

Estimating the Effects of Understory Removal From a Douglas Fir Forest Using a Two-Layer Canopy Evapotranspiration Model

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W. J. Shuttleworth's (1979) development of the Penman-Monteith evaporation equation for multilayer, partially wet forest canopies was modified for application to the hypostomatous canopies of Douglas fir and salal. This theory was combined with standard hourly micrometeorological measurements, eddy diffusive, boundary layer and stomatal resistance functions, and canopy and root zone water balance equations to calculate evapotranspiration rates (E) from a Douglas fir forest with salal understory over extended periods during two growing seasons. Calculated values of E agreed to within 0.2 mm d^{-1} of values determined using Bowen ratio-energy balance measurements. The courses of average root zone volumetric water content (θ) calculated for two extended periods agreed well with neutron probe measurements. Salal understory removal resulted in measured values of θ being only $0.01\text{--}0.03 \text{ m}^3 \text{ m}^{-3}$ higher over the two periods, in close agreement with calculations. This corresponded to calculated tree transpiration rates being 0.4 mm d^{-1} higher on average, during the second half of both periods. These higher rates were confirmed by stomatal resistance measurements.

INTRODUCTION

The Penman-Monteith equation [Monteith, 1965] has provided useful insight into the physical and physiological factors affecting forest evapotranspiration [Stewart and Thom, 1973; Tan and Black, 1976]. A further development of the equation for multilayer, partially wet forest canopies has provided a practical one-dimensional model [Shuttleworth, 1978, 1979], despite the simplifying assumptions regarding within canopy turbulent transfer [Jarvis et al., 1976; Raupach and Thom, 1981; Finnegan, 1985]. With standard hourly micrometeorological measurements and stomatal resistance characteristics, the model can be combined with a root zone water balance model [e.g., Spittlehouse and Black, 1982] to provide estimates of forest evapotranspiration over extended periods. This paper (1) describes the evapotranspiration model as modified for use in hypostomatous canopies, (2) tests the model using energy and water balance measurements, and (3) uses the model to explain the effects of salal (*Gaultheria shallon* Pursh.) understory removal on tree transpiration rates in a Douglas fir (*Pseudotsuga menziesii* (Mirb.) Franco) forest.

THEORY

Using Shuttleworth's [1979] evapotranspiration theory, assuming the similarity of sensible heat and water vapor aerodynamic transfer resistances and neglecting canopy energy storage, it can be shown that the water vapour flux density from layer i (E'_i) with leaf area (one side) index (a_i) within a multilayer forest canopy of hypostomatous leaves can be expressed as (see the appendix)

$$E'_i = \frac{s(R_{ni} - G) + \rho c_p(D_i - \delta_i)/r_{Ai}}{L[s + \gamma(1 + r_{ci}/r_{Ai})]} \quad (1)$$

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where E'_i is the difference between the water vapor flux density above and below the layer (i.e., $E_i - E_{i-1}$); R_{ni} and D_i are the net radiation flux density and vapor pressure deficit above layer i , respectively; G is the soil heat flux density; L is the latent heat of vaporization; ρ is the density of air; c_p is specific heat of air; s is the slope of the saturation vapour pressure curve; γ is the psychrometric constant, and

$$\delta_i = [s(R_{ni-1} - G)(r_{bi}/(2a_i)) + (s + \gamma)LE_{i-1}r_{ai}]/(\rho c_p) \quad (2)$$

The total aerodynamic resistance (r_{Ai}) [Thom, 1972] is given by

$$r_{Ai} = r_{ai} + r_{bi}/(2a_i) \quad (3)$$

and the canopy resistance of layer i (r_{ci}) is expressed as

$$r_{ci} = \left[\frac{W_i}{(1 + s/\gamma)r_{bi}/(2a_i)} + \frac{1 - W_i}{r_{si}/a_i + (2 + s/\gamma)r_{bi}/(2a_i)} \right]^{-1} - (1 + s/\gamma)r_{bi}/(2a_i) \quad (4)$$

where r_{ai} is the eddy diffusive resistance above layer i , r_{bi} and r_{si} are the boundary layer and stomatal resistances of one side of the leaves in layer i , respectively, and W_i is the fraction of leaf area in layer i that is completely wet. Stomatal resistances of hypostomatous leaves of Douglas fir and salal on a one-sided basis have been related to light, leaf and soil water potential, and vapor pressure deficit [Tan et al., 1977, 1978].

Equation (1) is recognized as the Penman-Monteith equation with an additional term which accounts for the net radiation, latent and sensible heat flux densities below layer i . Black et al. [1970] used (1) as an evapotranspiration model for a dry snap bean canopy where $r_{bi} \ll r_{si}$ was assumed so that δ_i was equal to the soil evaporation rate multiplied by $r_{ai}(s + \gamma)/(\rho c_p)$. If r_{ai} is assumed to be zero, then (1) reduces to the Penman-Monteith equation applied to the layer (i.e., using the vapor pressure deficit and the available energy flux density ($R_{ni} - R_{ni-1}$) within the layer). Equation (4) gives the canopy

resistance of a partially wet layer assuming that the individual leaves are either completely wet or completely dry so that the wet and dry leaves have different temperatures. Equation (4) differs slightly from Shuttleworth's [1978] (32) because his derivation was for amphistomatous leaves. When $W_i = 0$, $r_{ci} = r_{si}/a_i + r_{bi}/(2a_i)$ compared to $r_{ci} = r_{si}/(2a_i)$ for an amphistomatous leaf canopy as in (24) of Shuttleworth. As expected, when $W_i = 1$, $r_{ci} = 0$ in both hypostomatous and amphistomatous cases. Making use of Shuttleworth's theory it can be shown that the rate of evaporation of intercepted water from layer i (E_{ii}') is given by (see the appendix)

$$E_{ii}' = \frac{E_i' W_i [(2 + s/\gamma) r_{bi} + 2r_{si}]}{(1 + s/\gamma) r_{bi} + W_i (r_{bi} + 2r_{si})} \quad (5)$$

The vapor flux density above layer i (E_i) is given by summing (1) from 0 to i , so that for a canopy of n layers the evapotranspiration rate is

$$E = \sum_{i=0}^n E_i' \quad (6)$$

where $E_0 = E_0'$ is the evaporation rate from the forest floor with $\delta_0 = 0$ and r_{c0} being the forest floor diffusive resistance [Denmead, 1984; Shuttleworth and Wallace, 1985]. In this study the forest canopy was divided into two layers ($n = 2$), where the Douglas fir subcanopy was designated as layer 2 and the salal subcanopy as layer 1.

