

# **A Nonlinear Finite Element Model of the Rat Cervical Spine**

**Validation and Correlation with Histological Measures  
of Spinal Cord Injury**

by

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# Abstract

Researchers and clinicians do not currently use the heterogeneity of the primary mechanism of spinal cord injury (SCI) to tailor treatment strategies because the effects of these distinct patterns of acute mechanical damage on long-term neuropathology have not been fully investigated. Computational modelling of SCI enables the analysis of mechanical forces and deformations within the spinal cord tissue that are not visible experimentally. I created a dynamic, hyperviscoelastic three-dimensional finite element (FE) model of the rat cervical spine and simulated contusion and dislocation SCI mechanisms. I investigated the relationship between maximum principal strain and previously published tissue damage patterns, and compared primary injury patterns between mechanisms.

My model incorporates the spinal cord white and gray matter, dura mater, cerebrospinal fluid, spinal ligaments, intervertebral discs, a rigid indenter and vertebrae, and failure criteria for ligaments and vertebral endplates. High-speed (1 m/s) contusion and dislocation injuries were simulated between vertebral levels C3 and C6 to match previous animal experiments, and average peak maximum principal strains were calculated for several regions at the injury epicentre and at 1 mm intervals from +5 mm rostral to -5 mm caudal to the lesion. I compared average peak principal strains to tissue damage measured previously via axonal permeability to 10 kD fluorescein-dextran (Choo, 2007). Linear regression of tissue damage against peak maximum principal strain for pooled data within white matter regions yields significant ( $p < 0.0001$ ) correlations that are similar for both contusion ( $R^2 = 0.86$ ) and dislocation ( $R^2 = 0.54$ ).

With additional simulations of cord contusion injuries at lower injury velocities of 3 and 300 mm/s, I found that current material properties used to model the cord are not biofidelic within this velocity range. By fitting existing experimental cord material testing data and plotting alongside the material properties used in several related models, I further demonstrated the remaining divide between experimental data and computational models.

My model enhances our understanding of the differences in injury patterns between SCI mechanisms, and provides further evidence for the link between principal strain and tissue damage. Furthermore, my results speak to a continued need to test cord material properties at a range of strains and strain rates to better refine cord hyperviscoelastic properties.

# Preface

Dr. Tae-Eun Chung assisted with the choice of finite element modelling software for the project, and created the initial finite element meshes for the model. I conducted the remaining majority of the model development and refinement, and I designed and conducted all of the simulations and analysis presented in this thesis, under the guidance of my supervisor Dr. Thomas Oxland.

Portions of this thesis detailing model development and the simulation and results for the injury mechanism experiments have been published in an article titled *Maximum Principal Strain Correlates with Spinal Cord Tissue Damage in Contusion and Dislocation Injuries in the Rat Cervical Spine* on May 8<sup>th</sup>, 2012 in the Journal of Neurotrauma [95], and are included here with permission. Dr. Anthony Choo, Dr. Wolfram Tetzlaff, Dr. Tae-Eun Chung, and Dr. Thomas Oxland were co-authors and contributed edits and several paragraphs to the manuscript, of which I wrote the majority. Sections containing content detailed in the article include 1.1, 1.7, 2.1, 2.3.2, 2.4.2, 2.5, 3.2.2, 3.3, 3.4, 3.5, 4.1, 4.3, 5.4.

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# List of Abbreviations

**2D** - two-dimensional

**SCI** - spinal cord injury

**MRI** - magnetic resonance imaging

**FE** - finite element

**NURBS** - non-uniform rational B-splines

**AP** - anterior-posterior

**GUI** - graphical user interface

**IV** - intervertebral

**CSF** - cerebrospinal fluid

**ALL** - anterior longitudinal ligament

**PLL** - posterior longitudinal ligament

**JC** - joint capsule ligament

**LF** - ligamentum flavum

**ISL** - interspinous ligament

**DL** - denticulate ligaments

**DA** - dural attachments

# Acknowledgements

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*To my loving wife, Delphina, and to my family for all of their support along this journey.*

Either you decide to stay in the shallow end of the pool or you go out in the ocean.  
- Christopher Reeve

# Chapter 1

## Introduction

In the introduction I first outline the background and significance of the project, then define the project and list of primary objectives followed by an overview of strain theory and a review of spinal anatomy and relevant literature. I conclude the chapter with a summary of my project goals and how they address limitations of, and expand on, previous work by others.

### 1.1 Background and motivation

Traumatic spinal cord injury (SCI) often results in a debilitating condition, with estimated incidence rates of 1,800 each year in Canada and 12,000 in the US [1, 25]. A variety of treatments for traumatic SCI have been tested in recent decades, but none have proved widely effective for improving neurological outcomes in humans [49]. The heterogeneity of the SCI population is one possible reason for the lack of effective treatments, in that we do not fully understand the effects of important variables such as age, injury level, severity and mechanism [113]. Further research into these variables is necessary to guide substantial breakthroughs in targeted therapy development.

One particular aspect that has received little attention until recently is the possibility of important differences in injury patterns created by the mechanism of primary injury – such as a spinal cord contusion from vertebral burst fracture or a fracture-dislocation, the two most prevalent clinical mechanisms. A second factor that is thought to lead to differences in cord injury pattern is the variation of injury velocity. Differences in cord injury patterns could have implications for differential treatment of patient groups, and can most thoroughly be investigated with a combination of experimental and computational approaches. My thesis continues a line of research at the Orthopaedic and Injury Biomechanics Group (OIBG) at the University of British Columbia that has aimed to investigate the primary response of the spinal cord to mechanical insult through the use of computer and animal models. Specifically, my thesis focuses on the question of how the mechanism and velocity of injury influence the strain distribution during SCI.

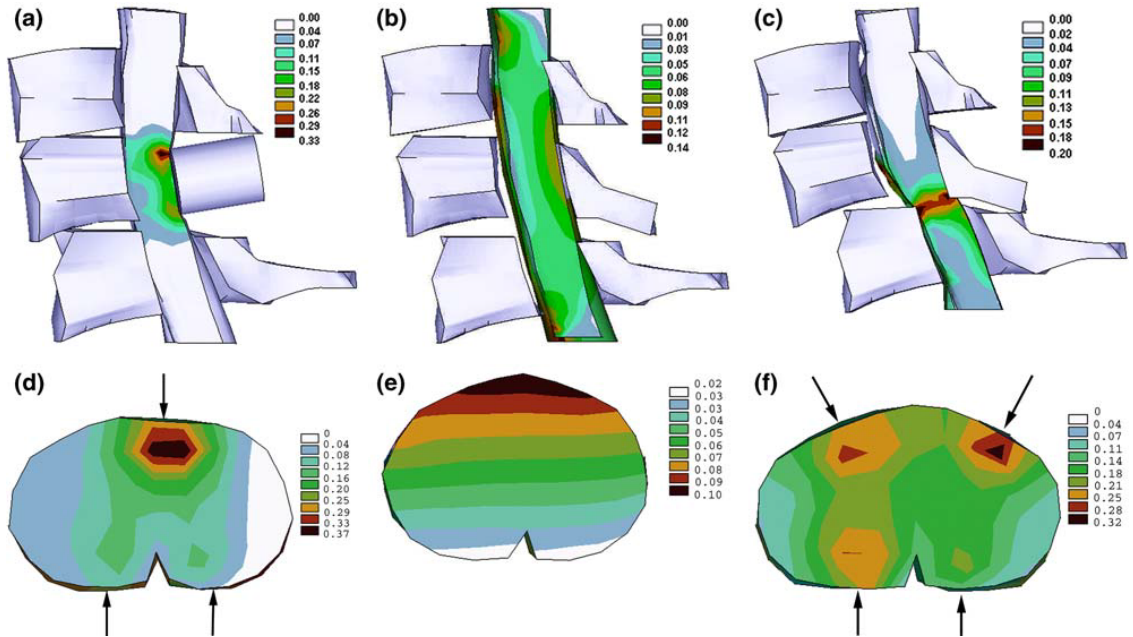
Several previous projects by OIBG alumni were of immediate influence to the motivation and approach taken in my work, and are briefly discussed next as part of the background to my thesis.

#### 1.1.1 Human FE model

Inspiration for the computational aspect of my project came from a finite element (FE) model of the human cervical spine created previously by Greaves et al. [40] using the FE software ANSYS. The model included levels C4-C6 of the human spine and linear elastic material properties for the cord to simulate three distinct injury mechanisms: contusion, dislocation, and distraction 1.1.

Greaves' model showed distinct strain patterns for the three mechanisms, encouraging further research in this area. However, validation of the human model was complicated by the fact that little experimental data is available for the human spine.

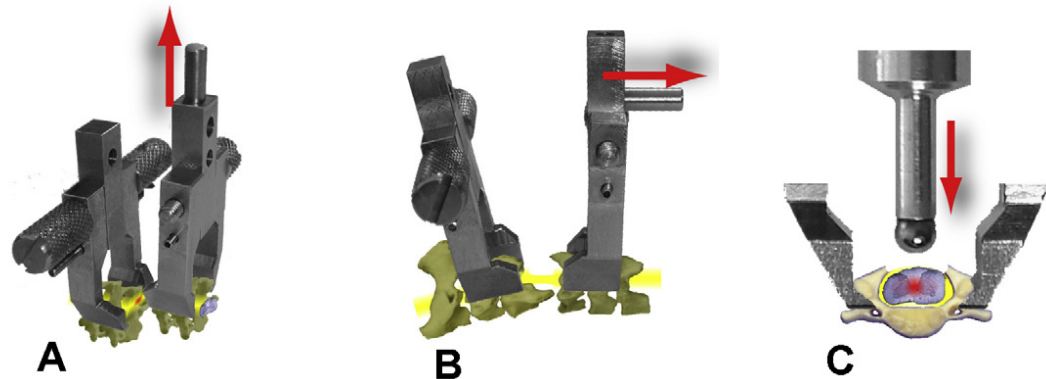
On the other hand, rats are frequently the subject of SCI research experiments due to the relatively low associated cost and the fact that SCI pathology in the rat closely resembles that in the human [71, 113]. Such experimental data can be used to validate an FE model of the rat spine and allow even more biofidelic simulations.



**Figure 1.1:** Mid-sagittal view of von Mises strains for (a) transverse contusion, (b) distraction, and (c) dislocation injuries. Transverse view of von Mises strains for (d) transverse contusion injury, at a level adjacent to the cranial edge of the indenter, (e) distraction injury, at a level adjacent to the middle of the C5 vertebral body, and (f) dislocation injury, at a level adjacent to the caudal edge of the C5 lamina. Arrows indicate approximate areas of contact with the dura mater. [Figure and caption text reproduced with permission from Greaves et al. [40].]

### 1.1.2 Injury mechanism experiments

Continuing the line of investigation into SCI differences according to mechanism of injury, Choo et al. [14] developed an experimental rat model of dynamic (100 cm/s) contusion, dislocation, and distraction. This was the first experimental model to systematically compare and contrast multiple injury mechanisms. Cord tissue damage and rostral-caudal extent was assessed by staining histological slices with fluorescein-dextran to identify cord cells – axons in the white matter and neuronal somata in the gray matter – permeable to the marker, indicative of cell membranes ruptured during injury.



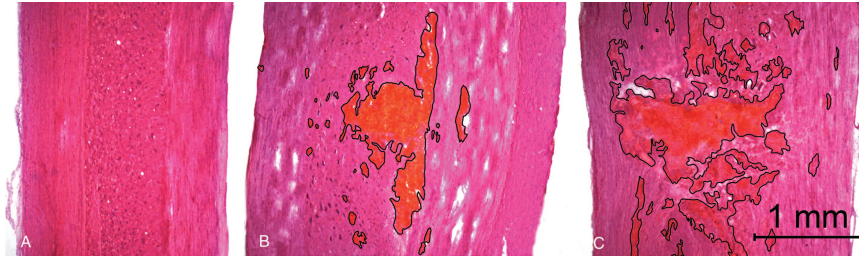
**Figure 1.2:** Illustrations of experimental injury configurations. To model dislocation (A), the rostral (left) vertebral clamp was held stationary while the caudal (right) clamp was coupled to the actuator for dorsal translation. For distraction injuries (B), C3 and C4 were held stationary while C5 and C6 were translated caudally. In the contusion model (C), the vertebral clamp holding C4 and C5 was supported while the 2mm spherical head impactor injured the cord through a laminectomy. [Figure and caption text reproduced with permission from Choo et al. [16].]

A notable observation from these experiments was more widespread white matter damage being found in dislocation compared to contusion injuries. Simulation of these same injury mechanisms may shed light on this and other differences. Furthermore, some of the data recorded in these experiments could be used to help validate a FE model of the rat spine. Specifically, the recorded spinal cord indenter displacement and applied force time histories can be used for this purpose. A validated FE model could then be used to compare and possibly correlate simulation computed internal strains of the cord with Choo's histological measures of tissue injury.

### 1.1.3 Injury velocity experiments

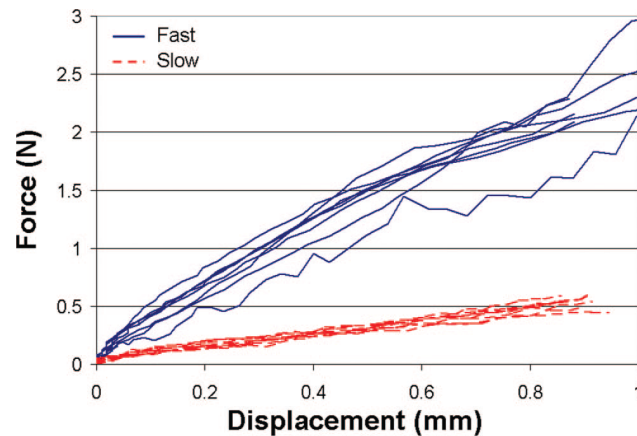
To investigate the influence of injury velocity on cord tissue damage, Sparrey et al. [107] used an experimental model of 1 mm contusion in the thoracic rat spine. Contusions were performed at 3 and 300 mm/s to capture differences over a wide velocity range.

Histological results showed increased white matter damage at high velocity compared to low (Figure 1.3). This provided some evidence that there may be an injury velocity threshold for damage to the white matter.



**Figure 1.3:** Parasagittal sections of the spinal cord demonstrating the hemorrhage resulting from contusion for the control (A), slow (B), and fast (C) groups. Tissue was stained with hematoxylin and eosin. Sections are oriented with dorsal surface on the right and caudal aspect towards the top. [Figure and caption text reproduced with permission from Sparrey et al. [107].]

Recordings of force and displacement during the experiments also demonstrated stark differences in cord stiffness exhibited during the slow and fast contusions (Figure 1.4). This is indicative of the viscoelastic, rate-dependent material properties of the spinal cord, and is important to capture in simulations within this injury velocity regime.



**Figure 1.4:** The load-displacement curves for the slow (red) and fast (blue) groups. The data shows good repeatability for each contusion and the slope of each line represents the stiffness response of the spinal cord to loading. [Figure and caption text reproduced with permission from Sparrey et al. [107]]

## 1.2 Project definition

The previous work described above motivated the development of a finite element model of the rat cervical spine to further investigate the influence of injury velocity and mechanism on spinal cord injury, as well as the relationship between cord tissue injury and strain. Such models may one day aid the design of preventative or emergency treatment devices, but we must first use them alongside experimental methods to gain a better understanding of how strain in the cord is related to observed tissue injury.

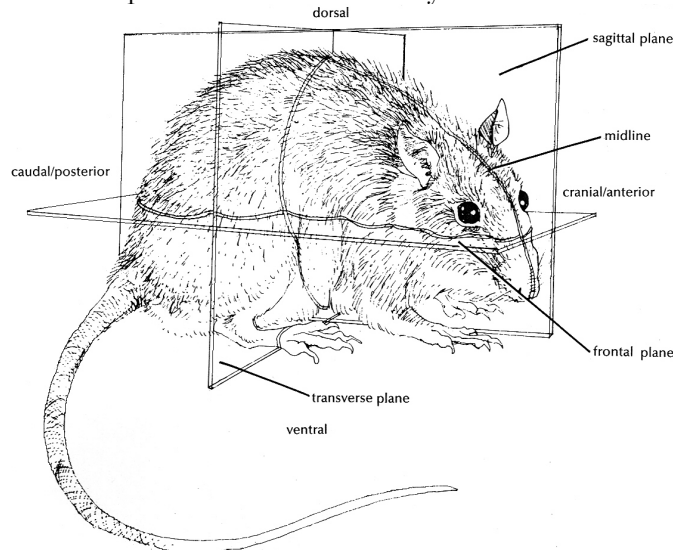
### 1.2.1 Objectives

The objectives of my research were to:

- Create a biofidelic dynamic, nonlinear finite element model of the rat cervical spine.
- Simulate spinal cord contusion experiments at impact velocities of different orders of magnitude and compare to experimental results.
- Simulate dynamic spinal cord injury experiments for contusion and dislocation injury mechanisms and compare FE strains to tissue damage.
- Validate the FE model by comparing computed injury forces to experimentally measured values.

## 1.3 Anatomy of the rat cervical spine

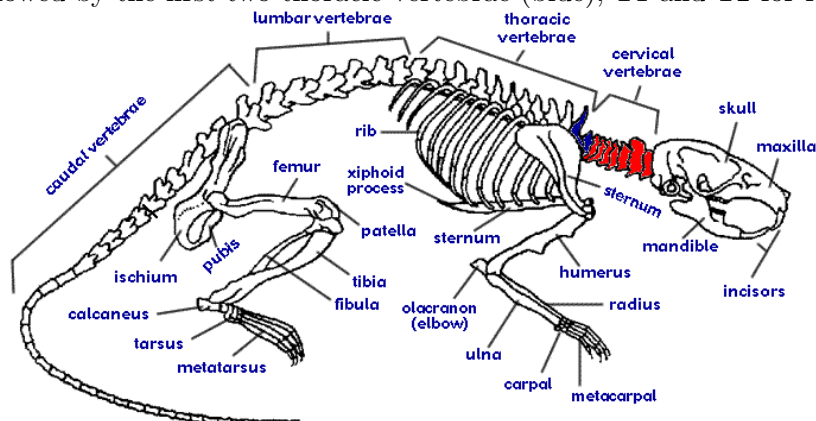
As vertebrate mammals, the rat cervical spine has much in common with that of the human<sup>1</sup>. Accordingly, much of the scientific literature on the anatomy of the human spine is useful in understanding that of the rat, for which there is less published material. However, the rat spine is not simply a scaled down version of the human spine, and in order to accurately model the former some literature specific to the rat is required [32]. In particular, several books and papers on the anatomy of the rat were consulted to aid in the following description of the rat cervical spine [42, 47, 53, 93, 118, 120]. For clarity of discussion, Figure 1.5 demonstrates the spatial terminology used when describing various aspects of the rat anatomy.



**Figure 1.5:** Spatial terminology with respect to the rat [Illustration reproduced from Wingerd [120].]

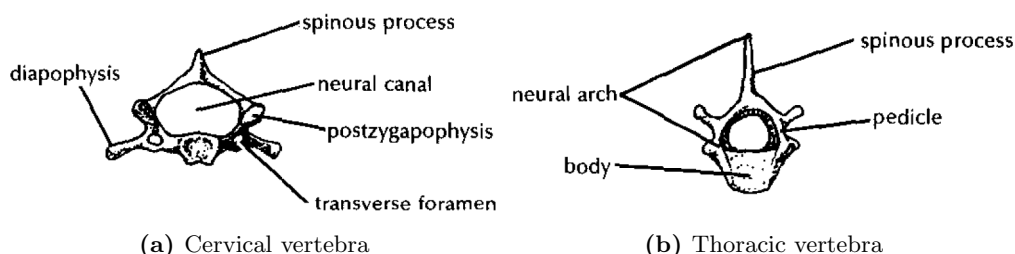
<sup>1</sup>Much of the text in this section first appeared in Russell [94], and is reproduced here for reference.

Figure 1.6 shows the vertebrae contained in the rat cervical spine in relation to the rest of the rat skeleton. The seven cervical vertebrae (red) are seen immediately caudal to the skull in the order C1-C7, followed by the first two thoracic vertebrae (blue), T1 and T2 for reference.



**Figure 1.6:** Diagram of a rat skeleton [Illustration reproduced from Muskopf [78] under a Creative Commons Attribution-NonCommercial-ShareAlike 3.0 United States License.]

Each of these vertebrae has the following basic components (see Figure 1.7): a central body, or centrum; a neural arch which extends from the centrum to create a neural canal axially through the middle of the vertebra; a spinous process which extends dorsally from the neural arch; and articular processes called zygapophyses which form joints between vertebrae [120].



**Figure 1.7:** Diagrams of generic rat vertebrae [Illustrations reproduced from Wingerd [120].]

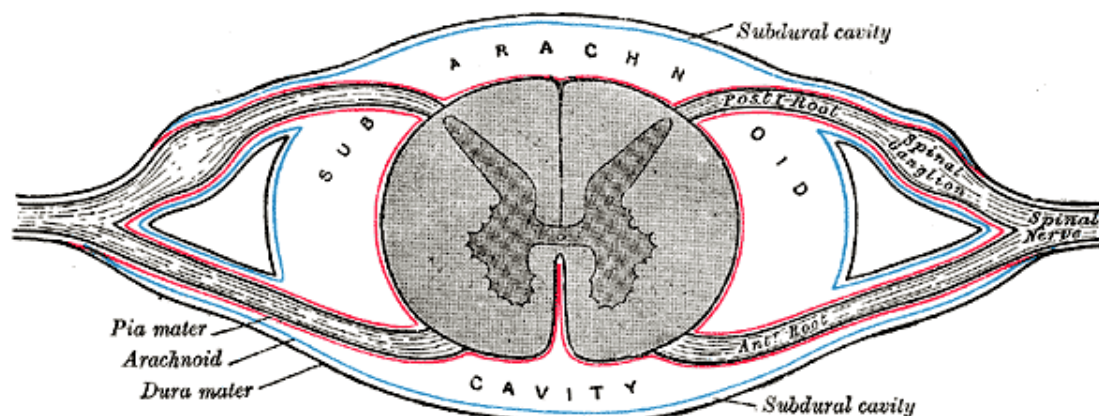
The first two cervical vertebrae are unlike the others in appearance, and have special names. The most cranial is the Atlas, which articulates with the base of the skull. The second is the Axis, with the identifiable odontoid peg about which the Atlas rotates to allow turning of the head, as well as a very pronounced spinous process. Common to all cervical vertebrae are the transverse foramina, or vertebralarterial canals, which house local arteries and veins<sup>2</sup>. The sixth cervical vertebra is unique in having two extra ventral processes, the carotid tubercles. The thoracic vertebrae are characterized by long spinous processes, except for T1.

Adjacent vertebrae are connected via a network of ligaments and, more distinctly, via an intervertebral (IV) disc. The discs are a mixture of water and fibrous cartilage, with a central nucleus pulposus having a higher water content than the more rigid annulus fibrosus which composes the remainder. Each disc is located between the centra of adjacent vertebrae, and is fused directly to the vertebral bone.

Within the protective walls of the vertebral canal lies the spinal cord. The cord itself consists of both gray and white matter, the former making an inner butterfly shape in a cross-section of the cord. Surrounding the cord are the sheathing layers of the meninges – the pia mater, arachnoid, and dura mater (see diagram in Figure 1.8). The subarachnoid cavity between the pia mater and arachnoid layers is occupied by the cerebrospinal fluid (CSF), in which the cord is suspended, and denticulate ligaments that loosely tether the cord to the pia mater.

<sup>2</sup>The vertebralarterial canal may be small or absent in the seventh cervical vertebra [118].



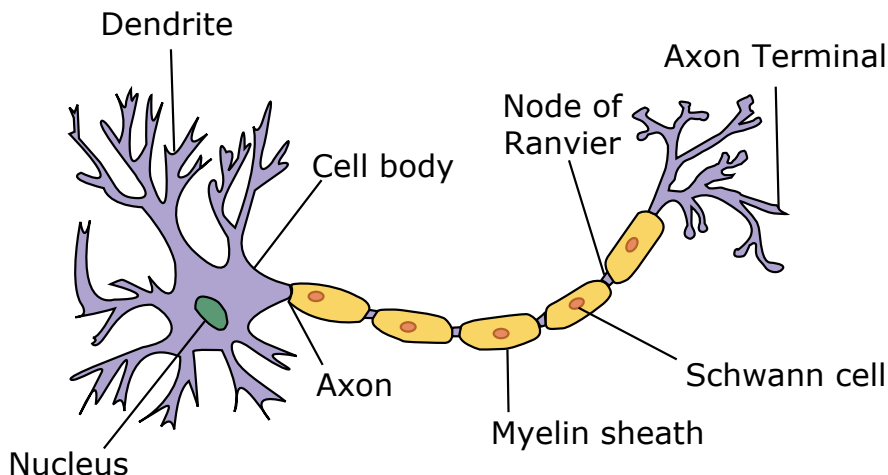


**Figure 1.8:** Diagrammatic transverse section of the medulla spinalis [human spinal cord] and its membranes. [Illustration and caption text reproduced from Gray [39], now in the public domain.]

Paired nerve roots branch off from the spinal cord at each spinal level in between vertebra to innervate various parts of the body, depending on the level. In the cervical spine, the nerve roots are named for the lower vertebra of the two-vertebra segment that it runs between.

### 1.3.1 Anatomy of the spinal cord

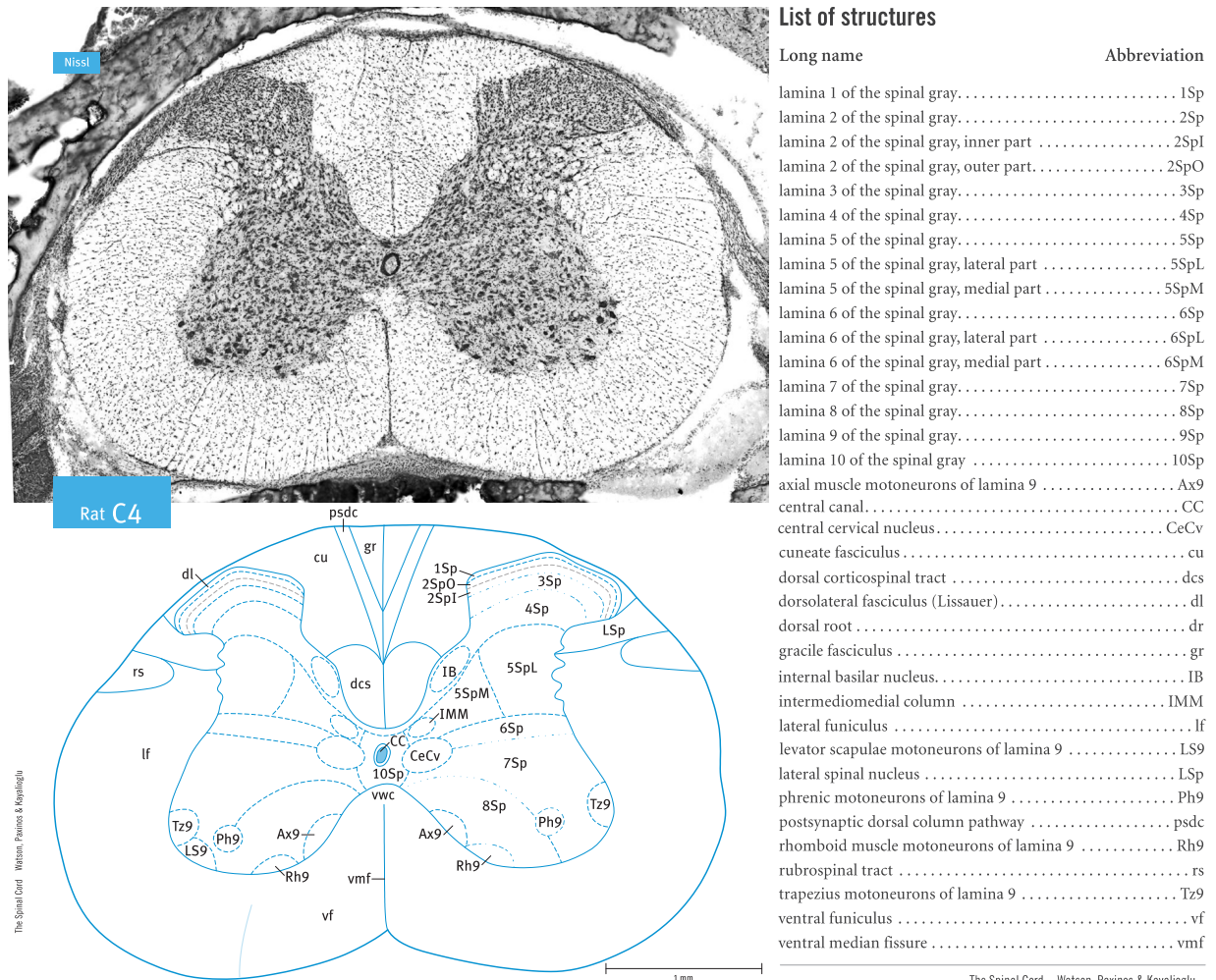
The distinct white and gray matter of the spinal cord each form a unique and critical part of the central nervous system (CNS). Both parts contain and support neurons, the main functional cells of the CNS (Figure 1.9). The gray matter of the cord contains the neuronal cell bodies and other supportive cells. Neuronal cell bodies send and receive information by passing electrochemical signals, or action potentials, to neighboring neurons via a network of branched projections, called dendrites. Neurons conduct these action potentials far distances throughout the body along their wire-like portions, or axons. The spinal cord white matter is comprised largely of bundles of these axons, surrounding the gray matter and exiting or entering the cord at a nerve root level corresponding to specific function, as well as myelin sheaths wrapped around the axons.



**Figure 1.9:** Diagram of a typical neuron. [Reproduced under the GNU Free Documentation and the Creative Commons Attribution-Share Alike 3.0 Unported Licenses (<http://commons.wikimedia.org/wiki/File:Neuron.svg>).]

The butterfly shape of the gray matter is marked by the dorsal and ventral horns at its tips. The gray matter can be further subdivided into anatomical and physiological regions including areas or strips termed laminae and other smaller zones (Figure 1.10). These regions are marked

by cell density and morphological and functional differences between the cells present, in general with larger neuronal cell bodies located within the ventral gray matter. A much higher density of vasculature in the gray matter reflects the higher demands of the cell bodies located there.



**Figure 1.10:** Cross section and diagram of the rat spinal cord at C4. [Images and structure abbreviation list reproduced from Watson et al. [117].]

The white matter is not subdivided into as many small zones, but is comprised of several tracts according to function of the axons passing through each area, with axons within a tract all having the same origin, course and termination. Related tracts are referred to as a pathway, more broad groupings of axons within the white matter are termed funiculi, while small groupings of axons with some commonality are referred to as fasciculi.

The organization of the spinal cord varies with spinal level, as nerves enter or leave the cord via dorsal nerve roots, which consist largely of afferent sensory fibers, or ventral roots, which include efferent motor fibers. The cervical spinal cord contains the widest range of functional tracts including those serving both the upper and lower body and limbs; injury to the upper cervical cord is thus the most debilitating, with the possibility of quadriplegia and loss of breathing control, depending on injury severity.



## 1.4 Experimental mechanisms of spinal cord injury

A variety of experimental mechanisms have been used to study spinal cord injury using animal models and a brief overview of these is given below.

Transection is a common injury mechanism in studies of spinal cord injury recovery as it is relatively simple to implement and allows for precise control of functional deficits depending on the location and size of the cut to the cord [11, 21, 61, 64, 91, 108]. The mechanism involves precise surgical cutting of the cord and can involve either a complete transection – to fully disrupt all axons at a specific spinal level and allow for clearer interpretation of regeneration across the injury – or a partial transection – to allow disruption of specific spinal cord tracts while enabling functional comparison with the uninjured contralateral side. While transection injuries do not reflect the nature of the majority of clinical SCI mechanisms, they are especially useful for precise studies of axonal regeneration [62].

Clip compression is a mechanism that has been used to model sustained cord compression that can result from residual compression following traumatic SCI [26–28, 44, 92, 97]. The clip compression mechanism is created by applying a modified aneurysmal clip to compress the cord, and does not allow for direct measurement of the mechanics of the injury applied to the cord.

A contusion injury is used in the majority of animal studies of spinal cord injury [62], and it is an important clinically relevant mechanism. The contusion is defined by the use of a controlled indentation from a rigid impactor, or indenter, to hit the cord surface. This corresponds to burst fracture, a common clinical mechanism, by simulating a vertebral bone fragment impacting the cord. The most widely used implementation of contusion injury is the New York University (NYU) impactor, which uses a 10 g rod dropped from heights of 6.25–50 mm onto the rat thoracic cord to induce graded levels of SCI [6, 43]. This weight-drop contusion mechanism allows for the calculation of velocity at impact and energy delivered to the spinal cord and can allow measurement of the impactor displacement during injury, but does not allow for the measurement of the applied force. Another common contusion device is the Ohio State University (OSU) impactor, an electromagnetic displacement feedback-controlled device to deliver controlled impacts to the thoracic cord, with recording of both the applied displacement and force during injury [9, 52, 79, 80, 109, 110]. A modified version of the OSU impactor was used by Sparrey et al. [107] to create contusions over a wide range of velocity (as mentioned in Section 1.1.3). Other variations of contusion injury mechanisms include those using a pneumatic impactor [2, 85, 99, 121, 126] or using a force-controlled electromagnetic actuator [96].

Fiford et al. [29] were the first to develop a vertebral dislocation model of SCI in the rat, to reflect another clinical SCI mechanism. They conducted lateral dislocations which they found caused greatest axonal injury in the left lateral white matter (where they expected greatest tensile strain in the cord) and vascular injury concentrated within the lateral gray matter, differing from the central injury cavitation typical in contusion injury. As discussed in Section 1.1.2, Choo et al. [14, 15] developed a high speed injury device that they used to investigate primary and secondary cord damage from contusion, anterior dislocation, and distraction of the rat cervical spine. Clarke et al. [18] expanded on this to show that anterior dislocation injury in the rat thoracolumbar spine is more severe than lateral dislocation, in line with clinical observations and emphasizing the utility of studying multiple SCI mechanisms.

While critical for examining actual tissue damage during SCI, a key limitation of experimental methods in investigating spinal cord injury mechanics is that they are not able to elucidate internal patterns of stress or strain within the cord during dynamic injuries. Blight and Decrescito [8] began addressing this by using a gelatin surrogate spinal cord and tracing cord deformation during contusion via ink lines injected into the cord – such a model is useful for developing theories of cord strain during contusion that may help explain injury patterns, but is nevertheless a simplified approximation to the material properties of the cord. Recent work by Lucas [65] tracked internal

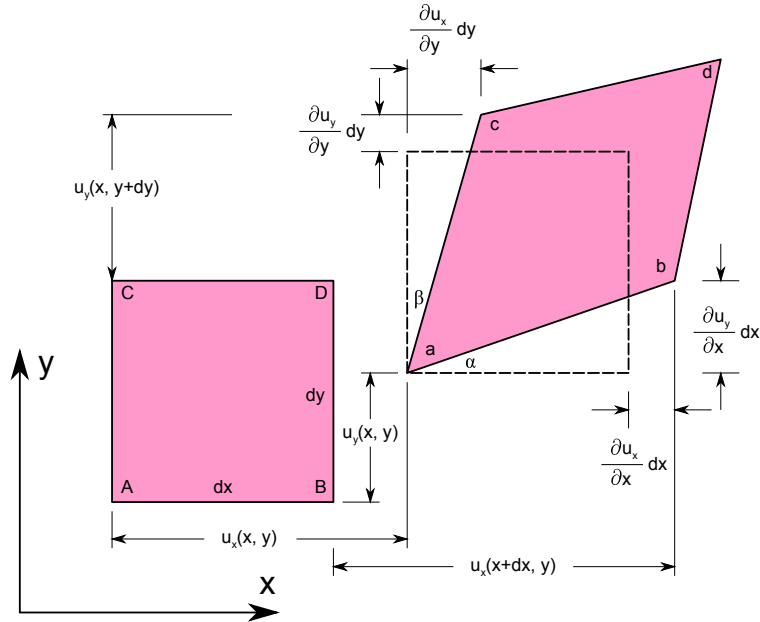
deformation of the *in vivo* rat cord during 130 mm/s contusion using high-speed x-ray imaging of fiducial markers, revealing some aspects of internal cord strain but unable to show the full strain distribution. Certainly, this is one area where finite element models of the spine and cord serve as excellent complements to experimental methods.

## 1.5 Modelling of cord injury

### 1.5.1 Strain theory

In order to precisely quantify material deformations, the quantity of strain can be defined at small elements throughout the material. Element strain essentially describes deformation of that element independent of rigid body motion (motion in which the element translates and/or rotates without changing shape). This is precisely the component of motion that is useful for analysis of material failure, since rigid body motion itself has no means to effect failure.

With a material modelled as a continuum, a two-dimensional infinitesimal rectangular element of initial dimensions  $dx$  by  $dy$  can be used to demonstrate the strain resulting from deformation of the material at that point (Figure 1.11).



