

Vaccine

Seroprevalence of IgG and IgM antibodies to *Haemophilus influenzae* type a in Canadian children

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Seroprevalence of IgG and IgM antibodies to *Haemophilus influenzae* type a in Canadian children

Brenda Huska^{a#}, Chelsea Kubinec^{a#}, Manish Sadarangani^{b,c}, Marina Ulanova^{a*}
for the Canadian Immunization Research Network Investigators

^aNorthern Ontario School of Medicine, Thunder Bay, ON, Canada

^bVaccine Evaluation Center, BC Children's Hospital Research Institute,
Vancouver, BC, Canada

^cDepartment of Pediatrics, University of British Columbia, Vancouver, BC,
Canada

#Contributed equally

*Corresponding author: Marina Ulanova, Division of Medical Sciences, Northern Ontario School of Medicine, Lakehead University, 955 Oliver Road, Thunder Bay, ON, P7B 5E1, Canada

Email address: mulanova@nosm.ca

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5 Canadian Immunization Research Network Investigators

6

7

8 ^aNorthern Ontario School of Medicine, Thunder Bay, ON, Canada

9 ^bVaccine Evaluation Center, BC Children's Hospital Research Institute, Vancouver, BC,

10 Canada

11 ^cDepartment of Pediatrics, University of British Columbia, Vancouver, BC, Canada

12

13 #Contributed equally

14

15 *Corresponding author: Marina Ulanova, Division of Medical Sciences, Northern Ontario

16 School of Medicine, Lakehead University, 955 Oliver Road, Thunder Bay, ON, P7B 5E1,

17 Canada

18 Email address: mulanova@nosm.ca

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20

21 ABSTRACT

22

23 Background

24 Over the last 2 decades, *Haemophilus influenzae* type a (Hia) has emerged as a significant cause of invasive
25 disease in some geographic regions and populations. Recognition of the importance of Hia in the etiology of
26 serious disease, particularly in young children, prompted the development of a new protein-capsular
27 polysaccharide conjugate vaccine, similar in design to a vaccine against *H. influenzae* type b. At present,
28 understanding of Hia immunology is incomplete; the immunological correlate of protection against invasive
29 disease is unknown.

30 Methods

31 Our objective was to study Hia antibody in children of various ages residing in a Canadian province with low
32 incidence rates of invasive disease. The enzyme-linked immunosorbent assays were performed to quantify
33 plasma IgG and IgM specific to Hia capsular polysaccharide in 133 children (3 months to 16 years).

34 Results

35 Both anti-Hia IgG and IgM concentrations increased with age and were significantly higher in older children; a
36 positive correlation between age and concentrations of Hia antibody was found. IgM antibody concentrations
37 were significantly higher than IgG, with mean IgM concentrations over 10 times larger than IgG across all age
38 groups.

39 Conclusions

40 The steady rise of naturally acquired, Hia-specific IgG and IgM concentrations in a pediatric population with
41 low incidence rates of invasive Hia disease suggests the exposure to some cross-reactive environmental
42 antigens as a major source of the antibody. However, the carriage rates of Hia in the region are unknown and
43 further seroepidemiological studies are warranted. Although natural antibody may protect certain population
44 groups against invasive disease, immunization of younger children will be essential to prevent serious
45 infections if Hia continues to spread across North America.

46

47 Keywords:

48 *Haemophilus influenzae* type a; seroprevalence; natural antibody; children

49 1. INTRODUCTION

50

51 The Gram-negative coccobacillus *Haemophilus influenzae* frequently colonizes the nasopharynx of healthy
52 individuals and can cause both local and systemic infections including otitis media, pneumonia, epiglottitis,
53 meningitis, and sepsis [1]. *H. influenzae* is categorized based on the presence or absence of a
54 polysaccharide capsule. There are six distinct serotypes of encapsulated *H. influenzae* (a-f); non-
55 encapsulated strains are termed non-typeable (NTHi) [1]. The polysaccharide capsule is the major virulence
56 factor of *H. influenzae* as it prevents complement deposition and phagocytosis required for bacterial
57 clearance; anti-capsular antibodies are essential in immune defenses against the infection [1,2]. Among
58 encapsulated *H. influenzae*, *H. influenzae* serotype b (Hib) is the most virulent, followed by serotype a (Hia)
59 [3]. Prior to introduction of the Hib conjugate vaccine in late 1980s, Hib was the major cause of serious
60 invasive diseases in children worldwide. The global weighted average incidence of meningitis caused by Hib
61 was 57/100,000 in children <5 years of age, with much higher rates reported from North American and
62 Australian Indigenous populations [4]. Pediatric immunization against Hib resulted in the dramatic reduction
63 of Hib invasive disease in all the countries where it has been used [4]. However, over the last 20 years,
64 invasive Hia disease, which shows remarkable similarities in epidemiology, clinical presentation, severity,
65 and fatality rates to those caused by Hib, has emerged in some geographic areas including Alaska and
66 Northern Canada [5]. The highest incidence rates of invasive Hia disease were reported from Alaska Native
67 and Canadian Inuit children < 1 year of age, i.e. as high as 82/100,000 in Alaska [6], and 300/100,000 in
68 Nunavut and Nunavik, the regions of Northern Canada [7,8]. Although data on epidemiology of invasive Hia
69 disease in different parts of Canada are incomplete, it appears that the disease is much less common in
70 some regions outside of the Arctic, such as the province of British Columbia. Between January 1, 2018 and
71 December 31, 2019, a total of 14 cases of invasive Hia disease were reported from this province
72 (0.1/100,000 population per year) [9,10].

73

74 The recognition of significance of Hia as a cause of serious invasive disease prompted the development of a
75 new vaccine in Canada that has been recently investigated pre-clinically [11]. This vaccine was formulated
76 on the basis of the same principles as vaccine against Hib, i.e. using Hia capsular polysaccharide as an

77 antigen conjugated to a protein carrier to make it immunogenic in young children who are yet unable to
78 develop antibody response to pure polysaccharide antigens [12]. To determine target population groups for
79 immunization against this infection, understanding of Hia immunity and defining immunological correlates of
80 protection are essential.

81
82 The reason for increased susceptibility to invasive Hia disease in certain population groups is currently
83 unknown [13]. Whereas the highest rates of invasive Hia disease have been reported from young Indigenous
84 children, immunocompetent adults do not typically develop invasive Hia disease [5, 14-16]. We have
85 recently established that healthy Indigenous adults possess naturally acquired functionally active antibodies
86 against Hia suggesting that certain level of natural immunity may protect against invasive Hia disease
87 [17,18]. We hypothesized that antibodies specific to Hia capsular polysaccharide develop with age due to
88 exposure to the pathogen and/or certain cross-reactive antigens. Our objective was to study plasma antibody
89 concentrations in children of various ages in a population with low incidence of invasive Hia disease.

90

91 2. METHODS

92

93 **2.1. Plasma samples**

94 Pediatric plasma samples were collected at BC Children's Hospital Biobank (Vancouver, British Columbia,
95 Canada) between October 2015 and May 2019. The ages of the children ranged from 3 months to 16 years
96 (Table 1). The study was approved by the University of British Columbia – Children's & Women's Health
97 Centre of BC and Lakehead University Research Ethics Boards. The samples were shipped frozen and
98 stored at -80°C until used.

