

1 **Persistence of Non-Vaccine Oncogenic HPV Serotypes in Quadrivalent HPV-**  
2 **Vaccinated Women Living with HIV**

3  
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35 **Abstract**

36

37 **Background:** HPV vaccines have promising safety and immunogenicity data in women  
38 living with HIV (WLWH). However, it is critical to understand the residual burden of  
39 oncogenic HPV within WLWH in order to inform post-vaccination cervical screening  
40 needs. We assessed rates of persistent infection with non-quadrivalent HPV (qHPV)  
41 oncogenic types in a cohort of qHPV-vaccinated WLWH.

42 **Setting:** Multi-centre, longitudinal cohort across Canada.

43 **Methods:** WLWH were scheduled to receive three doses of qHPV vaccine. Participants  
44 provided health data and HPV DNA samples. Persistent cases of HPV were defined as  
45 new HPV in samples from  $\geq 2$  consecutive visits or as HPV present in the last sample.  
46 HPV31/33/35/39/45/51/52/56/58/59/68/82 were considered to have oncogenic potential.  
47 Median follow-up time was 4 years post initial vaccine dose.

48 **Results:** 284 participants were eligible for this analysis with 1205 person-years (PY) of  
49 follow-up ( $\geq 1$  dose of vaccine,  $\geq 1$  HPV DNA result post-vaccination). The highest  
50 incidence of persistent infection was with HPV51 (1.38/100PY), followed by HPV52  
51 (1.18/100PY), and HPV39 (1.06/100PY). The incidence of persistent infection with  
52 pooled HPV types added in the nonavalent vaccine (HPV31/33/45/52/58) was lower than  
53 the incidence of persistent oncogenic HPV types not contained within available vaccines  
54 (HPV35/39/51/56/59/68) (2.4/100PY versus 3.6/100PY, respectively).

55 **Conclusions:** qHPV-vaccinated WLWH continue to face a burden of persistent  
56 oncogenic HPV infection. While the nonavalent vaccine could alleviate some of this  
57 burden, two of the top three persistent oncogenic HPVs in this cohort are not contained

58 within any available vaccine. This highlights the need for ongoing cervical screening in  
59 HPV-vaccinated WLWH.

60 **Keywords:** HPV vaccine, HIV, HPV, cervical cancer, women

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81 **Background**

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83 Human papillomavirus (HPV) disproportionately affects women living with HIV  
84 (WLWH) resulting in a much larger burden of HPV-associated disease, such as cervical  
85 cancer, than that seen in the general population. The prevalence of HPV infection among  
86 WLWH is approximately 50%, which is twice the prevalence in women without HIV.<sup>1</sup>  
87 The rate of persistent HPV infection among WLWH is approximately 20-24%, making  
88 WLWH 3-6 fold more likely to have a persistent HPV infection than women without  
89 HIV.<sup>2,3</sup> The disparity between rates of cervical cancer is equally wide; within a North  
90 American population of WLWH, the incidence rate of invasive cervical cancer (i.e.  
91 cervical cancer that has invaded into deeper layers of the cervix beyond the surface) was  
92 16 per 100 000 person-years, compared to only 5 per 100 000 person-years in women  
93 without HIV.<sup>4</sup>

94 In addition to higher rates of HPV-related infection and disease, WLWH also experience  
95 infection with a wider range of HPV types,<sup>5</sup> which has important implications for vaccine  
96 and cervical screening programming. HPV16 is well known to be the most carcinogenic  
97 of HPV types. However, it is less affected by increased immunodeficiency than other  
98 oncogenic HPVs and is also seen in a reduced proportion among WLWH.<sup>6</sup>

99 Although HPV vaccines are now available and have promising safety and  
100 immunogenicity findings in WLWH to date,<sup>7-10</sup> it is critical to identify the residual  
101 burden of oncogenic HPV within WLWH in order to inform post-vaccination cervical  
102 screening needs for this population. In this study, we assessed rates of new persistent

103 infection with oncogenic HPV types not contained in the quadrivalent HPV (qHPV)  
104 vaccine in our cohort of qHPV-vaccinated WLWH.