**Figure 1.11:** 2D strain geometry for an infinitesimal material element [Diagram taken from the public domain.]

The normal strain of the element in the  $x$ -direction is defined as the fractional change in side lengths<sup>3</sup> to be

$$\epsilon_x = \frac{\overline{ab} - \overline{AB}}{\overline{AB}}.$$

Assuming small strains, as typically encountered by most engineering materials, we have  $\overline{ab} \approx dx + \frac{\partial u_x}{\partial x} dx$ , and given  $\overline{AB} = dx$  the strain reduces to

$$\epsilon_x = \frac{\partial u_x}{\partial x}.$$

Similarly for the other directions we have

$$\epsilon_y = \frac{\partial u_y}{\partial y}, \quad \epsilon_z = \frac{\partial u_z}{\partial z}.$$

<sup>3</sup>Note that strain is a unitless quantity as it is expressed as a ratio of lengths.

The change in angle between two of the element's sides is quantified by the engineering shear strain as

$$\gamma_{xy} = \alpha + \beta.$$

These angles are defined by the geometry of Figure 1.11 as

$$\tan \alpha = \frac{\frac{\partial u_y}{\partial x} dx}{dx + \frac{\partial u_x}{\partial x} dx} = \frac{\frac{\partial u_y}{\partial x}}{1 + \frac{\partial u_x}{\partial x}}, \quad \tan \beta = \frac{\frac{\partial u_x}{\partial y} dy}{dy + \frac{\partial u_y}{\partial y} dy} = \frac{\frac{\partial u_x}{\partial y}}{1 + \frac{\partial u_y}{\partial y}}.$$

Again assuming small strains, we know  $1 + \frac{\partial u_x}{\partial x} \approx 1$  and we can further use the small angle approximations of  $\tan \alpha \approx \alpha$  and  $\tan \beta \approx \beta$  to simplify the angle definition to

$$\alpha = \frac{\partial u_y}{\partial x}, \quad \beta = \frac{\partial u_x}{\partial y}.$$

Therefore

$$\gamma_{xy} = \gamma_{yx} = \frac{\partial u_y}{\partial x} + \frac{\partial u_x}{\partial y}$$

and similarly,

$$\gamma_{yz} = \gamma_{zy} = \frac{\partial u_y}{\partial z} + \frac{\partial u_z}{\partial y}, \quad \gamma_{zx} = \gamma_{xz} = \frac{\partial u_z}{\partial x} + \frac{\partial u_x}{\partial z}.$$

The full strain tensor contains nine components and is expressed in matrix form as

$$\boldsymbol{\epsilon} = \begin{bmatrix} \epsilon_{xx} & \epsilon_{xy} & \epsilon_{xz} \\ \epsilon_{yx} & \epsilon_{yy} & \epsilon_{yz} \\ \epsilon_{zx} & \epsilon_{zy} & \epsilon_{zz} \end{bmatrix} = \begin{bmatrix} \epsilon_{xx} & \gamma_{xy}/2 & \gamma_{xz}/2 \\ \gamma_{yx}/2 & \epsilon_{yy} & \gamma_{yz}/2 \\ \gamma_{zx}/2 & \gamma_{zy}/2 & \epsilon_{zz} \end{bmatrix} \quad (1.1)$$

$$= \begin{bmatrix} \frac{\partial u_x}{\partial x} & \frac{1}{2} \left( \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} \right) & \frac{1}{2} \left( \frac{\partial u_x}{\partial z} + \frac{\partial u_z}{\partial x} \right) \\ \frac{1}{2} \left( \frac{\partial u_y}{\partial x} + \frac{\partial u_x}{\partial y} \right) & \frac{\partial u_y}{\partial y} & \frac{1}{2} \left( \frac{\partial u_y}{\partial z} + \frac{\partial u_z}{\partial y} \right) \\ \frac{1}{2} \left( \frac{\partial u_z}{\partial x} + \frac{\partial u_x}{\partial z} \right) & \frac{1}{2} \left( \frac{\partial u_z}{\partial y} + \frac{\partial u_y}{\partial z} \right) & \frac{\partial u_z}{\partial z} \end{bmatrix}. \quad (1.2)$$

The strain tensor can alternatively be defined by specifying the component in its  $i$ 'th row and  $j$ 'th column as

$$\epsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right), \quad (1.3)$$

with  $x_i$  and  $x_j$  for  $i$  or  $j = 1, 2, 3$  corresponding to the axes  $x, y, z$  described above.

Note that the orthogonal axes  $x, y, z$  used in the definition of the strain tensor's components are arbitrary, and depending on the choice of axes the value of those components is different. There is one particular set of axes which in fact reduces the strain tensor to have zero components everywhere except along the diagonal (1.4). These three diagonal normal strains are unique and referred to as the *principal strains*, with the corresponding axes called the directions of principal strain.

$$\underline{\boldsymbol{\epsilon}} = \begin{bmatrix} \epsilon_1 & 0 & 0 \\ 0 & \epsilon_2 & 0 \\ 0 & 0 & \epsilon_3 \end{bmatrix} \quad (1.4)$$

The principal strains are the eigenvalues found by solving the linear algebraic equation

$$(\boldsymbol{\epsilon} - \epsilon_i \mathbf{I}) \mathbf{n}_i = \mathbf{0},$$

with  $\mathbf{n}_i$  being the eigenvectors corresponding to the directions of principal strain. The principal strains represent the largest magnitude of normal strains, since there are no shear strain components in that set of axes.

The maximum principal strain, also called the first principal strain or  $\epsilon_1$ , is strictly defined as the largest of the three principal strains and is typically tensile (positive valued), or stretching, in nature for most materials and deformation states. The minimum or third principal strain,  $\epsilon_3$ , is similarly the smallest and is typically compressive (negative valued), or shortening. The second principal strain is in between the other two, and can be either tensile or compressive depending on the deformation state.

The relationship between material strain and stress – the distribution of applied forces throughout the material – is referred to as the constitutive equation or material model. Some of these models appropriate for soft tissue such as the spinal cord are discussed in Section 1.5.3. The parameters associated with a material model, which are unique for a specific material being modelled, are referred to as the material properties.

For large strains ( $>5\%$ ), which soft biological tissues such as the spinal cord are often subjected to, the finite strain theory framework and a more complex nonlinear strain tensor must be used. This is the Green-Lagrangian strain tensor and is defined as

$$E_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x'_j} + \frac{\partial u_j}{\partial x'_i} + \frac{\partial u_k}{\partial x'_i} \frac{\partial u_k}{\partial x'_j} \right). \quad (1.5)$$

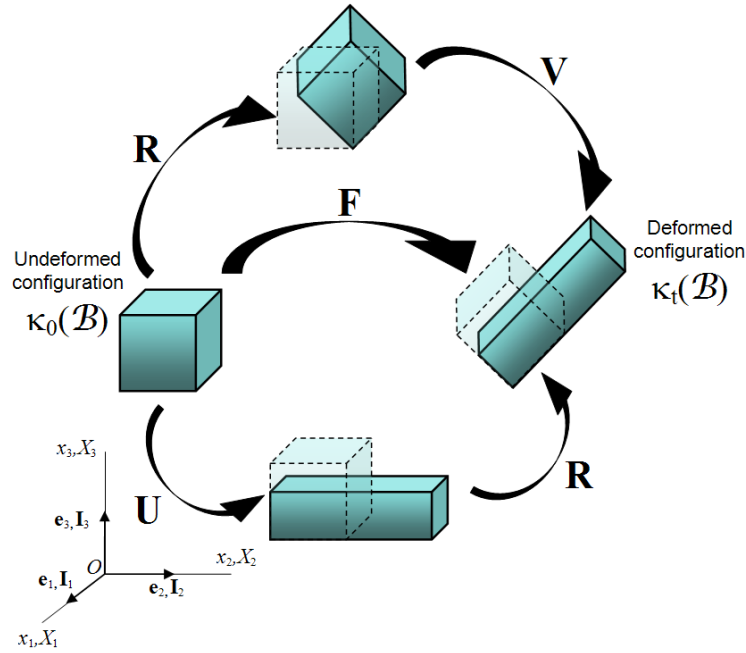
Note that  $\mathbf{U}$  (components of which appear in the partial derivatives of 1.5) is the tensor mapping the applied stretches to the undeformed configuration, and is followed by a rigid body rotation by  $\mathbf{R}$  to result in the deformed configuration (Figure 1.12). This strain tensor,  $E_{ij}$ , differs from the small strain tensor (1.3) by including the nonlinear product  $\frac{\partial u_k}{\partial x'_i} \frac{\partial u_k}{\partial x'_j}$ ; the small strain approximation is a linearized form of the tensor valid for small strains only, while the Green-Lagrangian strain tensor is exact for any strain value. Another difference is that the Green-Lagrangian strain tensor partial derivative terms are taken with respect to the *undeformed* configuration ( $x'$ ), rather than the *deformed* configuration ( $x$ ) as in the small strain approximation (1.3), a difference which cannot be neglected at large strains.

This finite strain theory formulation leads to a more complicated procedure to solve for a strain field within a material, which explicit finite element solvers are well suited to follow. Nevertheless, the concept of principal strains as unique eigenvalues capturing the essence of the element deformation associated with a given strain tensor still holds in this framework.

### 1.5.2 Material properties of the spinal cord

Characterizing material properties for the spinal cord has long been regarded as important for understanding the biomechanics of spinal cord injury. While various attempts have been made over the years, difficulties associated with accurately and repeatably measuring these properties has prevented reaching a consensus on their values that would cover a wide range of conditions. In particular, only tensile tests have been performed widely enough for comparisons across studies, and these have only been performed at low strain rates ( $0.001\text{--}0.3 \text{ s}^{-1}$ ) and peak strains ( $<0.1$ ) compared to those typical of traumatic SCI (strain rates  $>5 \text{ s}^{-1}$  and peak strains  $>0.2$ ) [12, 17].

Some of the challenges of material testing of the cord include maintenance of testing conditions such as hydration and temperature to mimic the *in vivo* environment, limitations of standard mechanical testing equipment to strain rates much lower than traumatic loading conditions, characterization of boundary conditions due to the interface of tissue with the testing apparatus, and the effect of specimen characteristics such as species or age on properties. Cheng et al. [13] recently highlighted the effects of preconditioning on mechanical test results of the cord at various strain rates and magnitudes, a factor which had not been addressed previously in the literature and may



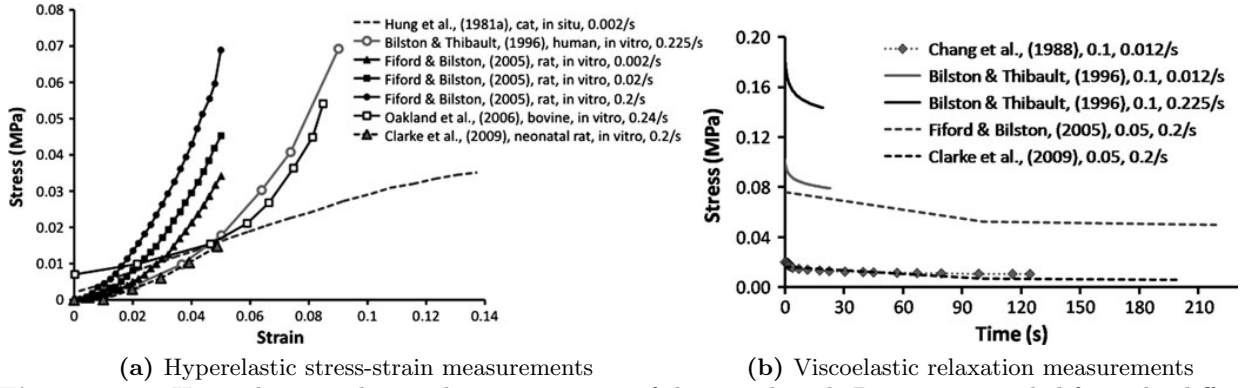
**Figure 1.12:** 3D framework for finite strain theory.  $\mathbf{U}$  is the tensor mapping the stretches applied to the undeformed configuration, and is followed by a rigid body rotation by  $\mathbf{R}$  to result in the deformed configuration. The overall deformation is described fully by the deformation gradient,  $\mathbf{F} = \mathbf{R}\mathbf{U}$ . [Diagram taken from the public domain.]

have contributed considerably to variability between studies with different protocols. Furthermore, accurate quantification of *in vivo* cord tissue properties from cadaveric specimens is complicated by the fact that cord properties change in the hours to days after death, with the tangent modulus (the stiffness at high strains) increasing by >50% over 72 hours [81]. Another complication is that at high strains localized tissue failure, or damage, may occur, and this damage should be characterized and modelled to fully simulate the cord mechanics during SCI. Some investigation has been done on damage in high strain testing of brain tissue Darvish and Crandall [23], Prange and Margulies [89], Shafieian et al. [101], but no similar work has been done for the spinal cord.

Despite these challenges, several measurements of cord tensile properties have been conducted, with the compiled results shown in Figure 1.13. In general, the stress-strain response of the cord is nonlinear over typical ranges of strain and strain-rates. This nonlinearity includes both hyperelastic behaviour – in which the slope of the stress-strain curve, or stiffness, increases with higher levels of strain – and viscoelastic behaviour – in which the stress at a fixed level of strain decays or relaxes over time [7, 10, 17, 19, 31, 48, 81]. Both hyperelasticity and viscoelasticity are characteristics that cause a material to deviate from linear elastic behaviour, for which the stiffness of the material is constant over a wide range of strain and a unique stress value corresponds to a given level of strain.

### 1.5.3 Material modelling of the spinal cord

With simulating deformation of the spinal cord of chief importance to modelling spinal cord injury, an appropriate material model to govern that deformation is required. Several earlier models of the spine used linear elastic material properties for the spinal cord as a first step towards modelling cord deformation and injury [40, 63, 98]. However, material testing data of the spinal cord as well as brain tissue have shown that these tissues exhibit clear hyperelasticity and viscoelasticity [7, 12, 19, 31]. In addition, there is evidence that finite element simulations should model this hyperviscoelasticity as linear elastic cord properties are not sufficient to accurately model stresses and strains within the cord [106]. Hyperviscoelastic properties of the cord are especially important



**Figure 1.13:** Hyperelastic and viscoelastic properties of the spinal cord. Data are compiled from the different studies of cord properties for various peak strains and strain rates. [Figures reproduced with permission from a review by Clarke [17].]

when simulating dynamic SCI mechanisms, in which the cord is subjected to large strains at high rates.

Some hyperviscoelastic models have been used previously with hyperelasticity based on a polynomial strain energy function and deformation tensor invariants [36, 60, 70, 72]. However, these models were discouraged in favour of a less restrictive – in that it allows different material behaviour in tension versus compression – Ogden hyperelastic model generalized to incorporate Prony series viscoelasticity as proposed by Miller and Chinzei [74] and based on the quasilinear viscoelastic theory of separable hyper- and visco-elastic model components introduced by Fung [34]. The model is practical for finite element simulation as it is currently implemented in most FE solvers, including PAM-CRASH. The mathematical constitutive equations describing each of the Ogden and Prony series material model parts are described in the following subsections.

### Ogden hyperelasticity

Ogden [82] first proposed his theory of hyperelasticity to model incompressible rubberlike solids, and the model has since been used extensively to model biological soft tissues. The Ogden model defines the strain energy density,  $W$ , in terms of the principal stretches<sup>4</sup>  $\lambda_j$ ,  $j = 1, 2, 3$  as<sup>5</sup>:

$$W(\lambda_1, \lambda_2, \lambda_3) = \sum_{i=1}^N 2 \frac{\mu_i}{\alpha_i} (\lambda_1^{\alpha_i} + \lambda_2^{\alpha_i} + \lambda_3^{\alpha_i} - 3) \quad (1.6)$$

where  $N$  is the order of the model,  $\alpha_i$  are material constants describing the hyperelastic nonlinearity, and  $\mu_i$  define the material shear modulus as  $\mu = \sum_{i=1}^N \mu_i \alpha_i$ . Note that the Ogden model degenerates into the less general Neo-Hookean hyperelastic model for  $N = 1, \alpha = 2$  or the Mooney-Rivlin model for  $N = 2, \alpha_1 = 2, \alpha_2 = -2$ .

The principal stresses are then derived from differentiating the strain energy function according to

$$\sigma_j = \lambda_j \frac{\partial W}{\partial \lambda_j} - p, \quad (1.7)$$

where  $p$  is a Lagrange multiplier associated with the material incompressibility constraint  $\lambda_1 \lambda_2 \lambda_3 = 1$ . Furthermore, to ensure stable behaviour during deformation, the Ogden parameters must satisfy  $\sum_{i=1}^N \mu_i \alpha_i > 0$  [24].

<sup>4</sup>Note that the stretch ratio,  $\lambda$ , is defined in terms of the current and initial material sample lengths as  $\lambda = \frac{l}{l_0}$ , and is related to the normal strain by  $\epsilon = \frac{l-l_0}{l_0} = \lambda - 1$ .

<sup>5</sup>This definition uses the coefficient convention of PAM-CRASH [24].

The model can be further simplified for the case of uniaxial tension, which is useful when fitting experimental tissue tests [83]. For uniaxial tension  $\lambda_2 = \lambda_3 = \lambda_1^{-1/2}$  and with  $\lambda_1 = \lambda$  the definition of principal stresses reduces to that of a single tensile stress defined by

$$\sigma(\lambda) = 2\mu \left( \lambda^{\alpha-1} - \lambda^{-\frac{1}{2}\alpha-1} \right). \quad (1.8)$$

### Prony series viscoelasticity

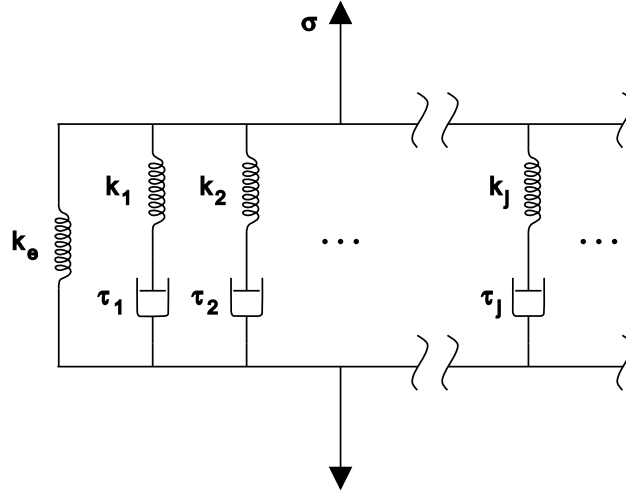
The Prony series model of viscoelasticity, also known as the Generalized Maxwell model or the Maxwell-Wiechert model, is the most general form of linear viscoelasticity. Linear viscoelastic models are those that assume separable elastic and viscoelastic responses. Such models yield general equations for stress or strain as a function of time of

$$\sigma(t) = E_{\text{inst,relax}} \epsilon(t) + \int_0^t F(t-t') \dot{\epsilon}(t') dt' \quad (1.9)$$

and

$$\epsilon(t) = \frac{\sigma(t)}{E_{\text{inst,creep}}} + \int_0^t K(t-t') \dot{\sigma}(t') dt' \quad (1.10)$$

where  $t$  is time,  $\sigma(t)$  is stress,  $\epsilon(t)$  is strain,  $E_{\text{inst,creep}}$  and  $E_{\text{inst,relax}}$  are instantaneous elastic moduli for creep and relaxation,  $K(t)$  is the creep function and  $F(t)$  is the relaxation function. Creep is the phenomenon in which tissue strain will increase, or creep, over time when subjected to a constant stress. Relaxation is the inverse process, in which tissue stress decreases, or relaxes, over time when subjected to a constant strain.



**Figure 1.14:** Prony series model schematic. A series of spring-dashpot Maxwell elements is shown each arranged in parallel with a lone spring. Each dashpot damping element is associated with a relaxation time constant,  $\tau_i$ . [Diagram taken from the public domain.]

The Prony series models linear viscoelasticity by recognizing that relaxation may not occur at only one time, but at a distribution of times limited only by the order of the series. This is achieved by a sufficiently long series of spring-dashpot Maxwell elements arranged in parallel with a lone spring element to adequately model the viscoelastic behaviour of a material at all relevant timescales (Figure 1.14).

As often employed in mathematical and finite element models, the Prony series definition for relaxation of the shear modulus is

$$G(t) = G_{\infty} + \sum_{i=1}^M G_i e^{(-t/\tau_i)}, \quad (1.11)$$

where  $G_\infty$  is the long term or steady-state shear modulus,  $M$  is the order of the model,  $G_i$  is the  $i^{\text{th}}$  shear modulus component and  $\tau_i$  is the associated time constant, or relaxation time, for viscoelastic decay of that component. The equation can alternately be arranged by noting that the instantaneous elastic modulus at time zero is related to the long term modulus by  $G(t=0) = G_0 = G_\infty + \sum_{i=1}^M G_i$ , leading to

$$G(t) = G_0 - \sum_{i=1}^M G_i \left(1 - e^{(-t/\tau_i)}\right). \quad (1.12)$$

A further variation that is sometimes used to express the relaxation parameters is

$$G(t) = G_0 \left(1 - \sum_{i=1}^M \gamma_i \left(1 - e^{(-t/\tau_i)}\right)\right), \quad (1.13)$$

where  $\gamma_i = \frac{G_i}{G_0}$ <sup>6</sup>.

#### 1.5.4 Finite element modelling of the spine, spinal cord, and brain

Thanks to the increasing computational power of personal computers, the last decade has encouraged the development of finite element models in all areas of engineering, and the area of biomechanics is no exception. A range of FE models incorporating either the brain, spinal cord, or both have been developed by groups around the world, and a summary of those relevant to the proposed project will now be presented.

Scifert et al. [98] investigated spinal cord mechanics in flexion and extension of the cervical spine using a linear elastic model of the C5-C6 motion segment created in ABAQUS. The model is unique in including nerve roots attached to the spinal cord, though Scifert et al. [98] did not discuss possible influence of this inclusion on results. As mentioned in Section 1.1.1, Greaves et al. [40] also created a linear elastic model of the human cervical spine at levels C5-C6 and simulated contusion, dislocation and distraction injuries. Another linear elastic model by Li and Dai [63] specifically explored hyperextension injury with an isolated FE model of the cord in ANSYS, with model validation performed against static cord compression and axial tension experimental data.

Galle et al. [36] created a 2D model of the spinal cord in Matlab/COMSOL formulated to match experimental results of compression of guinea pig cord strips. Their cord model used a hyperelastic Mooney-Rivlin strain energy function with no viscoelastic component. Another 2D model of the spinal cord was used by Ichihara et al. [50] to model their observed differences in white and gray matter properties. They modelled the cord as nonlinearly elastic directly using measured material stress-strain curves with the gray matter generally more stiff than the white matter at higher strains. Applying a 30% quasi-static compression to this cord model, [50] then compared cord deformation to MRI imaging of their experimental results and found better agreement for the non-homogeneous white and gray properties compared to a simulation with homogeneous properties.

Viano et al. [115] modelled concussion injury in professional football using a FE model developed in PAM-CRASH, comparing clinical symptoms with simulation results for 28 impact cases. They modelled the brain, brainstem and cerebellum using a Kelvin viscoelastic model, neglecting hyperelastic behaviour.

Kleiven [60] developed a hyperviscoelastic brain and head model using LS-DYNA including a Mooney-Rivlin hyperelastic model for the brain combined with a first order Prony series for viscoelasticity. Ho and Kleiven [45, 46] further refined this model to include 3D vasculature within the brain, including nonlinear elastic properties for veins and arteries based on the uniaxial exponential model proposed by Fung [34].

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<sup>6</sup>Note that sometimes the symbol  $g_i$  is used instead of  $\gamma_i$ .



Another LS-DYNA model created by Kimpara et al. [59] included the human head and neck to investigate injury mechanisms during severe frontal impacts. Brain tissue was modelled as viscoelastic while the spinal cord was modelled as hyperelastic, with slightly different material properties for the cord white and gray matter based on direct use of the stress-strain curves obtained from tensile testing of bovine spinal cords by Ichihara et al. [51]. Kimpara et al. [59] additionally performed material testing of the porcine cervical pia mater and included this layer in their model.

One of the most recent and relevant contributions is that of Maikos et al. [68], who created the first finite element model of the rat spine. Their explicit hyperviscoelastic model, created in Abaqus, simulated weight-drop contusion experiments in the thoracic spine at 0.49 and 0.69 m/s. Based on an experimental image of tissue damage indicated by albumin extravasation, Maikos et al. [68] then marked elements as injured or uninjured and correlated injury status to maximum principal strain with logistic regression. Correlations with injury for their model were very good in the gray matter and fair in the white matter.

Limitations common to many FE models of the spinal cord include validation against quasi-static test conditions that do not reflect dynamic injuries being simulated, oversimplification of geometry or simulation of a very small portion of the spine, and use of cord material properties that do not reflect the *in vivo* behaviour of the spinal cord during dynamic injury mechanisms. These limitations are, however, beginning to be addressed and overcome. In particular, recent models of the spinal cord or brain typically model these soft tissues as hyperviscoelastic materials.

## 1.6 Mechanical indicators of tissue injury

A variety of different mechanical indicators of tissue injury have been investigated, including kinematic characteristics of injury and finite element simulation results. The goal of such indicators is to be highly correlated with tissue damage so that injury predictions can be made more broadly, or so that computational models can use them to compare different injury mechanisms and severity levels and yield clinically relevant conclusions.

Kearney et al. [57] first probed the effects of varying contusion magnitude and velocity, finding that similar functional injury severity could be achieved by either large contusion magnitude or smaller magnitude contusion at high velocity, indicating the importance of both factors. Viano and Lovsund [116] later conducted dynamic cord contusion experiments in a ferret model at velocities of 1.5-6 m/s and displacements of 1.25-3.25 mm (25-65% compression), finding a good correlation between graded SCI and the maximum viscous response,  $VC$ , of the injury mechanism defined as the multiplication of velocity ( $V$ ) and percent compression ( $C$ ) of the cord diameter. Other investigators of the relationship of velocity with injury pattern include Jakeman et al. [52] who did not find an association between contusion velocity and behavioural outcome score (assessed with the Basso, Beattie and Bresnahan (BBB) locomotor rating scale [5]) but only varied velocity slightly (14-19 cm/s); Kim et al. [58] who found no significant difference in Basso mouse scale injury severity for 0.8 mm contusions at velocities ranging from 0.1-0.4 m/s; and Maikos and Shreiber [66] who predicted a white matter vasculature injury threshold of 200 mm/s but no gray matter threshold in weight-drop contusions with velocities of 0.5-1 m/s.

On a cellular level, Cullen and LaPlaca [22] demonstrated that neural tissue cultured in 3D exhibits more complex loading patterns and different injury thresholds compared to tissue cultured on a 2D plate, underlying the importance of studying spinal cord tissue properties and behaviour in an *in vivo* setting. Geddes-Klein et al. [37] subjected cultured neurons to uniaxial and biaxial stretches of 0-50% total strain, observing distinct neurophysiological responses to the different injury mechanisms.

## Maximum principal strain

Several studies have investigated maximum principal strain during brain or spinal cord injury and found it to be a good indicator of tissue damage, making it one of the most widely used indicators and a useful output from finite element models.

Shreiber et al. [103] first quantified maximum principal strain in a finite element model of cerebral contusion in the rat, and found it to be a good predictor of damage to the blood-brain barrier (BBB) over a range of loading conditions. Their results also indicated a strain threshold of  $\sim 18.8\%$  below which damage would not be expected.

Bain and Meaney [4] used *in situ* material testing of white matter tissue from the guinea pig optic nerve to quantify maximum principal strain during applied axonal stretch injuries. These results were then compared with assessed morphological tissue injury and electrophysiological impairment from parallel *in vivo* injuries. This yielded predicted maximum principal strain thresholds of 0.21 for morphological tissue damage and 0.18 for electrophysiological impairment.

Zhu et al. [127] investigated non-impact, graded axial rotation injuries in a pediatric pig brain model, finding periods of unconsciousness ranging from 0 to 80 minutes depending on severity. Brain tissue sections were also stained with neurofilament antibody (NF-68) to identify regions of axonal damage. Finite element recreations of the injury grades yielded strain and strain rate throughout the tissue, and Zhu et al. [127] also looked at the product of strain and strain rate. Volume fractions of the tissue showing strains higher than the level they found to predict tissue injury with 90% probability were well correlated with global injury severity assessed by duration of unconsciousness.

As mentioned in the previous section, Maikos et al. [68] used their finite element model of thoracic weight-drop injury in the rat to find good correlations of elemental maximum principal strain with injury status of the corresponding locations in the cord tissue. This result shows that maximum principal strain is a practical indicator of tissue damage for use in FE of the spinal cord.

McAllister et al. [69] recently compared subject-specific FE results with *in vivo* diffusion tensor imaging of subjects with diagnosed concussion, finding maximum principal strain and strain rate associated with changes in indicators of white matter integrity.

Together these studies demonstrate that maximum principal strain has been widely correlated with neural tissue damage in a range of models. It can yield more specific and localized injury prediction compared to more global quantities such as injury velocity or compression depth. Furthermore, there is an intuitive basis for failure due to high maximum principal strain, as many soft tissue and cellular structures in the cord (such as axons, vasculature, or individual cell membranes) can be imagined to fail under tension, but may be more tolerant of the compressive or shear strains typically encountered – imagine a slightly elastic rope as a simplified model for these structures, for which tension would appear to be the most likely failure mode.

## 1.7 Summary

In vitro cell culture experiments have shown that the biological and mechanical mechanisms of traumatic neuronal injury are influenced by mechanical loading patterns [22, 37]. On a larger scale, dynamic injuries in the *in vivo* rat model have shown varying patterns of tissue damage for different injury mechanisms [14, 18, 29]. Furthermore, finite element models of the human spine have demonstrated distinct stress and strain patterns within the spinal cord that depend on the biomechanical mechanism of injury [40, 63].

While experimental methods have revealed important details regarding tissue injury thresholds and patterns, they are difficult to fully interpret and apply to clinical injuries as they do not yield information on internal spinal cord deformations during SCI. Finite element models are ideal for investigating these deformations. However, human models to date have been difficult to validate

due to a lack of in vivo loading data. In addition, many models have used linear elastic quasi-static simulations which may not capture the full nature of high speed cord injuries. One group began to address this with an experimentally calibrated dynamic, hyperviscoelastic finite element model of weight-drop contusion in the rat thoracic spine but did not investigate other injury mechanisms or vary injury velocity over several orders of magnitude [68].

The overall goal of my thesis is to develop and validate a dynamic finite element model of the rat cervical spine and to use it to compare internal spinal cord deformations – during contusion injuries at different velocities and during both contusion and dislocation injury mechanisms – with previously observed tissue damage. The indicator of neuronal tissue damage used for this comparison was cellular permeability to fluorescein-dextran as membrane permeability has widely been linked to neuronal pathology [35, 102, 111, 112] and has been used to quantify regional patterns of damage in the spinal cord [14]. I chose maximum principal strain as the primary measure of cord deformation because it has been shown to be a good predictor of neural damage for several animal models [4, 68, 103, 127] and as it is relatively easy to interpret (being generally tensile in nature) and link mechanistically with membrane damage. Improved understanding of the strain distribution in the cord during two distinct mechanisms of SCI and at injury velocities of different orders of magnitude will aid interpretation of tissue damage patterns and may inspire new strategies to treat or prevent injury.

# Chapter 2

## Methods

### 2.1 Model development

#### 2.1.1 Geometry extraction from Magnetic Resonance Imaging

The first step in development of the rat cervical spine model was acquisition of spinal geometry to be used in creating a finite element mesh. High resolution magnetic resonance imaging (MRI) was performed on a normal, freshly euthanized Sprague-Dawley rat with a 7 T animal scanner (BioSpec 70/20 USR, Bruker BioSpin Corp., Billerica, MA) at the UBC MRI Research Centre (see 2.1). Two scans, oriented perpendicular to the upper cervical cord (C1–C3) and lower cervical cord (C5–T1), were obtained with 156x156 micron in-plane and 1 mm through-plane resolution. These scans were interpolated to an isotropic 156 micron resolution, zero-padded and registered to each other using Analyze (AnalyzeDirect, Overland Park, KS), yielding a fused image of pixel dimensions 256x256x240 covering the full range of the cervical spine.

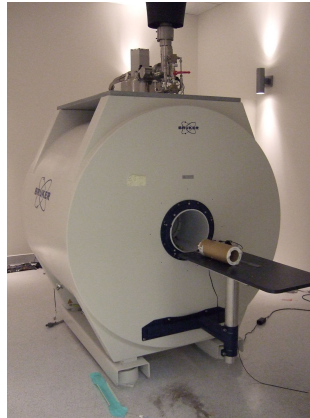


Figure 2.1: 7T animal MRI scanner

#### Image Segmentation

Image segmentation was performed to extract object models of the rat spine components from the MRI data. For this purpose an open source software solution devoted to segmentation, ITK-SNAP (<http://www.itksnap.org>)<sup>7</sup>, was chosen for its simple yet powerful interface, despite the availability of the commercial Analyze software which has segmentation capabilities. ITK-SNAP was created using the Insight Toolkit (ITK), an image analysis software kit designed to support the images of the Visible Human Project<sup>®</sup>, and the Visualization Toolkit (VTK), a 3D data visualization package.

SNAP stands for “Snake Automated Partitioning”, referring to the segmentation algorithms employed by the software which make use of active contour and level set methods to partition elements in an image via snake<sup>8</sup> evolution [124]. The method of active contour evolution involves estimation of a target object’s boundaries with a closed surface contour which gradually conforms

<sup>7</sup>The version of SNAP used in this project was 1.5.2.

<sup>8</sup>The term snake here refers to a closed curve or surface.

to those boundaries<sup>9</sup>. This evolution in time is modelled by the following partial differential equation (PDE) in 2D:

$$\frac{\partial}{\partial t}C(u, v; t) = F\vec{n} \quad (2.1)$$

Where,

$C$  = closed surface contour parametrized by spatial variables  $u, v$  and time,  $t$

$\vec{n}$  = unit normal to  $C$

$F$  = sum of forces acting on  $C$  in normal direction

Of the two active contour methods provided in SNAP, the Region Competition method (called the ‘Intensity regions’ method within SNAP) was found to achieve the desired segmentations relatively quickly and reliably, and was used throughout the semi-automatic segmentation process. This method, pioneered by [128], uses the following definition of the evolution forces:

$$F = \alpha(P_{obj} - P_{bg}) + \beta\kappa \quad (2.2)$$

Where,

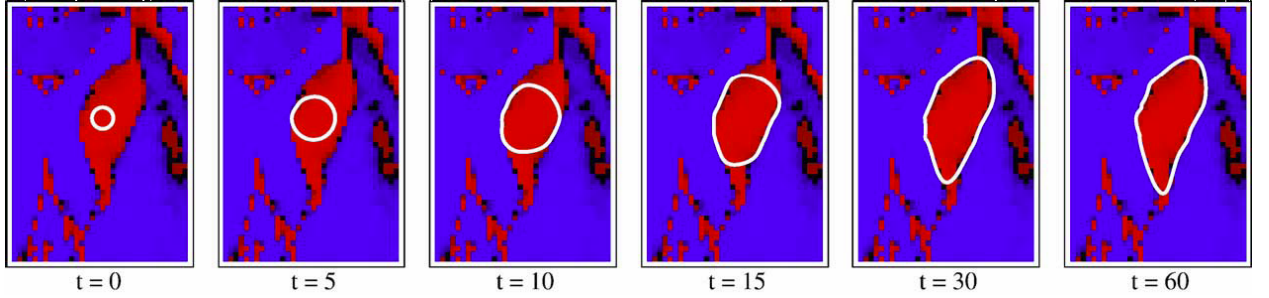
$\alpha, \beta$  = weight parameters

$P_{obj}$  = probability of voxel belonging to object

$P_{bg}$  = probability of voxel belonging to background

$\kappa$  = mean curvature of  $C$

The respective probabilities are assigned to the image voxels using a fuzzy threshold of image intensity performed in SNAP. As demonstrated in Figure 2.2, the seed contour gradually conforms to the desired object topology through the region competition method.



**Figure 2.2:** Active contour evolution using the feature image based on region competition. The propagation force acts outwards over the ‘foreground’ region (red) and inwards over the ‘background’ region (blue), causing the active contour to reach equilibrium at the boundary of the regions. [Figure and caption text reproduced with permission from [124].]

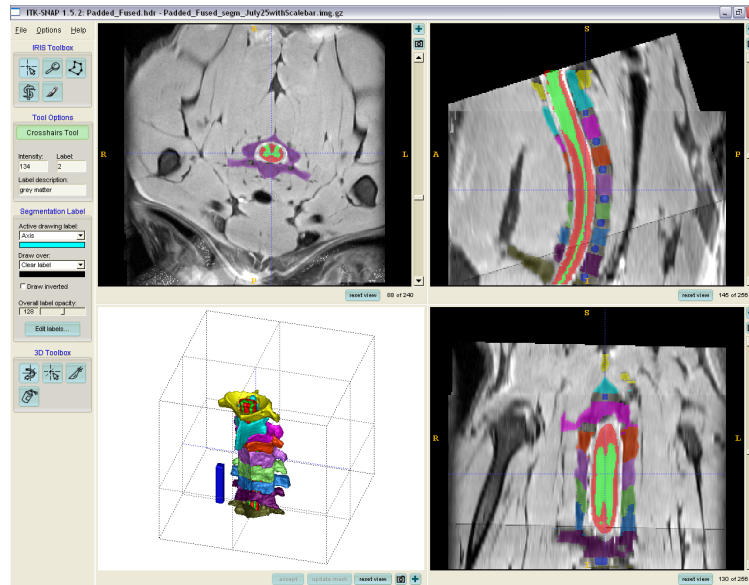
The contour evolution problem is solved by means of the level set method of [84, 100], in which the contour is prescribed as the zeroth level set of some function  $\phi$ , defined at every voxel in the image. Using the relation  $\vec{n} = \nabla\phi/\|\nabla\phi\|$ , (2.1) can be transformed to a PDE in  $\phi$ :

$$\frac{\partial}{\partial t}\phi(x; t) = F\nabla\phi \quad (2.3)$$

SNAP then efficiently solves (2.3) close to the zeroth level set (the level contour corresponding to  $\phi = 0$ ) using the Extreme Narrow Banding Method proposed by [119].

