each  $A_{jk}$  in (perhaps lexicographic) order to show eventually that  $a_2$  has Property  $\sim Q$ . If  $A$  has Property  $Q$ , then by induction,  $a_1, a_2$  have Property  $Q$  and  $a_3$  must also have Property  $Q$ . We think that it is now evident how to proceed in the case that  $m = 4, 5, \dots$ .

We will show how the induction argument above implies II(2.2).

If the  $f_i$  in the hypothesis have ranges that intersect in  $A$ , then II(2.2) is true; thus we consider only the case that  $\text{rng } f_1 \cap \text{rng } f_2 \cap A = \emptyset$ ,

i.e. the case that the  $f_i$  are  $A$  - disjoint. In the preceding argument we showed that for a fixed choice of  $Z, c_1, c_2$ , if  $A$  has Property  $Q_{Z, c_1, c_2}$ , then so does each  $a_m$ . If  $Z = A$  and  $c_1, c_2$  are the  $c_i$  in II(2.2) then  $A \subset Z \subset E^3 - \text{rng } c_1 - \text{rng } c_2$  as required, and  $A$  has Property  $Q$  by an argument like that of §1 Ex 1. By the induction argument, every  $a_m$  has Property  $Q_{Z, c_1, c_2}$ . As we saw earlier, this means that both  $\text{rng } f_i$  hit some component of  $a_m$  for  $m$  however large.

Finally we will show that both  $\text{rng } f_i$  must hit a big element  $A$  of the dogbone decomposition  $G$ . Let  $\hat{G}$  be the set of all elements of the dogbone construction (i.e. all components of  $a_1, a_2, a_3, \dots$ ) which meet both  $\text{rng } f_1$  and  $\text{rng } f_2$ . Evidently  $\hat{G}$  is infinite, for by the arguments of this chapter, each  $a_m$  must contain an element of  $\hat{G}$ . Clearly one of  $A_1, \dots, A_4$  must contain an infinite subset of  $\hat{G}$ , for the four  $A_j$  contain all of  $\hat{G}$ . If  $A_j$  contains an infinite subset of  $\hat{G}$ , then one of  $A_{j1}, \dots, A_{j4}$ , say  $A_{jk}$ , contains an infinite subset of  $\hat{G}$ . There is a sequence  $A \supset A_j \supset A_{jk} \supset A_{jkl} \dots$  each of which contains infinitely many dogbones which meet both  $\text{rng } f_i$ . Obviously each member of the sequence meets both  $\text{rng } f_i$ , and the intersection  $A \cap A_j \cap A_{jk} \cap A_{jkl} \cap \dots$  meets both  $\text{rng } f_i$ . One can also use the dogbone metric to show that if the images of the  $\text{rng } f_i$  are disjoint in  $\mathcal{D}$ , then there is a neighbourhood system of the points of  $\mathcal{D}$  consisting of small 3-cells around the small points and images of dogbones about the big points such that no neighbourhood of diameter smaller than  $\epsilon$  (in the dogbone metric) meets both images of the  $\text{rng } f_i$ . This implies that some  $a_m$  has Property  $\sim Q$ , cf. proof of Th 12 of [12].  $\square$ .

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# Appendix.

Figs 64a, ... j show how to deform the upper part of Fig 19 so that it looks like the upper part of Fig 1 of [12].