METHODS

Site Description

The site was a 31-year-old Douglas fir stand with about 800 trees ha^{-1} , approximately 27 km northwest of Courtenay on the eastern coast of Vancouver Island ($49^\circ 50'N$, $125^\circ 14'W$, 150 m above sea level). At the end of the 1982 growing season, stand (excluding understory) basal area was $16 \text{ m}^2 \text{ ha}^{-1}$, and average tree height was 14 m. Average tree and salal understory leaf area indices on a one-sided leaf area basis, were about 6 and 3, respectively, in 1982. The soil, an Orthic Humo Ferric Podzol, is a gravelly sandy loam with a volumetric coarse fragment ($> 2 \text{ mm}$ diameter) content of 10–45%. It is covered with a layer of organic forest floor material 10–20 mm thick and is about 700 mm deep over sandstone bedrock. The site is on a slope of less than 10%, with a northeast aspect.

The model was tested using stand energy balance measurements made in 1982 and soil water content and potential measurements made in 1981 and 1982 in four circular plots about 7 m in diameter, each containing two subplots, one with salal understory present and the other with it cut and removed on May 21, 1981. Each subplot contained one tree which was similar in size to the one in the adjacent subplot. The root zones of all eight trees were isolated by a vertical plastic barrier consisting of two sheets of 0.15-mm-thick polyethylene extending down to bedrock [Kelliher, 1985; Price et al., 1986].

Micrometeorological Measurements

Routine measurements of solar irradiance above the stand, air temperature, and relative humidity in a Stevenson screen at the 6 m height, wind speed at the 15 m height, and precipitation above the stand were made throughout the 1981 and 1982 growing seasons. Data were recorded as one hour averages or totals using a data logger (model CR21, Campbell Scientific Inc., Logan, Utah).

In 1981, R_n above the forest (R_{na}) was measured using a

model S-1 radiometer (Swissteco Pty. Ltd., Victoria, Australia), while R_n below the tree canopy (R_{nb}) was measured in one plot using one net radiometer above the salal canopy and another above the forest floor surface, where salal had been removed. In 1982, R_{na} was estimated, prior to August, from solar irradiance measurements following Gates [1980]. During August 1982, R_{na} was measured as in 1981, but R_{nb} was measured using an S-1 net radiometer mounted on a tram traveling at the 1-m height along a 10-m path where salal along one half of the path had been removed [Kelliher, 1985]. The tram traveled at 0.5 m min^{-1} and automatically reversed when it reached each end. The net radiometer output voltage was measured every 10 s. These results showed that R_{nb} was approximately $0.16 R_{na}$ and $0.14 R_{na}$ for uncut and cut subplots, respectively. In 1982, these relationships were used to estimate R_{nb} prior to August. In both years, R_{n0} below the salal canopy was estimated using $R_{n0} = ((s + \gamma)/s) LE_0 + G$ where LE_0 was 2 W m^{-2} (measured using small lysimeters described later). The soil heat flux density at the 50-mm depth in each subplot of one plot was measured during 1981 and in August 1982 using three soil heat flux plates (100 mm long \times 25 mm wide \times 3 mm thick), made following the design of Fuchs and Tanner [1968], connected in series and corrected for the rate of change of heat storage in the upper 50 mm of soil. On the basis of these measurements, G was estimated as $0.02 R_{na}$ and $0.03 R_{na}$ for uncut and cut subplots, respectively, in 1982.

In both years, air temperature (T_{air}) and vapor pressure deficit (D) were estimated below the tree canopy using hourly average values at the 6-m height with the relationships T_{air} ($^\circ\text{C}$) (0.5 m height) = $0.93 T_{air}$ ($^\circ\text{C}$) (6 m height) + 1.2 and D (kPa) (0.5 m height) = $0.89 D$ (kPa) (6 m height) – 0.03 (based on 33 hourly average measurements of T_{air} and D at both heights on July 24 and 25, 1981). Hourly Assmann psychrometer measurements confirmed the validity of these relationships on several days in August 1981 and June 1982.

Diffusive Resistance Functions

Stomatal resistances (r_s) of Douglas fir and salal were estimated using average root zone soil water potential (Ψ_r) and D for the layer following interpolation of the functions in Tan et al. [1978] [Kelliher, 1985]. When R_{na} was negative (i.e., 1900–0700 hours PST), r_s of both species was set to 10^5 s m^{-1} . Boundary layer resistances (r_b) were estimated using a function for artificial leaves in the work by Spittlehouse and Black [1982] and a shelter factor of two [Landsberg and Powell, 1973; Jarvis et al., 1976]. The function $r_b (\text{s m}^{-1}) = 2 \times 184 (d_l/u)^{0.5}$ was used, where d_l is leaf diameter (m) (0.001 m for Douglas fir and 0.06 m for salal) and u is the wind speed (m s^{-1}) near the leaf ($0.5 u_{15m}$ for Douglas fir and $0.13 u_{15m}$ for salal, where u_{15m} is the wind speed at the 15-m height). In 1982 (prior to August), u_{15m} was estimated to be 3 m s^{-1} for 1100–2000 hours PST and 1.5 m s^{-1} for the rest of the day. Dividing these values by $2a_i$ gave mean boundary layer resistances similar to those estimated following the relationships given by Garratt and Hicks [1973]. The eddy diffusive resistance above the Douglas fir layer, required when the air temperature and relative humidity measured at the 15-m height in August 1982 were used, was estimated assuming a logarithmic wind profile [Jarvis et al., 1976] with a zero-plane displacement height of 8.5 m [Szeicz et al., 1969] and a roughness length of 1.5 m [Stanhill, 1969]. The corresponding resistance above the salal layer was roughly estimated assuming an ex-

ponential eddy diffusivity profile from the top of the trees to the salal layer [Thom, 1975; Shuttleworth and Wallace, 1985] with an attenuation coefficient of 2. This value was based on the ratio of the below to above tree canopy windspeeds being about 0.13. Daytime eddy diffusive resistances above the salal layer were calculated to be about 40 s m^{-1} compared to approximately 20 s m^{-1} for the 600 trees ha^{-1} Thetford [Shuttleworth, 1979; Roberts et al., 1980] and the 400 trees ha^{-1} Jadrass [Lindroth, 1984] forests. For the cut subplots, values of r_{at} estimated for the salal layer were used.