The weight parameters in (2.2) are left up to the user to define for a given situation in order to achieve the desired segmentation result. One of the great strengths of the SNAP Graphical User Interface (GUI) is that it allows the user to respond to the contour evolution process by altering these parameters in real time, enabling intuitive fine-tuning of the object segmentation. Furthermore, the somewhat abstract parameters are displayed alongside the general effect they have on the contour evolution— $\alpha$  is termed the “balloon force” controlling the magnitude of inward or outward force on the contour, and  $\beta$  is the “curvature force” affecting the smoothness of the contour.

<sup>9</sup>The following explanation of the active contour method used in SNAP is adapted from that given by Yushkevich et al. [124].



**Figure 2.3:** Extraction of rat cervical spine geometry. Geometry of the rat cervical spine was extracted from 7 T magnetic resonance images using ITK-SNAP, a semi-automated volume segmentation tool using 3D snake evolution [125]. A screenshot of the ITK-SNAP interface is shown after segmentation of the white and gray spinal cord, intervertebral discs, and C1 to T2 vertebrae. (Clockwise from top left) Axial, sagittal, and frontal views of the MRI data are displayed, with a 3D cursor that links all three. A 1 cm long scale bar is shown alongside the spine volumes at bottom left.

Figure 2.3 shows a screenshot of the SNAP GUI. Axial (top left), sagittal (top right), and frontal (bottom right) views of the MRI data are displayed, with a 3D cursor that links all three. In the sagittal slice, the fusion of the two MRI scans is evidenced by two intersecting rectangles.

The segmentation process for the rat cervical spine began with semi-automated segmentation to achieve rough object boundaries, primarily on the basis of contrast differences. Most objects also required significant “clean-up” work applied manually on a slice-by-slice basis, or via SNAP’s 3D paintbrush or cut-plane tools. This manual work often included removing artifacts located outside an object’s expected boundaries, or creating or enhancing specific attributes of an object such as in the creation of vertebral arterial canals.

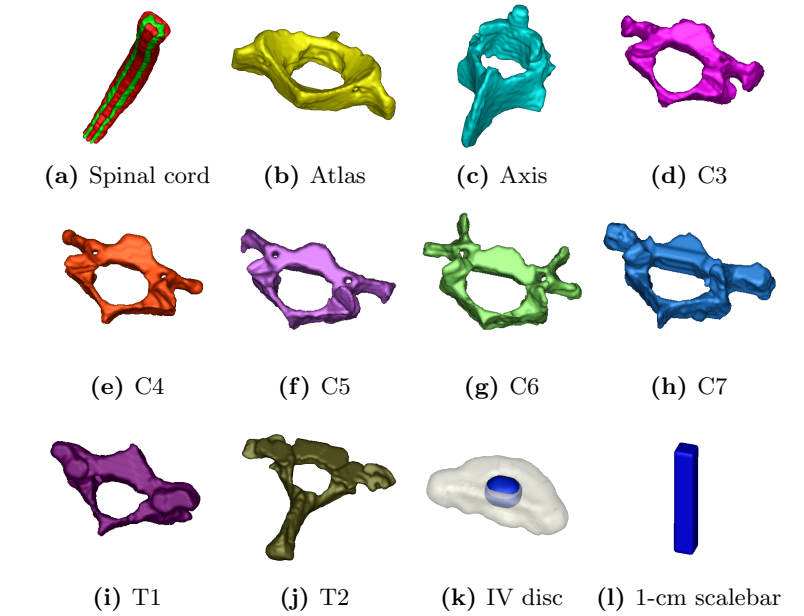
Manual intervention was especially necessary for creation of the zygapophyses at the boundaries between adjacent vertebrae, as well as for creation of the intervertebral discs; the subtle boundaries of these parts prevented them from being accurately segmented automatically, and they had to be estimated based on images from literature. In the case of the intervertebral discs, the nucleus pulposi were distinguishable by contrast in the MRI data, and as such provided a landmark for the disc locations.

Geometry for the white and gray matter of the spinal cord, intervertebral discs, and C1 to T2 vertebrae were exported from ITK-SNAP into Rapidform (INUS Technology, Seoul, Korea) and fit with analytical surfaces using non-uniform rational B-splines (NURBS) [88]. Figure 2.4 shows the geometric surfaces segmented from the MRI data<sup>10</sup>. A 3D model of these surfaces is also embedded in the electronic version of this document, in Appendix F.

### 2.1.2 Finite element meshing

The segmented surfaces were meshed initially in HyperMesh (Altair Engineering, Troy, MI) using hexahedral solid elements via the solid map tool for the white and gray cord and tetrahedral elements for the discs and vertebrae. Meshes were imported to PAM-CRASH (ESI Group, Paris,

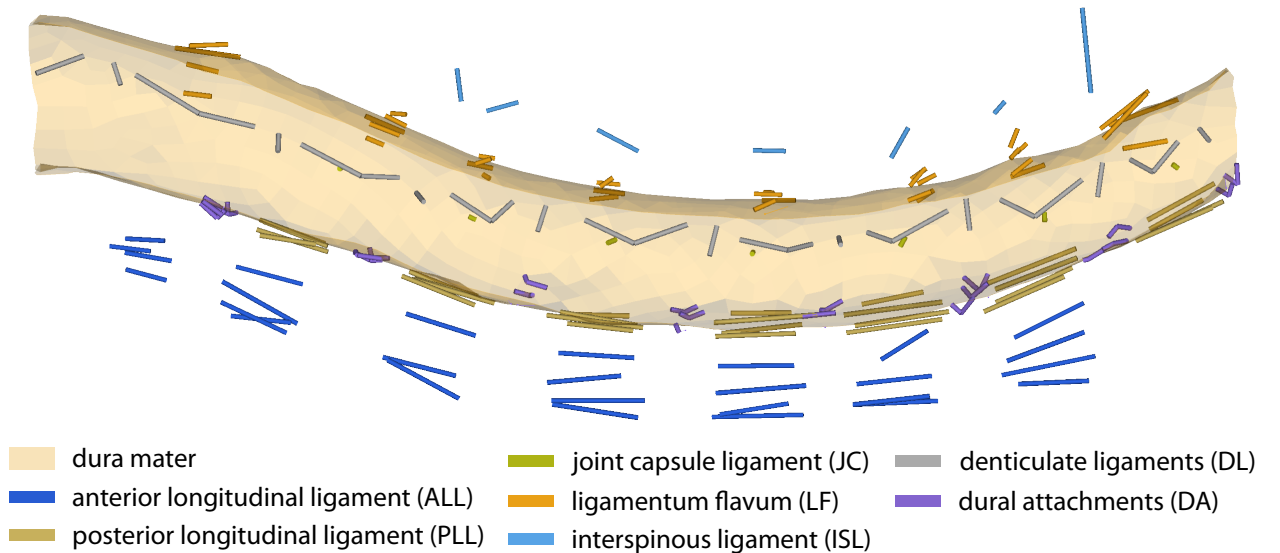
<sup>10</sup>Note that the Atlas and T2 vertebrae are slightly incomplete as they were located at the edge of the image data.



**Figure 2.4:** Surfaces segmented in ITK-SNAP [not shown to scale]

France), an explicit finite element software suitable for impact simulations, for further development in the Visual-Crash pre-processor software.

The dura mater could not be reliably identified in the acquired MRI scans and was instead created by expanding the surface of the cord based on MR images outlining the CSF by Franconi et al. [33]. The dura was then assigned a thickness of  $90\ \mu\text{m}$ , also based on the images with scale provided by Franconi et al. [33], and meshed with two layers of hexahedral solid elements. Finally, spinal ligaments were created by manually defining two-dimensional bar elements according to anatomical descriptions [40]. Figure 2.5 shows the dura mater and spinal ligaments included in the full cervical rat model.



**Figure 2.5:** The dura mater (shown cut along the sagittal plane) and spinal ligaments as modelled in the full cervical rat model.

## Ligament areas

The ligament parts consisting of bar elements required assigned cross sectional areas in order for the bar properties to be simulated. Since no data on the cross sectional areas of rat spinal ligaments could be found in the literature and physical examination was difficult due to the extremely small and delicate nature of these tissues, areas were scaled down from human values. Cross sectional areas for each ligament were scaled from those used in a human FE model [40] according to the ratio of the rat to human spinal cord cross sectional areas. This ratio was estimated to be 8.6% using an assumption of elliptical cross section and comparing each cord’s anterior-posterior (AP) and transverse diameter at the mid-cervical C3 level (see Table 2.1).

**Table 2.1:** Ratio of rat to human cord cross sectional areas

	AP diameter, $A$ (mm)	Transverse diameter, $B$ (mm)	Area of ellipse $= \frac{1}{4}\pi AB$ (mm <sup>2</sup> )
Human <sup>a</sup>	8.6	12.1	81.7
Rat <sup>b</sup>	2.55	3.52	7.0
Rat:Human ratio			0.086

<sup>a</sup>Human cord diameters shown here are taken from the model by Greaves et al. [40], the geometry of which was obtained from transverse cryosection images at 1 mm intervals provided by the Visible Human Project (National Library of Medicine).

<sup>b</sup>Rat cord diameters are those of my model, based on the geometry extracted from MRI as described above.

Table 2.2 shows the resulting cross sectional areas assigned to each ligament part in the model. The assigned part cross sectional area represents the area of a single bar element. Ligament areas were distributed equally between the bar elements of each ligament (at one spinal level and side, where appropriate).

**Table 2.2:** Cross sectional areas of spinal ligaments

Ligament	Human Area (mm <sup>2</sup> )	Rat Area (mm <sup>2</sup> )	# of Elements	Element Area (mm <sup>2</sup> )
ALL	20	1.72	5 per level	0.344
PLL	23	1.978	5 per level	0.396
JC	46	3.956	1 per level per side	3.956
LF	47	4.042	6 per level	0.674
ISL	13	1.118	1 per level	1.118
DL	0.25	0.0215	1 per region per side	0.0215
DA	5	0.43	4 per level per side	0.1075

### 2.1.3 Material properties

#### Spinal cord and dura

Biofidelic spinal cord material properties are crucial to yield reliable tissue deformation during FE simulation. While the difficulty of measuring and modelling soft tissue properties has so far precluded gathering enough data for a consensus on cord material properties, material tests of human and animal spinal cord have all demonstrated hyperelastic and viscoelastic behavior [7, 12, 31, 105]. I chose to use the same hyperviscoelastic Ogden and Prony material properties for the cord and dura presented by Maikos et al. [68] for consistency and to further test these material models in different injury conditions (Table 2.3). Cord hyperelastic properties were based on material tests of



rat spinal cord by Fiford and Bilston (2005), combined with viscoelastic properties of brain tissue from Mendis et al. (1995), and then calibrated by Maikos et al. [68] to fit their own weight-drop experimental behavior at impact velocities of 0.489–0.690 m/s. Properties for the dura were derived by mechanical testing of rat dura mater [67]. Appropriate conversions of material constants were performed because of differing notation conventions between PAM-CRASH and Abaqus (refer to Table 2.3), and Selective Reduced Integration was used for dura and cord elements for the optimal balance between accuracy and computational complexity.

**Table 2.3:** Material properties of spinal cord and dura

Tissue	Hyperelastic Ogden constants	Viscoelastic Prony series constants
Spinal cord	$\mu = 40.04 \text{ kPa}^a$ $\alpha = 4.7^c$ $\nu = 0.45^c$	$g_1 = 0.5282^b$ $\tau_1 = 8 \text{ ms}^c$ $g_2 = 0.3018^b$ $\tau_2 = 150 \text{ ms}^c$
Dura	$\mu = 207.41 \text{ kPa}^a$ $\alpha = 16.2^c$ $\nu = 0.45^c$	$g_1 = 0.3182^b$ $\tau_1 = 9 \text{ ms}^c$ $g_2 = 0.1238^b$ $\tau_2 = 81 \text{ ms}^c$ $g_3 = 0.0997^b$ $\tau_3 = 564 \text{ ms}^c$ $g_4 = 0.0997^b$ $\tau_4 = 4.69 \text{ s}^c$

<sup>a</sup>Adapted from Maikos et al. [68] with conversion  $\mu_{PC} = G_{0,AB}/\alpha$  due to difference in notation convention between PAM-CRASH (PC) and Abaqus (AB).

<sup>b</sup>Adapted from Maikos et al. [68] with conversion  $g_{i,PC} = G_{i,AB}/G_{0,AB}$ .

<sup>c</sup>Taken from Maikos et al. [68].

## Spinal ligaments

Although spinal ligaments do not play a role in experimental cord contusion injuries, they do play an important role in dislocation injuries, taking on part of the load applied to the vertebrae alongside the intervertebral disc. A Nonlinear Tension Only Bar (Material Type 205) material with linear elastic properties was used for all spinal ligaments [40]. Cross-sectional areas for the ligaments were scaled from the human values used in Greaves et al.’s (2008) model, and maximum strains were assigned based on values reported in the literature (Lee et al., 2006; Quinn and Winkelstein, 2007; Yoganandan et al., 2000) (Table 2.4).

Linear elastic material properties were used for the spinal ligaments, using the same elastic moduli as used by Greaves [41]. Material Type 205 - Nonlinear Tension Only Bar was used for all spinal ligaments to prevent them from resisting compression. The density of all ligaments was assumed be similar to water at  $0.001 \text{ mg/mm}^3$ , since no reported density values could be found.

Ligament failure strains were modelled based on the stiffness and maximum principal strain at failure for the rat joint capsule ligament (JC) [90]. Similar values for the ALL, PLL, LF, and ISL were based on published values [123].

## Intervertebral discs

The annulus fibrosus of the C4/C5 intervertebral disc was modelled as linear elastic (Material Type 1,  $E = 2.4 \text{ MPa}$ ) according to the properties used previously by Greaves et al. [40] (Table 2.5). The nucleus pulposus was not modelled for this study to reduce complexity, as it is not expected to play a role in the contusion or dislocation mechanisms. Discs were attached to neighboring vertebrae via

**Table 2.4:** Material properties of spinal ligaments (Material Type 205 - Nonlinear Tension Only Bar Element)

Ligament	Cross-sectional area per element, $A$ (mm <sup>2</sup> )	Youngs modulus, $E$ (MPa)	Stiffness factor, $k' = EA$ (N)	Mass per unit length, $\mu$ (g/mm)	Failure strain, EPSLN_u
ALL	0.344 <sup>a</sup>	35.2 <sup>b</sup>	12.11	0.000344	0.308 <sup>c</sup>
PLL	0.396 <sup>a</sup>	35.7 <sup>b</sup>	14.14	0.000396	0.182 <sup>c</sup>
JC	3.956 <sup>a</sup>	4.9 <sup>a</sup>	19.38	0.003956	1.51 <sup>d</sup>
LF	0.674 <sup>a</sup>	3.8 <sup>b</sup>	2.56	0.000674	0.77 <sup>c</sup>
ISL	1.118 <sup>a</sup>	5 <sup>b</sup>	5.59	0.001118	0.609 <sup>c</sup>
DL	0.0215 <sup>a</sup>	5.8 <sup>b</sup>	0.1247	0.0000215	0.087
DA	0.1075 <sup>a</sup>	35.7 <sup>b</sup>	3.838	0.000108	0.182

<sup>a</sup>Scaled from Greaves et al. [40].<sup>b</sup>Taken from Greaves et al. [40].<sup>c</sup>Taken from Yoganandan et al. [122].<sup>d</sup>Taken from Quinn and Winkelstein [90].

spot welds, which link element nodes between adjacent parts, to simulate the vertebral endplate connection. Preliminary simulations were performed to calibrate spot-weld rupture criteria to achieve simulated disc endplate failure coinciding with experimental failure predicted by Choo et al. [14] based on force history measured during injury; the resulting criteria were ultimate tensile and ultimate shear strengths of 0.15 MPa.

**Table 2.5:** Material properties of intervertebral disc and endplate spotwelds (Material Type 1 - Elastic-Plastic for Solid Elements)

Structure	Youngs modulus, $E$ (MPa)	Ultimate tensile strength (MPa)	Ultimate shear strength (MPa)
Disc annulus fibrosus	3.4 <sup>a</sup>		
Endplate spotwelds		0.15	0.15

<sup>a</sup>Taken from Greaves et al. [40].

#### 2.1.4 Fluid-Structure Interaction and the cerebrospinal fluid

Persson et al. [86] recently demonstrated the importance of including the incompressible fluid behavior of the CSF in models of SCI, using an ovine FE model with fluid-structure interaction (FSI). Previously, FE models of SCI have omitted the CSF [40, 63, 98], or modelled it as a quasi-fluid using solid elements [68]. This study proposes the Smoothed Particle Hydrodynamics (SPH) method [75] as an efficient means to include interaction between the cord, dura and CSF in impact simulations.

HyperMesh (Altair Engineering, Troy, MI) was used to define simple cubic elements distributed regularly in the volume between the dura and cord elements (mesh pitch of 0.075 mm and ~153,000 elements). These were converted to SPH point elements in PAM-CRASH and a Murnaghan Equation of State model (Material Type 28) was used to model the fluid, with pressure defined relative to current and initial density (set to 0.001 g/mm<sup>3</sup>) as:

$$P = B \left( \left( \frac{\rho}{\rho_0} \right)^7 - 1 \right).$$

This model was proposed previously by Monaghan [76] as an efficient means for modelling fluid

flow when the fluid velocity is much lower than its speed of sound propagation. A parameter,  $B$ , is set to artificially reduce the speed of sound in the fluid in order to decrease the minimum solution time step and thus increase computational efficiency. This strategy is shown to have minimal effects on the density variations and fluid behavior provided that the reduced speed of sound is maintained at least ten times the maximum flow velocity [24, 76]. To be conservative, preliminary simulations were run by reducing the value of  $B$  until the fluid simulation was no longer the limiting factor in the minimum simulation time step, yielding an optimal value for  $B$  in the current simulations of 200 MPa. This value set the speed of sound in the CSF at roughly four hundred times the maximum flow velocity observed in my simulations of  $\sim 3$  m/s.

## 2.2 Material model investigation

### 2.2.1 Nonlinear regression of rat cord tensile data

The approach of Goh et al. [38] was used to fit data provided by Bilston, which were previously reported [30]. Assuming separable hyper- and visco-elasticity, the stress for a hyperelastic model combined with Prony series viscoelasticity is expressed as the sum of those two components,

$$\begin{aligned}\sigma(t) &= g_\infty \sigma_0(t) + \sum_{i=1}^N \int_0^t g_i e^{-\frac{t-s}{\tau_i}} \frac{d\sigma_0(s)}{ds} ds \\ &= g_\infty \sigma_0(t) + \sum_{i=1}^N h_i(t)\end{aligned}\tag{2.4}$$

Briefly, Goh et al. [38] showed that by discretizing Equation 2.4, it is possible to derive an algorithm that allows solving for  $\sigma(t)$  for an arbitrary known applied strain history,  $\epsilon(t)$ . Discretizing the integral in,  $h_i(t)$ , Goh et al. [38] derived a recursive equation for stress,

$$\sigma(t_{n+1}) = g_\infty \sigma_0(t_{n+1}) + \sum_{i=1}^N \left( e^{-\frac{\Delta t}{\tau_i}} h_i(t_n) + g_i \frac{1 - e^{-\frac{\Delta t}{\tau_i}}}{\Delta t / \tau_i} [\sigma_0(t_{n+1}) - \sigma_0(t_n)] \right).\tag{2.5}$$

Equation 2.5 is flexible in that it allows any arbitrary hyperelastic model to be used. To fit uniaxial tissue testing data to the Ogden hyperelastic model, I used the corresponding uniaxial deformation form of the stress equation<sup>11</sup>,

$$\sigma_0(\lambda) = 2\mu \left( \lambda^{\alpha-1} - \lambda^{-\frac{1}{2}\alpha-1} \right),\tag{2.6}$$

where  $\mu$  is the initial shear modulus,  $\alpha$  is a parameter describing the hyperelastic nonlinearity, and the stretch ratio,  $\lambda$ , is defined as  $\lambda = 1 + \epsilon_{eng} = \frac{L_{final}}{L_{initial}}$ .

I implemented this algorithm in MATLAB (see Appendix C.1) and used the nonlinear least squares curve fitting function *lsqcurvefit* to optimize the hyperviscoelastic Ogden and Prony parameters against a known strain history and corresponding stresses. Ogden et al. [83] previously recommended *lsqcurvefit* for optimizing Ogden hyperelastic model constants, and the function also performed well with my hyperviscoelastic algorithm.

Validation of the curve fitting algorithm implementation was performed by plotting and recreating expected results for hyperviscoelastic models by Miller and Chinzei [74], Snedeker et al. [104] and the hyperelastic model used by Greaves [41].

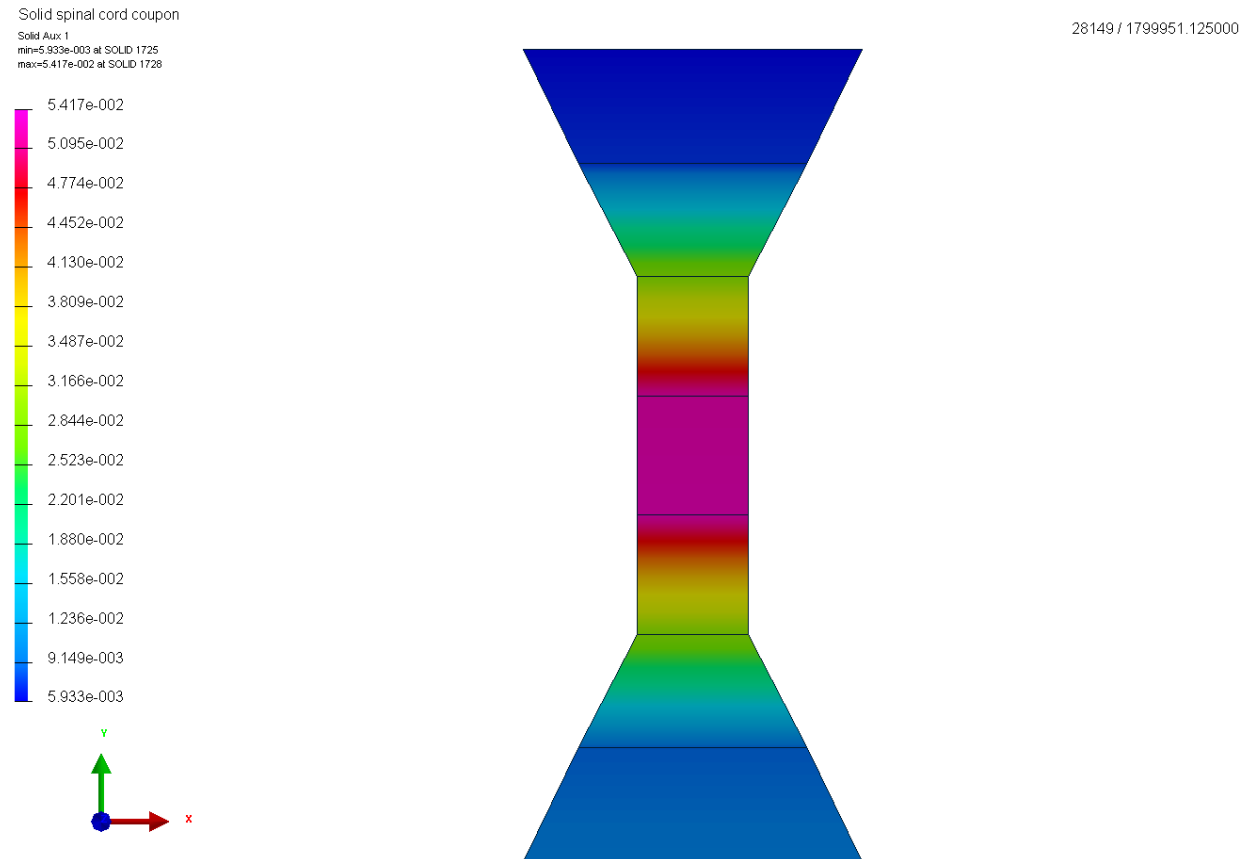
<sup>11</sup>Note that Equation 2.6 was implemented using the PAM-CRASH definition of  $\mu_{PC} = \frac{\mu}{\alpha}$  compared to parameter values in the alternative convention used by Goh et al. [38] and others.

I also simulated the experiments by Fiford and Bilston [30], using material constants from fitting the hyperviscoelastic model to their 0.2/s strain rate to 5% peak strain test condition to simulate the other conditions.

### 2.2.2 Tensile coupon simulations

In order to assess the hyperviscoelastic material models available in PAM-CRASH in a more controlled fashion, I created a simple tissue coupon model, similar to the shape used experimentally for uniaxial tension tests of engineering materials. I fixed the bottom coupon nodes and applied a velocity of 0.006 mm/ms to the top coupon nodes (corresponding to the 0.2/s strain rate and 30 mm gauge length of experiments by Fiford and Bilston [31]) over 250 ms to a peak of 5% applied total strain. Simulations were performed using both PAM-CRASH Material Type 37 (Viscoelastic Ogden Rubber for Solid Elements, G-Based Viscous Response) and Material Type 38 (Viscoelastic Ogden Rubber for Solid Elements, Ogden-Based Viscous Response<sup>12</sup>), which are both hyperviscoelastic models combining Prony series viscoelasticity with the Ogden hyperelastic model. I assigned the material parameters derived from my fit to the data from Fiford and Bilston [31].

I performed simulations using both single and double precision simulation arithmetic to detect any difference in results. Figure 2.6 shows the coupon simulation model, demonstrating a peak 5.4% local strain along the central element. I plotted the stress time histories of the central coupon element to assess and compare material model behaviour.



**Figure 2.6:** First principal strain distribution for a tensile coupon simulation.

<sup>12</sup>The Material 38 model was an undocumented new feature early in my project, but was added as a fully documented feature in later PAM-CRASH revisions.

## 2.3 Validation

### 2.3.1 Initial weight-drop validation

As a first step of validation and to verify whether the model was behaving similarly to that created by Maikos et al. [68], similar 12.5 mm weight-drop contusion simulations were recreated using the same boundary conditions. For these simulations a 10 g cylindrical impactor with a flat 2.5 mm diameter head was modelled and assigned a 0.489 m/s initial velocity, with a starting position at initial contact with the dura. Vertebrae were modelled as rigid and the simulation was run for 5.5 ms to capture the peak cord compression. Additional simulations were run with nonhomogeneous white and gray matter material properties to gauge the effect of inhomogeneity. The stiffness of the white matter was increased by 20% by increasing  $G_\infty$ ,  $G_1$  and  $G_2$ . The gross stiffness of the spinal cord was maintained by reducing that of the gray matter to compensate, according to the volume fractions of each cord component [68]. For the full medium mesh model the white matter comprised 96,000 elements for a total volume of 81 mm<sup>2</sup>, while the gray matter comprised 73,920 elements and a volume of 53.7 mm<sup>2</sup>, yielding volume fractions of 60.1% white and 39.9% gray matter; the appropriate calculated decrease in gray matter stiffness was 30%.

#### Mesh size comparison

Simulations were run with three spinal cord element mesh sizes, to assess convergence of the solution for decreasing element size and enable the choice of the optimal element size to balance computational efficiency against solution accuracy. The medium mesh size had element edge length of approximately 0.3 mm, while the coarse and fine mesh size elements were roughly 8 times and  $1/8^{th}$  the volume of the medium mesh elements.

### 2.3.2 Velocity and mechanism validation

Further validation of the model was conducted by comparing force and displacement results to the corresponding measurements reported previously by Sparrey et al. [107] and Choo et al. [14], for each of the injury velocity and injury mechanism simulations. During attempted validation of the injury velocity experiments against force-displacement curves reported by Sparrey et al. [107], close observation revealed that displacement measurements for the 300 mm/s trials appeared to lag behind force measurements by 0.8 ms, with indenter force starting to increase from baseline before displacement had begun. I therefore shifted displacement data for the 300 mm/s experiments forward 0.8 ms and plotted experimental results against both the shifted and unshifted data.

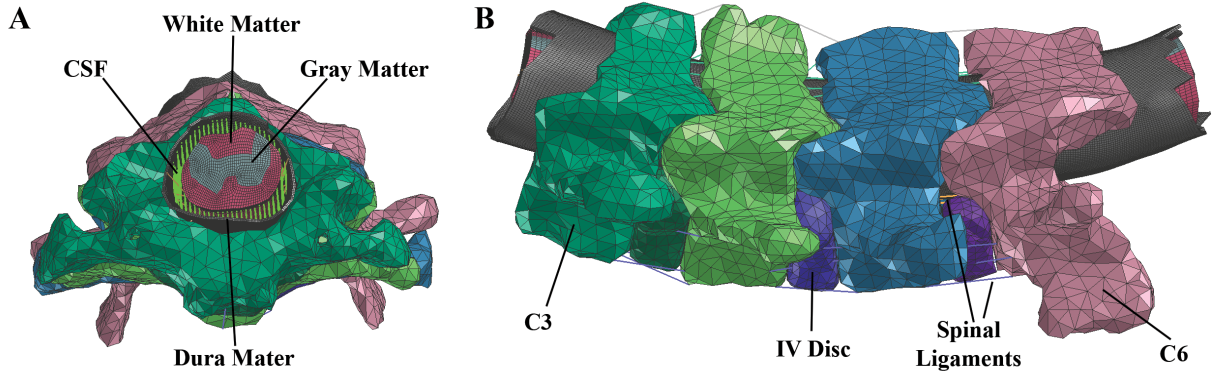
Contusion and dislocation mechanism simulation validation was attempted by comparing applied forces to the experimentally reported values [14, 15]. The spinal cord contusion force was validated with the more recent study [15] where improvements in instrumentation provided a more accurate measurement of the smaller forces measured during contusion.

## 2.4 Injury simulation

Prior to injury velocity and mechanism simulations, I reduced the complexity of the model to include only the four vertebrae (C3-C6) located near the injury epicentre at C4/C5 (Figure 2.7).

### 2.4.1 Injury velocity experiments

I performed simulations to model the 300 mm/s and 3 mm/s contusion injuries performed by Sparrey et al. [107] to investigate differences in finite element strain patterns according to injury velocity, which is thought to be an important factor in spinal cord injury [52, 58, 110].

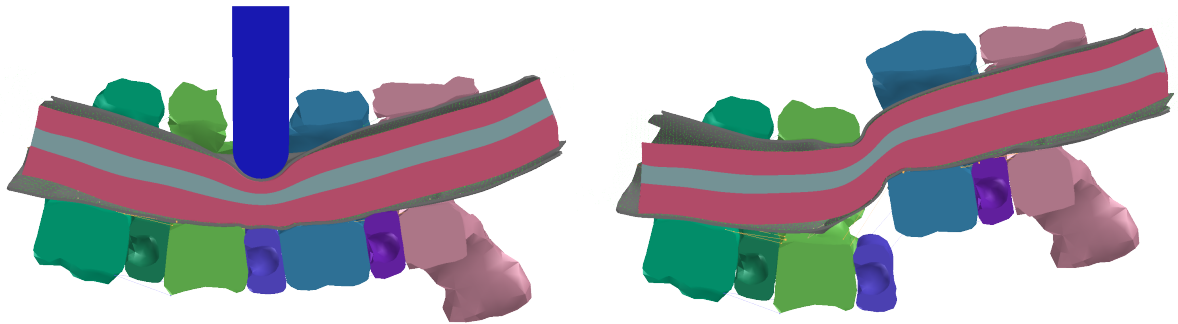


**Figure 2.7:** The full rat cervical model was reduced to the four-vertebra (C3-C6) segment shown here to reduce computational complexity.

A rigid, flat-headed indenter was modelled after that used experimentally, and the average experimental displacement profile for each of the 300 mm/s and 3 mm/s experiments was applied to the indenter model. Contusion simulations were begun by simulating the 0.015 N dura touch force start position employed by Sparrey et al. [107] with a small 0.3 mm displacement ramp over 30 ms.

#### 2.4.2 Injury mechanism experiments

The loading and boundary conditions for the contusion and dislocation simulations were modelled to recreate the experiments by Choo et al. [14, 15] as closely as possible (Figure 2.8). All vertebrae (C3-C6) were modelled as rigid, as well as the intervertebral discs with the exception of the disc directly at injury epicentre (C4/C5). Friction between the contacting vertebrae, dura and cord was not included in the model as it has been found to have negligible influence [68]. Ends of the dura were constrained to prevent axial motion in order to encourage biofidelic membrane behavior and avoid flapping [68]. No boundary conditions were imposed on the CSF particles at either open end of the model as preliminary simulations showed minimal fluid leakage in the short time period up to peak displacement and to avoid non-biofidelic reflections at these locations from confusing results.



**Figure 2.8:** Sagittal cross-sections of the four-vertebra spine model (C3-C6) are shown, demonstrating contusion and dislocation injury mechanism simulations during displacement.

#### Contusion

For the contusion simulation, elements from C4 and C5 vertebrae were removed to represent the partial laminectomy performed experimentally. This opening made way for a rigid indenter modelled after the 2 mm spherical headed steel indenter. The indenter was located at the dural surface and aligned normal the surface. Indenter motion was enforced by applying a velocity ramp from

110 cm/s (corresponding to the average experimental peak velocity just prior to impact) down to 0 over 3.2725 ms, and continuing to -110 cm/s to return to the starting position. Note that in the FE simulation, strictly defining the velocity profile over time also results in the corresponding displacement profile being strictly enforced, unlike in experimentally controlled devices where some form of feedback is guiding the control. This trajectory results in a peak indenter displacement of 1.8 mm and a peak cord compression of 1.08 mm (defined as the indenter displacement after first contact with the cord).

### Dislocation

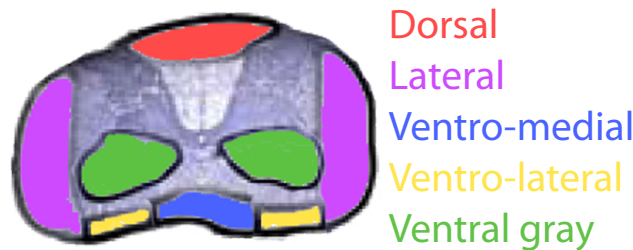
Prior to the dislocation simulation, the facet joints and dorsal ligaments between C4/C5 vertebrae were removed as was performed experimentally to increase injury repeatability by eliminating residual facet dislocation. The C3 and C4 vertebrae were constrained in all directions while C5 and C6 were displaced 2.5 mm dorsally from rest by applying velocity ramps up to 95.1 cm/s over 2.629 ms, down to -95.1 cm/s and back to rest.

### Distraction

Distraction simulations were also conducted with the model to see how it would perform under that axial tension mechanism, although the model was not designed specifically with distraction simulation in mind. For these simulations, vertebrae C3 and C4 were rigidly constrained while C5 and C6 were translated caudally by 4.1 mm with a peak velocity of 110 cm/s.

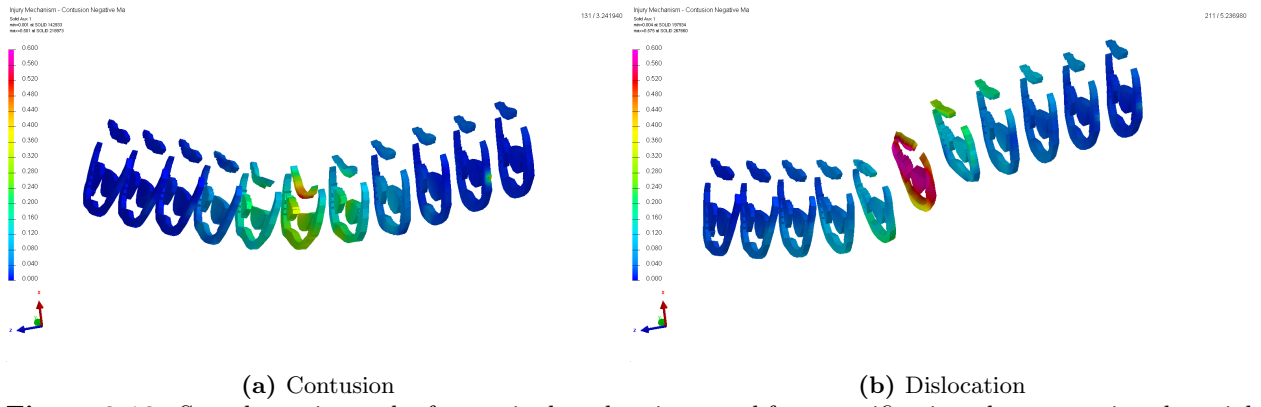
## 2.5 Correlation with histology

Regional zones were outlined in the model and spaced at 1 mm axial slice intervals from the injury epicentre to reflect the dorsal, lateral, ventromedial, and ventrolateral white matter and ventral gray matter regions in which Choo et al. [14] quantified membrane permeability to dextran (Figure 2.9,2.10). For each injury mechanism, mean membrane permeability (axons/mm, or % cells in gray matter) was plotted against mean peak values of maximum principal strain (mm/mm) for each region by matching data according to slice position (see Figure 2.11. A detailed procedure for extracting the maximum principal strain data for elements within the specified regions is given in Appendix B. For reference, all other principal strains and principal stresses were also plotted in this manner, although in general these were found to agree less with membrane permeability than did maximum principal strain (see Appendices D and E).