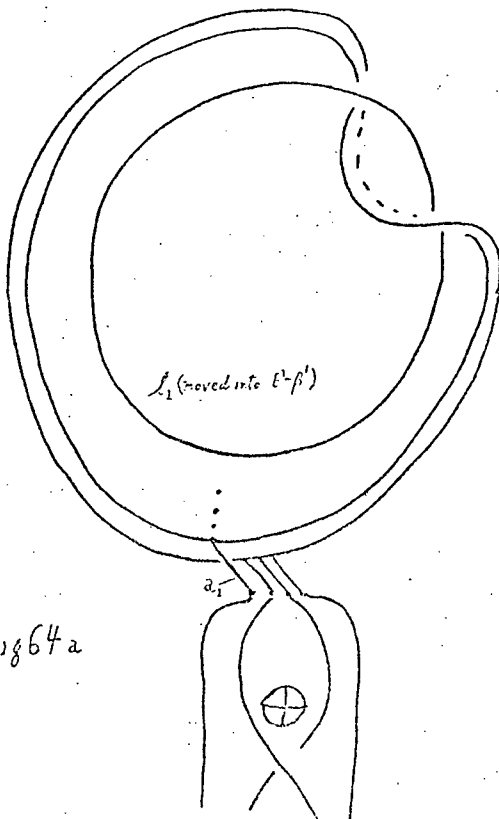


Fig 64a

Push  $l_1$  off  $\beta^1$ . Move  $a_1$  so that  $a_1$  passes through  $\beta^1$  and now approaches  $\beta^1$  from the upper side, while  $a_2, a_3, a_4$  continue to approach from the lower side.

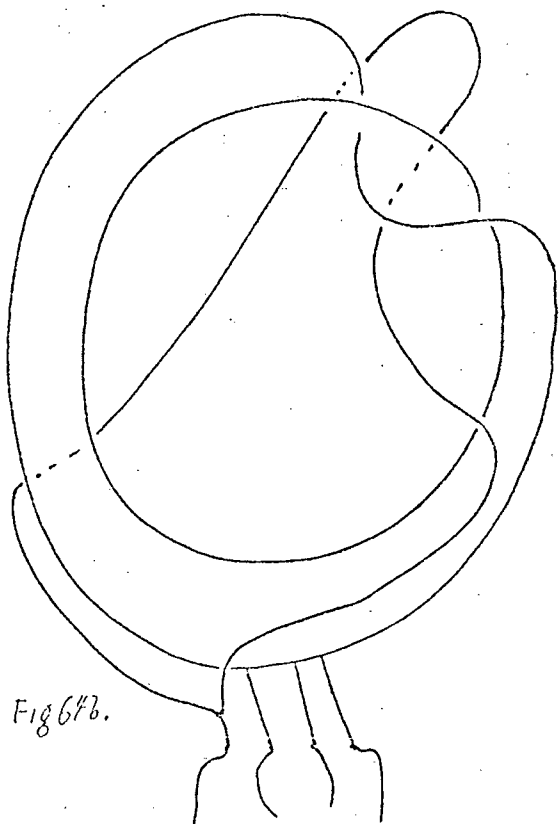


Fig 64b.

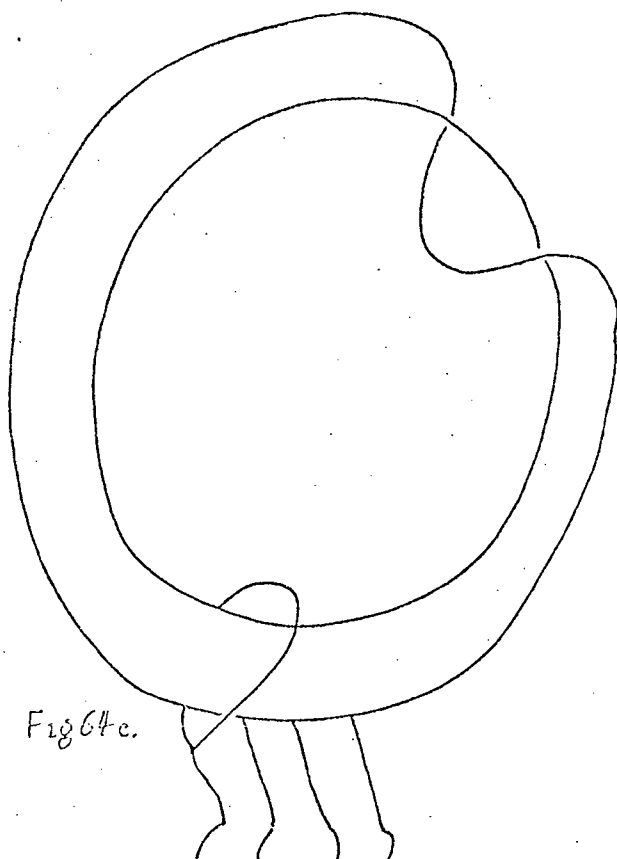


Fig 64c.

