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8

1 **Title: Hydroxychloroquine Use and Cardiovascular Events Among Patients with Systemic**
2 **Lupus Erythematosus and Rheumatoid Arthritis**

3 **Running Head: Hydroxychloroquine and Cardiovascular Events**

4 **Authors:** April Jorge, MD¹; Na Lu, MPH^{1, 2}; Hyon Choi, MD, DrPH¹; John M. Esdaile, MD,
5 MPH^{2,3}; Diane Lacaille, MD, MHSc^{2,3}; J. Antonio Avina-Zubieta, MD, PhD^{2,3}

6
7 **Affiliations:**

8 ¹Division of Rheumatology, Allergy, and Immunology, Massachusetts General Hospital

9 ²Arthritis Research Canada, Richmond, BC, Canada

10 ³Divison of Rheumatology, University of British Columbia, Vancouver, BC, Canada

11

12 **Corresponding Author:**

13 J. Antonio Avina-Zubieta MD, FRCPC MSc, PhD

14 230-2238 Yukon Street

15 Vancouver, BC V5Y 3P2

16 azubieta@arthritisresearch.ca

17

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34 None

35

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37 Data for this study are not publicly available because of a data-use agreement. For requests to
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39

40

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1 **ABSTRACT**

2

3 **Objective:** We evaluated the potential temporal association between Hydroxychloroquine

4 (HCQ) use and cardiovascular (CV) events among patients with SLE or RA.

5 **Methods:** We conducted a nested case-control study within inception cohorts of SLE and RA

6 patients using administrative health databases including the entire population of British

7 Columbia, Canada. We identified cases with incident CV events, including myocardial infarction

8 (MI), stroke, or venous thromboembolism (VTE). We matched each case with up to three

9 controls on age, sex, and rheumatic disease. HCQ exposure was categorized by the time between

10 the last HCQ prescription date covered and the index date as current use, recent use, remote use,

11 or never used. We used conditional logistic regression to assess the association between HCQ

12 exposure and CV events, using remote use as the reference group.

13 **Results:** We identified 10,268 cases and 29,969 controls. Adjusted conditional odd ratios (cORs)

14 (95% CI) for current HCQ use relative to remote use were 0.86 (0.77-0.97) for combined CV

15 events, 0.88 (0.74, 1.05) for MI, 0.87 (0.74, 1.03) for stroke, and 0.74 (0.59, 0.94) for VTE.

16 Recent HCQ users and non-users had similar odds of combined CV events as remote users

17 (cORs 0.93 [95% CI, 0.77-1.13] and 0.96 [95% CI, 0.88-1.04], respectively).

18 **Conclusion:** In this nested case-control study of patents with SLE and RA, we found a reduced

19 risk of overall CV events associated with current HCQ use including reductions in VTE and

20 trends towards reductions in MI and stroke. These findings suggest a possible cardiovascular

21 preventative benefit of HCQ use.

22

23

1 **SIGNIFICANCE and INNOVATIONS**

- 2 • To our knowledge, we provide the first report showing a lower risk of venous
3 thromboembolism associated with current hydroxychloroquine use compared with remote
4 use among patients with rheumatoid arthritis.
- 5 • We also found a possible association with current hydroxychloroquine use and lower
6 risks of ischemic stroke and myocardial infarction among patients with systemic lupus
7 erythematosus or rheumatoid arthritis in a general population context.
- 8 • These findings support possible protective effects of this medication against
9 atherosclerotic and thrombotic events for patients with rheumatoid arthritis and lupus.

1 **INTRODUCTION:**

2 Systemic lupus erythematosus (SLE) and rheumatoid arthritis (RA) are chronic, systemic
3 inflammatory diseases that are both associated with premature cardiovascular (CV) disease.^{1,2}
4 Hydroxychloroquine (HCQ) is near-universally recommended for patients with systemic lupus
5 erythematosus (SLE) and is often used in the treatment of rheumatoid arthritis (RA). Use of
6 HCQ has been associated with reductions in multiple established risk factors for cardiovascular-
7 metabolic endpoints. Multiple observational studies have demonstrated a reduction in
8 hyperglycemia and a lower risk of developing diabetes mellitus among patients with SLE and
9 RA who are treated with HCQ.^{3,4} Its use has also been associated with improved lipid profiles in
10 SLE and RA patients.^{5,6} Furthermore, HCQ use has been associated with a lower risk of venous
11 thromboembolism among patients with SLE in several small studies.⁷ However, it is not well
12 established whether HCQ use can prevent other CV events. We aimed to determine the potential
13 temporal association between HCQ use and cardiovascular (CV) events among patients with
14 SLE or RA.