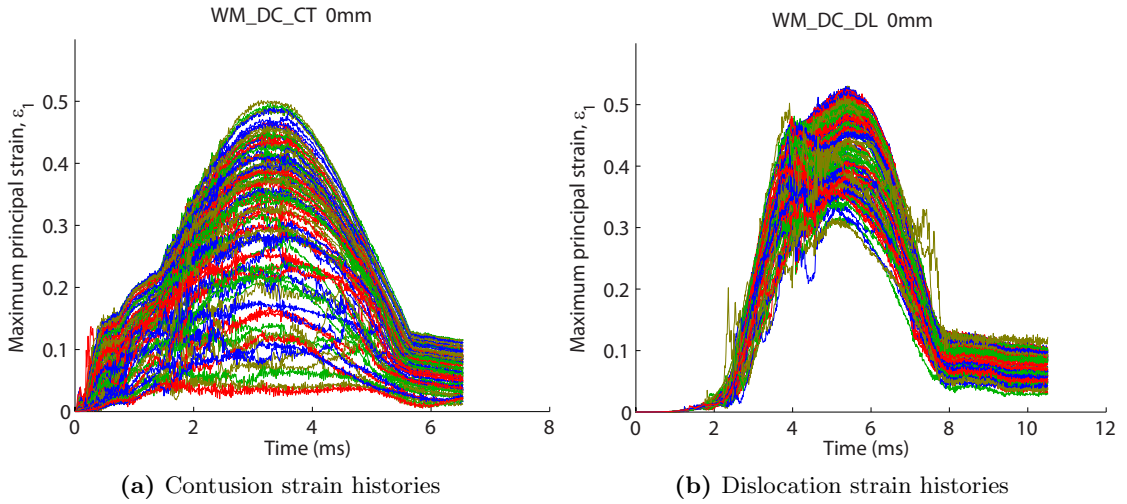


**Figure 2.9:** Regions of the spinal cord used for quantification of strain and histology. [Illustration adapted with permission from Choo et al. [15].]

Data from the white matter regional correlations were pooled to investigate whether an overall relationship existed between strain and tissue damage. Data from the gray matter region were analyzed separately as these data were quantified differently (% cells) from white matter regions [14]. Data corresponding to regions at the injury epicentre for the dislocation mechanism were excluded from all correlations because immediate hemorrhagic necrosis in this region precluded accurate quantification of dextran-positive cells and axons.



**Figure 2.10:** Sample strain results from spinal cord regions used for quantification, demonstrating the axial spacing of 1 mm between regional zone slices.



**Figure 2.11:** Examples of finite element strain time histories. Strain histories for all elements within the dorsal column of the white matter (WM\_DC) in the slice at injury epicentre (0 mm) are shown for contusion (CT) and dislocation (DL). The maximum value for each element was found and the average of these maxima across all elements yielded the mean peak strain for that regional slice.

### 2.5.1 Statistical analysis

Linear regression was used to quantify correlations between maximum principal strain in each region and for the pooled white matter data and the gray matter region.  $R^2$  correlation coefficients were calculated for each mechanism along with corresponding  $p$ -values.



# Chapter 3

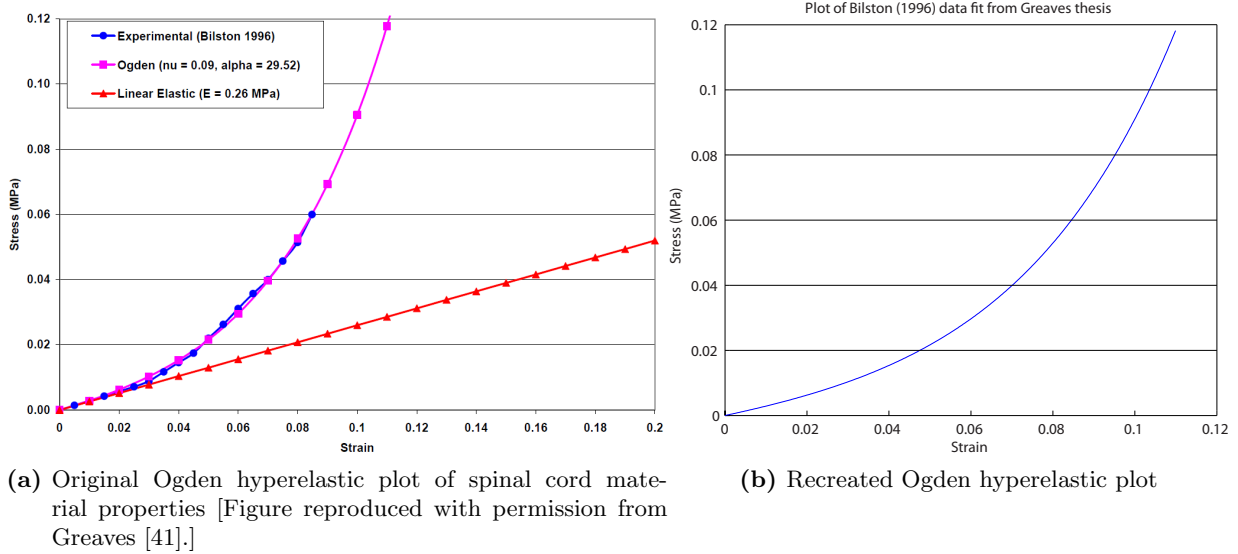
## Results

### 3.1 Material model investigation

#### 3.1.1 Nonlinear regression of rat cord tensile data

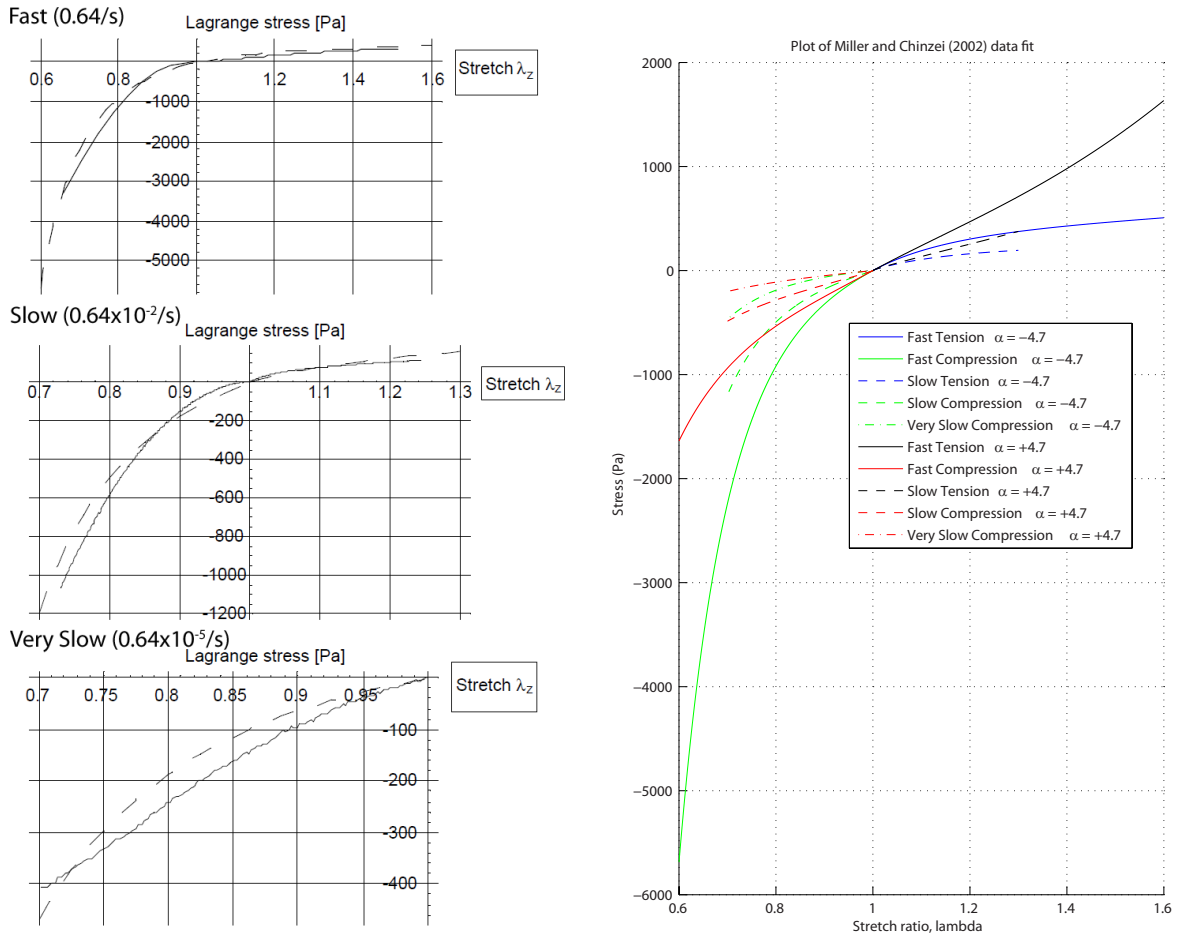
I found my hyper-viscoelastic curve fitting routine (described in Section 2.2.1) to be a useful tool in comparing and understanding the various experimental results and material models found in the literature. Specifically, my code enabled a direct comparison of the stress versus strain behaviour for different choices of spinal cord Ogden and Prony material parameters in both tension and compression, and was used to uniquely fit optimized parameters to describe experimental material testing data. Furthermore, the method allows straightforward demonstration of hyper-viscoelastic model behaviour at various strain rates and ranges.

I checked for correct implementation of the hyperviscoelastic material modelling in the routine by successfully recreating stress-strain results from several previous models Greaves [41], Miller and Chinzei [74], Snedeker et al. [104]. Plots generated by using the respective material properties used in each previous study showed the same stress-strain responses shown in those studies (Figures 3.1-3.3).



**Figure 3.1:** Recreation of hyperelastic plot from Greaves [41]. I verified correct implementation of my Ogden hyperelastic code by plotting the curve generated from Greaves' Ogden parameters and observing the same stress-strain response.

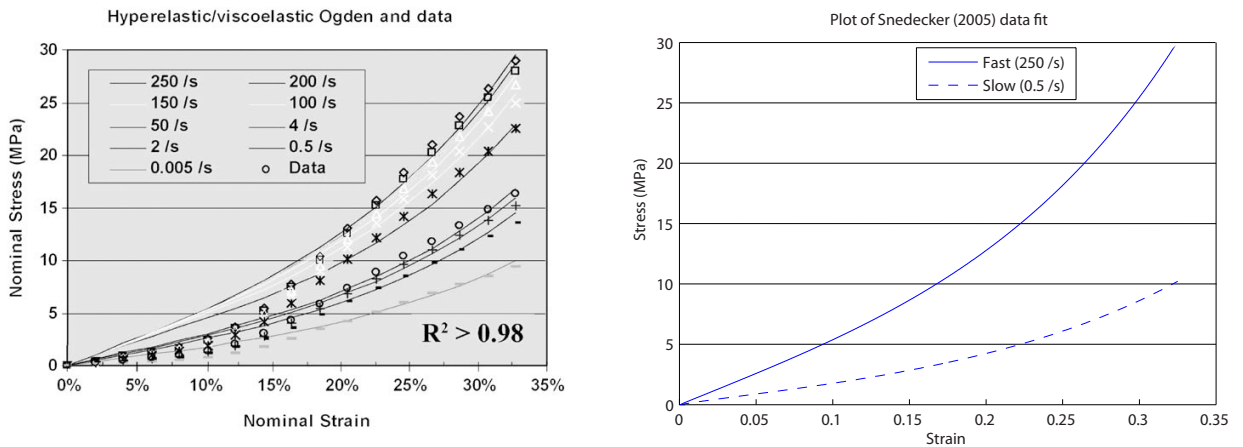
Figure 3.4 compares the results from a fit to the Fiford and Bilston [31] experimental data to those corresponding to the material model used by Maikos et al. [68]. The blue line and data points show the Fiford and Bilston [31] data corresponding to a peak 5% tensile strain at a strain rate of 0.2/s. A fit to that data is shown by the dotted line, including continuation of the model beyond 5% tensile strain (stretch ratio  $\lambda = 1.05$ ) and negative into compressive strain results ( $\lambda < 1$ ). The nonlinear least-squares parameter fit to the data yielded a hyperelastic nonlinearity term,  $\alpha$ , of 50, and this fit was also used to plot results for higher strain rates of 1/s and 100/s, corresponding



(a) Original Ogden hyperviscoelastic plots of porcine brain material properties at three strain rates [Figure reproduced with permission from Miller and Chinzei [74]]. Experimental data are denoted with a solid line and model fits with a dashed line. Note that the Lagrange stress is defined as the applied force divided by the original cross-sectional area.

(b) Recreated Ogden hyperviscoelastic plots. Plots for both  $\alpha = 4.7$  (as used by Maikos et al. [68]) and  $\alpha = -4.7$  (as used by Miller and Chinzei [74], matching plots in (a)) are shown, to demonstrate different stress-strain behaviour in compression and tension for the different nonlinear parameter values (see Equation 2.6).

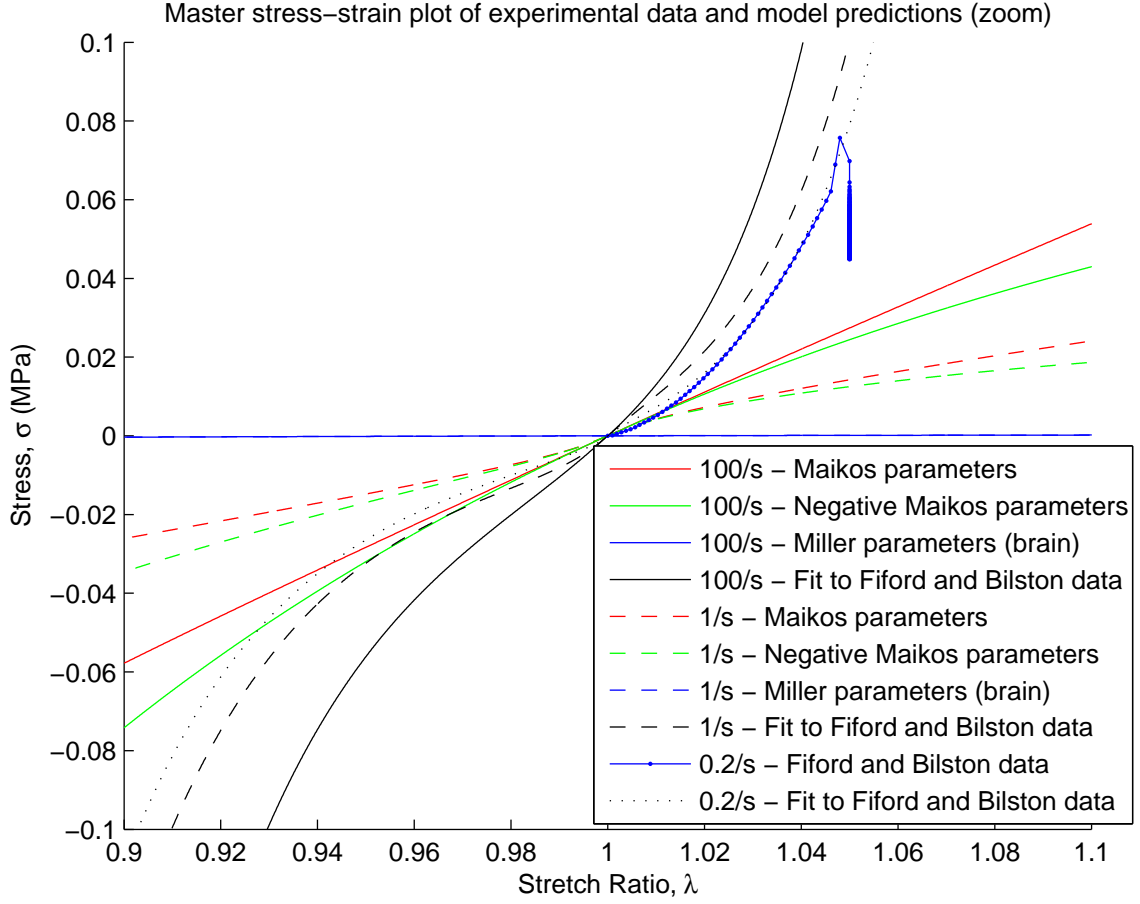
**Figure 3.2:** Recreation of hyperviscoelastic plots from Miller and Chinzei [74]



(a) Original Ogden hyperviscoelastic plots of kidney capsule material properties [Figure reproduced with permission from Snedeker et al. [104].]

(b) Recreated Ogden hyperviscoelastic plots for high (250/s) and low (0.5/s) strain rates

**Figure 3.3:** Recreation of hyperviscoelastic plots from Snedeker et al. [104]

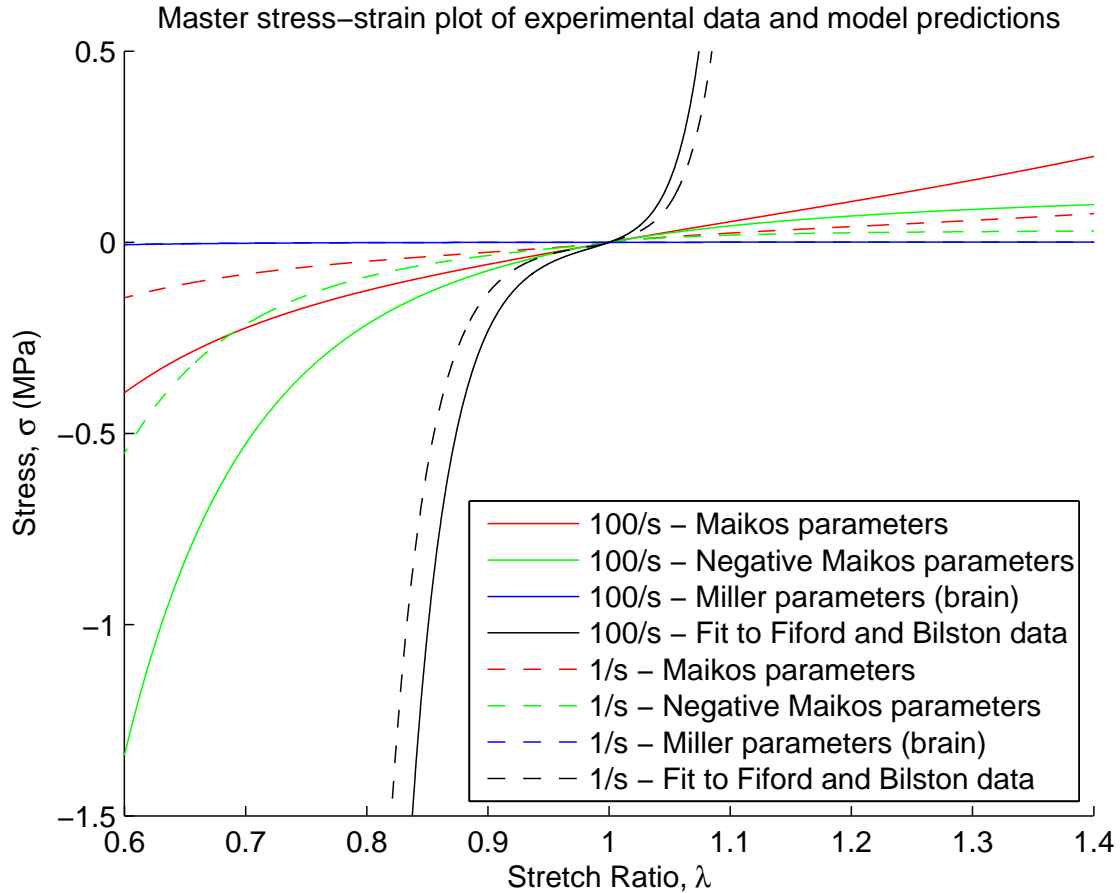


**Figure 3.4:** Stress-strain plots of experimental data and model predictions

to the spinal cord contusion scenarios at impact velocities of 3 mm/s and 300 mm/s, respectively (see dashed and solid black lines). Corresponding results at the same strain rates are also plotted for the parameter set used by Maikos et al. [68] (including a value for  $\alpha$  of 4.7), as denoted by the dashed and solid red lines.

The first observation from these results is that of the change in stress-strain behaviour when increasing the strain rate – higher rates result in a relative increase in cord stiffness, with higher stress values for the corresponding stretch ratios, indicative of the viscoelastic aspect of the material models.

Secondly, the material model exhibits markedly different stress-strain behaviour when using the Maikos et al. [68] parameters compared to the fit to the Fiford and Bilston [31] data, with lower stresses observed at all stretch ratios and a noted absence of the typical J-shaped hyperelastic curve in the tensile region. These differences are even more apparent when seen over a larger range of stretch ratio (Figure 3.5). Such discrepancies indicate that we still have some way to go to reconcile tissue material testing data with finite element material modelling results. Indeed, my own FE simulation attempts using the parameters obtained from fitting the Fiford and Bilston [31] data yielded an unreasonably stiff cord with contact forces orders of magnitude higher than experimental values; certainly the extrapolation of those material properties obtained for low strains ( $< 0.05$ ) is not valid to the higher strains encountered in traumatic SCI mechanisms. Yet that is not to say we can't still obtain useful results – that can enhance our understanding of dynamic cord deformation during SCI and be related to risk for tissue injury – using current models for material properties in certain strain and strain rate regimes, as demonstrated by the results of Maikos et al. [68].



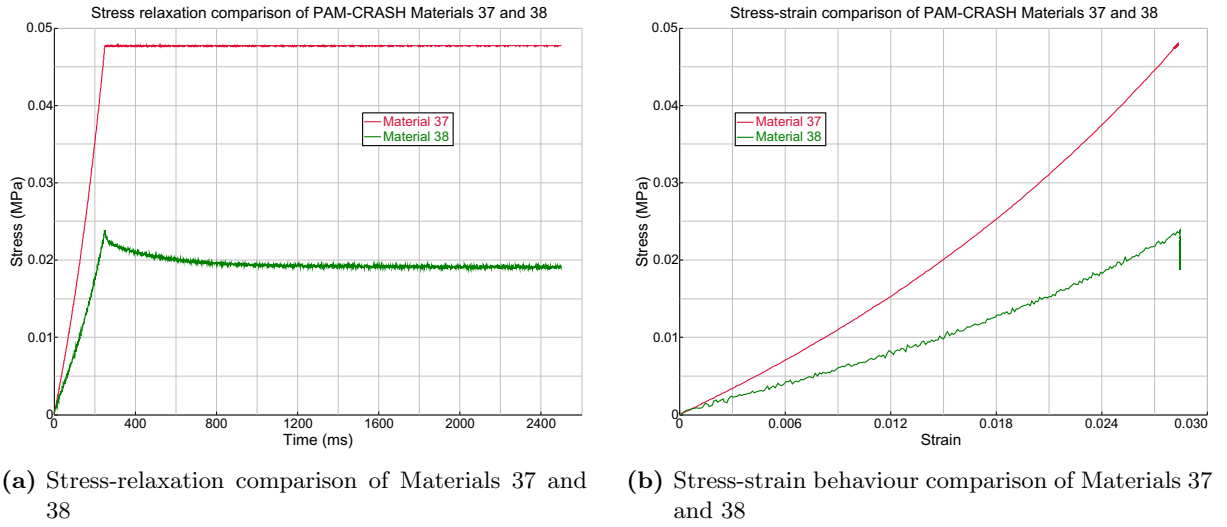
**Figure 3.5:** Stress-strain plots of experimental fit and model predictions – wide stretch ratio range

### 3.1.2 Tensile coupon simulations

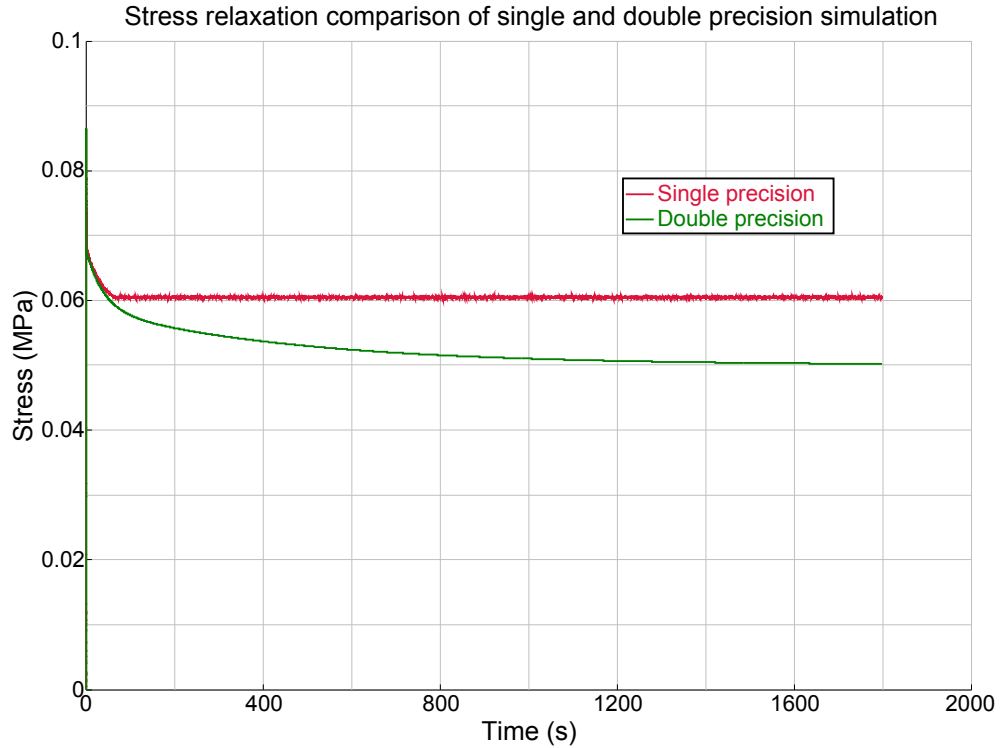
Reducing the complexity of a PAM-CRASH finite element model to the case of a simple tensile coupon sample allowed for the focused examination of material model performance. Coupon simulation results demonstrated that the Prony series viscoelasticity as implemented in Material 37 in PAM-CRASH behaved much differently than the standard implementation seen in the literature [38, 67, 68, 73, 104].

The Prony series viscoelastic stress terms for Material 37 are added on top of the underlying hyperelastic stress (and then decay in time following a deformation), while the standard implementation (as implemented in Material 38) instead subtracts the viscoelastic stress terms from the base hyperelastic stress during relaxation; this discrepancy yields different material behaviour despite using equivalent material parameters (Figure 3.6).

A longer coupon simulation that was run for 1800s, to include the full viscoelastic relaxation phase of the tensile experiment, demonstrated the importance of using double precision FE simulation arithmetic to properly model the relaxation behaviour – single precision resulted in truncation of the viscoelastic material parameters, leading to different relaxation behaviour than expected (Figure 3.7). Moreover, time savings for simulations run with single versus double precision are very modest (roughly 10-20% in my comparisons), thus double precision was chosen for all further simulations.



**Figure 3.6:** Tensile coupon simulation comparison of PAM-CRASH hyperviscoelastic materials. Both PAM-CRASH Ogden-Prony models, Materials 37 and 38, used the same Ogden and Prony parameters and were subjected to the same strain history but exhibited markedly different stress responses. Material 38 behaves according to the standard material model implementation in the literature, while Material 37 yields much a much stiffer response that lacks the characteristic stress relaxation.

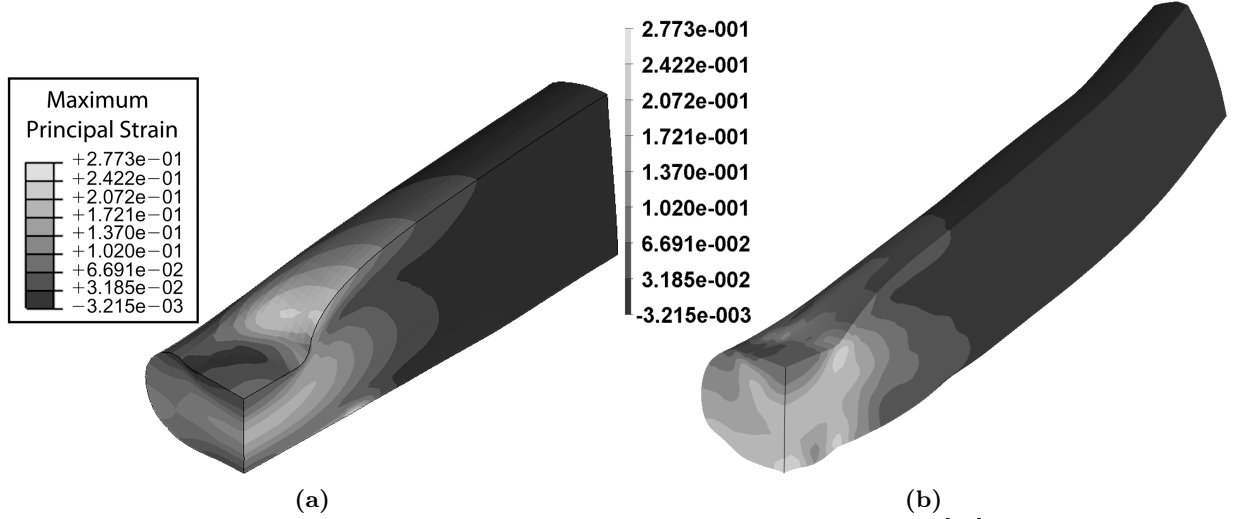


**Figure 3.7:** Effect of single versus double precision simulation arithmetic on long-term stress relaxation. Single precision simulation resulted in truncated viscoelastic decay terms and a corresponding cutoff of stress relaxation for long term simulation, though single and double precision results matched in the short term ( $< 50$  s of relaxation).

## 3.2 Validation

### 3.2.1 Initial weight-drop validation

Recreating the weight drop simulations performed previously by Maikos et al. [68] was the first method of validating the UBC finite element model. This rough validation was an important first step to confirm correct gross performance of the model. This step was especially necessary due to the difference in finite element solver software used by the two models, and the corresponding differences in material property notation convention and implementation.

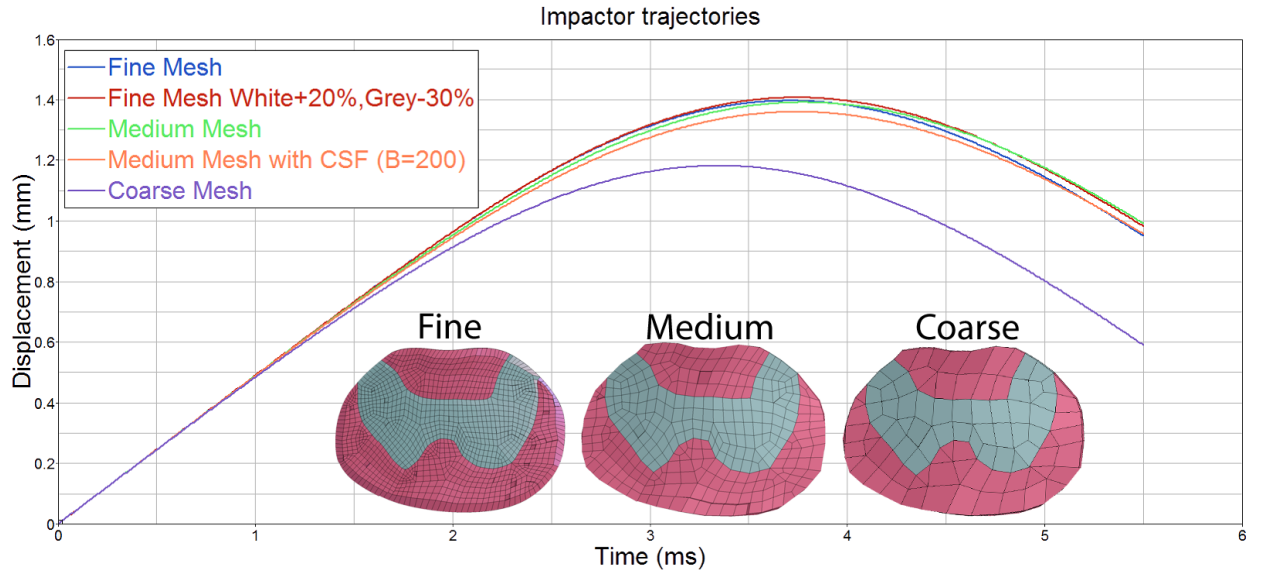


**Figure 3.8:** Maximum principal strain distribution comparison of Maikos et al. [68] 12.5 mm weight-drop contusion results (a) with UBC model results (b). [Figure in (a) adapted from Maikos et al. [68].]

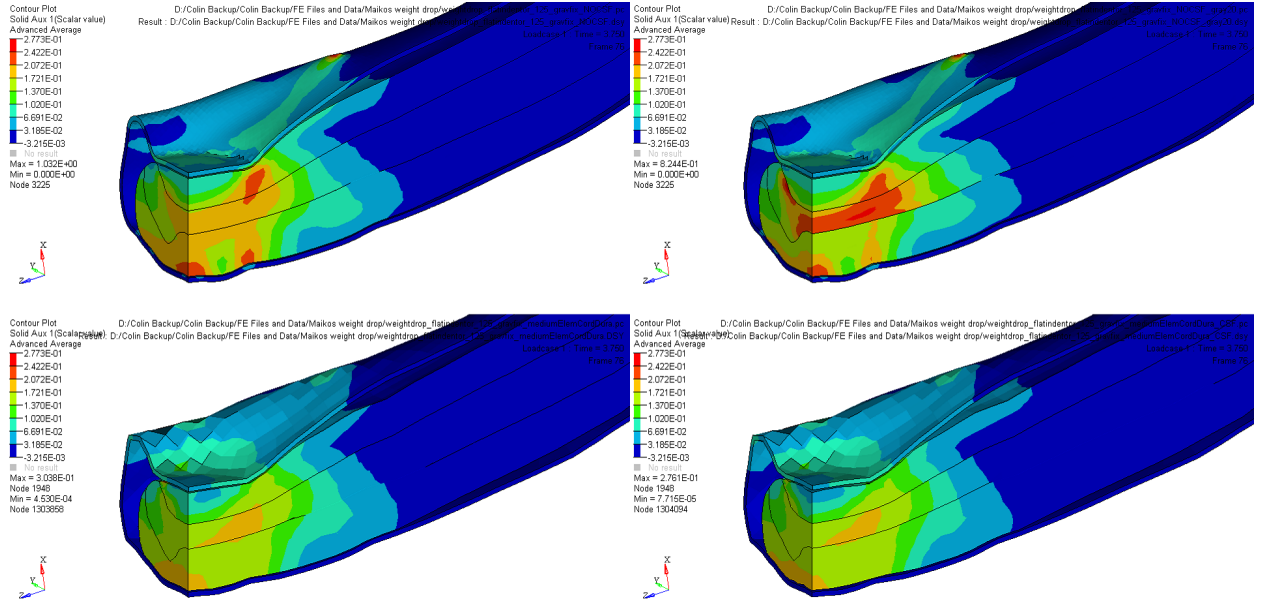
Both models show a similar overall pattern with corresponding nominal values in the strain distribution, indicating that both models behave similarly despite using different FE software and model creation methods (Figure 3.8). The results are not expected to be completely the same since the model by Maikos et al. [68] is of the thoracic rat spine, while the UBC model is of the cervical rat spine. One notable difference between the results is that the strain plot from my model appears less smooth than the Maikos results, especially near the dorsal edge of the cord (at the bottom of Figure 3.8). That discontinuity in strain along the dorsal cord appears to be caused by the rough local surface of the vertebra in my model.

Figure 3.9 depicts the variation of the simulated 12.5 mm weight-drop impactor trajectory for a variety of mesh sizes and model variations. The course mesh yielded a markedly different trajectory, with a lower peak displacement (1 mm) that occurred earlier (at 3.3 ms), while both the medium and fine mesh results were very similar with a peak of 1.4 mm at 3.75 ms. Furthermore, the non-homogeneous spinal cord model with gray matter stiffness decreased 30% and white matter stiffness increased 20% had no noticeable effect on the timing or magnitude of the peak displacement, while inclusion of the cerebrospinal fluid resulted in a modest reduction of peak displacement to 1.35 mm.