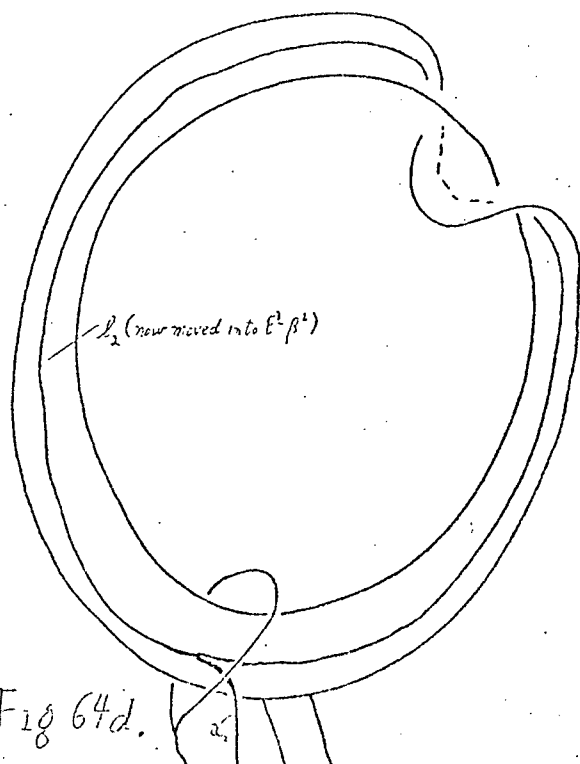


Fig 64d.

Push off  $L_2$  from  $\beta^1$ .  
Bring  $a_2$  through  $\beta^1$   
so that  $a_2$  now approaches  
 $\beta^1$  from above.

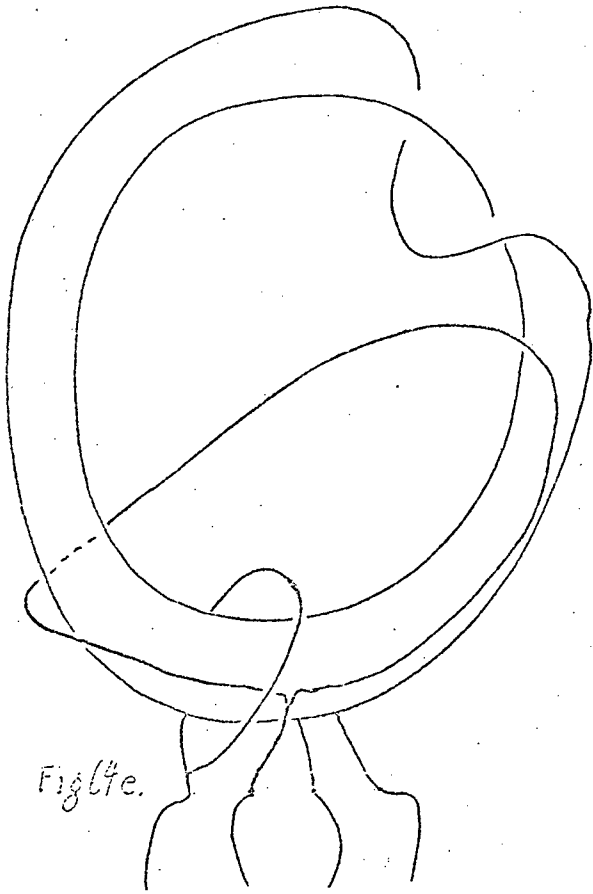


Fig 64e.