99

100 **2.2. Quantification of *Haemophilus influenzae* type a (Hia) specific IgG and IgM in plasma**

101 To quantify IgG and IgM specific to Hia capsular polysaccharide, we used a previously described enzyme-
102 linked immunosorbent assay (ELISA) [17]. Antibody concentrations were determined using our internal
103 standard that was cross standardized to the Hia reference serum provided by the Centers for Disease
104 Control and Prevention. The internal standard contained 1.25 µg/mL anti-Hia IgG and 2.09 µg/mL anti-Hia

105 IgM. Absorbance measurements were made with OD₄₅₀, and OD₆₃₀ as reference, and a log-log linear
106 regression analysis was used to determine antibody concentration in µg/mL. The lower limit of detection was
107 0.04 µg/mL for IgM and 0.02 µg/mL for IgG; for statistical purposes, samples with values below detection
108 were assigned a value ½ the lower limit. All samples were tested in duplicate.

109

110 **2.3. Statistical analysis**

111 The data were log₁₀ transformed before analysis. Statistical analysis was performed using Graph-Pad Prism
112 6 (GraphPad Prism Software Inc., San Diego, CA). Geometric mean antibody concentrations (GMC) with
113 95% confidence intervals (CI) were calculated. Comparison of continuous variables was conducted by one-
114 way ANOVA, Student's *t*-test, or Mann-Whitney U test depending on data distribution and number of groups;
115 Fishers' exact test was used to compare categorical variables. To study association of antibody
116 concentrations with age, linear regression and Spearman correlation analyses were performed. A cut-off 0.1
117 µg/mL of Hia-specific IgG was used for analysis as this concentration was previously found to correspond to
118 the lowest IgG level associated with a measurable titre of functionally active antibody [19]. Significance was
119 determined at $p < 0.05$.

120

121 **3. RESULTS**

122 For the study of seroprevalence of IgG and IgM antibodies specific to Hia capsular polysaccharide in a
123 pediatric population undergoing diagnostic testing, plasma samples of 133 children aged between 3 months
124 and 16 years were analyzed (Table 1). The majority of children attended the Otolaryngology Department for
125 tonsillectomy ($n = 93$, 70%) and the remaining were assessed at the Neurology Department. There were 6
126 children < 1 year of age; most samples were collected from children ≥ 5 years of age.

127

128 The concentrations of Hia-specific IgG and IgM were high enough for detection with ELISA in the majority of
129 plasma samples, with only 2 samples for IgG (both age 2 years) and 5 samples for IgM (children aged 3
130 months, 5 months, 10 months, 2 years, and 8 years) falling below detection limits. IgM antibody
131 concentrations (GMC: 2.17, CI: 1.57, 3.01 µg/mL) were significantly higher than IgG (GMC: 0.22, CI: 0.17,
132 0.28 µg/mL) for the total sample population ($p < 0.0001$, Fig. 1), as well as across all the age groups, with

133 mean IgM/IgG ratio being 11.23 for the total sample population, from 6.9 to 16.9 for children of different ages
134 (Table 2).

135
136 The concentrations of both IgG and IgM specific to Hia increased with age, with statistically significantly
137 higher GMC found in children ≥ 5 years of age compared to younger children, i.e. IgG, 0.32, CI 0.24, 0.42 vs.
138 0.10, CI 0.06, 0.15 $\mu\text{g/mL}$; IgM, 3.35, CI 2.81, 3.99 vs. 1.25, CI 0.72, 2.14 $\mu\text{g/mL}$, correspondingly ($p < 0.0001$
139 between 0-4 and 5-16 years old for both). Linear regression analysis showed significant association of both
140 IgG and IgM Hia-specific antibody concentrations with age (Fig. 2 - 3). There was a positive, but moderate,
141 correlation between age and concentrations of Hia-specific IgG ($r = 0.37$, $p < 0.0001$) and IgM ($r = 0.33$,
142 $p = 0.0001$).

143
144 Concentrations of Hia-specific IgG exceeded 0.1 $\mu\text{g/mL}$ in 78 samples (64%), with a lower proportion of
145 samples above this cut-off among younger children (21% in ages 0-4 and 79% in ages 5-16, $p < 0.001$). No
146 difference in IgG antibody concentrations between males and females was found. GMC of IgM were higher in
147 females than males: for ages 0-4, 1.38 vs. 1.09 $\mu\text{g/mL}$ ($p = 0.8$), for ages 5-16, 4.48 vs. 2.72 $\mu\text{g/mL}$ ($p = 0.003$).

148 149 4. DISCUSSION

150
151 In this study, we detected IgG and IgM specific to Hia capsular polysaccharide in 131/133 and 128/133
152 pediatric plasma samples, respectively. Concentrations of Hia-specific IgG and IgM steadily increased with
153 age in a sample of pediatric population with low incidence rates of invasive Hia disease, with significantly
154 higher antibody values in children of 5 years and older as compared to the younger ones. We also found a
155 greater prevalence of IgM over IgG antibodies across all the ages; in average, concentrations of Hia-specific
156 IgM were 11-fold higher than IgG.

157
158 The current understanding of Hia immunology is very limited; the data on concentrations of natural IgG
159 antibodies specific to Hia capsular polysaccharide in Alaskan children have only been recently published
160 [20]. Comparably to our data, in Alaska Native children, IgG antibody levels significantly increased with age,

161 despite the differences in Hia disease prevalence in Alaska and our region of interest. Over a period of 12
162 years (2007-2018), a total of 47 Hia isolates were collected from disease cases in British Columbia, a region
163 of Western Canada bordering Alaska [21]. Considering that the population of British Columbia is roughly 5
164 million people, an average annual incidence rate of invasive Hia disease was 0.08/100,000 population. In
165 comparison, an average annual incidence of invasive Hia disease in Alaska in 2008-2017 was 0.68/100,000
166 population, with much higher numbers in young Alaska Native children (27.74/100,000 population < 5 years
167 of age) [6]. In Alaska, invasive Hia disease has been present since 2002 and incidence rates significantly
168 increased since then [15,16, 22]. As reported by McClure et al. [20], 82.4% of children <10 years of age in
169 Yukon-Kuskokwim Delta (where most cases of invasive Hia disease occurred) and 86.8% in Anchorage (with
170 much lower incidence of disease) had concentrations of Hia-specific IgG > 0.1 µg/mL, a concentration
171 previously found to correspond to the lowest IgG level associated with a measurable functionally active
172 antibody [19]. In our study, we found a lower proportion of children <10 years of age with Hia-specific IgG >
173 0.1 µg/mL, i.e. 66%. This discrepancy may be explained by a lower circulation of Hia in British Columbia
174 compared to Alaska. However, while the incidence of Hia invasive disease in our region of interest is much
175 lower than that in Alaska, the carriage rates of Hia are unknown.