105

106 **Methods**

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108 As part of a longitudinal study of HPV vaccine immunogenicity and efficacy, girls and  
109 WLWH aged nine and greater were recruited from 14 clinics serving WLWH across  
110 Canada between 2008-2012, with long term follow-up to six years. All participants or  
111 guardians, as appropriate, provided informed consent to enroll in the study. The study  
112 population and methods of enrolment have previously been described.<sup>7</sup> Participants were  
113 scheduled to receive three doses of qHPV vaccine intramuscularly at month 0/2/6.  
114 Serology for anti-qHPV antibodies was performed by competitive Luminex immunoassay  
115 (cLIA) at Merck Research Laboratories. Pelvic examination was performed on  
116 participants who were post-menarchal and sexually active at the discretion of the care  
117 provider and in accordance with time- and geographic-specific clinical recommendations.  
118 For participants undergoing pelvic examination, cervical cytology and cervico-vaginal  
119 HPV DNA samples were collected by a health care provider at the screening visit and at  
120 months 0/6/12/18/24/36/48/60/72/84/96. The ThinPrep® Pap Test was utilized for  
121 collection of cervical cytology samples using a cytobrush and results were classified by  
122 Bethesda Criteria centrally at the British Columbia Cancer Agency Cervical Cancer  
123 Screening Laboratory. Aliquots of the PreservCyt® from Pap tests were processed and  
124 typed for 36 HPV genotypes by Linear array assay (Roche Molecular Systems).<sup>11</sup>

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126 For this analysis, the primary outcome was rate of persistent HPV infection with  
127 oncogenic, non-quadrivalent vaccine HPV types (i.e., oncogenic types not including  
128 HPV16/18) within our cohort of qHPV-vaccinated WLWH. Persistent HPV infection was  
129 defined as the detection of the same incident HPV type in samples collected at two or  
130 more consecutive study visits (>6 months apart) or detection of an HPV type at the last  
131 available visit. Although this definition of persistent infection is an accepted definition  
132 utilized within the HPV vaccine literature,<sup>12,13</sup> it is known to overestimate the true  
133 number of persistent infections by including cases where HPV is present only in the last  
134 sample; however, it is accepted as it errs on the side of caution since some of these  
135 infections will persist. Due to this, a sensitivity analysis was also conducted where only  
136 the confirmed persistent cases (i.e., detection of the same HPV type at two or more  
137 consecutive study visits) were considered. The final sub-analysis presented herein  
138 determines the incidence of persistent infection with HPV types contained only in the  
139 nonavalent vaccine (HPV31/33/45/52/58) as compared to the incidence of persistent  
140 infection with oncogenic HPV types not contained within available vaccines  
141 (HPV35/39/51/56/59/68). To be eligible for this analysis, participants had to have  
142 received at least one dose of vaccine and had to have at least one HPV DNA result post-  
143 vaccination. For ascertainment of HPV cases, participants were required to be DNA  
144 negative to the relevant HPV type at the screening and baseline visits. The HPV types  
145 considered in this analysis were HPV31/33/35/39/45/51/52/56/58/59/68; these HPV types  
146 were selected for consideration due to their oncogenic potential.<sup>14</sup>

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## 148 **Results**

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150 284 participants were eligible for analysis with 1205 person-years of follow-up and a  
151 median follow-up time of four years per person. Eligible population characteristics at  
152 baseline are described in Table 1. The median age was 38 years (IQR: 32-44).

153 Participants were predominantly of Black (41%) and White (36%) ethnicity. The median  
154 CD4 count at first vaccination was 499 cells/mm<sup>3</sup> (IQR: 375-680) and 71% of  
155 participants had HIV plasma viral loads <50 copies/ml. 267 participants (94%) received  
156 all three doses of vaccine. The vaccine was safe and highly immunogenic within this  
157 population, as previously described.<sup>7</sup>

158 The incidence rates of persistent HPV types are shown in Figure 1. The most frequently  
159 documented persistent infections were infections with HPV51 (incidence rate [IR]: 1.4  
160 per 100 person-years [/100PY], 95% confidence interval [CI]: 0.8-2.3). The second and  
161 third most common types contributing to persistent infection were HPV52 (IR: 1.2  
162 /100PY, 95% CI: 0.6-2.1) and HPV39 (IR: 1.1 /100PY, 95% CI: 0.6-1.9), respectively.