15 **PATIENTS and METHODS:**

16 **Data Source, Study Population, and Study Design**

17 We conducted a nested case-control study within a population-based cohort of patients with
18 incident SLE and incident RA. This source population was the entire population of the province
19 of British Columbia, Canada, over 5 million individuals, identified using linked administrative
20 health databases from Population Data BC.⁸ These databases capture demographics, vital
21 statistics, and healthcare utilization data including all provincially-funded outpatient medical
22 visits and hospitalizations since 1990.⁹ Medications are captured through the comprehensive

1 PharmaNet prescription database, which includes information on the medication, dose dispensed,
2 date dispensed, and quantity and days' supply dispensed for all outpatient medications since
3 1996.⁹ These databases have been used previously to conduct population-based cohort studies of
4 patients with SLE, RA, and other inflammatory and rheumatic diseases.^{10,11}

5 Inception cohorts of patients with SLE and RA from Population Data BC have been
6 previously reported.^{9,10} The SLE cohort includes 6,241 patients at least 18 years of age with SLE
7 diagnosed between 1997-2015. Subjects were classified as having SLE if they met the following
8 criteria: ≥ 1 International Classification of Diseases, Ninth Revision (ICD-9) or Tenth Revision,
9 Clinical Modification (ICD-10-CM) code for SLE by a rheumatologist or from a hospital
10 encounter (ICD-9 710.0 or ICD-10-CM M32.1, M32.8, and M32.9) or at least two codes for SLE
11 at least two months apart within two years by a non-rheumatologist physician. We excluded
12 individuals with diagnoses of other inflammatory rheumatic diseases (i.e., RA, psoriatic arthritis,
13 and ankylosing spondylitis) occurring in at least two physician visits at least two months apart
14 after the first SLE diagnosis. To ensure incident cases, all individuals were required to have no
15 SLE diagnosis recorded for at least seven years prior to the index date (i.e., from January 1990,
16 the earliest data available). The RA cohort includes 64,012 patients at least 18 years of age with
17 RA diagnosed between 1997-2015. These subjects were classified as having RA if they met the
18 same criteria but with the relevant RA ICD-9 (714.X) or ICD-10-CM codes (M06.X, M05.X).

19

20 **Case and Control Ascertainment**

21 From this combined incident SLE/RA cohort, we identified patients with incident CV events
22 (cases) and up to three matched controls selected from risk set samples. In the primary analysis,
23 cases were defined by incident combined CV events, which included myocardial infarction (MI),

1 ischemic stroke or transient ischemic attack (TIA), and venous thromboembolism (VTE). Deep
2 vein thrombosis (DVT) and pulmonary embolism (PE) comprised VTE. These events were
3 identified by corresponding ICD-9 and ICD-10 CM codes. Cases and controls were matched by
4 age, sex, and rheumatic disease (i.e., SLE vs RA) on the index dates of the defining CV events
5 for cases, since these variables are considered to be the major confounders for the risk of CV
6 events in this population.

7 We conducted secondary analyses using alternative case definitions of specific CV
8 events. In these alternative case definitions, we defined cases by incident MI, incident
9 stroke/TIA, and incident VTE, respectively. Cases and controls were matched by the same
10 variables as in the primary analysis.