176
177 Age-dependent rise in natural Hia antibodies in children is reminiscent of historical data on immunology of
178 Hib collected in the pre-Hib vaccine era. There are obvious similarities in the natural histories of Hia and Hib
179 infections. Both Hia and Hib have polysaccharide capsules acting as major virulence factors; both can be
180 carried asymptotically in the nasopharynx by healthy individuals and cause invasive disease
181 predominantly in children < 2 years of age while older children and immunocompetent adults are relatively
182 resistant to the infection. In the pre-Hib vaccine era, protective immunity against Hib gradually developed in
183 children after 2 years of age; an increase in antibody titres with age correlated with a decrease in the
184 incidence of invasive disease (reviewed by [23]). However, McClure et al. [20] detected Hia-specific IgG in
185 both Alaska Native and non-Native population groups residing in regions with different rates of invasive
186 disease, with no significant differences in antibody concentrations by the race, study region (the Yukon-
187 Kuskokwim Delta versus Anchorage), or time of sample collection (the 1980s and 1990s when no Hia
188 disease was present, and after the emergence of the disease in 2000s). Our earlier studies also showed that

189 concentrations of Hia-specific IgG did not differ between Canadian Indigenous adults living in the regions
190 with high versus low incidence of invasive Hia disease [18]. These observations illustrate the basic principle
191 of epidemiology, i.e. the probability of the development of infectious disease depends both on the exposure
192 to infectious agent and presence or absence of protective immunity. In regions with higher colonization rates
193 and presence of factors facilitating the transmission of the infectious agent, such as overcrowding, lack of
194 clean water, and indoor air pollution, higher antibody levels would be required to prevent the disease. Such
195 environmental factors can explain the differences in rates of invasive disease between different populations
196 [20]. Although no data on Hia colonization in Canada have yet been published, in Northwestern Ontario First
197 Nations communities, over 8% of healthy children aged 3-5 years carried Hia in the nasopharynx (M.
198 Ulanova, unpublished observations). In this region of Central Canada, where the annual average incidence
199 rates of invasive Hia disease were 7/100,000 in 2004-2008 and 3.1/100,000 in 2010-2015 [24-27], Hia-
200 specific antibodies were detected in all healthy Indigenous adults (median age 36.5 years), with geometrical
201 mean IgG and IgM concentrations of 1.47 and 2.09 µg/mL, correspondingly [18]. Because healthy adults do
202 not typically develop invasive Hia disease, these antibody concentrations are likely above the protective
203 level. However, to gain better understanding of the immunological correlate of protection against invasive
204 disease, more seroepidemiological studies will be needed, including studies of Hia colonization in different
205 age groups and populations with high versus low incidence of invasive disease. With regards to our current
206 data, lower incidence of Hia invasive disease within the population of interest may reflect lower carriage rates
207 rather than protection from naturally acquired antibodies.

208
209 While we have previously collected data on natural immunity against Hia in both healthy and
210 immunocompromized adults [17,18,28], serological studies in healthy children represent a significant
211 challenge. In our study, the sample population included pediatric patients undergoing diagnostic testing in a
212 large hospital in British Columbia that may not accurately represent the general pediatric population.
213 Because the majority of children in our sample attended the Otolaryngology Department for tonsillectomy,
214 they might have been potentially higher exposed to respiratory pathogens, including *Haemophilus influenzae*,
215 and consequently developed higher antibody levels than other children. As we found clear prevalence of IgM
216 over IgG among anti-Hia antibody in our sample, a recent exposure to Hia might potentially account for these

217 observations. However, in our previous studies of natural antibodies in healthy Indigenous and non-
218 Indigenous adults, we also observed clear predominance of Hia-specific IgM over IgG although the opposite
219 was true in case of naturally acquired antibodies specific to Hib [17,18]. To interpret these findings, it is
220 important to consider the dominant role of IgM in the natural antibody repertoire [29-31].

221
222 While most seroprevalence studies traditionally focus on IgG antibody, the role of IgM in protection against
223 invasive bacterial disease is indispensable. IgM has superior capacity to activate the complement and is able
224 to greatly contribute to the bactericidal and opsonizing effects of antibody specific to encapsulated bacteria,
225 such as Hia [2,29]. In addition, IgM produced by a particular subset of B cells (B-1) represents a major part of
226 the natural antibody repertoire; while developing with age without a defined antigenic stimulation, the natural
227 IgM antibody provides powerful defense against various pathogens [30,31]. Although the origin of natural
228 anti-Hia antibodies in a pediatric population with low disease prevalence remains uncertain, our data suggest
229 a potential role of immunization with cross-reactive antigens present in the environment. Indeed, serological
230 cross-reactivity between Hia capsular polysaccharide and polysaccharide antigens of other bacteria,
231 including *Streptococcus pneumoniae* serotype 6B, was documented [32]. By analogue, the role of cross-
232 reactive antigens of commensal bacteria, in particular *Escherichia coli* K100, in the development of natural
233 antibody against Hib in the pre-vaccine era was demonstrated [33]. Back in the 1980s, it was found that
234 acquisition of natural anti-capsular antibody to Hib by Alaskan Eskimos was associated with pharyngeal
235 carriage of Hib [34]. However, while Hib carriage was relatively uncommon (6.8% of all tested individuals), a
236 clear positive association between antibody levels and age was observed: GMC of anti-Hib antibody
237 increased in children between 6 months and 10 years of age [36], suggesting the effect of antigenic
238 stimulation unrelated to Hib. With regards to our findings, as Hia carriage data in the region of interest are
239 unavailable, the possibility of asymptomatic Hia colonization stimulating the development of antibodies in this
240 population remains open. However, direct exposure to *H. influenzae* may not completely account for an
241 increased antibody concentration with age; additional factors, such as cross-reactive antigens, can also be
242 responsible for this. Overall, more seroepidemiological studies are required to elucidate the origin of natural
243 Hia antibodies.

244

245 This study has several limitations. Complete data on Hia prevalence in the region are unavailable;
246 information on Hia epidemiology is limited by cases of invasive disease, which is reportable in Canada. The
247 most recent publication by Tsang et al. [21] described 47 isolates of Hia from British Columbia submitted to
248 the National Microbiology Laboratory (Winnipeg, Manitoba) during 2007-2018. In addition, 29 cases of
249 invasive Hia disease were identified between 1996 and 2008, based on serotyping of isolates submitted to
250 BC Public Health Microbiology and Reference Laboratory (Dr. Linda Hoang, personal communication).
251 However, etiology of non-invasive disease, such as non-bacteremic pneumonia or otitis media, is rarely
252 documented. As there is a potential presence of Hia carriage in the population, a study of nasopharyngeal
253 colonization in children of various ages will be important. In addition, our results are based on the analysis of
254 antibodies from residual plasma samples in children undergoing diagnostic blood work rather than samples
255 from healthy children because blood collection from healthy children for research purposes is not feasible for
256 ethical reasons. Because the sample was determined by the availability of plasma specimens in the Biobank,
257 most were from children ≥ 5 years of age, and fewer from younger children. We avoided using samples from
258 patients who were potentially immunocompromised (e.g., children admitted to the oncology department);
259 these samples were not included in our study. Most samples were from children scheduled for tonsillectomy;
260 others were from children undergoing diagnostic testing for the neurology department. As discussed above,
261 the prevalence of children with recurrent tonsillitis or hypertrophy of tonsils in our sample might account for
262 high antibody concentrations in those who had potentially been exposed to Hia although no statistical
263 differences in antibody levels between children attending Otolaryngology and Neurology Departments were
264 noted (data not shown). Information on demographics and medical history collected at the Biobank database
265 was limited. In particular, because information on ethnicity is not routinely gathered in the Canadian
266 healthcare system, we could not include ethnicity in the demographic analysis.