I later ran additional weight-drop simulations for both 12.5 mm and 25 mm drop heights using values for the Ogden hyperelastic nonlinearity parameter,  $\alpha$ , of both 4.7 (as used by Maikos et al. [68]) and -4.7 (as proposed by Miller and Chinzei [74]). Force-displacement curves for simulations at both drop heights demonstrated higher peak forces and lower peak displacements for the “stiffer”  $\alpha = -4.7$  model compared to the standard  $\alpha = 4.7$  model (Figure 3.10). As expected, the higher impact velocity 25 mm weight-drop simulations follow the 12.5 mm force-displacement curves closely but are seen to continue on to correspondingly higher peaks. Note that the peak impactor displacement for the standard ( $\alpha = 4.7$ ) 12.5 mm weight-drop shown here (1.7 mm) was



(a) Weight-drop impactor trajectories for varying mesh sizes

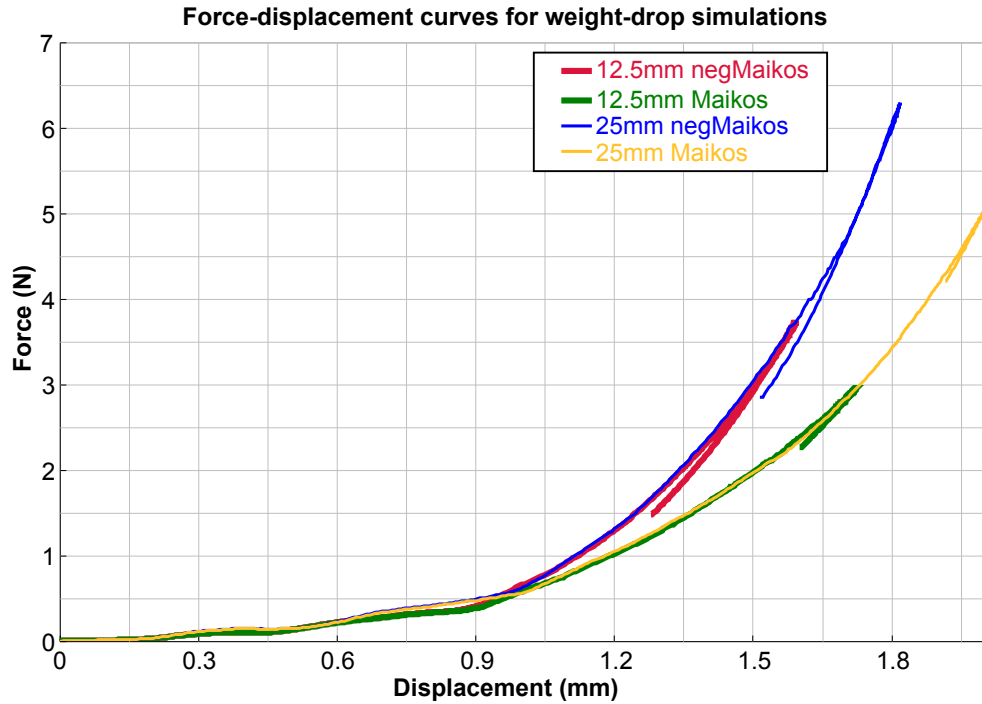


(b) Strain distributions for several model variations: (clockwise from top-left) fine mesh cord and dura, fine mesh non-homogeneous spinal cord, medium mesh including cerebrospinal fluid (other variations shown omitted the CSF), and medium mesh.

**Figure 3.9:** Results of 12.5 mm weight drop simulations for varying model mesh sizes and other model variations.

higher than that from earlier results (1.4 mm). This difference is due to the switch from using PAM-CRASH Material Type 37 (Viscoelastic Ogden Rubber for Solid Elements, G-Based Viscous Response) in the initial weight-drop simulations to using Material Type 38 (Viscoelastic Ogden Rubber for Solid Elements, Ogden-Based Viscous Response) for later simulations (see previous discussion in Sections 2.2.2 and 3.1.2).





**Figure 3.10:** Force-displacement curves for 12.5 mm and 25 mm weight-drop simulations with  $\alpha = \pm 4.7$ . “Maikos” denotes  $\alpha = 4.7$ , while “negMaikos” denotes  $\alpha = -4.7$ .

### 3.2.2 Velocity and mechanism simulations

#### Injury velocity

Figure 3.11 shows the force-displacement curves for the simulations of fast and slow contusions, alongside the experimental data before and after correcting for a time lag in the experimental displacement data. Blue lines depict the results for linear elastic spinal cord material properties, as expected demonstrating no difference between the 3 mm/s (solid) and 300 mm/s (dashed) injury velocities and a constant slope throughout the displacement. In contrast, the black lines corresponding to the hyperviscoelastic properties show a hyperelastic response with increasing slope up to peak displacement, and some evidence of viscoelasticity due to the 300 mm/s (dashed) curve falling above the slower 3 mm/s (solid) result. However, it is obvious that the viscoelastic effect modelled in the simulation is much smaller than that observed experimentally. Furthermore, it is interesting to note that the hyperelastic curves predicted by the model exhibit much different slopes compared to the relatively linear results from the experiments by Sparrey et al. [107].

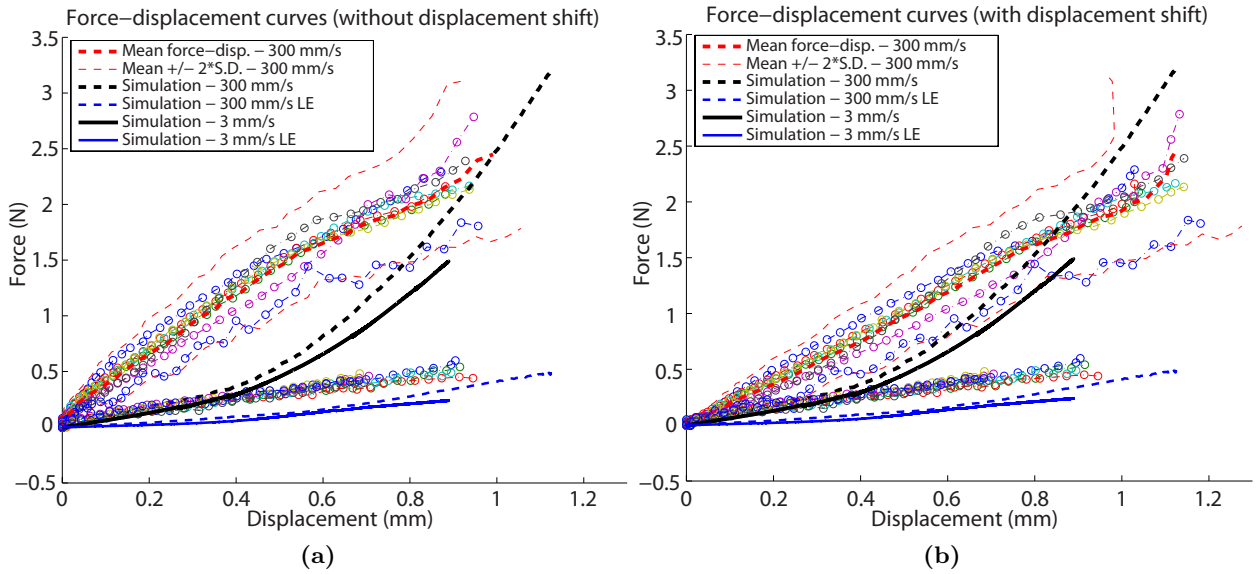
Due to the clear differences between the experimental and simulation results for this injury velocity study, the model cannot be argued to be valid for these conditions, thus precluding further analysis and comparison of the simulated strain distributions at these two contusion velocities.

#### Injury mechanism

I validated the finite element model for contusion and dislocation mechanisms by comparing predicted loads from the enforced displacement profiles to the corresponding experimental corridors from the Choo et al. [14] data (see Figure 3.12). The simulated contusion force, applied directly to the dura and cord, followed a very similar pattern to the mean experimental force but was delayed relative to the experimental traces (Figure 3.12a). The peak force for the contusion simulation was 1.4 N, which was close to the experimental mean of  $1.5 \pm 0.4$  N ( $\pm$  SD).

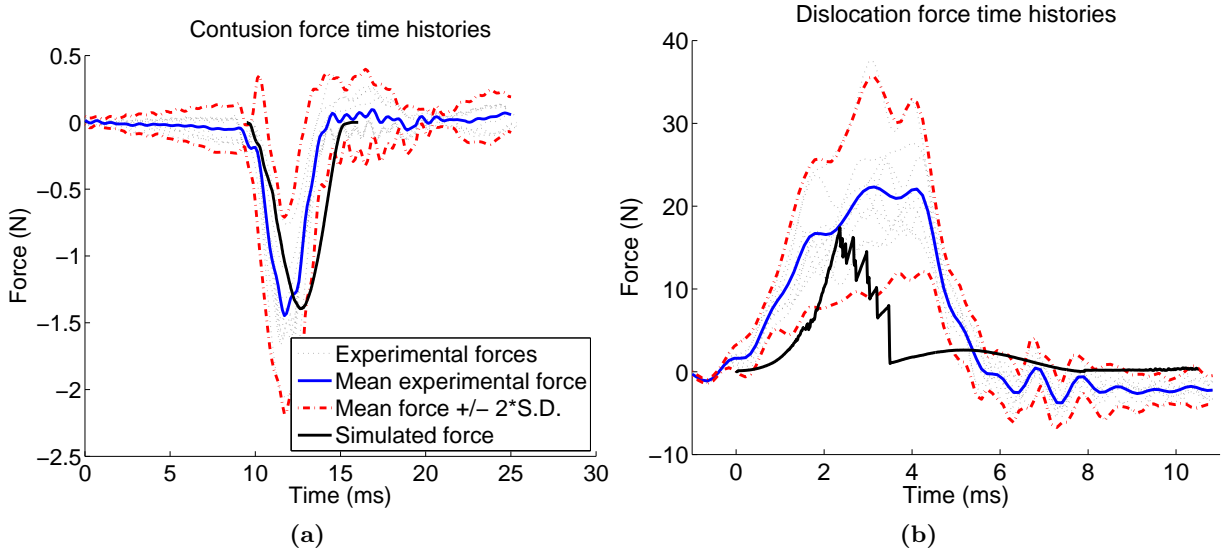
Forces applied during dislocation were much higher than direct cord contusion forces as they were instead applied to the entire vertebral column. The simulated dislocation force demonstrated a





**Figure 3.11:** Force displacement curves for injury velocity simulations. Experimental data from Sparrey et al. [107] marked with circles and shown (a) before and (b) after shifting to correct for a time lag in the 300 mm/s experimental displacement data.

time lag that was not observed as strongly experimentally (Figure 3.12b), but the force-time curve followed a similar path to the experimental corridor. The peak dislocation force of 17.6 N was within the experimental range but below the mean of  $24.7 \pm 5.7$  N. In addition, both experimental and simulated dislocation force traces demonstrated multiple local peaks that indicate sequential failure of local soft tissue components such as the intervertebral disc and spinal ligaments.



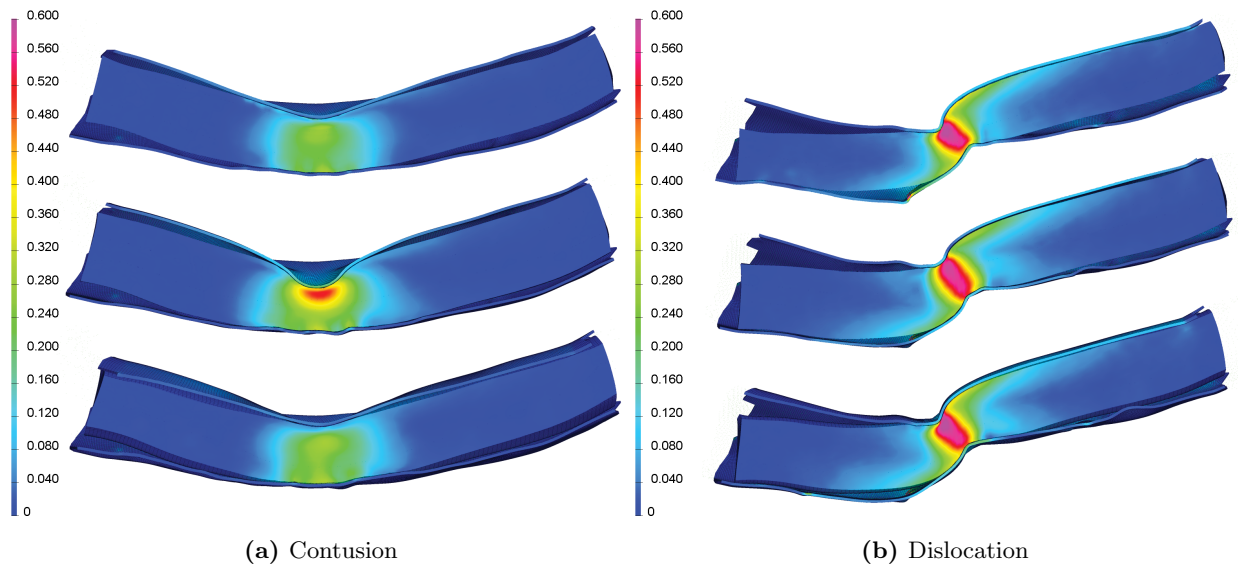
**Figure 3.12:** Simulated applied forces for contusion and dislocation injuries are plotted alongside corresponding experimental traces and corridors. (a) The simulated contusion force, applied directly to the dura and cord, follows a very similar pattern to the mean experimental force but is seen to lag behind. (b) While the simulated dislocation force deviates substantially from the experimental corridor, the peak force is within the experimental range and both experimental and simulated traces demonstrate multiple local peaks that indicate sequential failure of the intervertebral disc and spinal ligaments.

Results from distraction simulation were far off from experimental results, indicating poor biofidelity for simulating this mechanism with the model in its current form. The peak simulated

distraction force was 29.1 N, below the experimental mean of  $37.9 \pm 5.4$  N. Preliminary comparison of regional strain results to histological tissue damage distribution also demonstrated poor biofidelity of the distraction simulations, with none of the experimental features evident and showing tissue damage decreasing with increasing strain, therefore further analysis of the distraction results was omitted.

### 3.3 Internal strain distributions

Mid-sagittal images of the deformed spinal cords with internal strain distributions highlight differences between the contusion and dislocation mechanisms (see Figure 3.13). Some of the most striking features were the high strain ( $>0.16$ ) ‘tails’ seen dorsocaudal and rostroventral to the dislocation injury epicentre (see Figure 3.13b), showing the local regions subjected to tension due to locally isolated dynamic rotation of the cord at the epicentre caused by opposing C4/5 vertebral motion. These rostrocaudal asymmetries about the epicentre were evident in the affected dorsal, ventromedial, and ventrolateral white matter region strain plots (Figure 3.15f, 3.15h, 3.15i). Tissue damage in both ventral white matter regions also showed increases rostral compared to caudal to the epicentre (Figure 3.15c, 3.15d), though the caudal increase in the dorsal white matter was not evident in the experimental results (Figure 3.15a).



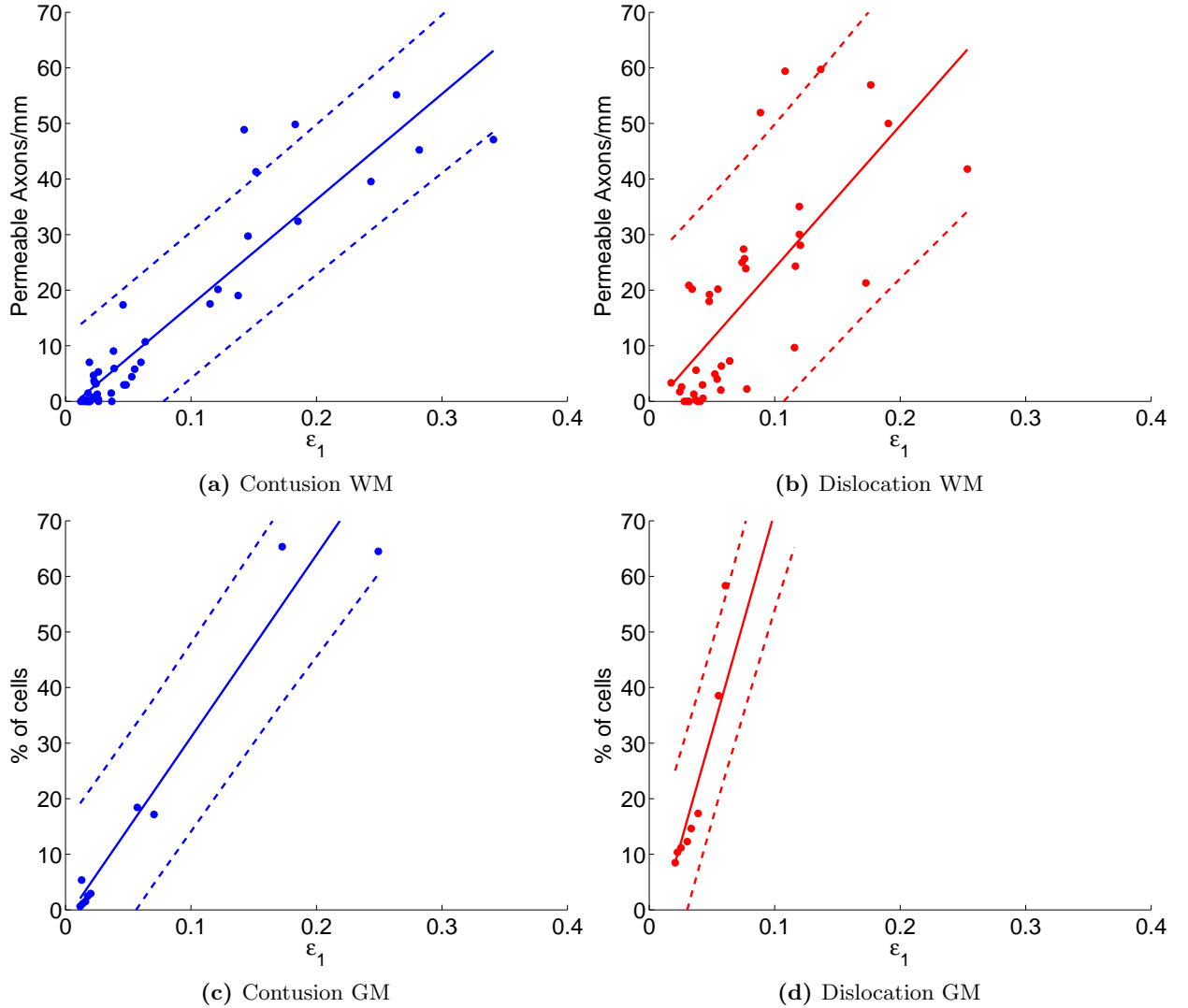
**Figure 3.13:** Distribution of maximum principal strain during contusion (a) and dislocation (b) injury simulations. Sagittal slices shown at midline and  $\pm 1$  mm. Contusion plots show a relatively small very high strain zone ( $>0.4$  maximum principal strain) localized to the dorsal surface and extending less than 1 mm lateral to the midline, while the very high strain zone for dislocation zone encompasses the full depth of the cord and extends beyond 1 mm laterally, demonstrating differences in mechanical injury severity and extent between the two mechanisms. Other notable features are the high strain ( $>0.16$ ) ‘tails’ observed dorsocaudal and rostroventral to the dislocation injury epicentre, showing the local regions subjected to tension due to local dynamic rotation of the cord at the epicentre.

### 3.4 Correlation with histology

Strong overall correlations between maximum principal strain and tissue damage indicated the model’s biofidelity and corresponding utility. For the pooled white matter regions, maximum principal strain showed significant ( $p < 0.0001$ ) correlations with tissue damage for both contusion

( $R^2 = 0.86$ , Figure 3.14a) and dislocation ( $R^2 = 0.54$ , Figure 3.14b) mechanisms, with more damage with increasing strain (see Table 3.1). For the ventral gray matter, maximum principal strain correlated strongly with tissue damage for both contusion and dislocation mechanisms (both  $R^2 = 0.93$ , Figures 3.14c and 3.14d). Maximum principal strain distributions (see Figure 3.15) were similar in nature to the distributions of membrane permeability for contusion and dislocation mechanisms, with central peaks flanking the injury epicentre and decreasing tails toward the caudal and rostral extremes.

All non-pooled regions yielded high correlation coefficients for contusion ( $R^2$  between 0.90 and 0.96), as did four of the five regions for dislocation ( $R^2$  between 0.61 and 0.96), with a notable exception being the dorsal white matter ( $R^2 = 0.38$ ). Interestingly, in the dorsal white matter the minimum, or third, principal strain showed much higher correlation with tissue damage ( $R^2 = 0.83$ ) for dislocation than did maximum principal strain (see Figure E.2).



**Figure 3.14:** Correlations of maximum principal strain with tissue damage for contusion and dislocation mechanisms in the white and gray matter.

The complete results are presented in Appendices D and E.

**Table 3.1:** Correlation coefficients for maximum principal strain and tissue damage within cord regions

Cord region	Contusion		Dislocation	
	Correlation coefficient, $R^2$	$p$ -value	Correlation coefficient, $R^2$	$p$ -value
Ventral gray	0.93	1.5E-06	0.93	6.3E-06
Dorsal white	0.94	5.9E-07	0.38	0.056
Lateral white	0.96	1.1E-07	0.96 <sup>a</sup>	9.5E-7
Ventromedial white	0.90	8.0E-06	0.82	3.1E-04
Ventrolateral white	0.91	5.6E-06	0.61	0.0075
Pooled white	0.86	2.1E-19	0.54 <sup>b</sup>	6.8E-08

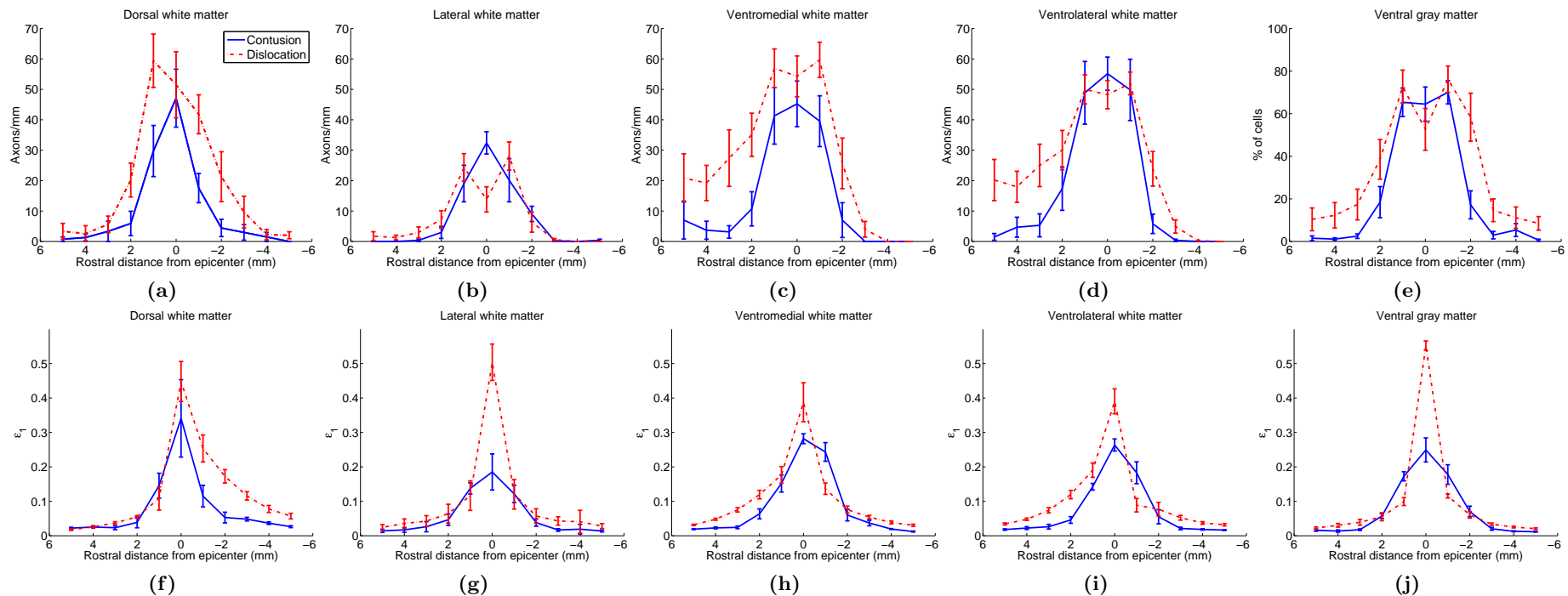
<sup>a</sup>This value is improved substantially from that presented previously ( $R^2 = 0.38$  and  $p$ -value = 0.056) by Russell et al. [95], due to correction of the data point at +1 mm for dislocation in the lateral white matter after discovery and correction of a data exporting error affecting that point.

<sup>b</sup>This value is improved slightly from that presented previously ( $R^2 = 0.52$  and  $p$ -value = 1.8E-07) by Russell et al. [95], due to correction of the data point at +1 mm for dislocation in the lateral white matter.

### 3.5 Regional distribution of strain and tissue damage

Key differences between the contusion and dislocation mechanisms lie in the size and shape of the central zones of very high maximum principal strain ( $>0.4$ ), with the contusion zone located only near the dorsal surface and extending less than 1 mm lateral to the midline, while the dislocation zone encompassed the full depth of the cord and extended beyond 1 mm laterally. This corresponded to much higher average peak strains at epicentre for dislocation than contusion in the lateral white matter (Figure 3.15g<sup>13</sup>) and ventral gray matter (Figure 3.15j), and was reflected in the experimental dislocation results (Figure 3.15b, 3.15e) by especially low epicentre counts due to primary axotomy and widespread necrosis in these areas respectively.

<sup>13</sup>The plot in Figure 3.15g differs slightly from that presented previously by Russell et al. [95], due to correction of the data point at +1 mm for dislocation (red) in the lateral white matter after discovery and correction of a data exporting error affecting that point.



**Figure 3.15:** Rostrocaudal distributions of experimentally measured tissue damage and computed maximum principal strain are plotted at 1 mm intervals for both contusion (blue, solid) and dislocation (red, broken). (a-e) Tissue damage as measured by counts of cells permeable to dextran is generally higher for dislocation than contusion, with peaks near injury epicentre trailing down to lower levels rostral and caudal. Local dips directly at injury epicentre for dislocation injuries are the result of immediate hemorrhagic necrosis (these points were excluded from statistical analyses and correlations). (f-j) The average peak maximum principal strains for each cord region show highest strains near injury epicentre, as expected, and higher peak strains for dislocation than contusion. Much higher average peak strains at epicentre for dislocation than contusion in the lateral white matter (g) and ventral gray matter (j) regions reflect the larger lateral extent of the very high strain zone for dislocation as seen in Figure 3.13, and are supported by especially low epicentre cell counts due to rampant necrosis in these areas (b,e). In addition, rostrocaudal asymmetries about the dislocation epicentre corresponding to the high strain tails from Figure 5 are seen in the affected dorsal (f), ventromedial (h), and ventrolateral (i) white matter strain plots, matching similar patterns of tissue damage in the ventral white matter (c,d), though the caudal increase in the dorsal white matter (f) is not evident in the experimental results (a). Error bars denote standard error for experimental cell permeability counts (a-e), and standard deviation of peak strains for all elements in the simulation regions (f-j).

# Chapter 4

## Discussion

In the following sections I highlight notable results and relate them to relevant literature, and then identify and address the inherent limitations of my work.

### 4.1 Injury simulation

I developed a finite element model of contusion and dislocation injuries in the rat cervical spine to address the lack of knowledge regarding the effect of injury mechanism on primary mechanical damage patterns in the spinal cord. It is the first multi-mechanism computational model to be based on experimental injury mechanisms in an animal model, and thus has the advantages of more direct validation and comparison with histological tissue damage. In addition, this work demonstrated the feasibility of using the Smoothed Particle Hydrodynamics method to model the cerebrospinal fluid during impact, which may be useful in large animal or human SCI FE models (that involve larger subdural spaces and CSF volumes compared to the rat) in which the CSF has been shown to play an important role to cushion impact to the cord [55, 86].

Overall, the model demonstrated its versatility to simulate both contusion and dislocation injury mechanisms with good biofidelity. The hyperviscoelastic material properties of the spinal cord yielded a realistic contact force during contusion, and the material properties of the intervertebral disc and spinal ligaments (including failure strain limits) resulted in dislocation force profiles that were similar to those measured in animal models. Note that the applied dislocation forces (experimental peak range ~17-38 N) are much higher than direct cord contusion forces (experimental peak range ~0.75-2.1 N) as they are instead applied to the entire vertebral column.

The simulated force history for dislocation, however, deviated somewhat from the experimental corridors, including a prolonged toe region of increasing stiffness at the start of displacement that indicates the involved disc and ligaments were not behaving stiffly enough in this initial phase – possibly due to inaccurate pre-tension in ligaments, omission of muscle attachments, or inability of the linear elastic material properties of the disc and ligaments to model behavior accurately in this regime. Because of this, the current ligamentous cervical spine model cannot be considered fully validated and should not be used without further refinement to model more general, external perturbations to the spine such as rear or head first impacts. This limitation on the biofidelity of gross spinal column forces during dislocation, though, did not affect the time course or amount of spinal cord deformation in our study as this was determined by contact with displacement-controlled vertebrae. Indeed, maximum principal strain was shown to correlate well to tissue damage for both contusion and dislocation cervical injury mechanisms, extending previous findings for thoracic weight-drop contusion by Maikos et al. [68].

#### 4.1.1 Interpretation of cord strain patterns and correlations

The correlations between maximum principal strain and tissue damage presented here did not suggest any obvious damage thresholds – such as a minimum strain level below which no damage was observed – that could be used as an injury criterion. However, all regions subjected to at least 0.1 maximum principal strain corresponded to elevated average levels of tissue damage, while averages for regions less than 0.1 strain varied between baseline and moderate levels of damage. The variation below 0.1 strain was especially high within the dislocation results, possibly due to

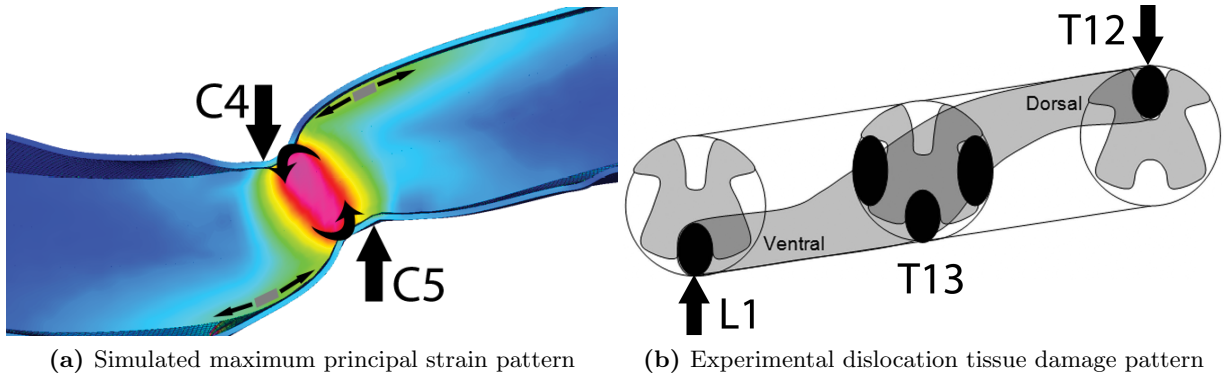
less repeatability for this mechanism. This loose “threshold” of 10% strain is slightly below lower bounds on tissue damage thresholds of 13-19% found previously [4, 103]. Furthermore, steeper slopes for the ventral gray matter correlations compared to the white matter are suggestive of a lower injury tolerance to tensile strain in the gray matter, though it should be noted that the correlations for gray matter are less conclusive due to the small number of samples in that region in the current study; future studies with a focus on better quantifying such differences in injury tolerance are certainly warranted.

Correlations of maximum principal strain with tissue damage were high in all regions studied for contusion and in most regions for dislocation, with the exception of poor correlation in the dorsal white matter. In fact, within the dorsal white matter the minimum principal strain showed much higher correlation with tissue damage than maximum principal strain; this may indicate a compressive tissue failure mechanism may play an important role in the dorsal white matter during dislocation.

Interestingly, although the computational results for contusion injuries show peak strains beneath the tip, histology following contusion shows damage focused in the gray matter. This discrepancy is due to the lower injury threshold of the highly vascularized gray matter in comparison to white matter, as found previously by Maikos and Shreiber [66] in weight drop contusion injuries. At high enough strains, one would expect to see primary damage in the white matter. Indeed this is the case. The models predict greater strain in the lateral white matter during dislocation injury ( $>0.4$  in Figure 3.15g) compared to the dorsal white matter during contusion (approximately 0.3 in Figure 3.15f). Accordingly, the dorsal white matter is often spared during contusion whereas primary damage of the lateral white matter is common in our animal models of fracture-dislocation (see loss of axons at epicentre in Figure 3.15b).

While I did find a correlation of maximum principal strain with the tissue damage results of Choo et al. [15], the pattern of strain from my cervical simulations is quite different from the pattern of tissue damage found for thoracolumbar dislocation results by Clarke et al. [18] (Figure 4.1). Unlike the cervical dislocation experiments, the anterior thoracolumbar dislocations were performed at a slower velocity (peak of 0.22 m/s compared to 1 m/s) and with an additional vertebra in between the fixed and displaced vertebrae. Elevated tails of maximum principal strain (green) are observed in the white matter *opposite* to vertebral contact, while the thoracolumbar dislocation experiments demonstrated tails of tissue damage in the white matter *closest* to the contacting vertebrae. This may indicate important differences in local cord deformation between the two dislocation injury mechanisms, likely due to the absence of the localized vertebral pinching (as present between the fixed C4 and displaced C5 in the dislocations by Choo et al. [14] which I simulated) in the more distributed and slower dislocations performed by Clarke et al. [18]. In my simulations, this vertebral pinching was observed to tightly constrain the cord at injury epicentre, resulting in rotation of the cord at epicentre as the nearby vertebral surfaces slid past each other. This dynamic cord rotation was resisted by inertia along the cord length, resulting in tension along the surfaces of the white matter and the tails of elevated maximum principal strain. The distributed thoracolumbar dislocation, which includes an additional vertebra between the fixed and displaced vertebrae, did not produce this localized pinching mechanism. This difference highlights the wide range of cord deformation and corresponding tissue damage possible for different injury mechanisms, even between two examples of anterior dislocation.

In addition to correlating strain and tissue damage and investigating injury tolerances, the model is also a useful tool to compare injury severity between mechanisms. For the mechanisms I studied, which were developed previously by Choo et al. [14], dislocation appears much more severe in both peak maximum principal strain intensity and extent. This bears some similarity to the results of Greaves et al. [40], whose quasi-static human model showed deeper and wider extent of von Mises strain for dislocation than contusion. Such comparisons and predictions of injury mechanism severity will be useful for further development of consistent and well characterized injury



**Figure 4.1:** Pattern of maximum principal strain in cervical dislocation is different from experimental tissue damage in distributed thoracolumbar dislocation. A sagittal slice showing the pattern of maximum principal strain (a) is compared to a diagrammatic depiction of the tissue damage pattern (dark shading) observed by Clarke et al. [18] (b). Elevated tails of maximum principal strain (green) are observed in the white matter *opposite* to vertebral contact, while the thoracolumbar dislocation experiments demonstrated tails of tissue damage in the white matter *closest* to the contacting vertebrae. This may indicate important differences in local cord deformation between the two dislocation injury mechanisms. In my simulations, vertebral pinching was observed to tightly constrain the cord at injury epicentre, resulting in rotation of the cord at epicentre as the nearby vertebral surfaces slid past each other. This dynamic cord rotation was resisted by inertia along the cord length, resulting in tension along the surfaces of the white matter and the tails of elevated maximum principal strain. The distributed dislocation, which includes an additional vertebra between the fixed (T12) and displaced (L1) vertebrae, did not include this localized pinching mechanism. [Diagram in (b) adapted from Clarke et al. [18].]

protocols and, alongside behavioral survival studies, can increase our understanding of differences in functional deficits and treatment goals between mechanisms.

#### 4.1.2 Force history delay and subarachnoid space

An interesting observation from contusion simulation results was a 1.8 ms delay in simulation force peaks relative to experimental results. This may be due to the different subarachnoid space between the dura and cord (filled with cerebrospinal fluid) at cervical and thoracic levels. Maikos et al. [68]’s model used a thickness of  $\sim 50\text{--}80\ \mu\text{m}$ , while Franconi et al. [33] show the thickness at  $\sim 300\ \mu\text{m}$ , which is what my model dura was based on. Note that after specifying the offset for the dura, its size had to be reduced in some places to conform to the spinal column geometry and avoid penetrating vertebrae or vertebral discs.

Another phenomenon related to the timing of peak contusion force, noticed during close examination of the experimental results of Choo et al. [15] and recent experiments by Bhatnagar (personal correspondence, 2010), was that the peak force occurred noticeably before the peak cord compression. This effect may be due to very short term viscoelastic relaxation during the dynamic cord compression, or perhaps due to some tissue damage or failure occurring prior to peak compression. A preliminary investigation to attempt to more accurately simulate this effect by reducing the viscoelastic relaxation time constant yielded modest improvements, but could not explain the majority of this viscoelastic effect. If relaxation is the cause, it is likely that a fully nonlinear viscoelastic model may be necessary to capture this nuance of spinal cord behaviour during dynamic deformation [114].