Forest floor diffusive resistances (r_{co}) for 10 days in July and August 1981 were determined by choosing a value which resulted in agreement between daily total values of measured and calculated E_0 on each day. Measurements of E_0 were made in the cut subplot of plot 2 using plastic-walled lysimeters 150 mm in diameter and 120 mm deep, which were weighed every 1–2 hours. The undisturbed soil cores in the lysimeters were replaced every 1–2 days. The values of r_{co} were related to average root zone water content (see Results and Discussion) for calculations of forest floor diffusive resistances on other days.

For each Douglas fir tree in a subplot, leaf area was estimated from the diameter at the 1.37-m height using a function in the work by Spittlehouse [1981]. Ground area occupied by the tree was estimated using a tree location map and the "polygon of occupancy" [Santantonio et al., 1977]. Tree leaf area divided by the polygon of occupancy was taken as the value of leaf area index (a) for each of these trees (i.e., for the Douglas fir layer). For the salal layer, 1-m^2 sample measurements made in each of the cut subplots on May 21, 1981, were used to estimate a .

The leaf wetness variable for each layer was estimated using the ratio of the layer water storage (C) to the maximum water storage of the layer (S). The value of S for the Douglas fir layer was determined by plotting 24-hour throughfall (above the salal) against the corresponding rainfall using data of Spittlehouse [1981] for 1978. Rutter et al. [1971] showed that the negative intercept of the line of unit slope along the upper limit of the throughfall data gives the value of S . This was found to be 0.6 mm. Since the value of a of the Douglas fir layer in 1978 was 5, the average depth of water on the leaves was 0.12 mm. This was very close to the depth of water after drainage on a foliated Douglas fir branch sprayed in the laboratory [Spittlehouse and Black, 1982]. The value of S for the salal layer was approximated by multiplying salal a by 0.12. The value of C was calculated for each time j using the following water balance equation for each layer:

$$C_j = C_{j-1} + [(1-p)P_j - E_{lj}' - Q_j]\Delta t \quad (7)$$

where Δt is 15 min, and P_j and Q_j are the rates of rainfall and drainage of intercepted water respectively for the interval between $j-1$ and j . The free throughfall coefficient p was calculated by taking the average of the ratio of 24 hour throughfall (above salal) to rainfall for 5 days from Spittlehouse [1981], where $P < S$ [Rutter et al., 1971]. It was found to be 0.6. Drainage was assumed to be zero until C_j exceeded S . At this point drainage was calculated as the amount by which $((1-p)P_j - E_{lj}')\Delta t$ exceeded the remaining water storage capacity of the leaves ($S - C_{j-1}$).

Root Zone Water Balance Equation

The course of average root zone volumetric water content (θ) during two summer periods was calculated using the fol-

lowing water balance equation applied to the stand and its single-layer root zone

$$\theta_k = \theta_{k-1} + (P_k - E_k - F_k)\Delta t/\zeta \quad (8)$$

where P_k , E_k , and F_k are the rates of rainfall, evapotranspiration, and root zone drainage at time k , respectively; Δt is the time interval between k and $k-1$ (1 hour except for when $W_i > 0$ and then Δt is 15 min); and ζ is root zone depth. Evapotranspiration rates were calculated using (1) through (7) with the canopy divided into two layers (tree and understory). Drainage from the root zone was calculated as a function of θ ($F(\text{mm d}^{-1}) = 100 (\theta/0.3)^{1.5}$) [Spittlehouse and Black, 1981a]. During most of the summer, drainage was a small term in the root zone water balance equation so that θ was largely determined by rainfall and evapotranspiration.

Testing the Evapotranspiration and Root Zone Water Balance Equations

During July to September 1981 and May to July 1982, θ was measured at 1- to 2-week intervals using the neutron moderation technique with a calibrated [Kelliher, 1985] probe (model CPN 503, Campbell Pacific Nuclear Corp., Pacheco, Calif.) being lowered into aluminum access tubes. There were 3 or 4 tubes in each subplot. Thermocouple psychrometers and tensiometers were used to measure Ψ_s every 2–7 days. A pair of psychrometers and a tensiometer were installed at 150 mm depth intervals down to bedrock in each subplot of plot 2. Measured values of θ and Ψ_s were compared during the two summer periods with calculated values obtained using (8) and a soil water retention curve determined using neutron probe, tensiometer, and thermocouple psychrometer measurements at the site ($\Psi_s(\text{MPa}) = -0.005(\theta/0.3)^{-6.5}$).

Forest evapotranspiration was measured on 4 days in August 1982 using the Bowen ratio-energy balance technique. Half-hourly measurements of the Bowen ratio (β) were made using a dc-powered rotating psychrometric apparatus described by Spittlehouse and Black [1981b]. The apparatus was located at the top of a 15-m-tall tower adjacent to the four plots, with the vertical separation between the two psychrometers being 3 m. The lower psychrometer was about 1 m above the tops of the trees. Forest evapotranspiration was calculated using

$$E = (R_{na} - G - M)/(L(1 + \beta)) \quad (9)$$

where M is the rate of canopy heat storage estimated following Stewart and Thom [1973]. This measurement of E was considered to include tree and understory transpiration and soil evaporation, since the area where understory had been removed was small and 20 m from the tower in a direction at right angles to the prevailing wind direction. These measurements of E were compared to calculated values obtained using (1)–(8) ($W_i = 0$) applied to a two-layer canopy (trees and understory) plus soil evaporation.