163 These types were followed by HPV45 (IR: 0.9 /100PY, 95% CI: 0.4-1.7) and HPV35 (IR:  
164 0.7 /100PY, 95% CI: 0.3-1.4) being fourth and fifth most common, respectively. Overall,  
165 40% of persistent infections were cases in which the HPV type was detected in at least  
166 two consecutive samples while HPV was detected in the last sample in 60% of cases.

167 This 40%/60% split between confirmed persistent and last sample cases was also  
168 consistent within HPV types.

169 In a sensitivity analysis that limited to only the confirmed persistent cases (not including  
170 cases of HPV detection in the last sample), the most frequently documented HPV type

171 remained as HPV51 (IR: 0.6 /100PY, 95% CI: 0.2-1.2), followed by HPV52 (IR: 0.5  
172 /100PY, 95% CI: 0.2-1.1) and HPV39 (IR: 0.4 /100PY, 95% CI: 0.1-1.0), respectively.  
173 In a sub-analysis pooling HPV types into categories of nonavalent (HPV31/33/45/52/58)  
174 or oncogenic HPV types not contained within available vaccines  
175 (HPV35/39/51/56/59/68), the composite endpoints yielded an incidence rate of 2.4  
176 /100PY (95% CI: 1.6-3.5) for persistent infection with nonavalent HPV types and an  
177 incidence rate of 3.6 /100PY (95% CI: 2.6-4.9) for persistent infection with HPV types  
178 not contained within vaccines.

179

## 180 **Discussion**

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182 Of the top five persistent HPV types observed in this cohort, only HPV52 and 45 are  
183 contained within the nonavalent vaccine. Additionally, the persistent infection with the  
184 HPV types added in the nonavalent vaccine that are not present in the quadrivalent  
185 vaccine had an incidence rate of 2.4 /100PY while persistent infection with HPV types  
186 not contained within any available vaccine resulted in a higher incidence rate of 3.6  
187 /100PY. This implies that the nonavalent vaccine could further assist in the protection of  
188 WLWH, but gaps in protection for this population would remain. Although the HPV  
189 types that are not contained within any currently available vaccine contribute less to  
190 disease in the general population, they are carcinogenic and the effect of HIV infection on  
191 the pathogenicity of these specific HPV types has not been completely elucidated.

192 Description of HPV types associated with CIN3+ in women without HIV and WLWH

193 has shown that the contribution of HPV51 and 39 towards dysplasia in WLWH is greater



194 than in women without HIV.<sup>15</sup> Meta analysis has also shown that WLWH who have  
195 HSIL are less likely to be infected with HPV16 than the general population and more  
196 likely to be infected with HPV51, among other types, or to have multiple HPV type  
197 infection.<sup>6</sup> HIV is known to disrupt epithelial tight junctions, which may facilitate HPV  
198 entry to the basal epithelial layer.<sup>16</sup> It is also known that the HIV tat protein enhances  
199 HPV transcription.<sup>17</sup> This could be a mechanism explaining the potential oncogenic  
200 effects of HPV serotypes that could differentially affect WLWH. As the HPV types not  
201 contained within available vaccines may cause disease in this way, it is important to note  
202 that the infectivity and carcinogenic potential of these HPV types is enhanced in WLWH.  
203 Further study is needed to more clearly describe the contribution of these HPV types to  
204 cervical dysplasia among WLWH.