267

268 5. CONCLUSIONS

269

270 Our data suggest that the development of natural immunity against Hia in a region without high incidence of
271 invasive Hia disease may reflect accumulation of cross-reactive antibodies acquired through exposure to
272 other common bacterial and/or environmental polysaccharide antigens. The prevalence of IgM suggests that

273 these anti-Hia antibodies are part of the natural antibody repertoire. Regardless of the antibody origin, these
274 antibodies may be protective against invasive disease; however, an accurate protective threshold needs to
275 be defined by extended seroepidemiological studies including regions with high incidence of Hia disease.
276 Although natural antibodies may be protective in certain population groups, immunization of younger children
277 will be essential to prevent serious invasive disease, especially if Hia continues to spread across North
278 America.

279

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291

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428 **Table 1:** Demographics of sample population

429

430

431

Group	<i>n</i>	Age (years)		Department	
		Mean ± SD	No. (%) Female	Otolaryngology	Neurology
<5 years old	40	2.69 ± 1.23	13 (33%)	16 (40%)	24 (60%)
5-16 years old	93	8.49 ± 2.90	38* (41%)	77 (83%)	16 (17%)
All	133	6.75 ± 3.67	51* (38%)	93 (70%)	40 (30%)

440

441 *One unknown

442

443 **Table 2:** Geometrical mean IgM and IgG antibody concentrations against *Haemophilus influenzae*
 444 type a in children of ages 0-2, 3-4, 5-6, 7-8, 9-10, 11-12, and 13-16 years.
 445
 446

Age (yrs)	<i>n</i>	IgM Antibody GMC, 95% CI (µg/ml)	IgG Antibody GMC, 95% CI (µg/ml)	P	IgM/IgG ratio 95% CI
0-2	15	0.47 [0.12- 1.79]	0.07 [0.03- 0.14]	0.0395	6.9 [1.7-28.6]
3-4	25	2.04 [1.58- 2.64]	0.12 [0.07- 0.20]	<0.0001	16.9 [9.5-29.8]
5-6	29	3.16 [2.47- 4.05]	0.23 [0.14- 0.39]	<0.0001	13.6 [7.5-24.6]
7-8	27	3.05 [1.92- 4.86]	0.38 [0.22- 0.66]	<0.0001	7.7 [4.5-17.2]
9-10	11	4.05 [2.47- 6.64]	0.34 [0.20- 0.58]	<0.0001	12.0 [5.7-25.4]
11-12	14	4.56 [3.10- 6.70]	0.48 [0.21- 1.08]	<0.0001	11.9 [5.2-27.2]
13-16	12	2.84 [1.83- 4.41]	0.26 [0.11- 0.61]	0.0001	10.9 [4.1-28.7]

447 **Figure Legends**

448

449 **Figure 1.**

450 Geometrical mean IgM and IgG antibody concentrations specific to *Haemophilus influenzae* type a in children
451 of all ages [95% Confidence Intervals], µg/ml. The solid lines represent the geometric mean concentrations
452 (GMC): IgM: 2.17 [1.57-3.01]; IgG, 0.22 [0.17-0.28]. ****p < 0.0001, Mann-Whitney U test.

453

454 **Figure 2.**

455 Linear regression analysis of association of IgG antibody concentrations specific to *Haemophilus influenzae* type a
456 with age; p=0.03, slope=0.07.

457

458 **Figure 3.**

459 Linear regression analysis of association of IgM antibody concentrations specific to *Haemophilus influenzae* type a
460 with age; p=0.001, slope=0.20.

461

±

1 Seroprevalence of IgG and IgM antibodies to *Haemophilus influenzae* type a in Canadian
2 children

3

4 Brenda Huska^{a#}, Chelsea Kubinec^{a#}, Manish Sadarangani^{b,c}, Marina Ulanova^{a*} for the
5 Canadian Immunization Research Network Investigators

6

7

8 ^aNorthern Ontario School of Medicine, Thunder Bay, ON, Canada

9 ^bVaccine Evaluation Center, BC Children's Hospital Research Institute, Vancouver, BC,
10 Canada

11 ^cDepartment of Pediatrics, University of British Columbia, Vancouver, BC, Canada

12

13 [#]Contributed equally

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15 ^{*}Corresponding author: Marina Ulanova, Division of Medical Sciences, Northern Ontario
16 School of Medicine, Lakehead University, 955 Oliver Road, Thunder Bay, ON, P7B 5E1,
17 Canada

18 Email address: mulanova@nosm.ca

19

20

21 ABSTRACT

22

23 Background

24 Over the last 2 decades, *Haemophilus influenzae* type a (Hia) has emerged as a significant cause of invasive
25 disease in some geographic regions and populations. Recognition of the importance of Hia in the etiology of
26 serious disease, particularly in young children, prompted the development of a new protein-capsular
27 polysaccharide conjugate vaccine, similar in design to a vaccine against *H. influenzae* type b. At present,
28 understanding of Hia immunology is incomplete; the immunological correlate of protection against invasive
29 disease is unknown.

30 Methods

31 Our objective was to study Hia antibody in children of various ages residing in a Canadian province with low
32 incidence rates of invasive disease. The enzyme-linked immunosorbent assays were performed to quantify
33 plasma IgG and IgM specific to Hia capsular polysaccharide in 133 children (3 months to 16 years).

34 Results

35 Both anti-Hia IgG and IgM concentrations increased with age and were significantly higher in older children; a
36 positive correlation between age and concentrations of Hia antibody was found. IgM antibody concentrations
37 were significantly higher than IgG, with mean IgM concentrations over 10 times larger than IgG across all age
38 groups.

39 Conclusions

40 The steady rise of naturally acquired, Hia-specific IgG and IgM concentrations in a pediatric population with
41 low incidence rates of invasive Hia disease suggests the exposure to some cross-reactive environmental
42 antigens as a major source of the antibody. However, the carriage rates of Hia in the region are unknown and
43 further seroepidemiological studies are warranted. Although natural antibody may protect certain population
44 groups against invasive disease, immunization of younger children will be essential to prevent serious
45 infections if Hia continues to spread across North America.