Stomatal resistance measurements were made using a ventilated diffusion porometer described by Tan et al. [1977]. Hourly measurements were made on the two trees and salal in one plot from sunrise until late afternoon on August 12 and 20, 1981, and on the two trees only on June 9, 17, 23, and 30, 1982. These measurements were used to check the applicability of the r_s functions mentioned earlier to the trees and salal in 1981 and 1982 and to assess the accuracy of the rates of tree and understory transpiration calculated using (1).

TABLE 1. Daily (24-hour) Net Radiation Flux Density Above the Forest (R_{na}) and Daily Measured and Calculated Values of Evapotranspiration Rate (E) Following Initialization of Calculations on August 20, 1982, When Measured θ was $0.16 \text{ m}^3 \text{ m}^{-3}$ ($\Psi_s = -0.3 \text{ MPa}$)

	Date (August, 1982)			
	24	25	26	27
R_{na}	11.5	11.6	12.6	1.4
Measured E	1.8	2.2	1.8	0.2
Calculated E	1.9	2.0	2.0	0.3

R_{na} are given in $\text{MJ m}^{-2} \text{ d}^{-1}$ and E in mm d^{-1} . Root mean square errors in measured and calculated E were approximately $0.2\text{--}0.3 \text{ mm d}^{-1}$ for August 24–26 and 0.1 mm d^{-1} for August 27.

RESULTS AND DISCUSSION

Measured and Calculated Daytime Evapotranspiration Rates

There was generally good agreement between daily values of E measured during the 4-day test period using the energy balance–Bowen ratio and values calculated for the stand with understory present (Table 1). Agreement was not as good when comparing the daytime courses of measured and calculated E (Figure 1). However, both measured and calculated E was highest for the 2-hour period prior to noon on August 25, 1982. Calculated Douglas fir r_s increased markedly after 1400 hours ($>6000 \text{ s m}^{-1}$) owing to the high values of D , and calculated salal E was highest for the period 1100–1400 hours ($\approx 0.1 \text{ mm h}^{-1}$). Measurement error accounts for some of the disagreement, since Bowen ratios were high (≥ 2) on August 24–26 and wet and dry bulb gradients small on August 27 [Spittlehouse and Black, 1980].

Forest Floor Evaporation After Salal Removal

For θ less than 0.185, forest floor diffusive resistance was linearly related to θ (Figure 2). On 3 days when $\theta > 0.185$, r_{co}

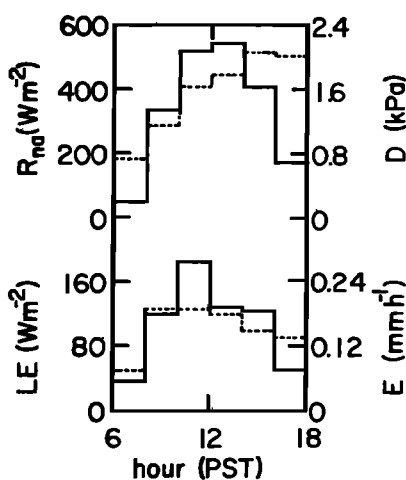


Fig. 1. Courses of net radiation flux density and vapor pressure deficit above the forest (R_{na} (solid lines) and D (dashed lines), respectively) and measured (solid lines) and calculated (dashed lines) forest evapotranspiration rate (E) (with understory) on August 25, 1982, a clear day when average zone soil water potential (Ψ_s) was about -0.7 MPa . Errors in measured E were approximately $0.02\text{--}0.04 \text{ mm h}^{-1}$ [Spittlehouse and Black, 1980]. Root-mean-square errors in calculated E were $0.04\text{--}0.06 \text{ mm h}^{-1}$ as determined by differentiation of (1) applied to two layers and soil. A 10% error was assumed for D , a 20% error for ($R_{na} - G$), and a 30% error for the transfer resistances, LE_0 and R_{a0} .

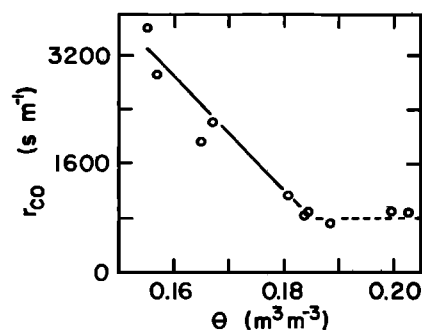


Fig. 2. Relationship between forest floor diffusive resistance (r_{co}) and average root zone soil water content (θ) in the cut subplot of plot 2 for 10 days in July and August 1981. For θ less than 0.185, $r_{co} (\text{s m}^{-1}) = -83000 \theta + 16100$ ($R^2 = 0.96$) as shown by the solid line. For θ greater than 0.185, r_{co} was 800 s m^{-1} , on average, as shown by the dashed line.

was 700 s m^{-1} ($\theta = 0.189$), and 900 s m^{-1} ($\theta = 0.200$ and 0.203). This meant that E_0 was limited by a dry surface layer whose thickness (l_d) can be related to r_{co} using [Denmead, 1984; Novak and Black, 1985]

$$l_d = r_{co} f_d (\epsilon_d - \theta_d) \kappa_v \quad (10)$$

where f_d is a "tortuosity" factor (0.66); ϵ_d and θ_d are the porosity and volumetric water content of the dry layer; and κ_v is the molecular diffusivity for water vapor ($24.9 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ at 25°C). For the forest floor, ϵ_d and θ_d were taken from Plamondon [1972] as 0.88 (i.e., bulk density = 150 kg m^{-3} , organic matter density = 1300 kg m^{-3} [Van Wijk and De Vries, 1963], and 0.20 (i.e., matric potential = -1.5 MPa). Using these values and $r_{co} = 700 \text{ s m}^{-1}$ in (10) results in $l_d = 8 \text{ mm}$. Field observations support this calculation. At about midday on the day following an evening irrigation equivalent to 100 mm of rain, the forest floor surface of a cut area (i.e., salal cut and removed) adjacent to the four plots was observed to be dry. The above value of l_d suggests that the top 8 mm of forest floor consisted of rapidly draining litter (i.e., undecomposed leaves and twigs), while the bottom $2\text{--}12 \text{ mm}$ was humified with some water storage capacity. The interface between the organic layer and mineral soil was moist until r_{co} reached