## 4.2 Material model investigation

By plotting experimental spinal cord stress-strain results alongside results corresponding to several hyperviscoelastic models, using the method of Goh et al. [38], I was able to elucidate large differences in material behaviour. In particular, these plots demonstrated that the cord material parameters used in both the model by Maikos et al. [68] and in my own model exhibit a much more linear response in tension than the highly hyperelastic experimental response recorded during spinal cord tensile testing by Fiford and Bilston [30]. I found, however, that trial simulations using the material parameters from the experimental data fit resulted in a much too stiff cord with contact forces far exceeding those measured experimentally – this is likely the reason that the material parameters calibrated by Maikos et al. [68] to match their experimental weight-drop results deviated substantially from those fit to the Fiford and Bilston [30] data. The strain plots also revealed the different behaviour corresponding to the differing hyperelastic nonlinearity parameter values of  $\alpha = 4.7$  (as used by Maikos et al. [68]) and  $-4.7$  (as proposed by Miller and Chinzei [74]), with behaviour for the latter falling somewhere between that for  $\alpha = 4.7$  and the fit to the Fiford and Bilston [30] data. Finally, the full set of hyperviscoelastic material parameters proposed by Miller and Chinzei [74], as derived from their testing of porcine brain tissue samples at relatively slow strain rates ( $0.0064$  and  $0.64 \text{ s}^{-1}$ ), yielded stress-strain curves far less stiff than each of the other plotted models. A key message from these results is that further spinal cord dynamic tissue testing and complementary simulation work are needed to bridge the current gap between the methodologies and allow for a fully biofidelic spinal cord material model for all strain rates and magnitudes.

I also used the hyperviscoelastic fitting algorithm to attempt fitting all of the data from Fiford and Bilston [30] for several peak strains and strain rates. Despite allowing for viscoelastic relaxation during the loading ramp which is typically neglected in quasi-linear viscoelastic (QLV) modelling, this investigation yielded the same conclusion as found by Fiford and Bilston [30], namely that a linear viscoelastic model (ie. one with strain-independent viscoelasticity, in this case via a Prony series) cannot explain the different steady-state stress levels reached after relaxation from fixed strain levels reached at varying strain rates. Unfortunately, while the algorithm I employed is ideal for determining material constants to correspond to current finite element software hyperviscoelastic material models that employ Prony series, it could not be used for more general nonlinear viscoelastic models that may be employed in the future, such as the recent fully nonlinear viscoelastic modelling method proposed by Troyer and Puttlitz [114].

Extending my material model investigation from theoretical modelling to explicit finite element simulation, via a simplified tissue coupon model, allowed for further exploration of the precise behaviour of the hyperviscoelastic material models available in PAM-CRASH. Tensile tissue coupon simulations highlighted the nature of the different viscoelastic implementations used in each of Material Type 37 (Viscoelastic Ogden Rubber for Solid Elements, G-Based Viscous Response) and Material Type 38 (Viscoelastic Ogden Rubber for Solid Elements, Ogden-Based Viscous Response), allowing me to confidently choose Material 38 for injury simulations<sup>14</sup> to be consistent with the theoretical hyperviscoelastic models described in the literature [38, 67, 68, 73, 104]. The importance of using double precision arithmetic during FE simulation was also evidenced by the tissue coupon viscoelastic relaxation results, and is important to note for further simulations.

<sup>14</sup>12.5 mm weight-drop contusion simulations further demonstrated the magnitude of differences in injury simulation results that could be expected for the two different material implementations, with Material 38 yielding a peak impactor displacement of 1.7 mm compared to 1.4 mm for Material 37.

## 4.3 Limitations

Several limitations of the current work suggest possible improvements for the future and are discussed below.

### 4.3.1 Spinal cord material properties

The hyperviscoelastic cord properties proposed by Maikos et al. [68] – and further validated by our study – model spinal cord behavior quite well but were based initially on material testing data and then adjusted to better match experimental behavior. Further material testing and modelling of the rat cord is necessary, including investigation of white and gray matter inhomogeneity and anisotropy of the tissue to determine the importance of such factors in modelling.

#### White and gray matter inhomogeneity

Furthermore, there is no current consensus on possible differences in white and gray matter material properties [3, 20, 50, 68]. I omitted such differences due to the ongoing uncertainty in their exact numerical values. Relative differences in the material properties of white and gray matter would cause the two components to deform by different magnitudes, with greater deformation in the softer material than the stiffer material; this difference in deformation would result in an additional shearing strain at the interface between the gray and white matter [106].

#### Viscoelastic properties

In particular, the viscoelastic properties – extracted by Maikos et al. [68] from dynamic brain tissue tests by Mendis et al. [70] – seem to yield good results in simulating cord behaviour in high velocity impacts (with peak velocities of 0.489-0.690 m/s in Maikos et al.’s weight drop contusions and 1 m/s in the current study), but a more detailed characterization of rat cord viscoelasticity may be required to model its behavior well over a wider range of impact velocities. In fact, the Ogden and Prony hyperviscoelastic model we employed to simulate the cord properties may ultimately prove inadequate to accurately model cord behavior in all desired scenarios, and development of more complicated material models may be necessary that include fully nonlinear viscoelasticity and a mechanism for dynamic tissue failure during simulation.

Because of the difficulty of accurately testing soft tissues like the cord to determine material properties, some combination of material testing and FE simulation to match test behavior may be required to achieve further characterization, as proposed by Morriss et al. [77]. Further testing considerations should also include careful attention to the influence of preconditioning on mechanical test results of the cord at various strain rates and magnitudes, an issue recently highlighted by Cheng et al. [13].

### 4.3.2 Strain direction

My analysis of the maximum principal strain during spinal cord injury simulation focused on the strain distribution patterns and quantification of average peak strains within regions of the cord. This analysis did not investigate principal strain directions associated with specific points within the cord, which could be ascertained from plotting the direction vectors from FE results for select elements in regions of interest. Such an approach has not been taken in other FE models of SCI, and, while outside the scope of my thesis, may yield novel information on the complex deformations of the cord. Detailed analysis of strain direction could be especially useful for investigating localized damage patterns for specific anatomic structures within the cord, considering anisotropy of those structures when interpreting dominant strain directions in the area.

### 4.3.3 Cerebrospinal fluid validation

The Smoothed Particle Hydrodynamics approach I used to model the cerebrospinal fluid is novel, and qualitatively seems to allow a much more realistic simulation of fluid behaviour in the spine than for methods used previously Kleiven [60], Maikos et al. [68], Scifert et al. [98]. However, specific validation of the behaviour of the CSF SPH model has not yet been performed.

This is partly due to a current limitation of the SPH method in PAM-CRASH, namely that the solver does not yield smooth pressure distribution results<sup>15</sup>, thus precluding accurate comparisons against experimental measurements such as those performed recently by Jones et al. [56] using a porcine SCI model. Further, since the rat spine contains a relatively thin layer of CSF<sup>16</sup>, and since the presence of the CSF seemed to have a modest effect on the simulation results as demonstrated in Figure 3.9, specific validation of the CSF behaviour itself was not deemed central or necessary for the current work.

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<sup>15</sup>ESI have indicated that they have a development version of the PAM-CRASH solver that has addressed the pressure distribution issue, and that they should be able to provide us with such a version in the future.

<sup>16</sup>The thickness of the CSF layer in my cervical rat model was an average of 0.4 mm compared with the corresponding human value of 3.35 mm, and bovine of 1.5 mm [86, 87]. The thickness of the CSF layer in the porcine spine is similar to that in the human [56].

# Chapter 5

## Conclusion

In this final chapter I state the conclusions of my project with respect to my objectives and summarize the contributions my thesis project has made to the field. I then provide recommendations for future related work and finish with a reflection on the relevance of my thesis to the overall goals of better prevention and treatment of spinal cord injuries.

### 5.1 Conclusions

The main results for each of my research objectives were:

1. **Objective:** Create a biofidelic dynamic, nonlinear finite element model of the rat cervical spine.

My first objective was accomplished with the creation of a novel FE model of the rat cervical spine based on geometry obtained from magnetic resonance imaging. I based material properties for model components on values from previous experimental or modelling literature to achieve model biofidelity wherever possible. In particular, my model incorporated the spinal cord white and gray matter, dura mater, cerebrospinal fluid, spinal ligaments, intervertebral discs, a rigid indenter and vertebrae, and failure criteria for ligaments and vertebral endplates. I used a hyperviscoelastic Ogden-Prony material model for the spinal cord based on that used in a similar model of the thoracic rat spine by Maikos et al. [68] – the only rat cord material property calibration at similar injury velocities. Model creation was a necessary stepping stone for my other objectives, with specific biofidelity of the model for various applications assessed during the validation stage.

2. **Objective:** Simulate spinal cord contusion experiments at impact velocities of different orders of magnitude and compare to experimental results.

I simulated the 3 mm/s and 300 mm/s contusion injuries performed experimentally by Sparrey et al. [107], using both hyperviscoelastic spinal cord properties and linear elastic cord properties for reference. I used a medium finite element mesh size (edge length ~0.3 mm) for these simulations which had long durations of up to one third of a second for the 300 mm/s velocity. Results showed poor correspondence with experimental behaviour (see Validation below), so further analysis was not performed.

3. **Objective:** Simulate dynamic spinal cord injury experiments for contusion and dislocation injury mechanisms and compare FE strains to tissue damage.

I simulated high speed (1 m/s) contusion and dislocation injuries between vertebral levels C3 and C6 to match previous experiments by Choo et al. [14]. For these short duration (on the order of several milliseconds) simulations I used a fine mesh size (edge length ~0.15 mm) to obtain more detailed strain distribution results. I calculated average peak maximum principal strains for several cord regions at the injury epicentre and at 1 mm intervals from +5 mm rostral to -5 mm caudal to the lesion, and compared peak strains to tissue damage measured previously via axonal permeability to 10 kD fluorescein-dextran. Linear regression of tissue damage against peak maximum principal strain for pooled data within white matter regions showed significant ( $p < 0.0001$ ) correlations that are similar for both contusion ( $R^2 = 0.86$ ) and dislocation ( $R^2 = 0.54$ ).

4. **Objective:** Validate the FE model by comparing computed injury forces to experimentally measured values.

I performed a first step of model validation by recreating a weight-drop simulation from Maikos et al. [68] and demonstrated similar strain patterns and magnitudes to their results. In this step I also assessed model performance with different finite element mesh sizes, rejecting the coarse mesh size (edge length  $\sim 0.6$  mm) results that deviated from the similar results for both medium ( $\sim 0.3$  mm) and fine ( $\sim 0.15$  mm) mesh sizes.

I attempted validation of the contusion injury velocity simulations by comparing resulting force-displacement curves with the experimental curves. While the 300 mm/s injury velocity simulation results showed a slightly stiffer force-displacement response than for 3 mm/s, the difference was much smaller than that observed experimentally and the model cannot be considered validated. This indicates that the current material properties do not accurately model cord deformation for injury velocities in this 3-300 mm/s range. Additional contusion velocity simulations using linear elastic cord properties deviated even further from experimental results, with no difference between 300 mm/s and 3 mm/s simulations, highlighting the need for hyperviscoelastic properties to model cord behaviour over a range of injury velocities.

I validated contusion and dislocation injury mechanisms by comparing force time histories with experimental corridors. The simulated peak contusion force of 1.4 N was very close to the experimental mean of  $1.5 \pm 0.4$  N ( $\pm$  SD), while the simulated dislocation peak of 17.6 N was within the experimental range but below the mean of  $24.7 \pm 5.7$  N. Contusion force followed history closely resembled the experimental traces, indicating appropriate contact forces from the cord material model during deformation. While both experimental and simulated dislocation force traces demonstrated multiple local peaks that indicate sequential failure of local soft tissue components (ie. the intervertebral disc and spinal ligaments), the simulation demonstrated lower stiffness during the initial displacement and a more abrupt failure than the experiments; this indicates that the material properties of the ligaments and intervertebral disc should be refined before my model can be used to simulate external perturbations (that do not prescribe vertebral displacement directly) such as impact to the spinal column during rear or head-first impact. Additional simulation of a distraction injury mechanism could not be validated, indicating that substantial refinements to the model should be made to accurately simulate distraction.

## 5.2 Contributions

Various aspects of my thesis project yield novel contributions.

Foremost of these is the fact that this represents the first application of a dynamic model of spinal cord injury to multiple injury mechanisms. I show that maximum principal strain results for contusion and dislocation injury mechanisms correlate well with axonal damage. I further find that distinct strain distribution patterns help distinguish damaging cord deformations for the two mechanisms.

My model also enabled the first finite element attempt at investigating the effect of injury velocity on contusion SCI, by simulating contusion velocities over several orders of magnitude (3 mm/s to 300 mm/s). While the results were inconclusive due to lack of validation against experimental results, my investigation did highlight the limitations of current hyperviscoelastic material models. In particular, this work demonstrates that the viscoelastic material properties currently used do not model cord behaviour well over such a wide range of strain rates.

The use of Smoothed Particle Hydrodynamics (SPH) in my model to simulate cerebrospinal fluid flow during SCI is also a novel approach. SPH is a recent addition to dynamic finite element software and allows for efficient and straightforward fluid-structure interaction within the model.

A further contribution arises from my development of code to plot stress-strain behaviour of hyperviscoelastic material models and to optimize parameters to fit experimental data. I use this code to compare and contrast the wide range of behaviour predicted by different material parameters found in the literature. Comparison of model behaviour is difficult without the use of such analytical code, and there is no similar comparison in the literature to date.

### 5.3 Recommendations for future work

The following are some recommendations I make for future work related to my thesis project:

- Specific refinement of the FE model to enable biofidelic simulation of distraction. Notably, nerve roots (which serve to locally anchor spinal cord segments and were omitted from the model to date) should be added to better simulate the distraction mechanism. One possibility for modelling the nerve roots could be as a series of bar elements, similar to the spinal ligaments currently in the model, with one end of each bar coincident with a spinal cord node and the other end fixed relative to the vertebra at that segment. Also, because distraction injury mechanism is more distributed along the length of the spine compared to the local extent of contusion or dislocation, a longer section of the cervical spine than only levels C3-C6 would likely be more suitable for distraction simulation.
- Simulation of experiments measuring cerebrospinal fluid pressures during SCI. In particular the current model, or a simplified derivative version (perhaps based on the porcine spine with simplified geometry), could be used to simulate experiments performed by Jones [54]. Validation of the Smoothed Particle Hydrodynamics CSF model would then enable further simulation variations to explore the interaction between the CSF and cord during SCI.
- Use of the model to investigate the effect of different indenter shapes. Different laboratories have used various indenter shapes for contusion SCI experimental models, and simulation of these variations would help to understand any differences in injury pattern. Simulations within the injury velocity range of 0.6-1 m/s, as validated for the current model, would be straightforward to adapt to different indenter shapes, though injury mechanisms outside of this range would require further material property refinement and validation.
- A combined experimental and simulation approach to refining spinal cord material properties, especially cord viscoelasticity. There has yet to be a detailed material testing study of spinal cord tissue hyperviscoelasticity over a wide range of strain and strain rates, including up to clinically relevant high strains and rates. Spinal cord tissue testing to date has typically been tensile only, but a biaxial tension methodology may also be useful in better characterizing the tissue. Furthermore, if the dynamic viscoelastic testing of the spinal cord does demonstrate that linear viscoelasticity is substantially inadequate, a custom material model incorporating nonlinear viscoelasticity could be developed. It should be noted that the work to implement, test, and validate such a custom material model would likely constitute a major portion of a thesis project.

## 5.4 Concluding statement

An improved understanding of the differences in injury patterns between mechanisms – afforded by combined experimental and computational approaches – has the potential to influence future treatment and prevention approaches. For example, therapies might specifically target the central cavitation injury pattern and spared white matter rim associated with contusion injuries, or a full cord width dislocation injury with some rostral and caudal white matter damage, rather than attempting to treat more generic damage patterns. Better stratification of the patient population by factors such as injury mechanism may better identify the strengths and weaknesses of different SCI therapies and lead to improved clinical trial outcomes overall. Furthermore, with continued improvements to the computational modelling of the spinal cord during traumatic injury, the cord may one day be added to full-body models used to aid design and safety testing of products ranging from automobiles to helmets, raising the profile of SCI in safety standards and promoting preventative strategies.

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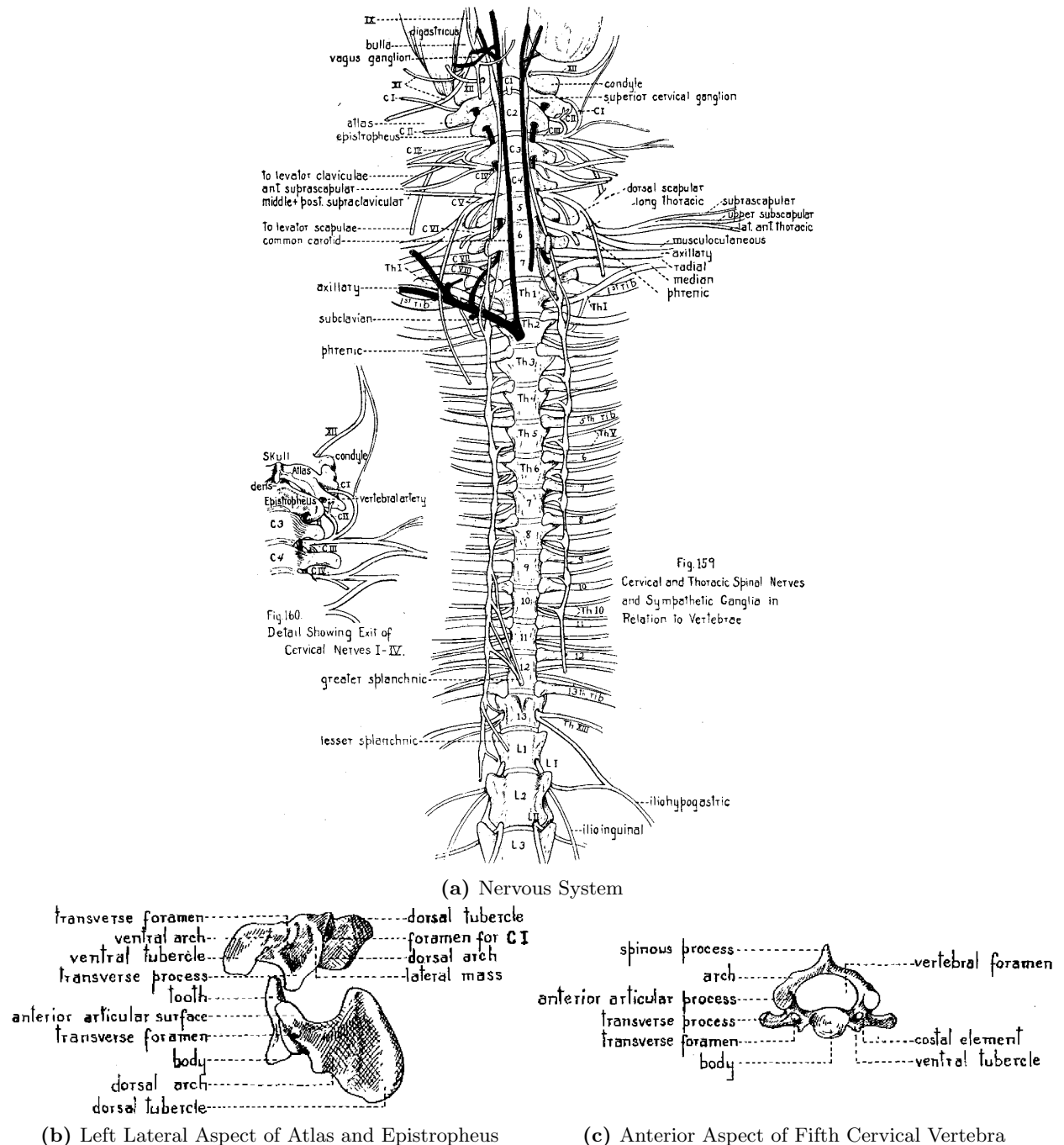


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# Appendix A

## Images of Rat Vertebrae from Literature



**Figure A.1:** Cervical vertebrae and nervous system [Illustrations and caption text reproduced from Greene [42].]

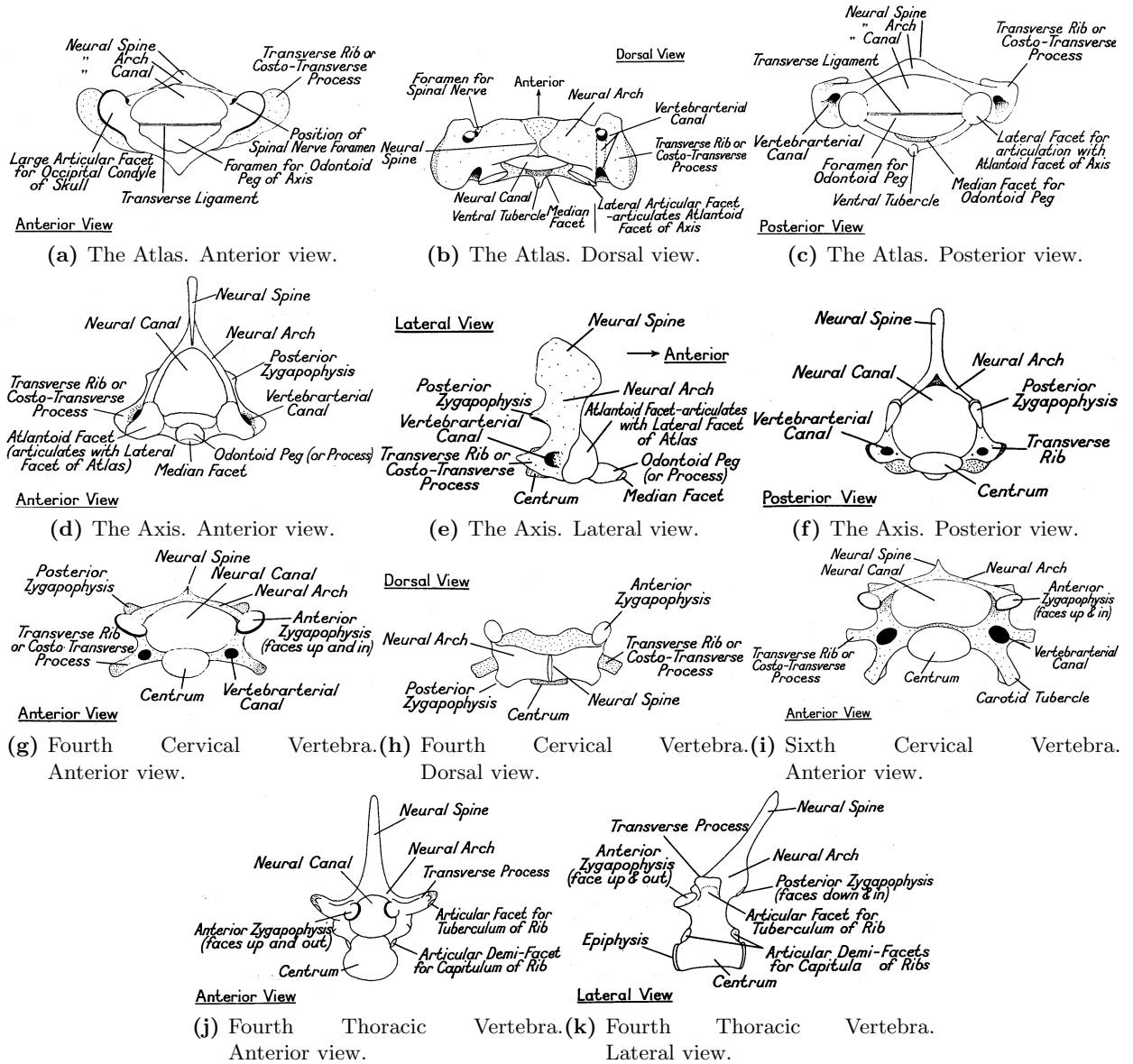
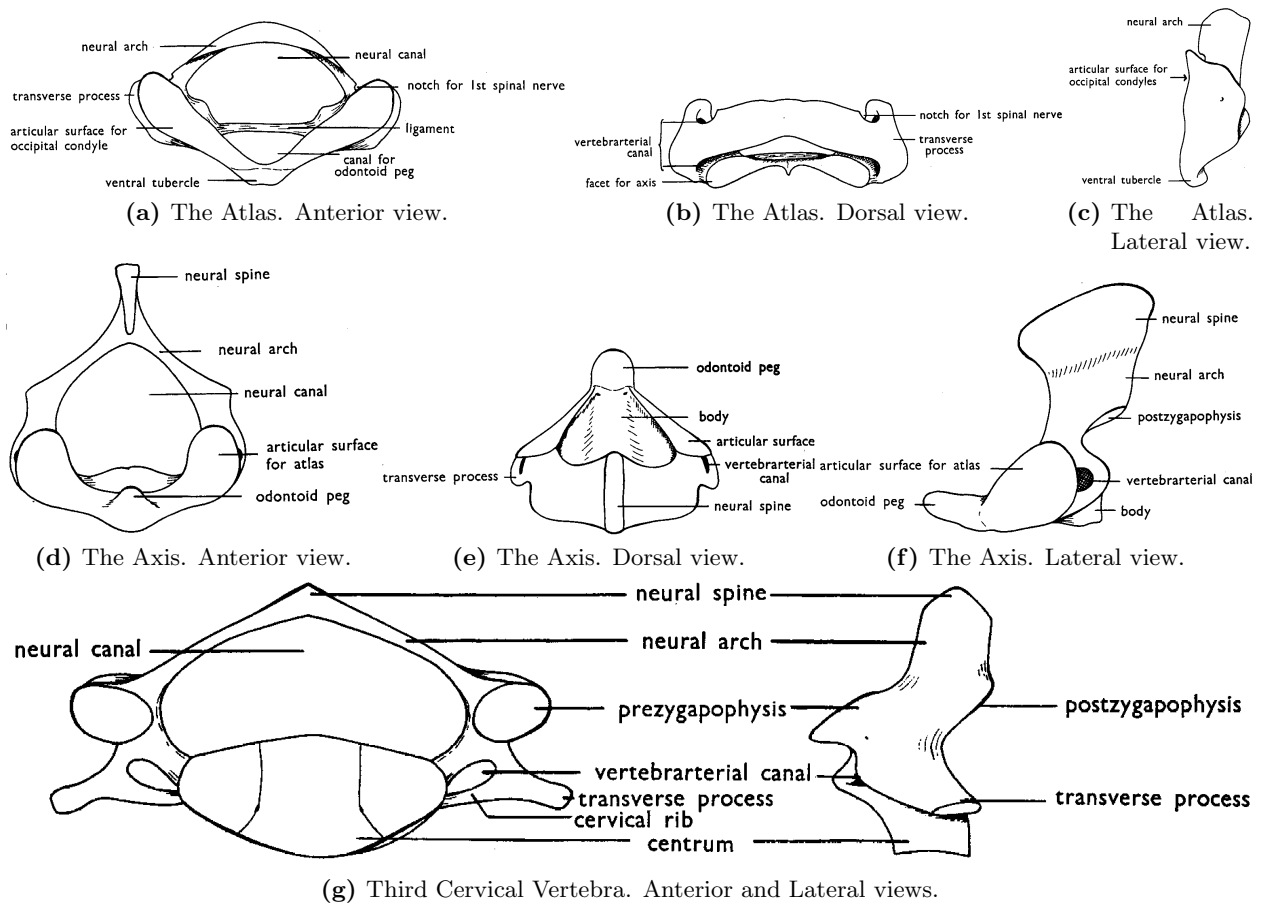
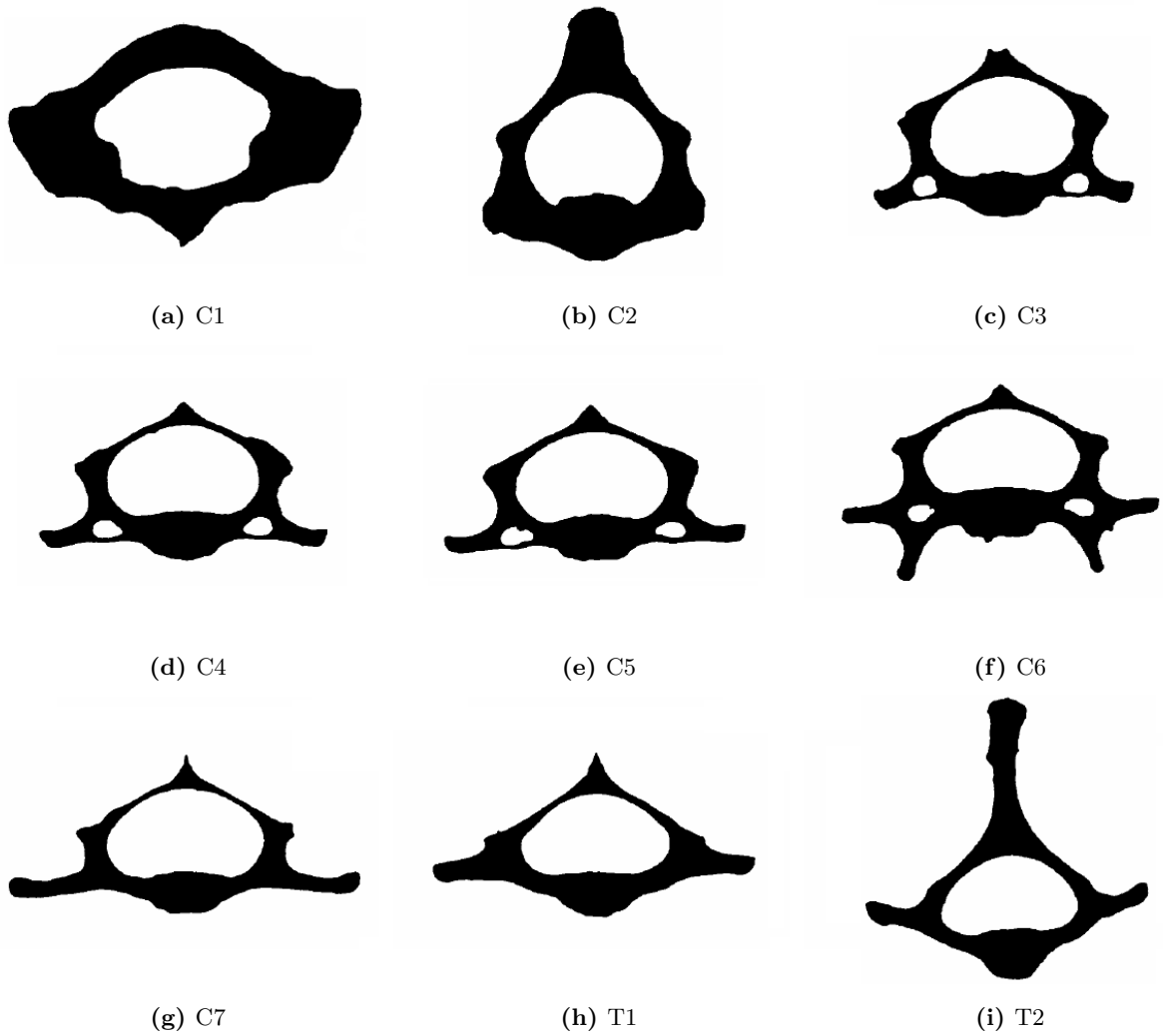


Figure A.2: Cervical and thoracic vertebrae [Illustrations reproduced from Wells [118].]



**Figure A.3:** Cervical vertebrae [Illustrations reproduced from Rowett [93].]



**Figure A.4:** Cervical and thoracic vertebrae [Illustrations reproduced with permission from Johnson et al. [53].]

## Appendix B

# Procedure for extracting simulation results for solid elements in each predefined spinal cord zone slice

1. In Visual-Viewer open desired simulation .THP time history results file.
2. Choose SOLID Entity Type and TIME X Component at the top of *Import and Plot* window.
3. Choose  $C_C$  Group by Component.
4. Under *Entities* selection box, click *Expand*.
5. In *Entity List* window type zone slice name into filter, such as “dorsal epicentre” or “dorsal +1mm”, and click enter<sup>17</sup>.
6. Click purple filled box to *Select All*.
7. In *Import and Plot* window select all three principal stresses and all three Solid Auxiliary variables (principal strains) in the Components box (hold *CTRL* to select multiple components).
8. Click *Plot*.
9. Select *All Pages* tab in Explorer.
10. Right-click on one of the selected plot groups and choose *Export Curves*.
11. Choose CSV (Comma delimited) and *Use Curve Titles*.
12. Type an appropriate file name such as “dorsal +1mm” and click Save to write the .csv file.
13. Click Delete to delete all the selected plot groups.

Repeat steps 5-13 for each zone slice.

---

<sup>17</sup>Note that “lateral” and “ventrolateral” are not distinguishable at this step, and the correct set must be manually selected instead of using *Select All* after performing filter.