11

12 **Assessment of Exposure**

13 The exposure of interest was HCQ use status relative to the index date. Using the PharmaNet
14 database, we determined the dates associated with the end of the medication supply according to
15 the last prescription date covered by the final HCQ dispensation. We categorized HCQ use as
16 current, recent, remote, or never. We classified patients as current HCQ users if they had an
17 active supply of HCQ spanning the index date or if their HCQ supply ended within a 90-day
18 grace prior to the index date to reduce exposure misclassification due to delayed prescription
19 refills and due to the long half-life of the medication. We classified patients as recent users if
20 their HCQ supply ended between 91-365 days prior to the index date, and we classified patients
21 as remote users if their HCQ supply ended more than 365 days prior to the index date. We
22 classified patients as never users if they had no dispensed HCQ prescriptions.

1 In a sensitivity analysis, we used alternative definitions of current and recent use,
2 classifying patients as current users if their HCQ supply ended within 30-days prior to the index
3 date or spanned the index date. We classified patients as recent users if their HCQ supply ended
4 between 30-365 days prior to the index date. To assess the potential influence of the duration of
5 HCQ use on the potential association between HCQ use and CV events, we additionally
6 conducted a stratified analysis according to duration of HCQ use of less than one year or at least
7 one year as of the index date.

8

9 **Assessment of Covariates**

10 Covariates were assessed during the year prior to the index date/matching and included variables
11 associated with the risk of CV events or associated with illness severity of SLE or RA. These
12 included the Charlson comorbidity index, chronic kidney disease (CKD), prior CV disease, CV
13 medication use (i.e., statins, anti-hypertensives, cardiac glycosides, diuretics, anti-arrhythmics,
14 and nitrates), anticoagulants, glucocorticoid use, immunosuppressive/disease modifying
15 antirheumatic drug (DMARD) use (i.e., azathioprine, methotrexate, mycophenolate, leflunomide,
16 cyclosporine, and cyclophosphamide), biologic immunosuppressant use (i.e., belimumab,
17 adalimumab, infliximab, golimumab, certolizumab, etanercept, tocilizumab, and abatacept), and
18 healthcare utilization (hospitalizations and outpatient visits).

19

20 **Statistical Analysis**

21 We generated descriptive statistics for cases and controls. We used conditional logistic
22 regression to calculate the conditional odds ratios (cORs) and 95% confidence intervals (CI) for
23 combined CV events associated with HCQ exposure status (i.e., current use, recent use, remote

1 use, or never used), using remote users as the reference group in order to minimize confounding
2 by indication, as in previous studies.^{11,12} We similarly calculated cORs for specific CV events
3 including MI, stroke/TIA, and VTE in secondary analyses using the alternate case definitions
4 according to these specific CV events. We additionally calculated cORs for CV events within
5 disease categories of SLE and RA and stratified by duration of HCQ use. Finally, we repeated
6 this analysis using the alternate exposure definitions. Adjusted analyses included the covariates
7 described above. Statistical analyses were performed using SAS (version 9.3, SAS Institute Inc);
8 all p-values were two-sided ($\alpha=0.05$).

9 All procedures were conducted in compliance with British Columbia's Freedom of
10 Information and Privacy Protection Act. Ethics approval was obtained from the University of
11 British Columbia's Behavioral Research Ethics Board.

12

13 **RESULTS:**

14 From the combined RA and SLE inception cohorts (n=70,253), we identified 10,268 cases with
15 CV events and 29,969 matched controls without CV events. This included 532 cases with SLE
16 and 9,736 cases with RA as well as 1,249 and 28,720 controls with SLE and RA, respectively
17 (**Table 1**). The majority of patients (64%) were female, and the mean age was 74 years at the
18 index date. Cases had a higher mean Charlson comorbidity index score than controls, and a
19 higher proportion of cases used cardiovascular medications.

20 Current HCQ use was associated with an unadjusted cOR of 0.88 (95% CI 0.81-0.97) for
21 combined CV events compared with remote users (**Table 2**). The fully-adjusted cOR for current
22 HCQ use was 0.86 (0.77-0.97). Recent HCQ use and never use were each associated with similar
23 odds of CV events as remote HCQ use (fully-adjusted cORs 0.93 [95% CI 0.77-1.13] and 0.96

1 [95% CI 0.88-1.04], respectively). Current HCQ use was associated with fully-adjusted cORs of
2 0.88 (95% CI 0.74-1.05) for MI, 0.87 (95% CI 0.74-1.03) for stroke/TIA, and 0.74 (95% CI
3 0.59-0.94) for VTE compared with remote HCQ users (**Figure 1, Table 2**).