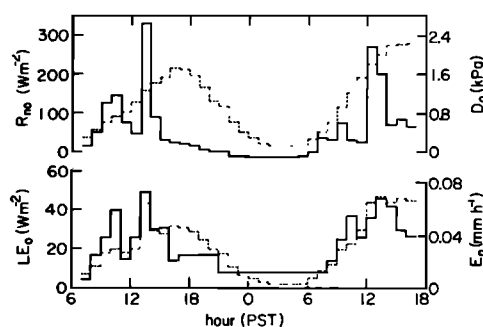


Fig. 3. Courses of net radiation flux density and vapor pressure deficit above the forest floor (R_{a0} (solid lines) and D_0 (dashed lines), respectively) and measured (solid lines) and calculated (dashed lines) forest floor evaporation rate (E_0) in the cut subplot of plot 2 on July 24–25, 1981, two clear days when average root zone soil water potential (Ψ_s) was about -0.05 MPa . Standard deviations for measured E_0 values were typically 0.004 mm h^{-1} at night and 0.015 mm h^{-1} during the daytime. Root-mean-square errors for calculated E_0 values were similar. These errors were determined by differentiation of (1) with $\delta_0 = 0$. A 20% error was assumed for ($R_{a0} - G$) and r_{co} , a 10% error for D_0 , and a 30% error for r_{a0} .

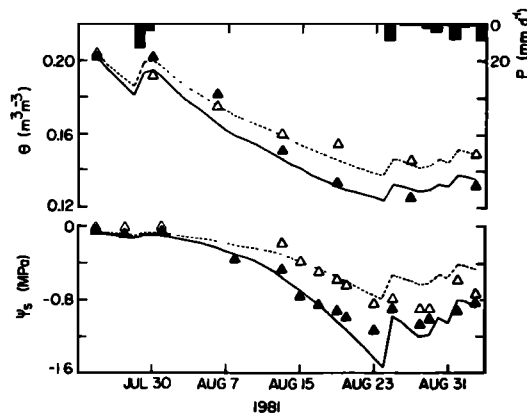


Fig. 4. Courses of measured (symbols) and calculated (lines) average root zone soil water content (θ) and soil water potential (Ψ_s) in the cut (open triangles and dashed curves) and uncut (closed triangles and solid curves) subplots of plot 2 for the period July 24 to September 3, 1981. Standard deviations for measured θ and Ψ_s were $0.01\text{--}0.02\text{ m}^3\text{ m}^{-3}$ and $0.1\text{--}0.2\text{ MPA}$, respectively. Also shown is the daily rainfall rate (P).

$850\text{--}1500\text{ s m}^{-1}$ ($\theta = 0.184\text{--}0.176$). For $\theta = 0.125$ ($\Psi_s = -1.5\text{ MPA}$), l_d was 68 mm so that the top $48\text{--}58\text{ mm}$ of soil was dry.

For July 24–25, 1981, two consecutive clear days when Ψ_s was about -0.05 MPA , setting $r_{co} = 900\text{ s m}^{-1}$ provided agreement between calculated and measured daily values of E_0 (0.6 mm d^{-1}). Hourly values of calculated E_0 generally agreed with lysimeter measurements in the cut subplot of plot 2 (Figure 3). Eddy diffusive resistances varied from $10\text{--}50\text{ s m}^{-1}$ and were highest for the period $0200\text{--}0600$ hours on July 25. The high variability in the measured values of R_{no} and E_0 was due to sun flecks which were generally common to the net radiometer and lysimeters. About 16% of the daily measured E_0 occurred at night ($2200\text{--}0600$ hours Figure 3), while for the calculations the value was 10%.

Measured and Calculated Courses of Average Root Zone Volumetric Water Content

Using equations (1) to (4). Calculations of the courses of θ using the complete evapotranspiration theory and water balance equations were made for the eight subplots for the periods July 24 to September 3, 1981, and May 27 to July 1, 1982. There was good agreement between measured courses of θ in cut and uncut subplots (Figures 4 and 5 and Table 2). In particular, there was excellent agreement in the measured and

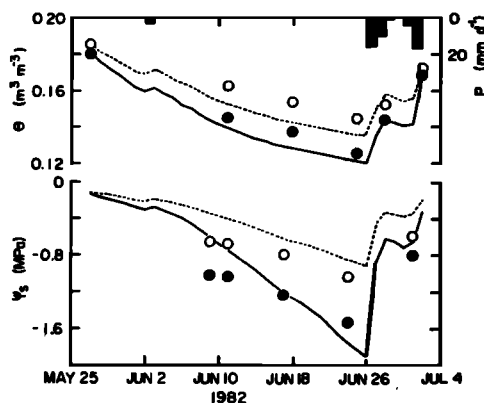


Fig. 5. Same as for Figure 4 except for May 27 to July 1, 1982, (open circles and dashed curves) for cut and (solid circles and solid curves) for uncut subplots.

TABLE 2. Average Values of the Minimum Measured and Calculated Average Root Zone Water Content ($\text{m}^3\text{ m}^{-3}$) in the Cut (C) and Uncut (U) Subplots

Subplot	August 27, 1981		June 25, 1982	
	Measured	Calculated	Measured	Calculated
1U	0.14	0.14	0.14	0.12
1C	0.13	0.14	0.15	0.14
2U	0.13	0.13	0.12	0.12
2C	0.15	0.15	0.14	0.14
3U	0.14	0.13	0.14	0.12
3C	0.17	0.15	0.17	0.14
4U	0.16	0.14	0.16	0.13
4C	0.17	0.16	0.16	0.15
Means				
U	0.14	0.13	0.14	0.12
C	0.16	0.15	0.16	0.14

For plots 2 and 3 (but not plots 1 and 4) the difference between measured values in cut and uncut subplots was statistically significant (95% probability) in both years.

calculated differences in θ between paired subplots. Salal understory removal resulted in slightly higher values of θ and much higher values of Ψ_s . Because of the large value of $\Delta\Psi_s/\Delta\theta$ for this gravelly sandy loam soil at low values of θ , a small decrease in θ corresponded to a large decrease in Ψ_s (Figures 4 and 5).