# Appendix C

## MATLAB code

### C.1 Hyperviscoelastic curve fitting - hvstress.m

```
1 function [ stress ] = hvstress( params, vars )
2 % hvstress Computes hyperviscoelastic stress from strain history
3 %   S = hvstress( params, vars ) returns the hyperviscoelastic
4 %   stress given the Ogden and Prony parameters and an arbitrary strain
5 %   history over time. This function is designed so that it may be used
6 %   with the nonlinear least squares curve fitting function lsqcurvefit to
7 %   optimize the hyperviscoelastic Ogden and Prony parameters against a known strain
8 %   history and corresponding stresses (this is why n,m are passed as
9 %   global variables rather than part of params, and also why timestep dt
10 %   is calculated externally rather than during each function call).
11 %
12 %   [params] is a row vector containing all Ogden terms in the order mu,
13 %   alpha followed by all Prony terms in the order g, tau.
14 %
15 %   [vars] is a matrix containing three row vectors of equal length:
16 %   t = vars(1,:)           discrete timepoints
17 %   lambda = vars(2,:)      streth ratio at each timepoint
18 %   dt = vars(3,:)          timestep between timepoints
19 %
20 %   n must be a global variable equal to the desired number of Ogden term
21 %   pairs.
22 %
23 %   m must be a global variable equal to the desired number of Prony term
24 %   pairs.
25 %
26 % Written June 29, 2010 by Colin Russell
27
28
29
30 % n = number of Ogden term pairs
31 % m = number of Prony term pairs
32 global n m;
33
34 for i=1:n
35     mu(i) = params(2*i-1);
36     alpha(i) = params(2*i);
37 end
38 for i=1:m
39     g(i,1) = params(2*i-1+2*n);
40     tau(i,1) = params(2*i+2*n);
41 end
42
43 t = vars(1,:);
44 lambda = vars(2,:);
45 dt = vars(3,:);
46
47 %% Compute stress
48
```

```
49 % Hyperelastic stress
50 s0 = zeros(1,length(t));
51 for i=1:n
52     s0 = s0 + (2*mu(i))*(lambda.^(alpha(i)-1)-lambda.^(-alpha(i)/2 -1));
53 end
54
55 % Return only hyperelastic stress if no Prony series terms used
56 if m==0
57     stress = s0;
58     return;
59 end
60
61 ginf = 1-sum(g);
62
63 % Compute discretized convolution integral to incorporate viscoelasticity
64 stress = zeros(1,length(t));
65 h = zeros(m,length(t));
66 for i=1:length(t)-1
67     h(:,i+1) = exp(-dt(i)*(tau.^-1)).*h(:,i) +...
68         g.*tau.*( (1-exp(-dt(i)*(tau.^-1))) )*( s0(i+1)-s0(i) )/dt(i);
69     stress(i+1) = ginf*s0(i+1) + sum(h(:,i));
70 end
71
72 end
```

## C.2 Hyperviscoelastic optimized parameter display - hvlabels.m

```
1 function [ returntable ] = hvlabels( N, M, params )
2 % hvlabels Displays Ogden and Prony parameters in tabulated form, with labels.
3 % Written June 9, 2010 by Colin Russell
4
5 table = cell(4,2*M+2*N);
6
7 for i=1:N
8     mu(i) = params(2*i-1);
9     alpha(i) = params(2*i);
10 end
11 for i=1:M
12     g(i,1) = params(2*i-1+2*N);
13     tau(i,1) = params(2*i+2*N);
14 end
15
16 G0 = sum(mu.*alpha);
17 G = g*G0;
18 Ginf = G0 - sum(G);
19
20 table{3,1} = ['G0 (MPa)'];
21 table{4,1} = G0;
22 for i=1:N
23     table{1,2*i-1} = ['mu' num2str(i) ' (MPa)'];
24     table{1,2*i} = ['alpha' num2str(i)];
25     table{2,2*i-1} = mu(i);
26     table{2,2*i} = alpha(i);
27 end
28 for i=1:M
29     table{1,2*i-1+2*N} = ['g' num2str(i)];
30     table{1,2*i+2*N} = ['tau' num2str(i) ' (ms)'];
31     table{2,2*i-1+2*N} = g(i);
32     table{2,2*i+2*N} = tau(i);
33     table{3,2*i-1+2*N} = ['G' num2str(i) ' (MPa)'];
```



```
34     table{4,2*i-1+2*N} = G(i);
35 end
36
37 disp(table)
38
39 %% Return optional output
40 if nargout>0
41     returntable = table;
42 end
43
44 end
```

### C.3 Hyperviscoelastic fitting of Fiford data - hypervisconfitfinal.m

```
1
2 clc; clear; close all;
3
4 global m n t_relax;
5
6 % Units: Stress (MPa)
7 %       Time (ms)
8
9 % n = number of Ogden term pairs
10 % m = number of Prony term pairs
11 n = 1;
12 m = 4;
13
14 % Relaxation time (ms)
15 t_relax = 1800e3;
16
17 % Approx. ratio of steady state to initial stress at 5%, 0.2/s
18 relax_ratio = 0.045/0.076;
19
20 % Set G0 equal to initial stress over 5% strain at 0.2/s rate
21 G0 = 0.076/0.05;
22
23 % Load Fiford and Bilston data
24 % t in ms, s and sSD in MPa
25 load fbdata;
26
27 % 5% max strain, 0.2/s
28 e_max = 0.05;
29 e_rate = 0.2e-3; % (per ms)
30 t1 = e_max/e_rate;
31
32 % % Piecewise cubic Hermite interpolation, with logarithmic spacing during
33 % % relaxation
34 % [ans,t1L] = max(s_5_02);
35 % t1 = t_5_02(t1L);
36 % xx = [0:0.25:t1-0.25, logspace(log10(t1),log10(t_relax),1000)];
37 % yy = interp1(t_5_02,s_5_02,xx,'cubic');
38 % figure
39 % hold on
40 % plot(xx,yy,'.r')
41 % plot(t_5_02,s_5_02)
42 % hold off
43 % t_5_02 = xx;
44 % s_5_02 = yy;
45
46
```

```

47 t = t_5_02;
48 lambda_5_02 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
49 % true_strain = log(lambda_5_02);
50 % s_5_02 = s_5_02./(1+true_strain); % Convert true stress to nominal stress
51 dt_5_02 = zeros(1,length(t));
52 for i=1:length(t)-1
53     dt_5_02(i) = t(i+1)-t(i);
54 end
55 dt_5_02(end) = dt_5_02(end-1);
56
57
58 % 5% max strain, 0.02/s
59 e_max = 0.05;
60 e_rate = 0.02e-3; % (per ms)
61 t1 = e_max/e_rate;
62 t = t_5_002;
63 lambda_5_002 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
64 dt_5_002 = zeros(1,length(t));
65 for i=1:length(t)-1
66     dt_5_002(i) = t(i+1)-t(i);
67 end
68 dt_5_002(end) = dt_5_002(end-1);
69
70 % 5% max strain, 0.002/s
71 e_max = 0.05;
72 e_rate = 0.002e-3; % (per ms)
73 t1 = e_max/e_rate;
74 t = t_5_0002;
75 lambda_5_0002 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
76 dt_5_0002 = zeros(1,length(t));
77 for i=1:length(t)-1
78     dt_5_0002(i) = t(i+1)-t(i);
79 end
80 dt_5_0002(end) = dt_5_0002(end-1);
81
82 % 3.5% max strain, 0.2/s
83 e_max = 0.035;
84 e_rate = 0.2e-3; % (per ms)
85 t1 = e_max/e_rate;
86 t = t_35_02;
87 lambda_35_02 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
88 dt_35_02 = zeros(1,length(t));
89 for i=1:length(t)-1
90     dt_35_02(i) = t(i+1)-t(i);
91 end
92 dt_35_02(end) = dt_35_02(end-1);
93
94 % 3.5% max strain, 0.002/s
95 e_max = 0.035;
96 e_rate = 0.002e-3; % (per ms)
97 t1 = e_max/e_rate;
98 t = t_35_0002;
99 lambda_35_0002 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
100 dt_35_0002 = zeros(1,length(t));
101 for i=1:length(t)-1
102     dt_35_0002(i) = t(i+1)-t(i);
103 end
104 dt_35_0002(end) = dt_35_0002(end-1);
105
106 % 2% max strain, 0.2/s
107 e_max = 0.02;
108 e_rate = 0.2e-3; % (per ms)

```

```

109 t1 = e_max/e_rate;
110 t = t_2_02;
111 lambda_2_02 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
112 dt_2_02 = zeros(1,length(t));
113 for i=1:length(t)-1
114     dt_2_02(i) = t(i+1)-t(i);
115 end
116 dt_2_02(end) = dt_2_02(end-1);
117
118 % 2% max strain, 0.002/s
119 e_max = 0.02;
120 e_rate = 0.002e-3; % (per ms)
121 t1 = e_max/e_rate;
122 t = t_2_0002;
123 lambda_2_0002 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
124 dt_2_0002 = zeros(1,length(t));
125 for i=1:length(t)-1
126     dt_2_0002(i) = t(i+1)-t(i);
127 end
128 dt_2_0002(end) = dt_2_0002(end-1);
129
130 %% Test model at higher strain and strain rate
131 % 20% max strain, 80/s
132 t_20_80 = [0:0.05:2.5];
133 e_max = 0.2;
134 e_rate = 80e-3;
135 t1 = e_max/e_rate;
136 t = t_20_80;
137 lambda_20_80 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
138 dt_20_80 = zeros(1,length(t));
139 for i=1:length(t)-1
140     dt_20_80(i) = t(i+1)-t(i);
141 end
142 dt_20_80(end) = dt_20_80(end-1);
143
144 % 20% max strain, 0.8/s
145 t_20_08 = [0:1:250];
146 e_max = 0.2;
147 e_rate = 0.8e-3;
148 t1 = e_max/e_rate;
149 t = t_20_08;
150 lambda_20_08 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
151 dt_20_08 = zeros(1,length(t));
152 for i=1:length(t)-1
153     dt_20_08(i) = t(i+1)-t(i);
154 end
155 dt_20_08(end) = dt_20_08(end-1);
156
157 % 5% max strain, 80/s
158 t_5_80 = [0:0.05:2.5];
159 e_max = 0.05;
160 e_rate = 80e-3;
161 t1 = e_max/e_rate;
162 t = t_5_80;
163 lambda_5_80 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
164 dt_5_80 = zeros(1,length(t));
165 for i=1:length(t)-1
166     dt_5_80(i) = t(i+1)-t(i);
167 end
168 dt_5_80(end) = dt_5_80(end-1);
169
170 % 5% max strain, 0.8/s

```

```

171 t_5_08 = [0:1:250];
172 e_max = 0.05;
173 e_rate = 0.8e-3;
174 t1 = e_max/e_rate;
175 t = t_5_08;
176 lambda_5_08 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
177 dt_5_08 = zeros(1,length(t));
178 for i=1:length(t)-1
179     dt_5_08(i) = t(i+1)-t(i);
180 end
181 dt_5_08(end) = dt_5_08(end-1);
182
183
184 %% Concatenated variables
185 % s = [s_5_02, s_5_002, s_5_0002, s_35_02, s_35_0002, s_2_02, s_2_0002];
186 % t = [t_5_02, t_5_002, t_5_0002, t_35_02, t_35_0002, t_2_02, t_2_0002];
187 % lambda = [lambda_5_02, lambda_5_002, lambda_5_0002, lambda_35_02,...
188 % lambda_35_0002, lambda_2_02, lambda_2_0002];
189 % dt = [dt_5_02, dt_5_002, dt_5_0002, dt_35_02, dt_35_0002, dt_2_02, dt_2_0002];
190
191 % s = [s_5_02, s_35_02, s_2_02];
192 % t = [t_5_02, t_35_02, t_2_02];
193 % lambda = [lambda_5_02, lambda_35_02, lambda_2_02];
194 % dt = [dt_5_02, dt_35_02, dt_2_02];
195
196 % s = [s_5_02, s_5_002, s_5_0002];
197 % t = [t_5_02, t_5_002, t_5_0002];
198 % lambda = [lambda_5_02, lambda_5_002, lambda_5_0002];
199 % dt = [dt_5_02, dt_5_002, dt_5_0002];
200
201 % s = [s_5_002];
202 % t = [t_5_002];
203 % lambda = [lambda_5_002];
204 % dt = [dt_5_002];
205
206 s = [s_5_02];
207 t = [t_5_02];
208 lambda = [lambda_5_02];
209 dt = [dt_5_02];
210
211
212 % s = [s_5_0002];
213 % t = [t_5_0002];
214 % e_max = [e_max_5_0002];
215 % e_rate = [e_rate_5_0002];
216
217
218 %% Concatenate hv variables
219 vars = [t; lambda; dt];
220
221
222 %% Curve fitting
223
224 vfun = @hvstress;
225
226 % Initialize model parameters with starting guesses that are spaced out
227 for i=1:n
228     % Set initial mu_i
229     params(2*i-1) = 200e-3;
230     % Set initial alpha_i
231     params(2*i) = 2*i;
232 end

```

```

233 for i=1:m
234     % Set initial g_i
235     params(2*i-1+2*n) = 0.9;
236     % Set initial tau_i
237     params(2*i+2*n) = 10^(i-1);
238 end
239
240 % default upper and lower bounds for model parameters
241 lb = zeros(1,length(params));
242 ub = inf*ones(1,length(params));
243
244 % Set smarter upper and lower bounds
245 for i=1:n
246     lb(2*i-1) = 0;
247     ub(2*i-1) = inf;
248     lb(2*i) = 0;
249     ub(2*i) = inf;
250 end
251 % for i=1:n % Try negative mu, alpha
252 %     lb(2*i-1) = -inf;
253 %     ub(2*i-1) = 0;
254 %     lb(2*i) = -inf;
255 %     ub(2*i) = 0;
256 % end
257 for i=1:m
258     lb(2*i-1+2*n) = 0;
259     ub(2*i-1+2*n) = inf;
260     lb(2*i+2*n) = 0.1;
261     ub(2*i+2*n) = inf;
262 end
263 % % Try Miller value of alpha
264 % for i=1:n
265 %     lb(2*i-1) = -inf;
266 %     ub(2*i-1) = 0;
267 %     lb(2*i) = -4.71;
268 %     ub(2*i) = -4.7;
269 % end
270 %% Assign Mendis/Maikos Prony series parameters for 8ms
271 %% time constant factors since Fiford data is not dense enough in initial
272 %% strain ramp to fit this short term relaxation constant
273 for i=1
274     lb(2*i-1+2*n) = 0.5282;
275     ub(2*i-1+2*n) = 0.5283;
276     lb(2*i+2*n) = 8;
277     ub(2*i+2*n) = 8.1;
278 end
279 % for i=2
280 %     lb(2*i-1+2*n) = 0.3018;
281 %     ub(2*i-1+2*n) = 0.3019;
282 %     lb(2*i+2*n) = 150;
283 %     ub(2*i+2*n) = 150.1;
284 % end
285 % for i=3:m
286 %     lb(2*i-1+2*n) = 0;
287 %     ub(2*i-1+2*n) = 1-.5282-.308;
288 %     lb(2*i+2*n) = 10^(i-1);
289 %     ub(2*i+2*n) = inf;
290 % end
291
292 % randparams = lb+(ub-lb).*rand(1,length(params));
293
294 % Setting lsqcurvefit algorithm options

```

```

295 % options = optimset('MaxFunEvals',1e9,'MaxIter',30000,'Display',...
296 % 'notify','TolFun',1e-14,'TolX',1e-14,'PlotFcns',{[]}) );
297 options = optimset('MaxFunEvals',1e9,'MaxIter',1e6,'Display','notify',...
298 % 'TypicalX',params,'TolFun',1e-16,'TolX',1e-16,...
299 % 'PlotFcns',{[@optimplotfval]} );
300 % PlotFcns
301 % Plots various measures of progress while the algorithm executes, select
302 % from predefined plots or write your own. Specifying @optimplotx plots the
303 % current point; @optimplotfunccount plots the function count;
304 % @optimplotfval plots the function value; @optimplotconstrviolation plots
305 % the maximum constraint violation; @optimplotresnorm plots the norm of the
306 % residuals; @optimplotstepsize plots the step size;
307 % @optimplotfirstorderopt plots the first-order of optimality.
308
309
310
311
312 %lsqcurvefit function
313 tic
314 [solvedconstants,resnorm,residual,output] = lsqcurvefit(vfun,params,...
315 % vars,s,[lb],[ub],options);
316 toc
317
318 disp 'Fiford and Bilston fit constants'
319 hvlabels(n,m,solvedconstants);
320
321 %%
322 figure
323 title(['Fit to Fiford and Bilston (2005) data, 5% max strain'...
324 % ' and 0.2/s strain rate'])
325 ylabel('Stress (MPa)')
326 xlabel('Time (s)')
327 hold on
328 plot(t_5_02/1000,s_5_02,'.r')
329 plot(t_5_02/1000,vfun(solvedconstants,[t_5_02; lambda_5_02; dt_5_02]),'-r')
330 legend('5% 0.2/s','5% 0.2/s fit')
331 hold off
332
333 figure
334 title('Fit to Fiford and Bilston (2005) data, 0.2/s strain rate')
335 ylabel('Stress (MPa)')
336 xlabel('Time (s)')
337 hold on
338 plot(t_5_02/1000,s_5_02,'.r')
339 plot(t_5_02/1000,vfun(solvedconstants,...
340 % [t_5_02; lambda_5_02; dt_5_02]),'-r')
341 plot(t_35_02/1000,s_35_02,'.g')
342 plot(t_35_02/1000,vfun(solvedconstants,...
343 % [t_35_02; lambda_35_02; dt_35_02]),'-g')
344 plot(t_2_02/1000,s_2_02,'.k')
345 plot(t_2_02/1000,vfun(solvedconstants,...
346 % [t_2_02; lambda_2_02; dt_2_02]),'-k')
347 legend('5% 0.2/s','5% 0.2/s fit','3.5% 0.2/s','3.5% 0.2/s fit',...
348 % '2% 0.2/s','2% 0.2/s fit')
349 hold off
350
351 figure
352 title('Fit to Fiford and Bilston (2005) data, 5% max strain')
353 ylabel('Stress (MPa)')
354 xlabel('Time (s)')
355 hold on
356 plot(t_5_02/1000,s_5_02,'.r')

```

```

357 plot(t_5_02/1000,vfun(solvedconstants,...
358     [t_5_02; lambda_5_02; dt_5_02]),'-r')
359 plot(t_5_002/1000,s_5_002,'.g')
360 plot(t_5_002/1000,vfun(solvedconstants,...
361     [t_5_002; lambda_5_002; dt_5_002]),'-g')
362 plot(t_5_0002/1000,s_5_0002,'.k')
363 plot(t_5_0002/1000,vfun(solvedconstants,...
364     [t_5_0002; lambda_5_0002; dt_5_0002]),'-k')
365 legend('5% 0.2/s','5% 0.2/s fit','5% 0.02/s','5% 0.02/s fit',...
366     '5% 0.002/s','5% 0.002/s fit')
367 hold off
368
369 %% Test model at high strain rates
370 figure
371 title('Test model at 5% strain, at 80/s and 0.8/s strain rates')
372 ylabel('Stress (MPa)')
373 xlabel('Time (s)')
374 hold on
375 plot(t_5_02/1000,s_5_02,'.r')
376 plot(t_5_02/1000,vfun(solvedconstants,[t_5_02; lambda_5_02; dt_5_02]),'-r')
377 plot(t_5_80/1000,vfun(solvedconstants,[t_5_80; lambda_5_80; dt_5_80]),'-g')
378 plot(t_5_08/1000,vfun(solvedconstants,[t_5_08; lambda_5_08; dt_5_08]),'-k')
379 xlim([-0.025 0.25])
380 legend('5% 0.2/s','5% 0.2/s fit','5% 80/s prediction','5% 0.8/s prediction')
381 hold off
382
383
384 %% Predictions with Maikos constants
385 n=1;
386 m=2;
387
388 maikosconstants = [-40.04e-3, -4.7, 0.5282, 8, 0.3018, 150];
389 % maikosconstants = [32e-3, 4.7, 0.5282, 8, 0.3018, 150];
390 % maikosconstants = [16e-3, 4.7, 0.5282, 8, 0.3018, 150];
391 % maikosconstants = [40e-3, 4.7, 0.5282, 8, 0.3018, 150];%using mu=G0/alpha
392 % maikosconstants = [32e-3, 4.7, 0.6609, 8, 0.3777, 150];
393 % maikosconstants = [200e-3, 4.7, 0.5282, 8, 0.3018, 150];
394 % maikosconstants = [32e-3, 4.7];
395
396 disp 'Maikos constants'
397 hvlbels(n,m,maikosconstants);
398
399 figure
400 title(['Maikos constants (2008) predictions for Fiford and Bilston'...
401     ' 0.2/s strain rate data (2005)'])
402 ylabel('Stress (MPa)')
403 xlabel('Time (s)')
404 hold on
405 plot(t_5_02/1000,s_5_02,'.r')
406 plot(t_5_02/1000,vfun(maikosconstants,[t_5_02; lambda_5_02; dt_5_02]),'-r')
407 plot(t_35_02/1000,s_35_02,'.g')
408 plot(t_35_02/1000,vfun(maikosconstants,[t_35_02; lambda_35_02; dt_35_02]),'-g')
409 plot(t_2_02/1000,s_2_02,'.k')
410 plot(t_2_02/1000,vfun(maikosconstants,[t_2_02; lambda_2_02; dt_2_02]),'-k')
411 legend('5% 0.2/s','5% 0.2/s fit','3.5% 0.2/s','3.5% 0.2/s fit','2% 0.2/s','2% 0.2/s fit')
412 hold off
413
414 figure
415 title(['Maikos constants (2008) predictions for Fiford and Bilston'...
416     ' 5% max strain data (2005)'])
417 ylabel('Stress (MPa)')
418 xlabel('Time (s)')

```

```

419 hold on
420 plot(t_5_02/1000,s_5_02,'.r')
421 plot(t_5_02/1000,vfun(maikosconstants,[t_5_02; lambda_5_02; dt_5_02]),'-r')
422 plot(t_5_002/1000,s_5_002,'.g')
423 plot(t_5_002/1000,vfun(maikosconstants,[t_5_002; lambda_5_002; dt_5_002]),'-g')
424 plot(t_5_0002/1000,s_5_0002,'.k')
425 plot(t_5_0002/1000,vfun(maikosconstants,[t_5_0002; lambda_5_0002; dt_5_0002]),'-k')
426 legend('5% 0.2/s','5% 0.2/s fit','5% 0.02/s','5% 0.02/s fit',...
427         '5% 0.002/s','5% 0.002/s fit')
428 hold off
429
430 figure
431 title('Test Maikos model at 5% strain, at 80/s and 0.8/s strain rates')
432 ylabel('Stress (MPa)')
433 xlabel('Time (s)')
434 hold on
435 plot(t_5_02/1000,s_5_02,'.r')
436 plot(t_5_02/1000,vfun(maikosconstants,[t_5_02; lambda_5_02; dt_5_02]),'-r')
437 plot(t_5_80/1000,vfun(maikosconstants,[t_5_80; lambda_5_80; dt_5_80]),'-g')
438 plot(t_5_08/1000,vfun(maikosconstants,[t_5_08; lambda_5_08; dt_5_08]),'-k')
439 xlim([-0.025 0.25])
440 legend('5% 0.2/s','5% 0.2/s fit','5% 80/s prediction','5% 0.8/s prediction')
441 hold off
442
443
444 %% Predictions with Miller constants
445 millerconstants = [842e-6/-4.7, -4.7, 0.45, 0.5e3, 0.365, 50e3];
446
447 disp 'Miller constants'
448 hvlabeled(n,m,millerconstants);
449
450 figure
451 title(['Miller constants for brain (2002) predictions for Bilston'...
452       ' 0.2/s strain rate data'])
453 ylabel('Stress (MPa)')
454 xlabel('Time (s)')
455 hold on
456 plot(t_5_02/1000,s_5_02,'.r')
457 plot(t_5_02/1000,vfun(millerconstants,[t_5_02; lambda_5_02; dt_5_02]),'-r')
458 plot(t_35_02/1000,s_35_02,'.g')
459 plot(t_35_02/1000,vfun(millerconstants,[t_35_02; lambda_35_02; dt_35_02]),'-g')
460 plot(t_2_02/1000,s_2_02,'.k')
461 plot(t_2_02/1000,vfun(millerconstants,[t_2_02; lambda_2_02; dt_2_02]),'-k')
462 legend('5% 0.2/s','5% 0.2/s fit','3.5% 0.2/s','3.5% 0.2/s fit',...
463       '2% 0.2/s','2% 0.2/s fit')
464 hold off
465
466 figure
467 title(['Miller constants for brain (2008) predictions for Fiford and Bilston'...
468       ' 5% max strain data (2005)'])
469 ylabel('Stress (MPa)')
470 xlabel('Time (s)')
471 hold on
472 plot(t_5_02/1000,s_5_02,'.r')
473 plot(t_5_02/1000,vfun(millerconstants,[t_5_02; lambda_5_02; dt_5_02]),'-r')
474 plot(t_5_002/1000,s_5_002,'.g')
475 plot(t_5_002/1000,vfun(millerconstants,[t_5_002; lambda_5_002; dt_5_002]),'-g')
476 plot(t_5_0002/1000,s_5_0002,'.k')
477 plot(t_5_0002/1000,vfun(millerconstants,[t_5_0002; lambda_5_0002; dt_5_0002]),'-k')
478 legend('5% 0.2/s','5% 0.2/s fit','5% 0.02/s','5% 0.02/s fit',...
479       '5% 0.002/s','5% 0.002/s fit')
480 hold off

```



```
481
482 figure
483 title('Test Miller model at 5% strain, at 80/s and 0.8/s strain rates')
484 ylabel('Stress (MPa)')
485 xlabel('Time (s)')
486 hold on
487 plot(t_5_02/1000,s_5_02,'.r')
488 plot(t_5_02/1000,vfun(millerconstants,[t_5_02; lambda_5_02; dt_5_02]),'-r')
489 plot(t_5_80/1000,vfun(millerconstants,[t_5_80; lambda_5_80; dt_5_80]),'-g')
490 plot(t_5_08/1000,vfun(millerconstants,[t_5_08; lambda_5_08; dt_5_08]),'-k')
491 xlim([-0.025 0.25])
492 legend('5% 0.2/s','5% 0.2/s fit','5% 80/s prediction','5% 0.8/s prediction')
493 hold off
```

## C.4 Hyperviscoelastic algorithm validation scripts

### C.4.1 Recreation of Greaves hyperelastic plot - greavesogdentest.m

```
1 clc; clear; close all;
2
3 global n m;
4
5 n=1;
6 m=0;
7
8 mu = 0.09/29.52;
9 alpha = 29.52;
10
11 params = [mu; alpha];
12
13 timestep = 1;
14 e_rate_fast = 0.24e-3; % strain rate per ms
15 e_max = 0.11;
16 t_end = e_max/e_rate_fast;
17 t_fast = 0:timestep:t_end;
18 lambda_fast = e_rate_fast*t_fast+1;
19 dt_fast = zeros(1,length(t_fast));
20 for i=1:length(t_fast)-1
21     dt_fast(i) = t_fast(i+1)-t_fast(i);
22 end
23 dt_fast(end) = dt_fast(end-1);
24
25
26 vfun = @hvstress;
27
28 figure
29 title('Plot of Bilston (1996) data fit from Greaves thesis')
30 ylabel('Stress (MPa)')
31 xlabel('Strain')
32 hold on
33 plot(lambda_fast-1,vfun(params,[t_fast; lambda_fast; dt_fast]),'-')
34 hold off
```

### C.4.2 Recreation of Miller hyperviscoelastic plots - millertesthv.m

```
1 clc; clear; close all;
2
3 global n m;
4
```

```
5 n=1;
6 m=2;
7
8 timestep = 0.1;
9
10 K = 1.583;
11
12 alpha = -4.7;
13 mu = 842e-6/alpha;
14 g1 = 0.45;
15 tau1 = 0.5e3;
16 g2 = 0.365;
17 tau2 = 50e3;
18
19 params = [mu; alpha; g1; tau1; g2; tau2];
20 posparams = [-mu; -alpha; g1; tau1; g2; tau2];
21
22 % n=0; params = [mu; alpha]; % Test hyperelastic without visco
23
24 % Fast tension
25 e_rate_fast = 6.4e-4; % strain rate per ms
26 e_max = (1.6-1)/K;
27 t_end = e_max/e_rate_fast;
28 t_fastT = 0:timestep:t_end;
29 lambda_fastT = K*(e_rate_fast*t_fastT)+1;
30 dt_fastT = zeros(1,length(t_fastT));
31 for i=1:length(t_fastT)-1
32     dt_fastT(i) = t_fastT(i+1)-t_fastT(i);
33 end
34 dt_fastT(end) = dt_fastT(end-1);
35
36 % Fast compression
37 e_rate_fast = 6.4e-4; % strain rate per ms
38 e_min = 0.6;
39 t_end = (1-e_min)/e_rate_fast;
40 t_fastC = 0:timestep:t_end;
41 lambda_fastC = 1-e_rate_fast*t_fastC;
42 dt_fastC = zeros(1,length(t_fastC));
43 for i=1:length(t_fastC)-1
44     dt_fastC(i) = t_fastC(i+1)-t_fastC(i);
45 end
46 dt_fastC(end) = dt_fastC(end-1);
47
48
49 % Slow tension
50 e_rate_slow = 6.4e-6; % strain rate per ms
51 e_max = (1.3-1)/K;
52 t_end = e_max/e_rate_slow;
53 t_slowT = 0:100*timestep:t_end;
54 lambda_slowT = K*(e_rate_slow*t_slowT)+1;
55 dt_slowT = zeros(1,length(t_slowT));
56 for i=1:length(t_slowT)-1
57     dt_slowT(i) = t_slowT(i+1)-t_slowT(i);
58 end
59 dt_slowT(end) = dt_slowT(end-1);
60
61 % Slow compression
62 e_rate_slow = 6.4e-6; % strain rate per ms
63 e_min = 0.7;
64 t_end = (1-e_min)/e_rate_slow;
65 t_slowC = 0:100*timestep:t_end;
66 lambda_slowC = 1-e_rate_slow*t_slowC;
```

```

67 dt_slowC = zeros(1,length(t_slowC));
68 for i=1:length(t_slowC)-1
69     dt_slowC(i) = t_slowC(i+1)-t_slowC(i);
70 end
71 dt_slowC(end) = dt_slowC(end-1);
72
73 % Very Slow compression
74 e_rate_veryslow = 6.4e-9; % strain rate per ms
75 e_min = 0.7;
76 t_end = (1-e_min)/e_rate_veryslow;
77 t_veryslowC = 0:1e5*timestep:t_end;
78 lambda_veryslowC = 1-e_rate_veryslow*t_veryslowC;
79 dt_veryslowC = zeros(1,length(t_veryslowC));
80 for i=1:length(t_veryslowC)-1
81     dt_veryslowC(i) = t_veryslowC(i+1)-t_veryslowC(i);
82 end
83 dt_veryslowC(end) = dt_veryslowC(end-1);
84
85
86 % vfun = @hvtensilestress;
87 vfun = @hvstress;
88
89 hvlabels(n,m,params)
90
91 figure
92 title('Plot of Miller and Chinzei (2002) data fit')
93 ylabel('Stress (Pa)')
94 xlabel('Stretch ratio, lambda')
95 hold on
96 grid
97 plot(lambda_fastT, (1e6)*vfun(params,[t_fastT; lambda_fastT; dt_fastT]),...
98     lambda_fastC, (1e6)*vfun(params,[t_fastC; lambda_fastC; dt_fastC]), 'g')
99 plot(lambda_slowT, (1e6)*vfun(params,[t_slowT; lambda_slowT; dt_slowT]), '—',...
100     lambda_slowC, (1e6)*vfun(params,[t_slowC; lambda_slowC; dt_slowC]), '—g')
101 plot(lambda_veryslowC, (1e6)*vfun(params,[t_veryslowC; lambda_veryslowC;...
102     dt_veryslowC]), '—g')
103 plot(lambda_fastT, (1e6)*vfun(posparams,[t_fastT; lambda_fastT; dt_fastT]), '-k',...
104     lambda_fastC, (1e6)*vfun(posparams,[t_fastC; lambda_fastC; dt_fastC]), '-r')
105 plot(lambda_slowT, (1e6)*vfun(posparams,[t_slowT; lambda_slowT; dt_slowT]), '—k',...
106     lambda_slowC, (1e6)*vfun(posparams,[t_slowC; lambda_slowC; dt_slowC]), '—r')
107 plot(lambda_veryslowC, (1e6)*vfun(posparams,[t_veryslowC; lambda_veryslowC;...
108     dt_veryslowC]), '—r')
109 legend('Fast Tension {\alpha = -4.7}', 'Fast Compression {\alpha = -4.7}',...
110     'Slow Tension {\alpha = -4.7}', 'Slow Compression {\alpha = -4.7}',...
111     'Very Slow Compression {\alpha = -4.7}', 'Fast Tension {\alpha = +4.7}',...
112     'Fast Compression {\alpha = +4.7}', 'Slow Tension {\alpha = +4.7}',...
113     'Slow Compression {\alpha = +4.7}', 'Very Slow Compression {\alpha = +4.7}',...
114     'Location', 'Best')
115 hold off

```

### C.4.3 Recreation of Snedeker hyperviscoelastic plots - snedekertest.m

```

1 clc; clear; close all;
2
3 global n m;
4
5 n=2;
6 m=4;
7
8 % Mu divided by two because Snedeker's use of Ogden strain energy did not
9 % include leading 2 multiplier

```

```
10 mu1 = 0.2/2;
11 alpha1 = 15;
12 mu2 = 4.2/2;
13 alpha2 = 7.5;
14 g1 = 0.12;
15 tau1 = 5;
16 g2 = 0.4;
17 tau2 = 1;
18 g3 = 0.08;
19 tau3 = 0.1e3;
20 g4 = 0.13;
21 tau4 = 5e3;
22
23 params = [mu1; alpha1; mu2; alpha2; g1; tau1; g2; tau2; g3; tau3; g4; tau4];
24
25 timestep = 1e-3;
26 e_rate_fast = 250e-3; % strain rate per ms
27 e_max = 0.325;
28 t_end = e_max/e_rate_fast;
29 t_fast = 0:timestep:t_end;
30 lambda_fast = e_rate_fast*t_fast+1;
31 dt_fast = zeros(1,length(t_fast));
32 for i=1:length(t_fast)-1
33     dt_fast(i) = t_fast(i+1)-t_fast(i);
34 end
35 dt_fast(end) = dt_fast(end-1);
36
37 timestep = 100;
38 e_rate_slow = 0.005e-3;
39 e_max = 0.325;
40 t_end = e_max/e_rate_slow;
41 t_slow = 0:timestep:t_end;
42 lambda_slow = e_rate_slow*t_slow+1;
43 dt_slow = zeros(1,length(t_slow));
44 for i=1:length(t_slow)-1
45     dt_slow(i) = t_slow(i+1)-t_slow(i);
46 end
47 dt_slow(end) = dt_slow(end-1);
48
49
50 vfun = @hystress;
51
52 figure
53 title('Plot of Snedeker (2005) data fit')
54 ylabel('Stress (MPa)')
55 xlabel('Strain')
56 hold on
57 plot(lambda_fast-1,vfun(params,[t_fast; lambda_fast; dt_fast]),'-')
58 plot(lambda_slow-1,vfun(params,[t_slow; lambda_slow; dt_slow]),'—')
59 legend('Fast','Slow')
60 hold off
```

## C.5 Material model comparison

```
1
2 clc; clear; close all;
3
4 global m n t_relax;
5
6 % Units: Stress (MPa)
```

```
7 %          Time (ms)
8
9 n = 1;
10 m = 4;
11
12 % Relaxation time (ms)
13 t_relax = 1800e3;
14
15 % Approx. ratio of steady state to initial stress at 5%, 0.2/s
16 relax_ratio = 0.045/0.076;
17
18 % Set G0 equal to initial stress over 5% strain at 0.2/s rate
19 G0 = 0.076/0.05;
20 for i=1:n
21     % Set initial mu_i
22     params(2*i-1) = 200e-3;
23     % Set initial alpha_i
24     params(2*i) = 2*i;
25 end
26 for i=1:m
27     % Set initial g_i
28     params(2*i-1+2*m) = 0.9;
29     % Set initial tau_i
30     params(2*i+2*m) = 10^(i-1);
31 end
32
33
34
35 % Load Fiford and Bilston data
36 % t in ms, s and sSD in MPa
37 load fbdata;
38
39 % 5% max strain, 0.2/s
40 e_max = 0.05;
41 e_rate = 0.2e-3; % (per ms)
42 t1 = e_max/e_rate;
43
44 t = t_5_02;
45 lambda_5_02 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
46 % true_strain = log(lambda_5_02);
47 % s_5_02 = s_5_02./(1+true_strain); % Convert true stress to nominal stress
48 dt_5_02 = zeros(1,length(t));
49 for i=1:length(t)-1
50     dt_5_02(i) = t(i+1)-t(i);
51 end
52 dt_5_02(end) = dt_5_02(end-1);
53
54
55 % 5% max strain, 0.02/s
56 e_max = 0.05;
57 e_rate = 0.02e-3; % (per ms)
58 t1 = e_max/e_rate;
59 t = t_5_002;
60 lambda_5_002 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
61 dt_5_002 = zeros(1,length(t));
62 for i=1:length(t)-1
63     dt_5_002(i) = t(i+1)-t(i);
64 end
65 dt_5_002(end) = dt_5_002(end-1);
66
67 % 5% max strain, 0.002/s
68 e_max = 0.05;
```

```
69 e_rate = 0.002e-3; % (per ms)
70 t1 = e_max/e_rate;
71 t = t_5_0002;
72 lambda_5_0002 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
73 dt_5_0002 = zeros(1,length(t));
74 for i=1:length(t)-1
75     dt_5_0002(i) = t(i+1)-t(i);
76 end
77 dt_5_0002(end) = dt_5_0002(end-1);
78
79 % 3.5% max strain, 0.2/s
80 e_max = 0.035;
81 e_rate = 0.2e-3; % (per ms)
82 t1 = e_max/e_rate;
83 t = t_35_02;
84 lambda_35_02 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
85 dt_35_02 = zeros(1,length(t));
86 for i=1:length(t)-1
87     dt_35_02(i) = t(i+1)-t(i);
88 end
89 dt_35_02(end) = dt_35_02(end-1);
90
91 % 3.5% max strain, 0.002/s
92 e_max = 0.035;
93 e_rate = 0.002e-3; % (per ms)
94 t1 = e_max/e_rate;
95 t = t_35_0002;
96 lambda_35_0002 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
97 dt_35_0002 = zeros(1,length(t));
98 for i=1:length(t)-1
99     dt_35_0002(i) = t(i+1)-t(i);
100 end
101 dt_35_0002(end) = dt_35_0002(end-1);
102
103 % 2% max strain, 0.2/s
104 e_max = 0.02;
105 e_rate = 0.2e-3; % (per ms)
106 t1 = e_max/e_rate;
107 t = t_2_02;
108 lambda_2_02 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
109 dt_2_02 = zeros(1,length(t));
110 for i=1:length(t)-1
111     dt_2_02(i) = t(i+1)-t(i);
112 end
113 dt_2_02(end) = dt_2_02(end-1);
114
115 % 2% max strain, 0.002/s
116 e_max = 0.02;
117 e_rate = 0.002e-3; % (per ms)
118 t1 = e_max/e_rate;
119 t = t_2_0002;
120 lambda_2_0002 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
121 dt_2_0002 = zeros(1,length(t));
122 for i=1:length(t)-1
123     dt_2_0002(i) = t(i+1)-t(i);
124 end
125 dt_2_0002(end) = dt_2_0002(end-1);
126
127
128 %% Describe strain history
129
130 timestep = 0.001;
```

```
131 % 60% max tensile strain, 100/s
132 e_max = 0.6;
133 e_rate = 100e-3;
134 t1 = e_max/e_rate;
135 t_60T_100 = [0:timestep:t1];
136 t = t_60T_100;
137 lambda_60T_100 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
138 dt_60T_100 = zeros(1,length(t));
139 for i=1:length(t)-1
140     dt_60T_100(i) = t(i+1)-t(i);
141 end
142 dt_60T_100(end) = dt_60T_100(end-1);
143
144 % 40% max compressive strain, 100/s
145 e_max = 0.4;
146 e_rate = 100e-3;
147 t1 = e_max/e_rate;
148 t_40C_100 = [0:timestep:t1];
149 t = t_40C_100;
150 lambda_40C_100 = (t<=t1).*(1-e_rate*t)+(t>t1).*(e_rate*t1+1);
151 dt_40C_100 = zeros(1,length(t));
152 for i=1:length(t)-1
153     dt_40C_100(i) = t(i+1)-t(i);
154 end
155 dt_40C_100(end) = dt_40C_100(end-1);
156
157 timestep = timestep*100;
158 % 60% max tensile strain, 1/s
159 e_max = 0.6;
160 e_rate = 1e-3;
161 t1 = e_max/e_rate;
162 t_60T_1 = [0:timestep:t1];
163 t = t_60T_1;
164 lambda_60T_1 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
165 dt_60T_1 = zeros(1,length(t));
166 for i=1:length(t)-1
167     dt_60T_1(i) = t(i+1)-t(i);
168 end
169 dt_60T_1(end) = dt_60T_1(end-1);
170
171 % 40% max tensile strain, 1/s
172 e_max = 0.4;
173 e_rate = 1e-3;
174 t1 = e_max/e_rate;
175 t_40C_1 = [0:timestep:t1];
176 t = t_40C_1;
177 lambda_40C_1 = (t<=t1).*(1-e_rate*t)+(t>t1).*(e_rate*t1+1);
178 dt_40C_1 = zeros(1,length(t));
179 for i=1:length(t)-1
180     dt_40C_1(i) = t(i+1)-t(i);
181 end
182 dt_40C_1(end) = dt_40C_1(end-1);
183
184 timestep = timestep*5;
185 % 60% max tensile strain, 0.2/s
186 e_max = 0.6;
187 e_rate = 0.2e-3;
188 t1 = e_max/e_rate;
189 t_60T_02 = [0:timestep:t1];
190 t = t_60T_02;
191 lambda_60T_02 = (t<=t1).*(e_rate*t+1)+(t>t1).*(e_rate*t1+1);
192 dt_60T_02 = zeros(1,length(t));
```

```

193 for i=1:length(t)-1
194     dt_60T_02(i) = t(i+1)-t(i);
195 end
196 dt_60T_02(end) = dt_60T_02(end-1);
197
198 % 40% max tensile strain, 0.2/s
199 e_max = 0.4;
200 e_rate = 0.2e-3;
201 t1 = e_max/e_rate;
202 t_40C_02 = [0:timestep:t1];
203 t = t_40C_02;
204 lambda_40C_02 = (t<=t1).*(1-e_rate*t)+(t>t1).*(e_rate*t1+1);
205 dt_40C_02 = zeros(1,length(t));
206 for i=1:length(t)-1
207     dt_40C_02(i) = t(i+1)-t(i);
208 end
209 dt_40C_02(end) = dt_40C_02(end-1);
210
211 vfun = @hystress;
212
213 %% Master plot of experimental data and model predictions
214 maikosconstants = [40.04e-3, 4.7, 0.5282, 8, 0.3018, 150];
215 negmaikosconstants = [-40.04e-3, -4.7, 0.5282, 8, 0.3018, 150];
216 % negmaikosconstants = [-40.04e-3, -4.7, 0.5282, 1, 0.3018, 150];
217 % test with faster relaxation lms time constant
218 millerconstants = [842e-6/-4.7, -4.7, 0.45, 0.5e3, 0.365, 50e3];
219 fifordconstants = [7.7e-3, 49.9342, 0.5282, 8, 0.094, 256.0977, 0.051,...
220     38183, 0.0488, 456240];
221
222 disp maikosconstants
223 hvlabels(1,2,maikosconstants);
224 disp negmaikosconstants
225 hvlabels(1,2,negmaikosconstants);
226 disp millerconstants
227 hvlabels(1,2,millerconstants);
228 disp fifordconstants
229 hvlabels(1,4,fifordconstants);
230
231
232 figure
233 title('Master stress-strain plot of experimental data and model predictions')
234 ylabel('Stress, {\sigma} (MPa)')
235 xlabel('Stretch Ratio, {\lambda}')
236 ylim([-1.5 0.5])
237 xlim([0.6 1.4])
238 % grid
239 hold on
240 currentPlot = [];
241 % Fast strain rate 100/s
242 n=1; m=2;
243 currentPlot = [currentPlot;plot(lambda_60T_100,vfun(maikosconstants,...
244     [t_60T_100; lambda_60T_100; dt_60T_100]),'r')];
245 plot(lambda_40C_100,vfun(maikosconstants,[t_40C_100; lambda_40C_100; dt_40C_100]),'r')
246 currentPlot = [currentPlot;plot(lambda_60T_100,vfun(negmaikosconstants,...
247     [t_60T_100; lambda_60T_100; dt_60T_100]),'g')];
248 plot(lambda_40C_100,vfun(negmaikosconstants,[t_40C_100; lambda_40C_100; dt_40C_100]),'g')
249 currentPlot = [currentPlot;plot(lambda_60T_100,vfun(millerconstants,...
250     [t_60T_100; lambda_60T_100; dt_60T_100]),'b')];
251 plot(lambda_40C_100,vfun(millerconstants,[t_40C_100; lambda_40C_100; dt_40C_100]),'b')
252 n=1; m=4;
253 currentPlot = [currentPlot;plot(lambda_60T_100,vfun(fifordconstants,...
254     [t_60T_100; lambda_60T_100; dt_60T_100]),'k')];