205 The high rate of persistent infection with HPV51 validates previous data indicating that  
206 there is a high burden of HPV51 in WLWH and that this type would be very important in  
207 WLWH post-vaccination.<sup>18</sup> We observed less persistent HPV31 and HPV33 than  
208 reported in some previous studies of North American WLWH.<sup>6,19</sup> However, we did see  
209 relatively high rates of persistent HPV52 and HPV58, which is consistent with prior  
210 literature in WLWH.<sup>6</sup> We might hypothesize the differences could be a result of some  
211 cross-protection against HPV31, which is closely related to HPV16 within the alpha-9  
212 phylogenetic group, and HPV33, which is also an alpha-9 HPV type. Evidence of cross-  
213 protection against HPV31 and HPV33 by the quadrivalent HPV vaccine has previously  
214 been documented.<sup>20</sup>

215 The main analysis was conservative in nature and provides an overestimate of the  
216 incidence of persistent infection as not all cases of HPV detected at the last visit will go

217 on to truly persist. The sensitivity analysis provided the opposite scenario of an  
218 underestimate of incidence of persistent infection as it only included cases where the  
219 HPV type was documented at two consecutive visits. Taken together, these analyses were  
220 consistent in demonstrating that HPV51, 52, and 39 contribute the largest burden of  
221 persistent infection among this vaccinated population, and they demonstrate the upper  
222 and lower limits within which the true value of incident persistent infections lies.

223 Limitations to this analysis include the fact that our findings may not be generalizable to  
224 other global settings due to multiple factors including availability and engagement in  
225 HPV vaccination programs, cervical screening programs, and HIV care including  
226 antiretroviral use, as well as the geographic distribution of different HPV types.

227 Additionally, these findings pertain to women vaccinated with the quadrivalent HPV  
228 vaccine, which is now largely replaced by the more recently available nonavalent  
229 vaccine. However, our findings do break down the persistent HPV types based on  
230 availability in current vaccines, including the nonavalent vaccine, which addresses this  
231 shift in vaccine valency. Nonetheless, findings of the residual burden of oncogenic HPV  
232 types 35/39/51/56/59/68 in WLWH vaccinated with the nonavalent vaccine may differ.

233 To our knowledge, only one other paper has described HPV infection with non-vaccine  
234 HPV types post-vaccination within a population of WLWH, but women were only  
235 followed for one year post-vaccination.<sup>21</sup> Similar to our findings, they reported a higher  
236 frequency of the non-vaccine HPV types 51 and 52 detected at 28 and 52 weeks. In  
237 contrast to our findings, they detected a relatively high frequency of HPV31 at the 52-  
238 week time point and HPV68 at both time points, but not a higher frequency of HPV39.<sup>21</sup>

239 Given the broad range of oncogenic HPV types seen in vaccinated WLWH, cervical

240 screening will remain important in this population. Additional data is needed to inform  
241 programs using HPV DNA testing as a screening modality for WLWH due to the likely  
242 altered carcinogenicity of certain HPV types in WLWH.

243

## 244 **Conclusions**

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246 Our findings add critical data to the literature regarding persistent HPV infection with  
247 extended follow up post-vaccination in WLWH. WLWH who have been vaccinated with  
248 the quadrivalent HPV vaccine remain vulnerable to a clinically significant burden of  
249 persistent HPV infections. The frequency with which these strains lead to cervical  
250 dysplasia and cancer requires ongoing study. Although the nonavalent vaccine has the  
251 potential to eliminate a portion of that burden, many of the persistent HPV infections that  
252 WLWH face are due to HPV types not contained within any currently available vaccine.  
253 Our findings support the continued regular cervical screening of WLWH regardless of  
254 their HPV vaccine history and validate the need for a multipronged approach to  
255 elimination of cervical cancer.

256

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291

## 292 **Disclosure of Interests**

293

294 Ms. McClymont has no conflicts.

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332

### 333 **Details of Ethics Approval**

334

335 Ethical approval for central study coordination was obtained from the University of  
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337 clinical sites received research ethics approval locally.

338

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### 419 **Figure Legends**

420 Figure 1: Non-Vaccine Oncogenic HPV Persistence

421 Light grey: additional HPV types in the nonavalent vaccine; dark grey: oncogenic HPV

422 types not contained within available vaccines

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