Effect of assuming $r_{Ai} = 0$ and $r_{ai} = 0$. Considerable simplification of the evapotranspiration theory is achieved when $W_i = 0$ by using the limit $r_{Ai} \rightarrow 0$ in the theory so that $E_i' = \rho c_p D_i / r_{ci}$, where $r_{ci} = r_{si}/a_i$. Working in the same stand as in this study, Tan *et al.* [1978] obtained good agreement between energy balance–Bowen ratio evapotranspiration measurements and values calculated using the above procedure in 1975 following heavy thinning of the stand. Use of this procedure in the cut subplot of plot 2 (salal understory cut and removed) for the rainless period July 30 to August 18,

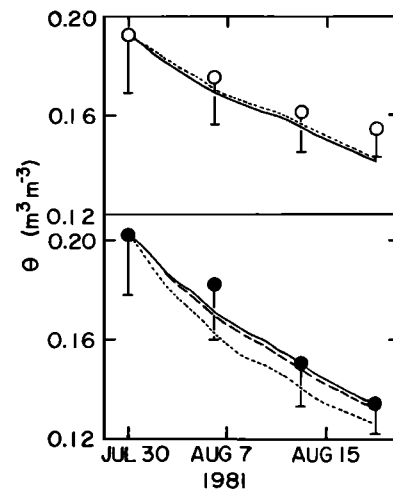


Fig. 6. Courses of measured (symbols) and calculated (curves) average root zone soil water content (θ) in the cut (open circles) and uncut (closed circles) subplots of plot 2 for the rainless period July 30 to August 18, 1981. Values of θ were calculated with eddy diffusive and leaf boundary layer resistances (solid lines), without eddy diffusive resistances (long-dashed lines), and without eddy diffusive and leaf boundary layer resistances (short-dashed lines). For the cut subplot there was no significant difference between calculations with both sets of resistances and without eddy diffusive resistances. Error bars are one standard deviation.

TABLE 3. Average Calculated Values of Total Evapotranspiration (E), Transpiration (E_T), Evaporation of Intercepted Water (E_I), and Forest Floor Evaporation (E_o) in the Cut (C) and Uncut (U) Subplots for Periods July 24 to September 3, 1981, and May 27 to July 1, 1982

Subplot	E	E_T'		E_I'		E_0
		Fir	Salal	Fir	Salal	
			<i>1981</i>			
U	88	39	28	15	3	3
C	81	51		15		15
			<i>1982</i>			
U	63	21	20	17	2	3
C	59	32		17		10

Values are given in millimeters.

1981, resulted in only slightly higher calculated θ values than those obtained when r_{Ai} was not assumed to be negligible (Figure 6). This is to be expected, since r_{Ai} is much smaller than r_{si} for Douglas fir so that r_{si}/a_i is a good approximation of the Douglas fir canopy resistance [Tan et al., 1978]. The reason for the small difference between calculated θ values (with r_{Ai} included) in Figure 6 and those in Figure 4 is due to the difference in the starting dates for calculations in the two figures. For salal, the magnitudes of r_{Ai} and r_{ci} are similar so that neglecting r_{Ai} for salal and Douglas fir layers resulted in much lower calculated θ values in the uncut subplot of plot 2 for the same rainless period (Figure 6).

A reasonable assumption for many stands is that the eddy diffusive resistances (r_{Ai}) within and above the tree canopy are small compared to stomatal and boundary layer resistances [Stewart, 1984]. Writing the theory with $r_{ai} = 0$ is further justified in view of the doubt in the validity of concept of eddy diffusive resistance within the plant canopy [Raupach and Thom, 1981]. This assumption does not limit the theory to dry leaf canopies as was the case with $r_{Ai} = 0$. As expected, the former assumption resulted in θ in the uncut subplots being slightly lower than when r_{ai} and r_{bi} are included (Figure 6).

When r_{Ai} for both layers was included, calculated tree transpiration in the uncut subplot for this 20-day period was 25 mm. The corresponding value was 20 mm when r_{Ai} for both layers were neglected. The difference between these two values resulted from more salal understory transpiration being calculated using the latter procedure. Since salal stomatal resistance characteristics and leaf area index remained relatively constant from 1975–1981, it appears that the reduction in salal transpiration from 1975–1981 resulted from forest canopy closure leading to a reduction in the ratio of below to above tree canopy wind speed and an increase in r_{Ai} for the salal layer. Calculated tree transpiration for the 20-day period with $r_{ai} = 0$ was 24 mm, only slightly less than with the eddy diffusive resistance included.

Partitioning of Evapotranspiration in Cut and Uncut Subplots

Table 3 gives the calculated values of total evapotranspiration, transpiration and interception of Douglas fir and salal, and forest floor evaporation for cut and uncut subplots for the periods shown in Figures 4 and 5. Calculated values of total E for the uncut subplots were slightly larger than those for the cut subplots in both years. Throughout these periods, calculated values of E of the uncut subplots were also slightly higher than in the cut subplots. This was also found using a simple water balance analysis of the θ and P data which used the approximation $E \approx -(\Delta\theta/\Delta t)\zeta + P$. The only exception

was for the period August 6–19, 1981, in plot 2 when the water balance values of E were 2.4 and 1.1 mm d⁻¹ for the uncut and cut subplots, respectively, compared to calculated average values of 1.7 and 1.5 mm d⁻¹ respectively.