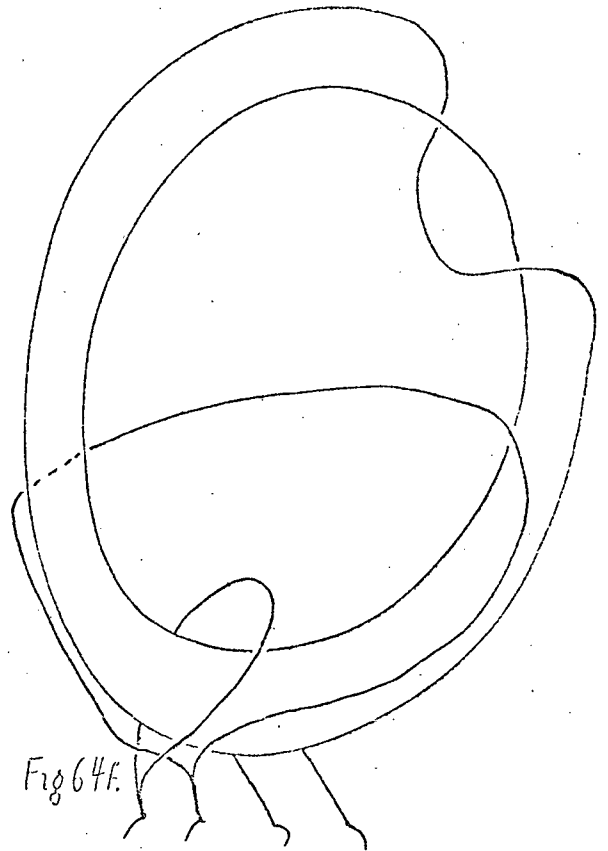


Fig 64f.