4 In stratified analyses according to rheumatic disease, patients with RA had similar
5 findings as in the overall analysis (**Figure 1, Supplemental Table 1**). For the smaller subgroup
6 with SLE, these findings did not reach significance.

7 With the alternative exposure definitions requiring current users to have a last
8 prescription date covered within 30 days prior to the index date, overall findings were similar
9 (**Supplemental Table 2**). When stratified by duration of HCQ use, the results were similar
10 among patients with at least one year of current or prior HCQ use (adjusted cOR 0.83 [95% CI
11 0.73-0.94] among current users compared with remote users) but did not reach significance
12 among patients with less than one year of HCQ use (adjusted cOR for CV events of 0.85 [95%
13 CI 0.70-1.04] (**Supplemental Table 3**)).

14

15 **DISCUSSION:**

16 In this nested case-control study within a population-based inception cohort of patients with SLE
17 or RA, we found a lower risk of incident CV events associated with current HCQ use compared
18 with remote HCQ use. We observed a lower risk of overall CV events as well as a lower risk of
19 VTE and a trend towards lower risks for MI and ischemic stroke associated with current HCQ
20 use. These associations were also observed in the larger RA subgroup, but we did not observe a
21 significant difference in the odds of CV events according to HCQ use status within the smaller
22 SLE subgroup.

1 Multiple prior observational studies have found a lower risk of thrombotic events in HCQ
2 users with SLE than in non-users, including the outcome of VTE with or without stroke.⁷ Our
3 study adds to this literature by additionally demonstrating lower risks of thrombotic events,
4 including both VTE and ischemic stroke, associated with HCQ use in patients with RA.
5 Although our study did not reach significance in the smaller SLE subgroup, our overall findings
6 are consistent with this prior work. Larger studies would be needed to assess the potential
7 benefits of HCQ use in preventing ischemic stroke and myocardial infarction among patients
8 with SLE.

9 Our findings also suggest that HCQ use may be associated with a lower risk of acute MI.
10 Prior studies have linked HCQ use with improvement in several risk factors for coronary artery
11 disease, including hyperlipidemia^{5,6} and insulin resistance,^{3,4} indicating potential mechanisms for
12 prevention of MI. However, it has not been well-established whether HCQ use can prevent actual
13 acute coronary events. A single center study from Israel¹³ and a population-based study from
14 Taiwan¹⁴ each found a protective effect of lower risks of MI in HCQ users than non-users among
15 RA patients. However, a recent study of multiple population-based cohorts did not find a
16 difference in the risk of MI between HCQ users and sulfasalazine users with RA.¹⁵ The use of
17 different comparison groups may have contributed to this difference in findings. Our study
18 utilized remote users as the comparison group to minimize confounding by indication, as both
19 the current user and the remote user groups had to have had an indication for HCQ use. In
20 contrast, prior studies that used non-users or sulfasalazine-only users as the reference group may
21 have introduced bias by unmeasured confounders that influence both the use of HCQ and the
22 outcome. Prospective studies are warranted to confirm the possible benefit of HCQ in preventing
23 CAD and MI events in patients with rheumatic disease.

1 We additionally observed that patients who had recently discontinued HCQ at least 90
2 days prior to the index date as well as remote HCQ users who discontinued the medication at
3 least one year prior to the index date had similar risks of CV events as patients who had never
4 used HCQ. This may suggest a loss of benefits after HCQ discontinuation which is of relevance
5 to weighing the risks and benefits of continuing long-term use of HCQ in patients with SLE or
6 RA.