Calculated transpiration rates of the trees in the cut subplots were slightly higher than those in the uncut subplots for the first 19 days of the 1981 period and first 11 days of the 1982 period but were considerably higher during the rest of the respective periods (Figure 7). On August 12, 1981, calculated tree transpiration rates were 1.5 and 1.1 mm d⁻¹ in the cut and uncut subplots of plot 2 (leaf area index was 5 for both trees) respectively. The corresponding values on August 20 were 1.1 and 0.5 mm d⁻¹. Using (1) and r_s measurements made at about the midcrown height of the trees in plot 2, tree transpiration rates were estimated to be 1.4 and 1.1 mm d⁻¹ on August 12 and 0.8 and 0.6 on August 20, 1981, in cut and uncut subplots, respectively. There was not as good agreement between calculated and estimated tree transpiration rates in plot 2 for June 9, 17, 23, and 30, 1982; however, the differences between the cut and uncut subplots were in good agreement. During the 1981 and 1982 periods, salal removal resulted in an average increase in calculated tree transpiration rate of 31 and 52%, respectively, in the four plots. Calculations indicated that the increase in tree transpiration rate was greatest in plot 3 where salal leaf area index (3 and 2.4 in 1981 and 1982, respectively) was highest and was least in plot 4 where salal leaf area index was lowest (2.1 and 1.7 in 1981 and 1982).

Calculated values of total salal transpiration plus forest floor evaporation below the salal were about twice those of forest floor evaporation after salal removal in the 1981 and 1982 periods. This largely accounts for the increased tree transpiration following salal removal, since Douglas fir interception in adjacent subplots was identical and salal interception was a small term in the water balance. McNaughton and Jarvis [1983] expressed the evapotranspiration rate in terms of the equilibrium evaporation rate ($E_{eq} = [s/(s + \gamma)](R_n - G)/L$) and the equilibrium vapor pressure deficit ($D_{eq} = [s/(s + \gamma)]\gamma r_c (R_n - G)/\rho c_p$). For the salal, small canopy resistances [Tan et al., 1978] resulted in $D_{eq} < D$ above the salal canopy and the ratio of the 24-hour average salal transpira-

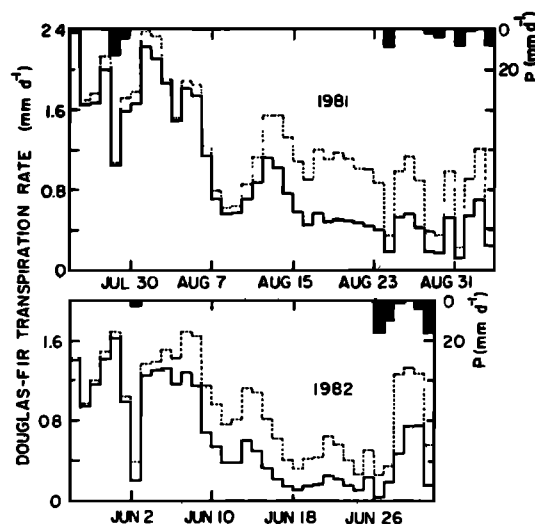


Fig. 7. Courses of calculated tree transpiration rates in the cut (dashed lines) and uncut (solid lines) subplots of plot 2 for the periods July 24 to September 3, 1981, and May 27 to July 1, 1982. Root-mean-square errors were typically 0.2–0.4 mm d⁻¹ when calculated as described in the Figure 1 caption. Also shown is the daily rainfall rate (P).

tion and forest floor evaporation rate to the corresponding value of E_{eq} being 2.0–2.7 during the period July 24 to August 20, 1981. For the forest floor after salal removal, large surface diffusive resistances generally resulted in $D_{eq} > D$ above the forest floor and the ratio of the 24-hour average evaporation rate to E_{eq} being 0.5–1.0 during the same period.

The evapotranspiration theory was developed for extensive homogeneous surfaces so that its application in the cut subplots included the use of below-tree canopy values of D and T_{air} largely determined by the presence of salal in the surrounding forest. Values of below-tree canopy D and T_{air} would be expected to be higher following extensive salal removal; however, it is difficult to estimate the magnitude of the increase. *McNaughton and Jarvis* [1983] show that the D above a conifer forest canopy is likely to be well coupled to the outer mixed portion of the planetary boundary layer. Consequently, they argue that a 50% reduction in forest leaf area index would not result in an increase in above-forest D and therefore would result in a significant reduction in forest evapotranspiration. Since D below the tree canopy in this study was well correlated to that above (in agreement with the results reported by *Stewart* [1984] for Thetford forest), it is likely that only a slight increase in below-tree canopy D would result from extensive salal removal. Further research involving understory removal over an extensive area is required to answer this question.

CONCLUSIONS

Shuttleworth's [1979] evapotranspiration theory with canopy and root zone water balance models proved to be reasonably accurate and practical in calculating the courses of θ , Ψ_s , and tree transpiration during extended periods in the growing season. The difficulty in using the theory is in estimating the transfer resistances (r_{si} , r_{bi} , r_{ai} , and forest floor diffusive resistance), although r_{si} is often available from physiological studies. Simplifying the evapotranspiration theory by neglecting r_{ai} above and within the canopy resulted in very small decreases in the courses of θ and tree transpiration rate. Further simplification for dry canopy conditions ($W_i = 0$) by assuming $r_{ai} = 0$ caused an overestimation of understory transpiration which resulted in an underestimation of the courses of θ , Ψ_s , and tree transpiration in uncut subplots. This simplification resulted in little change in cut subplots, since r_{ai} is much smaller than r_{si} for Douglas fir.

Calculations showed that the slightly higher values of θ as a result of understory removal corresponded to higher tree transpiration rates. During early (June 1982) and late (August 1981) growing season drying periods, most of the difference in tree transpiration occurred during the second half of the period due to the large value of $\Delta\Psi_s/\Delta\theta$ of the soil water retention curve for low values of θ and stomatal closure by Douglas fir where salal remained. Increase in tree transpiration as a result of understory removal was greatest where understory leaf area index was highest.