46

47 Keywords:

48 *Haemophilus influenzae* type a; seroprevalence; natural antibody; children

49 1. INTRODUCTION

50

51 The Gram-negative coccobacillus *Haemophilus influenzae* frequently colonizes the nasopharynx of healthy
52 individuals and can cause both local and systemic infections including otitis media, pneumonia, epiglottitis,
53 meningitis, and sepsis [1]. *H. influenzae* is categorized based on the presence or absence of a
54 polysaccharide capsule. There are six distinct serotypes of encapsulated *H. influenzae* (a-f); non-
55 encapsulated strains are termed non-typeable (NTHi) [1]. The polysaccharide capsule is the major virulence
56 factor of *H. influenzae* as it prevents complement deposition and phagocytosis required for bacterial
57 clearance; anti-capsular antibodies are essential in immune defenses against the infection [1,2]. Among
58 encapsulated *H. influenzae*, *H. influenzae* serotype b (Hib) is the most virulent, followed by serotype a (Hia)
59 [3]. Prior to introduction of the Hib conjugate vaccine in late 1980s, Hib was the major cause of serious
60 invasive diseases in children worldwide. The global weighted average incidence of meningitis caused by Hib
61 was 57/100,000 in children <5 years of age, with much higher rates reported from North American and
62 Australian Indigenous populations [4]. Pediatric immunization against Hib resulted in the dramatic reduction
63 of Hib invasive disease in all the countries where it has been used [4]. However, over the last 20 years,
64 invasive Hia disease, which shows remarkable similarities in epidemiology, clinical presentation, severity,
65 and fatality rates to those caused by Hib, has emerged in some geographic areas including Alaska and
66 Northern Canada [5]. The highest incidence rates of invasive Hia disease were reported from Alaska Native
67 and Canadian Inuit children < 1 year of age, i.e. as high as 82/100,000 in Alaska [6], and 300/100,000 in
68 Nunavut and Nunavik, the regions of Northern Canada [7,8]. Although data on epidemiology of invasive Hia
69 disease in different parts of Canada are incomplete, it appears that the disease is much less common in
70 some regions outside of the Arctic, such as the province of British Columbia. Between January 1, 2018 and
71 December 31, 2019, a total of 14 cases of invasive Hia disease were reported from this province
72 (0.1/100,000 population per year) [9,10].

73

74 The recognition of significance of Hia as a cause of serious invasive disease prompted the development of a
75 new vaccine in Canada that has been recently investigated pre-clinically [11]. This vaccine was formulated
76 on the basis of the same principles as vaccine against Hib, i.e. using Hia capsular polysaccharide as an

77 antigen conjugated to a protein carrier to make it immunogenic in young children who are yet unable to
78 develop antibody response to pure polysaccharide antigens [12]. To determine target population groups for
79 immunization against this infection, understanding of Hia immunity and defining immunological correlates of
80 protection are essential.

81
82 The reason for increased susceptibility to invasive Hia disease in certain population groups is currently
83 unknown [13]. Whereas the highest rates of invasive Hia disease have been reported from young Indigenous
84 children, immunocompetent adults do not typically develop invasive Hia disease [5, 14-16]. We have
85 recently established that healthy Indigenous adults possess naturally acquired functionally active antibodies
86 against Hia suggesting that certain level of natural immunity may protect against invasive Hia disease
87 [17,18]. We hypothesized that antibodies specific to Hia capsular polysaccharide develop with age due to
88 exposure to the pathogen and/or certain cross-reactive antigens. Our objective was to study plasma antibody
89 concentrations in children of various ages in a population with low incidence of invasive Hia disease.

90

91 2. METHODS

92

93 **2.1. Plasma samples**

94 Pediatric plasma samples were collected at BC Children's Hospital Biobank (Vancouver, British Columbia,
95 Canada) between October 2015 and May 2019. The ages of the children ranged from 3 months to 16 years
96 (Table 1). The study was approved by the University of British Columbia – Children's & Women's Health
97 Centre of BC and Lakehead University Research Ethics Boards. The samples were shipped frozen and
98 stored at -80°C until used.

99

100 **2.2. Quantification of *Haemophilus influenzae* type a (Hia) specific IgG and IgM in plasma**

101 To quantify IgG and IgM specific to Hia capsular polysaccharide, we used a previously described enzyme-
102 linked immunosorbent assay (ELISA) [17]. Antibody concentrations were determined using our internal
103 standard that was cross standardized to the Hia reference serum provided by the Centers for Disease
104 Control and Prevention. The internal standard contained 1.25 µg/mL anti-Hia IgG and 2.09 µg/mL anti-Hia

105 IgM. Absorbance measurements were made with OD₄₅₀, and OD₆₃₀ as reference, and a log-log linear
106 regression analysis was used to determine antibody concentration in µg/mL. The lower limit of detection was
107 0.04 µg/mL for IgM and 0.02 µg/mL for IgG; for statistical purposes, samples with values below detection
108 were assigned a value ½ the lower limit. All samples were tested in duplicate.

109

110 2.3. Statistical analysis

111 The data were log₁₀ transformed before analysis. Statistical analysis was performed using Graph-Pad Prism
112 6 (GraphPad Prism Software Inc., San Diego, CA). Geometric mean antibody concentrations (GMC) with
113 95% confidence intervals (CI) were calculated. Comparison of continuous variables was conducted by one-
114 way ANOVA, Student's *t*-test, or Mann-Whitney U test depending on data distribution and number of groups;
115 Fishers' exact test was used to compare categorical variables. To study association of antibody
116 concentrations with age, linear regression and Spearman correlation analyses were performed. A cut-off 0.1
117 µg/mL of Hia-specific IgG was used for analysis as this concentration was previously found to correspond to
118 the lowest IgG level associated with a measurable titre of functionally active antibody [19]. Significance was
119 determined at *p* < 0.05.

120

121 3. RESULTS

122 For the study of seroprevalence of IgG and IgM antibodies specific to Hia capsular polysaccharide in a
123 pediatric population undergoing diagnostic testing, plasma samples of 133 children aged between 3 months
124 and 16 years were analyzed (Table 1). The majority of children attended the Otolaryngology Department for
125 tonsillectomy (*n* = 93, 70%) and the remaining were assessed at the Neurology Department. There were 6
126 children < 1 year of age; most samples were collected from children ≥ 5 years of age.

127

128 The concentrations of Hia-specific IgG and IgM were high enough for detection with ELISA in the majority of
129 plasma samples, with only 2 samples for IgG (both age 2 years) and 5 samples for IgM (children aged 3
130 months, 5 months, 10 months, 2 years, and 8 years) falling below detection limits. IgM antibody
131 concentrations (GMC: 2.17, CI: 1.57, 3.01 µg/mL) were significantly higher than IgG (GMC: 0.22, CI: 0.17,
132 0.28 µg/mL) for the total sample population (*p* < 0.0001, Fig. 1), as well as across all the age groups, with

133 mean IgM/IgG ratio being 11.23 for the total sample population, from 6.9 to 16.9 for children of different ages
134 (Table 2).

135
136 The concentrations of both IgG and IgM specific to Hia increased with age, with statistically significantly
137 higher GMC found in children ≥ 5 years of age compared to younger children, i.e. IgG, 0.32, CI 0.24, 0.42 vs.
138 0.10, CI 0.06, 0.15 $\mu\text{g/mL}$; IgM, 3.35, CI 2.81, 3.99 vs. 1.25, CI 0.72, 2.14 $\mu\text{g/mL}$, correspondingly ($p < 0.0001$
139 between 0-4 and 5-16 years old for both). Linear regression analysis showed significant association of both
140 IgG and IgM Hia-specific antibody concentrations with age (Fig. 2 - 3). There was a positive, but moderate,
141 correlation between age and concentrations of Hia-specific IgG ($r = 0.37$, $p < 0.0001$) and IgM ($r = 0.33$,
142 $p = 0.0001$).