7 The main limitations of this study are that of administrative data. The diagnoses of SLE
8 and RA were not clinically confirmed, but they were identified using an administrative algorithm
9 as has been previously reported.^{9,10} Further, we lack information on disease activity and severity,
10 which may impact the use of HCQ. Although we used a large administrative database with an
11 inception cohort of patients with SLE and RA, the number of cases with SLE was small which
12 limited power for the SLE-only subgroup analysis. A major strength of our study is the use of a
13 comprehensive prescription drug database, which captures all dispensed outpatient medications
14 and the timing of refills. Our ascertainment of HCQ exposure status by actual prescription refills
15 was less susceptible to misclassification of HCQ users than could occur with reliance on
16 prescribing data alone. Furthermore, our population-based data source which includes all patients
17 regardless of age or funding adds to the generalizability of our findings. Additionally, as
18 mentioned, our use of a remote user comparison group was employed to limit potential
19 confounding by indication that can occur when comparing users with non-users and has been
20 employed in other pharmacoepidemiology studies.^{11,12} However, there may be some variation
21 between current users and remote users. To address this, we adjusted for potential differences in
22 comorbidities, other medications including glucocorticoids and DMARD use, and healthcare
23 utilization between the different HCQ exposure groups. We also conducted a sensitivity analysis

1 using a stricter definition of current HCQ users, and the results were unchanged, which
2 contributes to the robustness of our findings.

3 Overall, we found lower risks of CV events associated with current HCQ use in a
4 combined cohort of SLE and RA patients in a general population context. These findings support
5 possible protective effects of this medication for patients with SLE and RA.

6

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1 **TABLES and FIGURES:**

2

3 **Table 1. Characteristics of Systemic Lupus Erythematosus and Rheumatoid Arthritis**
 4 **Cases with Cardiovascular Events and Matched Controls**

Characteristics*	Cases (n=10,268)	Controls (n=29,969)	SMD
Age (mean, SD)			
Overall	74 (13)	74 (13)	0.013
Systemic Lupus Erythematosus	59 (14)	57 (13)	0.133
Rheumatoid Arthritis	75 (13)	75 (12)	0.022
Sex (% female)			
Overall	63.6	63.7	0.003
Systemic Lupus Erythematosus	91.0	95.4	0.178
Rheumatoid Arthritis	62.1	62.3	0.005
Charlson comorbidity index, mean (SD)			
Overall	1.20 (1.21)	1.04 (1.03)	0.147
Systemic Lupus Erythematosus	1.52 (1.36)	1.19 (0.91)	0.285
Rheumatoid Arthritis	1.18 (1.20)	1.03 (1.02)	0.138
Cardiovascular Disease (%)			
Overall	1.3	0.6	0.077
Systemic Lupus Erythematosus	2.3	0.6	0.136
Rheumatoid Arthritis	1.3	0.6	0.073
Chronic Kidney Disease (%)			
Overall	14.3	11.8	0.075
Systemic Lupus Erythematosus	27.3	18.1	0.220
Rheumatoid Arthritis	13.6	11.5	0.063
Medications (%)			
Glucocorticoids			
Overall	32.0	27.0	0.101
Systemic Lupus Erythematosus	49.4	36.0	0.275
Rheumatoid Arthritis	31.1	27.1	0.089
Cardiovascular medications			
Overall	53.6	42.9	0.215
Systemic Lupus Erythematosus	43.4	29.0	0.304
Rheumatoid Arthritis	54.2	43.5	0.214
Anticoagulants (%)			
Overall	5.4	3.0	0.120
Systemic Lupus Erythematosus	6.2	1.4	0.250
Rheumatoid Arthritis	5.3	3.1	0.115
Other DMARD use (%)			
Overall	15.9	14.6	0.036
Systemic Lupus Erythematosus	19.2	17.1	0.055
Rheumatoid Arthritis	15.8	14.5	0.034
Oral DMARDs (%)			
Overall	16.0	17.4	0.038
Systemic Lupus Erythematosus	22.4	20.0	0.060
Rheumatoid Arthritis	15.6	17.3	0.045
Biologic DMARDs (%)			
Overall	2.2	1.9	0.024
Systemic Lupus Erythematosus	1.1	1.4	0.028