APPENDIX: DERIVATION OF EQUATIONS

(1), (4), AND (5)

The Penman-Monteith equation for a canopy layer i neglecting energy storage is

$$E'_i = \frac{s(R_{ni} - R_{ni-1}) + \rho c_p D_{i-1} / r_{hai}}{L(s + \gamma r_{vai} / r_{hai} (1 + (r_{ci} / r_{vai})))} \quad (A1)$$

where R_{ni-1} is the net radiation below the layer, and D_{i-1} is the vapor pressure deficit within the layer but outside the leaf

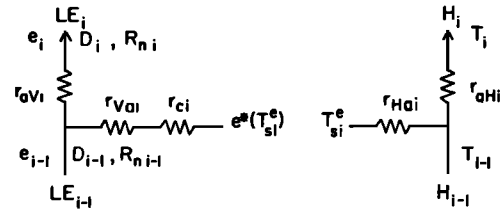


Fig. 8. Electrical analogue for the vertical transfer of latent and sensible heat fluxes above canopy layer i (LE_i and H_i) where T_{si}^e is the "effective" surface temperature of the layer (i.e., wet and dry portions), and other symbols are defined in the text.

boundary layer. This equation gives the water vapor flux density from an equivalent extended isothermal one-sided leaf with boundary layer resistances r_{hai} and r_{vai} to sensible heat and vapor transfer, respectively, and a surface or canopy resistance r_{ci} to vapor transfer. The water vapor flux density on a ground area basis from a canopy layer of hypostomatous leaves with a projected leaf area index (a_i) and a fraction of the leaf area wet (W_i) can be written as

$$E'_i = W_i a_i \left[\frac{s(R_{ni} - R_{ni-1}) / a_i + \rho c_p D_{i-1} / (r_{hai} / 2)}{L(s + \gamma r_{vai} / r_{hai})} \right] + (1 - W_i) a_i \left[\frac{s(R_{ni} - R_{ni-1}) / a_i + \rho c_p D_{i-1} / (r_{hai} / 2)}{L(s + \gamma (r_{vai} + r_{si}) / (r_{hai} / 2))} \right] \quad (A2)$$

The first bracketed term of (A2) is the evaporation rate from the average wet leaf on a projected leaf area basis in layer i , the second bracketed term is the transpiration rate from the average dry hypostomatous leaf on a projected leaf area basis in layer i , r_{si} is the leaf stomatal resistance of the side with stomata, and r_{hai} and r_{vai} are leaf boundary layer resistances on one side. In order that (A2) can be rewritten in the form of (A1) by making r_{ci} a function of W_i , we require $r_{hai} = r_{hi} / (2a_i)$ and

$$\frac{1}{s + \gamma (r_{vai} / r_{hai}) (1 + (r_{ci} / r_{vai}))} = \frac{W_i}{s + \gamma r_{vai} / r_{hai}} + \frac{1 - W_i}{s + \gamma (r_{vai} + r_{si}) / (r_{hai} / 2)} \quad (A3)$$

Solving for r_{ci} we have

$$r_{ci} = \left[\frac{W_i}{(s/\gamma) r_{hai} + r_{vai} / 2a_i} + \frac{1 - W_i}{(s/\gamma) r_{hai} + (r_{vai} + r_{si}) / a_i} \right]^{-1} - (s/\gamma) r_{hai} - r_{vai} \quad (A4)$$

where $r_{vai} = r_{vi} / (2a_i)$ which reduces to (4) when r_{vi} and r_{hi} are assumed to be equal and written as r_{bi} .

Since (A2) can be written in the form of (A1), the canopy layer has an effective leaf temperature (T_{si}^e) [Campbell, 1977]. Applying Ohm's Law to the electrical analogue of the model for canopy layer i shown in Figure 8, the relationships between fluxes and resistances can be written as [Shuttleworth, 1979, p. 321]

$$T_i - T_{i-1} = -H_i r_{hai} / \rho c_p \quad (A5)$$

$$T_{i-1} - T_{si}^e = -(H_i - H_{i-1}) (r_{hai} / 2a_i) / \rho c_p \quad (A6)$$

$$e_i - e^*(T_{si}^e) = -LE_i r_{vai} \gamma / \rho c_p - L(E_i - E_{i-1}) (r_{ci} + (r_{vai} / 2a_i)) \gamma / \rho c_p \quad (A7)$$

where H is the sensible heat flux density; e is the vapor pressure; and $e^*(T_{si}^e)$ is the saturation vapor pressure at the effective leaf temperature. Using the Penman transformation, we have

$$e_i - e^*(T_{si}^e) = D_i + s(T_i - T_{i-1}) + s(T_{i-1} - T_{si}^e) \quad (A8)$$

where, since $T_{si}^e - T_i$ is not large, the same value of s is used for both temperature differences. The energy balance equation for all layers 1 to i (neglecting energy storage) is

$$R_{ni} - G = H_i + LE_i \quad (A9)$$

where LE_i is the latent heat flux density above layer i . Substituting (A5), (A6), and (A7) into (A8), using (A9) and dividing by L , we have

$$E_i = \frac{s(R_{ni} - G) + \rho c_p(D_i + \delta_i')/r_{AHi}}{L(s + \gamma(r_{Avi}/r_{AHi})(1 + (r_{ci}/r_{Avi})))} \quad (A10)$$

where

$$\delta_i' = [LE_{i-1}[(r_{ci} + (r_{vi}/2a_i))\gamma + (r_{hi}/2a_i)s] - s(R_{ni-1} - G)(r_{hi}/2a_i)]/\rho c_p \quad (A11)$$

with $r_{AHi} = r_{hi}/2a_i + r_{ahi}$ and $r_{Avi} = r_{vi}/2a_i + r_{avi}$. Equation (A10) is the same as (9) in the work by Shuttleworth [1979]. Subtracting E_{i-1} from (A10) and assuming similarity (i.e., $r_{hi} = r_{vi} = r_{bi}$ and $r_{ahi} = r_{avi} = r_{ai}$) give (1).

The rate of evaporation of intercepted water from layer i (E_{ii}') is obtained by multiplying the fraction of leaf area (one side) that is completely wet (W_i) by (A1) with $r_{ci} = 0$ which gives

$$E_{ii}' = \frac{W_i[s(R_{ni} - R_{ni-1}) + \rho c_p D_{i-1}/r_{hai}]}{L(s + \gamma(r_{vai}/r_{hai}))} \quad (A12)$$

Dividing (A12) by (A1), assuming similarity (i.e., $r_{hai} = r_{vai} = r_{bi}/2a_i$), making use of (4) and rearranging give (5).

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