143
144 Concentrations of Hia-specific IgG exceeded 0.1 $\mu\text{g/mL}$ in 78 samples (64%), with a lower proportion of
145 samples above this cut-off among younger children (21% in ages 0-4 and 79% in ages 5-16, $p < 0.001$). No
146 difference in IgG antibody concentrations between males and females was found. GMC of IgM were higher in
147 females than males: for ages 0-4, 1.38 vs. 1.09 $\mu\text{g/mL}$ ($p = 0.8$), for ages 5-16, 4.48 vs. 2.72 $\mu\text{g/mL}$ ($p = 0.003$).

148 149 4. DISCUSSION

150
151 In this study, we detected IgG and IgM specific to Hia capsular polysaccharide in 131/133 and 128/133
152 pediatric plasma samples, respectively. Concentrations of Hia-specific IgG and IgM steadily increased with
153 age in a sample of pediatric population with low incidence rates of invasive Hia disease, with significantly
154 higher antibody values in children of 5 years and older as compared to the younger ones. We also found a
155 greater prevalence of IgM over IgG antibodies across all the ages; in average, concentrations of Hia-specific
156 IgM were 11-fold higher than IgG.

157
158 The current understanding of Hia immunology is very limited; the data on concentrations of natural IgG
159 antibodies specific to Hia capsular polysaccharide in Alaskan children have only been recently published
160 [20]. Comparably to our data, in Alaska Native children, IgG antibody levels significantly increased with age,

161 despite the differences in Hia disease prevalence in Alaska and our region of interest. Over a period of 12
162 years (2007-2018), a total of 47 Hia isolates were collected from disease cases in British Columbia, a region
163 of Western Canada bordering Alaska [21]. Considering that the population of British Columbia is roughly 5
164 million people, an average annual incidence rate of invasive Hia disease was 0.08/100,000 population. In
165 comparison, an average annual incidence of invasive Hia disease in Alaska in 2008-2017 was 0.68/100,000
166 population, with much higher numbers in young Alaska Native children (27.74/100,000 population < 5 years
167 of age) [6]. In Alaska, invasive Hia disease has been present since 2002 and incidence rates significantly
168 increased since then [15,16, 22]. As reported by McClure et al. [20], 82.4% of children <10 years of age in
169 Yukon-Kuskokwim Delta (where most cases of invasive Hia disease occurred) and 86.8% in Anchorage (with
170 much lower incidence of disease) had concentrations of Hia-specific IgG > 0.1 µg/mL, a concentration
171 previously found to correspond to the lowest IgG level associated with a measurable functionally active
172 antibody [19]. In our study, we found a lower proportion of children <10 years of age with Hia-specific IgG >
173 0.1 µg/mL, i.e. 66%. This discrepancy may be explained by a lower circulation of Hia in British Columbia
174 compared to Alaska. However, while the incidence of Hia invasive disease in our region of interest is much
175 lower than that in Alaska, the carriage rates of Hia are unknown.

176
177 Age-dependent rise in natural Hia antibodies in children is reminiscent of historical data on immunology of
178 Hib collected in the pre-Hib vaccine era. There are obvious similarities in the natural histories of Hia and Hib
179 infections. Both Hia and Hib have polysaccharide capsules acting as major virulence factors; both can be
180 carried asymptotically in the nasopharynx by healthy individuals and cause invasive disease
181 predominantly in children < 2 years of age while older children and immunocompetent adults are relatively
182 resistant to the infection. In the pre-Hib vaccine era, protective immunity against Hib gradually developed in
183 children after 2 years of age; an increase in antibody titres with age correlated with a decrease in the
184 incidence of invasive disease (reviewed by [23]). However, McClure et al. [20] detected Hia-specific IgG in
185 both Alaska Native and non-Native population groups residing in regions with different rates of invasive
186 disease, with no significant differences in antibody concentrations by the race, study region (the Yukon-
187 Kuskokwim Delta versus Anchorage), or time of sample collection (the 1980s and 1990s when no Hia
188 disease was present, and after the emergence of the disease in 2000s). Our earlier studies also showed that

189 concentrations of Hia-specific IgG did not differ between Canadian Indigenous adults living in the regions
190 with high versus low incidence of invasive Hia disease [18]. These observations illustrate the basic principle
191 of epidemiology, i.e. the probability of the development of infectious disease depends both on the exposure
192 to infectious agent and presence or absence of protective immunity. In regions with higher colonization rates
193 and presence of factors facilitating the transmission of the infectious agent, such as overcrowding, lack of
194 clean water, and indoor air pollution, higher antibody levels would be required to prevent the disease. Such
195 environmental factors can explain the differences in rates of invasive disease between different populations
196 [20]. Although no data on Hia colonization in Canada have yet been published, in Northwestern Ontario First
197 Nations communities, over 8% of healthy children aged 3-5 years carried Hia in the nasopharynx (M.
198 Ulanova, unpublished observations). In this region of Central Canada, where the annual average incidence
199 rates of invasive Hia disease were 7/100,000 in 2004-2008 and 3.1/100,000 in 2010-2015 [24-27], Hia-
200 specific antibodies were detected in all healthy Indigenous adults (median age 36.5 years), with geometrical
201 mean IgG and IgM concentrations of 1.47 and 2.09 µg/mL, correspondingly [18]. Because healthy adults do
202 not typically develop invasive Hia disease, these antibody concentrations are likely above the protective
203 level. However, to gain better understanding of the immunological correlate of protection against invasive
204 disease, more seroepidemiological studies will be needed, including studies of Hia colonization in different
205 age groups and populations with high versus low incidence of invasive disease. With regards to our current
206 data, lower incidence of Hia invasive disease within the population of interest may reflect lower carriage rates
207 rather than protection from naturally acquired antibodies.

208
209 While we have previously collected data on natural immunity against Hia in both healthy and
210 immunocompromized adults [17,18,28], serological studies in healthy children represent a significant
211 challenge. In our study, the sample population included pediatric patients undergoing diagnostic testing in a
212 large hospital in British Columbia that may not accurately represent the general pediatric population.
213 Because the majority of children in our sample attended the Otolaryngology Department for tonsillectomy,
214 they might have been potentially higher exposed to respiratory pathogens, including *Haemophilus influenzae*,
215 and consequently developed higher antibody levels than other children. As we found clear prevalence of IgM
216 over IgG among anti-Hia antibody in our sample, a recent exposure to Hia might potentially account for these

217 **observations.** However, in our previous studies of natural antibodies in healthy Indigenous and non-
218 Indigenous adults, we also observed clear predominance of Hia-specific IgM over IgG although the opposite
219 was true in case of naturally acquired antibodies specific to Hib [17,18]. To interpret these findings, it is
220 important to consider the dominant role of IgM in the natural antibody repertoire [29-31].