Rheumatoid Arthritis	2.3	1.9	0.027
Healthcare Utilization			
Number of hospitalizations, mean (SD)			
Overall	0.6 (1.1)	0.4 (0.8)	0.202
Systemic Lupus Erythematosus	1.0 (1.8)	0.5 (0.9)	0.376
Rheumatoid Arthritis	0.6 (1.1)	0.4 (0.8)	0.189
Number of outpatient visits, mean (SD)			
Overall	27.2 (19.7)	22.4 (15.5)	0.280
Systemic Lupus Erythematosus	36.2 (26.9)	26.0 (18.9)	0.457
Rheumatoid Arthritis	26.8 (19.1)	22.3 (15.3)	0.266

1 *Assessed within one year prior to matching/index date.
2 SMD, standardized mean difference; DMARDs, disease-modifying anti-rheumatic drugs.
3 Includes azathioprine, methotrexate, mycophenolate, leflunomide, cyclosporine,
4 cyclophosphamide, or biologic DMARDs including belimumab, adalimumab, infliximab,
5 golimumab, certolizumab, etanercept, tocilizumab, abatacept, .
6 Cardiovascular medications include anti-hypertensives, cardiac glycosides, diuretics, anti-
7 arrhythmics, and nitrates.

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1 **Table 2. Hydroxychloroquine Use and Cardiovascular Events According to**
 2 **Hydroxychloroquine Exposure Status Among Patients with Systemic Lupus**
 3 **Erythematosus and Rheumatoid Arthritis**

	Cases, N	Controls, N	Conditional Odds Ratio (95% CI)	Adjusted Conditional Odds Ratio* (95% CI)
All Combined CV Events				
Remote HCQ Users	1305	3385	1.0 (reference)	1.0 (reference)
Recent HCQ users	244	635	1.00 (0.85, 1.18)	0.93 (0.77, 1.13)
Current HCQ users	1182	3449	0.88 (0.81, 0.97)	0.86 (0.77, 0.97)
HCQ non-users	7537	22500	0.87 (0.81, 0.93)	0.96 (0.88, 1.04)
All Myocardial Infarction				
Remote HCQ Users	566	1411	1.0 (reference)	1.0 (reference)
Recent HCQ users	98	242	1.00 (0.78, 1.29)	1.12 (0.82, 1.53)
Current HCQ users	517	1495	0.85 (0.74, 0.98)	0.88 (0.74, 1.05)
HCQ non-users	3208	9753	0.81 (0.73, 0.91)	0.98 (0.85, 1.12)
All Stroke/TIA				
Remote HCQ Users	536	1474	1.0 (reference)	1.0 (reference)
Recent HCQ users	91	249	0.99 (0.76, 1.29)	0.83 (0.61, 1.12)
Current HCQ users	435	1401	0.86 (0.74, 0.99)	0.87 (0.74, 1.03)
HCQ non-users	3178	9340	0.95 (0.85, 1.05)	1.00 (0.88, 1.13)
All Venous Thromboembolism				
Remote HCQ Users	321	785	1.0 (reference)	1.0 (reference)
Recent HCQ users	60	155	0.94 (0.68, 1.31)	0.65 (0.44, 0.98)
Current HCQ users	266	820	0.78 (0.64, 0.95)	0.74 (0.59, 0.94)
HCQ non-users	1475	4475	0.80 (0.69, 0.93)	0.90 (0.75, 1.08)

5 *Additionally adjusted for Charlson comorbidity index, prior CV disease, chronic kidney disease, glucocorticoid
 6 use, DMARD use, cardiovascular medication use, anticoagulant use, and healthcare utilization including number of
 7 hospitalizations and number of outpatient visits all assessed one year prior to the index date.
 8 CV, cardiovascular; HCQ, hydroxychloroquine; TIA, transient ischemic attack
 9 Exposure classifications: current use- last prescription date covered <90 days before index date; recent use- last
 10 prescription date covered 90-365 days before index date; remote use- >365 days before index date

1 **Figure 1. Forest Plots of Adjusted Odds Ratios for Cardiovascular Events Associated with**
2 **Current Hydroxychloroquine Use Versus Remote Use Among Patients with Systemic**
3 **Lupus Erythematosus and Rheumatoid Arthritis**

4

5 Abbreviations: CV, cardiovascular; RA, rheumatoid arthritis; SLE, systemic lupus erythematosus; TIA, transient
6 ischemic attack