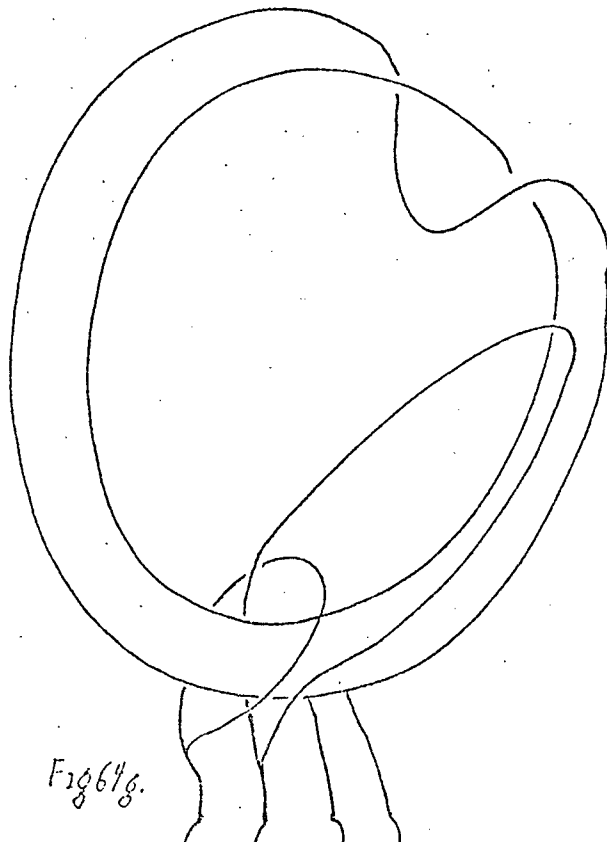
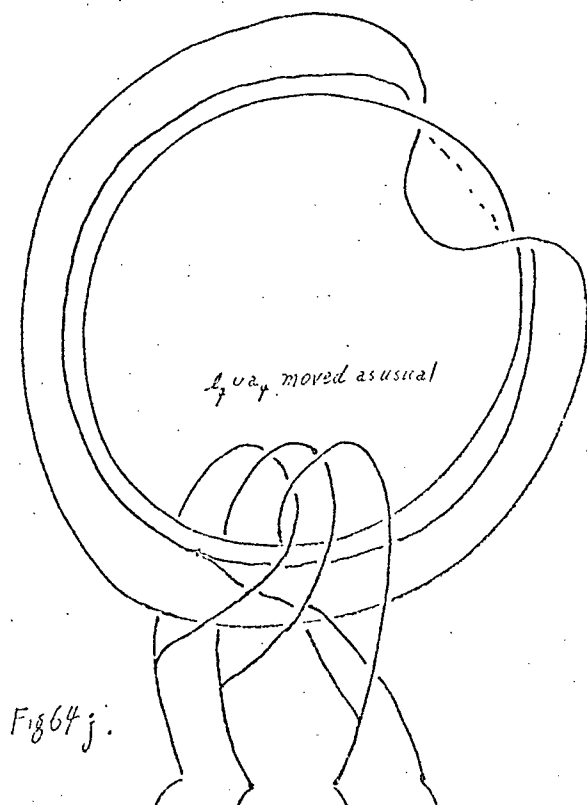
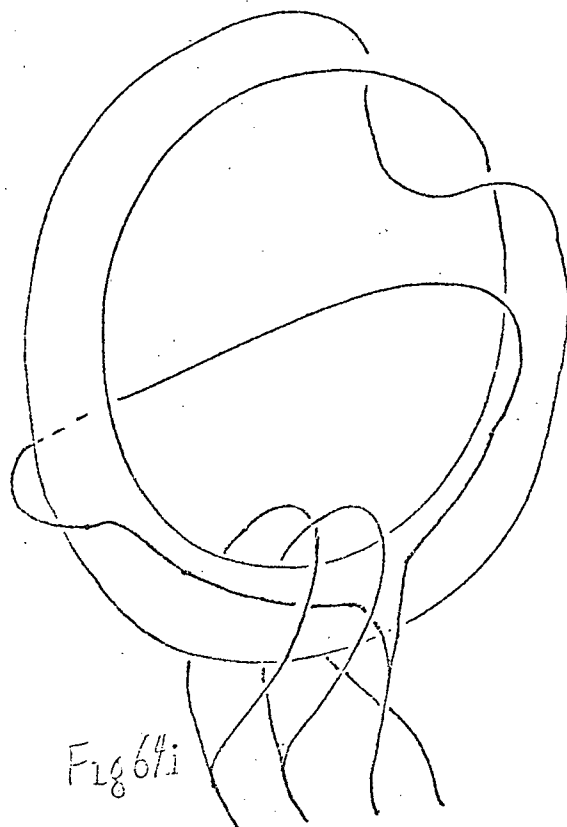
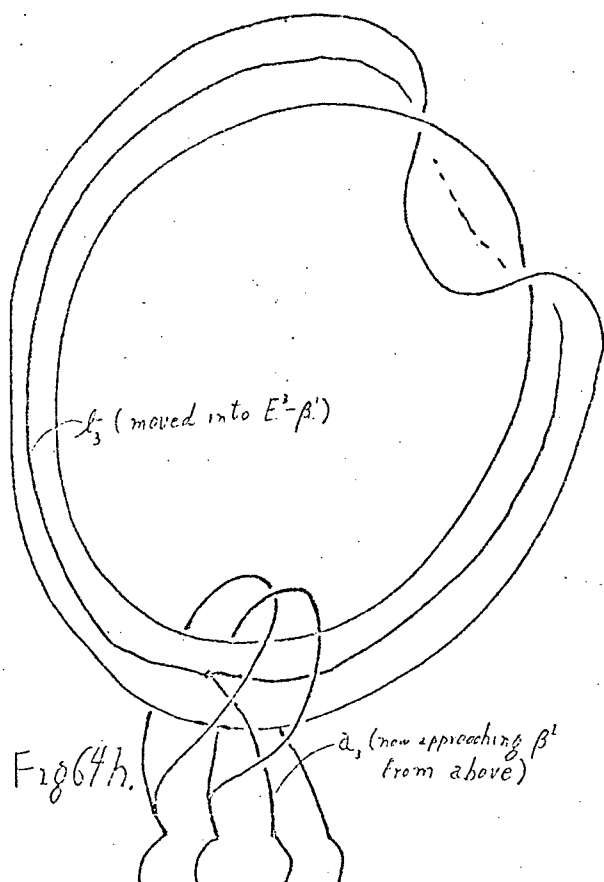


Fig 64g.





Etc.