221
222 While most seroprevalence studies traditionally focus on IgG antibody, the role of IgM in protection against
223 invasive bacterial disease is indispensable. IgM has superior capacity to activate the complement and is able
224 to greatly contribute to the bactericidal and opsonizing effects of antibody specific to encapsulated bacteria,
225 such as Hia [2,29]. In addition, IgM produced by a particular subset of B cells (B-1) represents a major part of
226 the natural antibody repertoire; while developing with age without a defined antigenic stimulation, the natural
227 IgM antibody provides powerful defense against various pathogens [30,31]. Although the origin of natural
228 anti-Hia antibodies in a pediatric population with low disease prevalence remains uncertain, our data suggest
229 a potential role of immunization with cross-reactive antigens present in the environment. Indeed, serological
230 cross-reactivity between Hia capsular polysaccharide and polysaccharide antigens of other bacteria,
231 including *Streptococcus pneumoniae* serotype 6B, was documented [32]. By analogue, the role of cross-
232 reactive antigens of commensal bacteria, in particular *Escherichia coli* K100, in the development of natural
233 antibody against Hib in the pre-vaccine era was demonstrated [33]. Back in the 1980s, it was found that
234 acquisition of natural anti-capsular antibody to Hib by Alaskan Eskimos was associated with pharyngeal
235 carriage of Hib [34]. However, while Hib carriage was relatively uncommon (6.8% of all tested individuals), a
236 clear positive association between antibody levels and age was observed: GMC of anti-Hib antibody
237 increased in children between 6 months and 10 years of age [36], suggesting the effect of antigenic
238 stimulation unrelated to Hib. With regards to our findings, as Hia carriage data in the region of interest are
239 unavailable, the possibility of asymptomatic Hia colonization stimulating the development of antibodies in this
240 population remains open. However, direct exposure to *H. influenzae* may not completely account for an
241 increased antibody concentration with age; additional factors, such as cross-reactive antigens, can also be
242 responsible for this. Overall, more seroepidemiological studies are required to elucidate the origin of natural
243 Hia antibodies.

244

245 This study has several limitations. Complete data on Hia prevalence in the region are unavailable;
246 information on Hia epidemiology is limited by cases of invasive disease, which is reportable in Canada. The
247 most recent publication by Tsang et al. [21] described 47 isolates of Hia from British Columbia submitted to
248 the National Microbiology Laboratory (Winnipeg, Manitoba) during 2007-2018. In addition, 29 cases of
249 invasive Hia disease were identified between 1996 and 2008, based on serotyping of isolates submitted to
250 BC Public Health Microbiology and Reference Laboratory (Dr. Linda Hoang, personal communication).
251 However, etiology of non-invasive disease, such as non-bacteremic pneumonia or otitis media, is rarely
252 documented. As there is a potential presence of Hia carriage in the population, a study of nasopharyngeal
253 colonization in children of various ages will be important. In addition, our results are based on the analysis of
254 antibodies from residual plasma samples in children undergoing diagnostic blood work rather than samples
255 from healthy children because blood collection from healthy children for research purposes is not feasible for
256 ethical reasons. Because the sample was determined by the availability of plasma specimens in the Biobank,
257 most were from children ≥ 5 years of age, and fewer from younger children. We avoided using samples from
258 patients who were potentially immunocompromised (e.g., children admitted to the oncology department);
259 these samples were not included in our study. Most samples were from children scheduled for tonsillectomy;
260 others were from children undergoing diagnostic testing for the neurology department. As discussed above,
261 the prevalence of children with recurrent tonsillitis or hypertrophy of tonsils in our sample might account for
262 high antibody concentrations in those who had potentially been exposed to Hia although no statistical
263 differences in antibody levels between children attending Otolaryngology and Neurology Departments were
264 noted (data not shown). Information on demographics and medical history collected at the Biobank database
265 was limited. In particular, because information on ethnicity is not routinely gathered in the Canadian
266 healthcare system, we could not include ethnicity in the demographic analysis.

267

268 5. CONCLUSIONS

269

270 Our data suggest that the development of natural immunity against Hia in a region without high incidence of
271 invasive Hia disease may reflect accumulation of cross-reactive antibodies acquired through exposure to
272 other common bacterial and/or environmental polysaccharide antigens. The prevalence of IgM suggests that

273 these anti-Hia antibodies are part of the natural antibody repertoire. Regardless of the antibody origin, these
274 antibodies may be protective against invasive disease; however, an accurate protective threshold needs to
275 be defined by extended seroepidemiological studies including regions with high incidence of Hia disease.
276 Although natural antibodies may be protective in certain population groups, immunization of younger children
277 will be essential to prevent serious invasive disease, especially if Hia continues to spread across North
278 America.

279

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283

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291

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428 **Table 1:** Demographics of sample population

429

430

431

Group	<i>n</i>	Age (years) Mean ± SD	No. (%) Female	Department		432
				Otolaryngology	Neurology	433
<5 years old	40	2.69 ± 1.23	13 (33%)	16 (40%)	24 (60%)	434
5-16 years old	93	8.49 ± 2.90	38* (41%)	77 (83%)	16 (17%)	435
All	133	6.75 ± 3.67	51* (38%)	93 (70%)	40 (30%)	436

440

441 *One unknown

442

443 **Table 2:** Geometrical mean IgM and IgG antibody concentrations against *Haemophilus influenzae*
 444 type a in children of ages 0-2, 3-4, 5-6, 7-8, 9-10, 11-12, and 13-16 years.
 445
 446

Age (yrs)	<i>n</i>	IgM Antibody GMC, 95% CI (µg/ml)	IgG Antibody GMC, 95% CI (µg/ml)	P	IgM/IgG ratio 95% CI
0-2	15	0.47 [0.12- 1.79]	0.07 [0.03- 0.14]	0.0395	6.9 [1.7-28.6]
3-4	25	2.04 [1.58- 2.64]	0.12 [0.07- 0.20]	<0.0001	16.9 [9.5-29.8]
5-6	29	3.16 [2.47- 4.05]	0.23 [0.14- 0.39]	<0.0001	13.6 [7.5-24.6]
7-8	27	3.05 [1.92- 4.86]	0.38 [0.22- 0.66]	<0.0001	7.7 [4.5-17.2]
9-10	11	4.05 [2.47- 6.64]	0.34 [0.20- 0.58]	<0.0001	12.0 [5.7-25.4]
11-12	14	4.56 [3.10- 6.70]	0.48 [0.21- 1.08]	<0.0001	11.9 [5.2-27.2]
13-16	12	2.84 [1.83- 4.41]	0.26 [0.11- 0.61]	0.0001	10.9 [4.1-28.7]

447 **Figure Legends**

448

449 **Figure 1.**

450 Geometrical mean IgM and IgG antibody concentrations specific to *Haemophilus influenzae* type a in
451 children of all ages [95% Confidence Intervals], µg/ml. The solid lines represent the geometric
452 mean concentrations (GMC): IgM: 2.17 [1.57-3.01]; IgG, 0.22 [0.17-0.28]. ****p < 0.0001, Mann-
453 Whitney U test.

454

455 **Figure 2.**

456 Linear regression analysis of association of IgG antibody concentrations specific to *Haemophilus*
457 *influenzae* type a with age; p=0.03, slope=0.07.

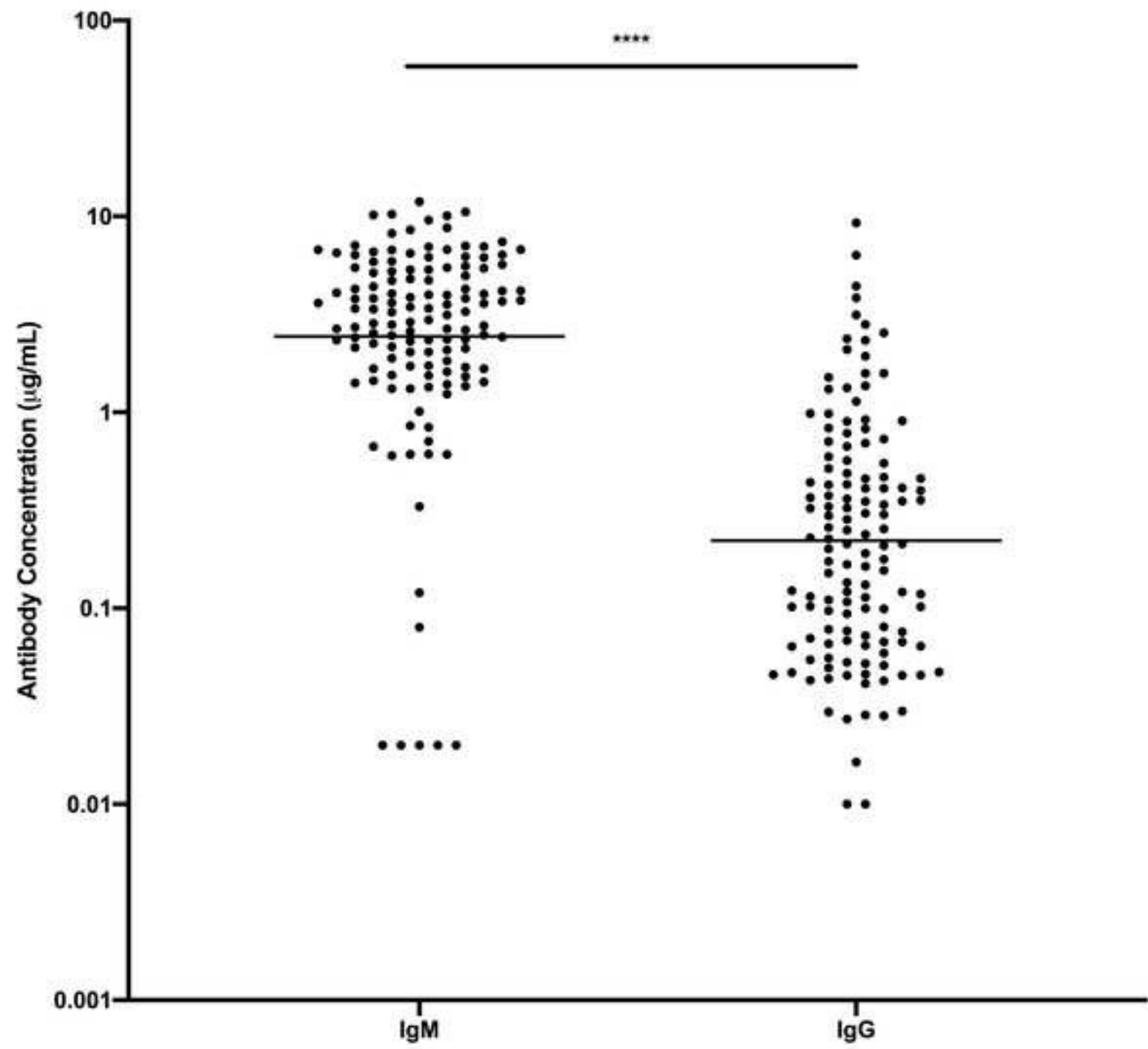
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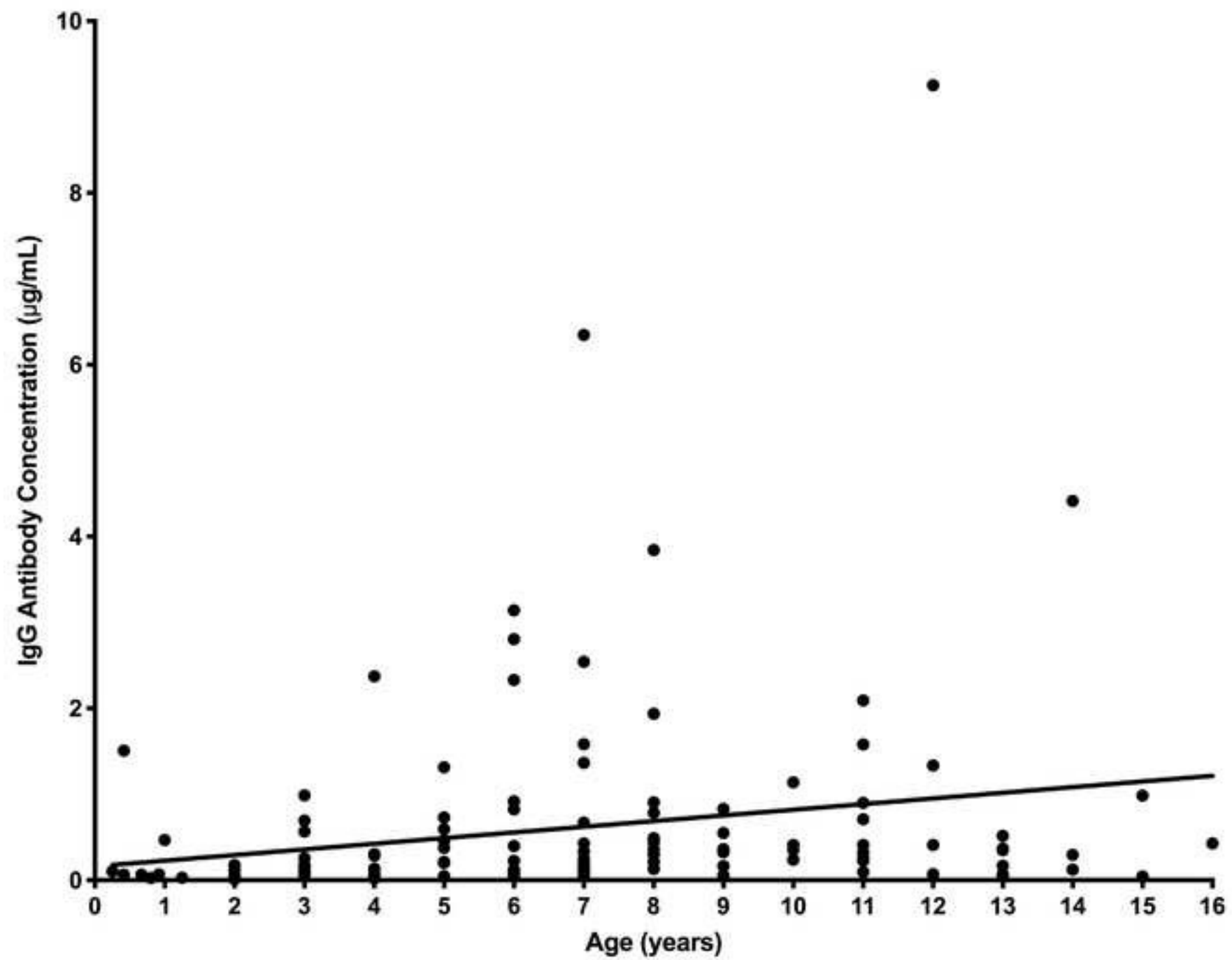
459 **Figure 3.**

460 Linear regression analysis of association of IgM antibody concentrations specific to *Haemophilus influenzae* type a
461 with age; p=0.001, slope=0.20.

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