```



```

255 plot(lambda_40C_100,vfun(fifordconstants,[t_40C_100; lambda_40C_100; dt_40C_100]),'k')
256 % Slow strain rate 1/s
257 n=1; m=2;
258 currentPlot = [currentPlot;plot(lambda_60T_1,vfun(maikosconstants,...
259     [t_60T_1; lambda_60T_1; dt_60T_1]),'—r')];
260 plot(lambda_40C_1,vfun(maikosconstants,[t_40C_1; lambda_40C_1; dt_40C_1]),'—r')
261 currentPlot = [currentPlot;plot(lambda_60T_1,vfun(negmaikosconstants,...
262     [t_60T_1; lambda_60T_1; dt_60T_1]),'—g')];
263 plot(lambda_40C_1,vfun(negmaikosconstants,[t_40C_1; lambda_40C_1; dt_40C_1]),'—g')
264 currentPlot = [currentPlot;plot(lambda_60T_1,vfun(millerconstants,...
265     [t_60T_1; lambda_60T_1; dt_60T_1]),'—b')];
266 plot(lambda_40C_1,vfun(millerconstants,[t_40C_1; lambda_40C_1; dt_40C_1]),'—b')
267 n=1; m=4;
268 currentPlot = [currentPlot;plot(lambda_60T_1,vfun(fifordconstants,...
269     [t_60T_1; lambda_60T_1; dt_60T_1]),'—k')];
270 plot(lambda_40C_1,vfun(fifordconstants,[t_40C_1; lambda_40C_1; dt_40C_1]),'—k')
271 hold off
272 legend(currentPlot,'100/s – Maikos parameters','100/s – Negative Maikos parameters',...
273     '100/s – Miller parameters (brain)','100/s – Fit to Fiford and Bilston data',...
274     '1/s – Maikos parameters','1/s – Negative Maikos parameters',...
275     '1/s – Miller parameters (brain)','1/s – Fit to Fiford and Bilston data',...
276     'Location','SouthEast')
277 saveas(gcf,['masterplot.eps'],'psc2')
278
279
280 figure
281 title('Master stress-strain plot of experimental data and model predictions (zoom)')
282 ylabel('Stress, {\sigma} (MPa)')
283 xlabel('Stretch Ratio, {\lambda}')
284 ylim([-0.1 0.1])
285 xlim([0.9 1.1])
286 % grid
287 hold on
288 currentPlot = [];
289 % Fast strain rate 100/s
290 n=1; m=2;
291 currentPlot = [currentPlot;plot(lambda_60T_100,vfun(maikosconstants,...
292     [t_60T_100; lambda_60T_100; dt_60T_100]),'r')];
293 plot(lambda_40C_100,vfun(maikosconstants,[t_40C_100; lambda_40C_100; dt_40C_100]),'r')
294 currentPlot = [currentPlot;plot(lambda_60T_100,vfun(negmaikosconstants,...
295     [t_60T_100; lambda_60T_100; dt_60T_100]),'g')];
296 plot(lambda_40C_100,vfun(negmaikosconstants,[t_40C_100; lambda_40C_100; dt_40C_100]),'g')
297 currentPlot = [currentPlot;plot(lambda_60T_100,vfun(millerconstants,...
298     [t_60T_100; lambda_60T_100; dt_60T_100]),'b')];
299 plot(lambda_40C_100,vfun(millerconstants,[t_40C_100; lambda_40C_100; dt_40C_100]),'b')
300 n=1; m=4;
301 currentPlot = [currentPlot;plot(lambda_60T_100,vfun(fifordconstants,...
302     [t_60T_100; lambda_60T_100; dt_60T_100]),'k')];
303 plot(lambda_40C_100,vfun(fifordconstants,[t_40C_100; lambda_40C_100; dt_40C_100]),'k')
304 % Slow strain rate 1/s
305 n=1; m=2;
306 currentPlot = [currentPlot;plot(lambda_60T_1,vfun(maikosconstants,...
307     [t_60T_1; lambda_60T_1; dt_60T_1]),'—r')];
308 plot(lambda_40C_1,vfun(maikosconstants,[t_40C_1; lambda_40C_1; dt_40C_1]),'—r')
309 currentPlot = [currentPlot;plot(lambda_60T_1,vfun(negmaikosconstants,...
310     [t_60T_1; lambda_60T_1; dt_60T_1]),'—g')];
311 plot(lambda_40C_1,vfun(negmaikosconstants,[t_40C_1; lambda_40C_1; dt_40C_1]),'—g')
312 currentPlot = [currentPlot;plot(lambda_60T_1,vfun(millerconstants,...
313     [t_60T_1; lambda_60T_1; dt_60T_1]),'—b')];
314 plot(lambda_40C_1,vfun(millerconstants,[t_40C_1; lambda_40C_1; dt_40C_1]),'—b')
315 n=1; m=4;
316 currentPlot = [currentPlot;plot(lambda_60T_1,vfun(fifordconstants,...

```

```

317 [t_60T_1; lambda_60T_1; dt_60T_1]), '—k');
318 plot(lambda_40C_1, vfun(fifordconstants, [t_40C_1; lambda_40C_1; dt_40C_1]), '—k')
319 % Fiford and Bilston data, 5% strain 0.2/s
320 currentPlot = [currentPlot; plot(lambda_5_02, s_5_02, '—', 'MarkerSize', 5)];
321 currentPlot = [currentPlot; plot(lambda_60T_02, vfun(fifordconstants, ...
322 [t_60T_02; lambda_60T_02; dt_60T_02]), ':k')];
323 plot(lambda_40C_02, vfun(fifordconstants, [t_40C_02; lambda_40C_02; dt_40C_02]), ':k')
324 hold off
325 legend(currentPlot, '100/s — Maikos parameters', '100/s — Negative Maikos parameters', ...
326 '100/s — Miller parameters (brain)', '100/s — Fit to Fiford and Bilston data', ...
327 '1/s — Maikos parameters', '1/s — Negative Maikos parameters', ...
328 '1/s — Miller parameters (brain)', '1/s — Fit to Fiford and Bilston data', ...
329 '0.2/s — Fiford and Bilston data', '0.2/s — Fit to Fiford and Bilston data', ...
330 'Location', 'SouthEast')
331 saveas(gcf, ['masterplot.zoom.eps'], 'psc2')

```

### C.5.1 Model parameters - output from hvlabels.m

maikosconstants

'mu1 (MPa)'	'alpha1'	'g1' 'tau1 (ms)'	'g2' 'tau2 (ms)'
[ 0.0400]	[4.7000]	[ 0.5282] [ 8]	[ 0.3018] [ 150]
'G0 (MPa)'	[]	'G1 (MPa)'	[]
[ 0.1882]	[]	[ 0.0994]	[ 0.0568]

negmaikosconstant

'mu1 (MPa)'	'alpha1'	'g1' 'tau1 (ms)'	'g2' 'tau2 (ms)'
[ -0.0400]	[ -4.7000]	[ 0.5282] [ 8]	[ 0.3018] [ 150]
'G0 (MPa)'	[]	'G1 (MPa)'	[]
[ 0.1882]	[]	[ 0.0994]	[ 0.0568]

millerconstants

'mu1 (MPa)'	'alpha1'	'g1' 'tau1 ( ms)'	'g2' 'tau2 ( ms)'	'g3' 'tau3 ( ms)'	'g4' 'tau4 ( ms)'
[ -1.7915e-004]	[ -4.7000 ]	[ 0.4 500]	[ 500] [ 0.3650]	[ 50000]	
'G0 (MPa)'	[	'G1 (MPa)'	'	'G2 (M Pa)'	
[ 8.4200e-004 ]	[	[ 3.7890e- 004]	[]	[ 3.073 3e-004]	[]

fifordconstants

'mu1 (MPa)'	'alpha1'	'g1' 'tau1 (ms)'	'g2' 'tau2 (ms)'	'g3' 'tau3 (ms)'	'g4' 'tau4 (ms)'
[ 0.0077]	[49.9342]	[ 0.5282] [ 8]	[ 0.0940] 256.0977]	[ 0.0510] [ 38183]	[ 0.0488] [ 456240]
'G0 (MPa)'	[]	'G1 (MPa)'	[]	'G2 (MPa)'	[]
[ 0.3845]	[]	[ 0.2031]	[]	[ 0.0361]	[ 0.0196]

## C.6 FE data extraction and linear regression of tissue damage versus maximum principal strain

### C.6.1 Reading of exported FE data from .csv files and saving to .mat - zonesliceread.m

```

1 %% Read stress and strain simulation results from .csv and save to .mat
2
3 clc; clear;
4
5 %% Specify region to process ie. 'negMaikos.WM.DC-CT', and folder where
6 %% data reside
7 region = 'Maikos.WM.LC.DL';
8 folder = '\Dislocation\Maikos\';
9

```

```

10 for i=-5:5
11     if i>0
12         file_name = [region ' +' num2str(i) 'mm.csv'];
13         data_name = [region '_data_pos' num2str(abs(i))];
14         labels_name = [region '_labels_pos' num2str(abs(i))];
15     else if i<0
16         file_name = [region ' ' num2str(i) 'mm.csv'];
17         data_name = [region '_data_neg' num2str(abs(i))];
18         labels_name = [region '_labels_neg' num2str(abs(i))];
19     else
20         file_name = [region ' ' num2str(i) 'mm.csv'];
21         data_name = [region '_data_' num2str(i)];
22         labels_name = [region '_labels_' num2str(i)];
23     end
24 end
25 eval(['[' data_name ',' labels_name '] ' '= xlsread([cd folder file_name]);']);
26 disp(['reading data: ' region ' ' num2str(i) 'mm'])
27 end
28 clear file_name data_name labels_name i;
29
30 save([region '.mat'])

```

### C.6.2 Calculation of mean peak values and SD and saving to .mat - mechanismFEdatasave.m

```

1 %% Load stress and strain simulation results from all zone slices,
2 %% calculate average peak values, and save to mechanismFEdata.mat
3 %% and mechanismFEdataSD.mat
4
5 clc; clear; close all;
6
7 %% Calculate mean of peaks
8
9 % Contusion with Maikos properties
10 [Maikos_WM_DC_CT_strain1, Maikos_WM_DC_CT_strain2, Maikos_WM_DC_CT_strain3, ...
11     Maikos_WM_DC_CT_stress1, Maikos_WM_DC_CT_stress2, ...
12     Maikos_WM_DC_CT_stress3] = avgpeak('Maikos_WM_DC_CT');
13 [Maikos_WM_LC_CT_strain1, Maikos_WM_LC_CT_strain2, Maikos_WM_LC_CT_strain3, ...
14     Maikos_WM_LC_CT_stress1, Maikos_WM_LC_CT_stress2, ...
15     Maikos_WM_LC_CT_stress3] = avgpeak('Maikos_WM_LC_CT');
16 [Maikos_WM_VM_CT_strain1, Maikos_WM_VM_CT_strain2, Maikos_WM_VM_CT_strain3, ...
17     Maikos_WM_VM_CT_stress1, Maikos_WM_VM_CT_stress2, ...
18     Maikos_WM_VM_CT_stress3] = avgpeak('Maikos_WM_VM_CT');
19 [Maikos_WM_VL_CT_strain1, Maikos_WM_VL_CT_strain2, Maikos_WM_VL_CT_strain3, ...
20     Maikos_WM_VL_CT_stress1, Maikos_WM_VL_CT_stress2, ...
21     Maikos_WM_VL_CT_stress3] = avgpeak('Maikos_WM_VL_CT');
22 [Maikos_GM_CT_strain1, Maikos_GM_CT_strain2, Maikos_GM_CT_strain3, ...
23     Maikos_GM_CT_stress1, Maikos_GM_CT_stress2, ...
24     Maikos_GM_CT_stress3] = avgpeak('Maikos_GM_CT');
25
26 % Contusion with negative Maikos properties
27 [negMaikos_WM_DC_CT_strain1, negMaikos_WM_DC_CT_strain2, negMaikos_WM_DC_CT_strain3, ...
28     negMaikos_WM_DC_CT_stress1, negMaikos_WM_DC_CT_stress2, ...
29     negMaikos_WM_DC_CT_stress3] = avgpeak('negMaikos_WM_DC_CT');
30 [negMaikos_WM_LC_CT_strain1, negMaikos_WM_LC_CT_strain2, negMaikos_WM_LC_CT_strain3, ...
31     negMaikos_WM_LC_CT_stress1, negMaikos_WM_LC_CT_stress2, ...
32     negMaikos_WM_LC_CT_stress3] = avgpeak('negMaikos_WM_LC_CT');
33 [negMaikos_WM_VM_CT_strain1, negMaikos_WM_VM_CT_strain2, negMaikos_WM_VM_CT_strain3, ...
34     negMaikos_WM_VM_CT_stress1, negMaikos_WM_VM_CT_stress2, ...
35     negMaikos_WM_VM_CT_stress3] = avgpeak('negMaikos_WM_VM_CT');
36 [negMaikos_WM_VL_CT_strain1, negMaikos_WM_VL_CT_strain2, negMaikos_WM_VL_CT_strain3, ...

```

```

37     negMaikos_WM_VL_CT_stress1, negMaikos_WM_VL_CT_stress2, ...
38     negMaikos_WM_VL_CT_stress3] = avgpeak('negMaikos_WM_VL_CT');
39 [negMaikos_GM_CT_strain1, negMaikos_GM_CT_strain2, negMaikos_GM_CT_strain3, ...
40     negMaikos_GM_CT_stress1, negMaikos_GM_CT_stress2, ...
41     negMaikos_GM_CT_stress3] = avgpeak('negMaikos_GM_CT');
42
43 % Dislocation with Maikos properties
44 [Maikos_WM_DC_DL_strain1, Maikos_WM_DC_DL_strain2, Maikos_WM_DC_DL_strain3, ...
45     Maikos_WM_DC_DL_stress1, Maikos_WM_DC_DL_stress2, ...
46     Maikos_WM_DC_DL_stress3] = avgpeak('Maikos_WM_DC_DL');
47 [Maikos_WM_LC_DL_strain1, Maikos_WM_LC_DL_strain2, Maikos_WM_LC_DL_strain3, ...
48     Maikos_WM_LC_DL_stress1, Maikos_WM_LC_DL_stress2, ...
49     Maikos_WM_LC_DL_stress3] = avgpeak('Maikos_WM_LC_DL');
50 [Maikos_WM_VM_DL_strain1, Maikos_WM_VM_DL_strain2, Maikos_WM_VM_DL_strain3, ...
51     Maikos_WM_VM_DL_stress1, Maikos_WM_VM_DL_stress2, ...
52     Maikos_WM_VM_DL_stress3] = avgpeak('Maikos_WM_VM_DL');
53 [Maikos_WM_VL_DL_strain1, Maikos_WM_VL_DL_strain2, Maikos_WM_VL_DL_strain3, ...
54     Maikos_WM_VL_DL_stress1, Maikos_WM_VL_DL_stress2, ...
55     Maikos_WM_VL_DL_stress3] = avgpeak('Maikos_WM_VL_DL');
56 [Maikos_GM_DL_strain1, Maikos_GM_DL_strain2, Maikos_GM_DL_strain3, ...
57     Maikos_GM_DL_stress1, Maikos_GM_DL_stress2, ...
58     Maikos_GM_DL_stress3] = avgpeak('Maikos_GM_DL');
59
60 % Dislocation with negative Maikos properties
61 [negMaikos_WM_DC_DL_strain1, negMaikos_WM_DC_DL_strain2, negMaikos_WM_DC_DL_strain3, ...
62     negMaikos_WM_DC_DL_stress1, negMaikos_WM_DC_DL_stress2, ...
63     negMaikos_WM_DC_DL_stress3] = avgpeak('negMaikos_WM_DC_DL');
64 [negMaikos_WM_LC_DL_strain1, negMaikos_WM_LC_DL_strain2, negMaikos_WM_LC_DL_strain3, ...
65     negMaikos_WM_LC_DL_stress1, negMaikos_WM_LC_DL_stress2, ...
66     negMaikos_WM_LC_DL_stress3] = avgpeak('negMaikos_WM_LC_DL');
67 [negMaikos_WM_VM_DL_strain1, negMaikos_WM_VM_DL_strain2, negMaikos_WM_VM_DL_strain3, ...
68     negMaikos_WM_VM_DL_stress1, negMaikos_WM_VM_DL_stress2, ...
69     negMaikos_WM_VM_DL_stress3] = avgpeak('negMaikos_WM_VM_DL');
70 [negMaikos_WM_VL_DL_strain1, negMaikos_WM_VL_DL_strain2, negMaikos_WM_VL_DL_strain3, ...
71     negMaikos_WM_VL_DL_stress1, negMaikos_WM_VL_DL_stress2, ...
72     negMaikos_WM_VL_DL_stress3] = avgpeak('negMaikos_WM_VL_DL');
73 [negMaikos_GM_DL_strain1, negMaikos_GM_DL_strain2, negMaikos_GM_DL_strain3, ...
74     negMaikos_GM_DL_stress1, negMaikos_GM_DL_stress2, ...
75     negMaikos_GM_DL_stress3] = avgpeak('negMaikos_GM_DL');
76
77 % Distraction with Maikos properties
78 [Maikos_WM_DC_DT_strain1, Maikos_WM_DC_DT_strain2, Maikos_WM_DC_DT_strain3, ...
79     Maikos_WM_DC_DT_stress1, Maikos_WM_DC_DT_stress2, ...
80     Maikos_WM_DC_DT_stress3] = avgpeak('Maikos_WM_DC_DT');
81 [Maikos_WM_LC_DT_strain1, Maikos_WM_LC_DT_strain2, Maikos_WM_LC_DT_strain3, ...
82     Maikos_WM_LC_DT_stress1, Maikos_WM_LC_DT_stress2, ...
83     Maikos_WM_LC_DT_stress3] = avgpeak('Maikos_WM_LC_DT');
84 [Maikos_WM_VM_DT_strain1, Maikos_WM_VM_DT_strain2, Maikos_WM_VM_DT_strain3, ...
85     Maikos_WM_VM_DT_stress1, Maikos_WM_VM_DT_stress2, ...
86     Maikos_WM_VM_DT_stress3] = avgpeak('Maikos_WM_VM_DT');
87 [Maikos_WM_VL_DT_strain1, Maikos_WM_VL_DT_strain2, Maikos_WM_VL_DT_strain3, ...
88     Maikos_WM_VL_DT_stress1, Maikos_WM_VL_DT_stress2, ...
89     Maikos_WM_VL_DT_stress3] = avgpeak('Maikos_WM_VL_DT');
90 [Maikos_GM_DT_strain1, Maikos_GM_DT_strain2, Maikos_GM_DT_strain3, ...
91     Maikos_GM_DT_stress1, Maikos_GM_DT_stress2, ...
92     Maikos_GM_DT_stress3] = avgpeak('Maikos_GM_DT');
93
94 % Distraction with negative Maikos properties
95 [negMaikos_WM_DC_DT_strain1, negMaikos_WM_DC_DT_strain2, negMaikos_WM_DC_DT_strain3, ...
96     negMaikos_WM_DC_DT_stress1, negMaikos_WM_DC_DT_stress2, ...
97     negMaikos_WM_DC_DT_stress3] = avgpeak('negMaikos_WM_DC_DT');
98 [negMaikos_WM_LC_DT_strain1, negMaikos_WM_LC_DT_strain2, negMaikos_WM_LC_DT_strain3, ...

```

```

99     negMaikos_WM_LC_DT_stress1, negMaikos_WM_LC_DT_stress2, ...
100     negMaikos_WM_LC_DT_stress3] = avgpeak('negMaikos_WM_LC_DT');
101 [negMaikos_WM_VM_DT_strain1, negMaikos_WM_VM_DT_strain2, negMaikos_WM_VM_DT_strain3, ...
102     negMaikos_WM_VM_DT_stress1, negMaikos_WM_VM_DT_stress2, ...
103     negMaikos_WM_VM_DT_stress3] = avgpeak('negMaikos_WM_VM_DT');
104 [negMaikos_WM_VL_DT_strain1, negMaikos_WM_VL_DT_strain2, negMaikos_WM_VL_DT_strain3, ...
105     negMaikos_WM_VL_DT_stress1, negMaikos_WM_VL_DT_stress2, ...
106     negMaikos_WM_VL_DT_stress3] = avgpeak('negMaikos_WM_VL_DT');
107 [negMaikos_GM_DT_strain1, negMaikos_GM_DT_strain2, negMaikos_GM_DT_strain3, ...
108     negMaikos_GM_DT_stress1, negMaikos_GM_DT_stress2, ...
109     negMaikos_GM_DT_stress3] = avgpeak('negMaikos_GM_DT');
110
111 % Save as .mat file
112 save('mechanismFEdata.mat')
113 clear;
114
115 %% Calculate S.D. of peaks
116
117 % Contusion with Maikos properties
118 [Maikos_WM_DC_CT_strain1SD, Maikos_WM_DC_CT_strain2SD, Maikos_WM_DC_CT_strain3SD, ...
119     Maikos_WM_DC_CT_stress1SD, Maikos_WM_DC_CT_stress2SD, ...
120     Maikos_WM_DC_CT_stress3SD] = sdpeak('Maikos_WM_DC_CT');
121 [Maikos_WM_LC_CT_strain1SD, Maikos_WM_LC_CT_strain2SD, Maikos_WM_LC_CT_strain3SD, ...
122     Maikos_WM_LC_CT_stress1SD, Maikos_WM_LC_CT_stress2SD, ...
123     Maikos_WM_LC_CT_stress3SD] = sdpeak('Maikos_WM_LC_CT');
124 [Maikos_WM_VM_CT_strain1SD, Maikos_WM_VM_CT_strain2SD, Maikos_WM_VM_CT_strain3SD, ...
125     Maikos_WM_VM_CT_stress1SD, Maikos_WM_VM_CT_stress2SD, ...
126     Maikos_WM_VM_CT_stress3SD] = sdpeak('Maikos_WM_VM_CT');
127 [Maikos_WM_VL_CT_strain1SD, Maikos_WM_VL_CT_strain2SD, Maikos_WM_VL_CT_strain3SD, ...
128     Maikos_WM_VL_CT_stress1SD, Maikos_WM_VL_CT_stress2SD, ...
129     Maikos_WM_VL_CT_stress3SD] = sdpeak('Maikos_WM_VL_CT');
130 [Maikos_GM_CT_strain1SD, Maikos_GM_CT_strain2SD, Maikos_GM_CT_strain3SD, ...
131     Maikos_GM_CT_stress1SD, Maikos_GM_CT_stress2SD, ...
132     Maikos_GM_CT_stress3SD] = sdpeak('Maikos_GM_CT');
133
134 % Contusion with negative Maikos properties
135 [negMaikos_WM_DC_CT_strain1SD, negMaikos_WM_DC_CT_strain2SD, negMaikos_WM_DC_CT_strain3SD, ...
136     negMaikos_WM_DC_CT_stress1SD, negMaikos_WM_DC_CT_stress2SD, ...
137     negMaikos_WM_DC_CT_stress3SD] = sdpeak('negMaikos_WM_DC_CT');
138 [negMaikos_WM_LC_CT_strain1SD, negMaikos_WM_LC_CT_strain2SD, negMaikos_WM_LC_CT_strain3SD, ...
139     negMaikos_WM_LC_CT_stress1SD, negMaikos_WM_LC_CT_stress2SD, ...
140     negMaikos_WM_LC_CT_stress3SD] = sdpeak('negMaikos_WM_LC_CT');
141 [negMaikos_WM_VM_CT_strain1SD, negMaikos_WM_VM_CT_strain2SD, negMaikos_WM_VM_CT_strain3SD, ...
142     negMaikos_WM_VM_CT_stress1SD, negMaikos_WM_VM_CT_stress2SD, ...
143     negMaikos_WM_VM_CT_stress3SD] = sdpeak('negMaikos_WM_VM_CT');
144 [negMaikos_WM_VL_CT_strain1SD, negMaikos_WM_VL_CT_strain2SD, negMaikos_WM_VL_CT_strain3SD, ...
145     negMaikos_WM_VL_CT_stress1SD, negMaikos_WM_VL_CT_stress2SD, ...
146     negMaikos_WM_VL_CT_stress3SD] = sdpeak('negMaikos_WM_VL_CT');
147 [negMaikos_GM_CT_strain1SD, negMaikos_GM_CT_strain2SD, negMaikos_GM_CT_strain3SD, ...
148     negMaikos_GM_CT_stress1SD, negMaikos_GM_CT_stress2SD, ...
149     negMaikos_GM_CT_stress3SD] = sdpeak('negMaikos_GM_CT');
150
151 % Dislocation with Maikos properties
152 [Maikos_WM_DC_DL_strain1SD, Maikos_WM_DC_DL_strain2SD, Maikos_WM_DC_DL_strain3SD, ...
153     Maikos_WM_DC_DL_stress1SD, Maikos_WM_DC_DL_stress2SD, ...
154     Maikos_WM_DC_DL_stress3SD] = sdpeak('Maikos_WM_DC_DL');
155 [Maikos_WM_LC_DL_strain1SD, Maikos_WM_LC_DL_strain2SD, Maikos_WM_LC_DL_strain3SD, ...
156     Maikos_WM_LC_DL_stress1SD, Maikos_WM_LC_DL_stress2SD, ...
157     Maikos_WM_LC_DL_stress3SD] = sdpeak('Maikos_WM_LC_DL');
158 [Maikos_WM_VM_DL_strain1SD, Maikos_WM_VM_DL_strain2SD, Maikos_WM_VM_DL_strain3SD, ...
159     Maikos_WM_VM_DL_stress1SD, Maikos_WM_VM_DL_stress2SD, ...
160     Maikos_WM_VM_DL_stress3SD] = sdpeak('Maikos_WM_VM_DL');

```

```

161 [Maikos_WM_VL_DL_strain1SD, Maikos_WM_VL_DL_strain2SD, Maikos_WM_VL_DL_strain3SD, ...
162     Maikos_WM_VL_DL_stress1SD, Maikos_WM_VL_DL_stress2SD, ...
163     Maikos_WM_VL_DL_stress3SD] = sdpeak('Maikos_WM_VL_DL');
164 [Maikos_GM_DL_strain1SD, Maikos_GM_DL_strain2SD, Maikos_GM_DL_strain3SD, ...
165     Maikos_GM_DL_stress1SD, Maikos_GM_DL_stress2SD, ...
166     Maikos_GM_DL_stress3SD] = sdpeak('Maikos_GM_DL');
167
168 % Dislocation with negative Maikos properties
169 [negMaikos_WM_DC_DL_strain1SD, negMaikos_WM_DC_DL_strain2SD, negMaikos_WM_DC_DL_strain3SD, ...
170     negMaikos_WM_DC_DL_stress1SD, negMaikos_WM_DC_DL_stress2SD, ...
171     negMaikos_WM_DC_DL_stress3SD] = sdpeak('negMaikos_WM_DC_DL');
172 [negMaikos_WM_LC_DL_strain1SD, negMaikos_WM_LC_DL_strain2SD, negMaikos_WM_LC_DL_strain3SD, ...
173     negMaikos_WM_LC_DL_stress1SD, negMaikos_WM_LC_DL_stress2SD, ...
174     negMaikos_WM_LC_DL_stress3SD] = sdpeak('negMaikos_WM_LC_DL');
175 [negMaikos_WM_VM_DL_strain1SD, negMaikos_WM_VM_DL_strain2SD, negMaikos_WM_VM_DL_strain3SD, ...
176     negMaikos_WM_VM_DL_stress1SD, negMaikos_WM_VM_DL_stress2SD, ...
177     negMaikos_WM_VM_DL_stress3SD] = sdpeak('negMaikos_WM_VM_DL');
178 [negMaikos_WM_VL_DL_strain1SD, negMaikos_WM_VL_DL_strain2SD, negMaikos_WM_VL_DL_strain3SD, ...
179     negMaikos_WM_VL_DL_stress1SD, negMaikos_WM_VL_DL_stress2SD, ...
180     negMaikos_WM_VL_DL_stress3SD] = sdpeak('negMaikos_WM_VL_DL');
181 [negMaikos_GM_DL_strain1SD, negMaikos_GM_DL_strain2SD, negMaikos_GM_DL_strain3SD, ...
182     negMaikos_GM_DL_stress1SD, negMaikos_GM_DL_stress2SD, ...
183     negMaikos_GM_DL_stress3SD] = sdpeak('negMaikos_GM_DL');
184
185 % Distraction with Maikos properties
186 [Maikos_WM_DC_DT_strain1SD, Maikos_WM_DC_DT_strain2SD, Maikos_WM_DC_DT_strain3SD, ...
187     Maikos_WM_DC_DT_stress1SD, Maikos_WM_DC_DT_stress2SD, ...
188     Maikos_WM_DC_DT_stress3SD] = sdpeak('Maikos_WM_DC_DT');
189 [Maikos_WM_LC_DT_strain1SD, Maikos_WM_LC_DT_strain2SD, Maikos_WM_LC_DT_strain3SD, ...
190     Maikos_WM_LC_DT_stress1SD, Maikos_WM_LC_DT_stress2SD, ...
191     Maikos_WM_LC_DT_stress3SD] = sdpeak('Maikos_WM_LC_DT');
192 [Maikos_WM_VM_DT_strain1SD, Maikos_WM_VM_DT_strain2SD, Maikos_WM_VM_DT_strain3SD, ...
193     Maikos_WM_VM_DT_stress1SD, Maikos_WM_VM_DT_stress2SD, ...
194     Maikos_WM_VM_DT_stress3SD] = sdpeak('Maikos_WM_VM_DT');
195 [Maikos_WM_VL_DT_strain1SD, Maikos_WM_VL_DT_strain2SD, Maikos_WM_VL_DT_strain3SD, ...
196     Maikos_WM_VL_DT_stress1SD, Maikos_WM_VL_DT_stress2SD, ...
197     Maikos_WM_VL_DT_stress3SD] = sdpeak('Maikos_WM_VL_DT');
198 [Maikos_GM_DT_strain1SD, Maikos_GM_DT_strain2SD, Maikos_GM_DT_strain3SD, ...
199     Maikos_GM_DT_stress1SD, Maikos_GM_DT_stress2SD, ...
200     Maikos_GM_DT_stress3SD] = sdpeak('Maikos_GM_DT');
201
202 % Distraction with negative Maikos properties
203 [negMaikos_WM_DC_DT_strain1SD, negMaikos_WM_DC_DT_strain2SD, negMaikos_WM_DC_DT_strain3SD, ...
204     negMaikos_WM_DC_DT_stress1SD, negMaikos_WM_DC_DT_stress2SD, ...
205     negMaikos_WM_DC_DT_stress3SD] = sdpeak('negMaikos_WM_DC_DT');
206 [negMaikos_WM_LC_DT_strain1SD, negMaikos_WM_LC_DT_strain2SD, negMaikos_WM_LC_DT_strain3SD, ...
207     negMaikos_WM_LC_DT_stress1SD, negMaikos_WM_LC_DT_stress2SD, ...
208     negMaikos_WM_LC_DT_stress3SD] = sdpeak('negMaikos_WM_LC_DT');
209 [negMaikos_WM_VM_DT_strain1SD, negMaikos_WM_VM_DT_strain2SD, negMaikos_WM_VM_DT_strain3SD, ...
210     negMaikos_WM_VM_DT_stress1SD, negMaikos_WM_VM_DT_stress2SD, ...
211     negMaikos_WM_VM_DT_stress3SD] = sdpeak('negMaikos_WM_VM_DT');
212 [negMaikos_WM_VL_DT_strain1SD, negMaikos_WM_VL_DT_strain2SD, negMaikos_WM_VL_DT_strain3SD, ...
213     negMaikos_WM_VL_DT_stress1SD, negMaikos_WM_VL_DT_stress2SD, ...
214     negMaikos_WM_VL_DT_stress3SD] = sdpeak('negMaikos_WM_VL_DT');
215 [negMaikos_GM_DT_strain1SD, negMaikos_GM_DT_strain2SD, negMaikos_GM_DT_strain3SD, ...
216     negMaikos_GM_DT_stress1SD, negMaikos_GM_DT_stress2SD, ...
217     negMaikos_GM_DT_stress3SD] = sdpeak('negMaikos_GM_DT');
218
219 % Save as .mat file
220 save('mechanismFEdataSD.mat')
221 clear;

```

**Helper function to calculate mean peak values - avgpeak.m**

```

1 function [avgpeak_strain1,avgpeak_strain2,avgpeak_strain3,avgpeak_stress1,...
2         avgpeak_stress2,avgpeak_stress3] = avgpeak(region)
3 %% avgpeak — Calculates and returns average peak strains and stresses from
4 %% zoneslice region
5 % [avgpeak_strain1,avgpeak_strain2,avgpeak_strain3,avgpeak_stress1,...
6 %   avgpeak_stress2,avgpeak_stress3] = avgpeak(region)
7 % Can call with only one output, in that case only avgpeak_strain1 will be
8 % returned.
9
10 load([region '.mat']);
11
12 %% Extract max princ. strain, stress from data and calculate average peak
13 %% stress/strain
14
15 % Figure out # of elements in region by reading in the column
16 % labels and counting how many start with 'Stress_First'
17 numels = length(cell2mat(strfind(eval([region '_labels_0']), 'Stress_First')));
18
19 for i=-5:5
20     if i>0
21         data_name = [region '_data_pos' num2str(abs(i))];
22     else if i<0
23         data_name = [region '_data_neg' num2str(abs(i))];
24     else
25         data_name = [region '_data_' num2str(i)];
26     end
27 end
28 peak = eval(['sign(max(' data_name ')).*max(abs(' data_name '));']);
29 avgpeak_strain1(i+6) = mean(peak(2+3*numels:1+4*numels));
30 if nargin <= 1 continue
31 end
32 avgpeak_strain2(i+6) = mean(peak(2+4*numels:1+5*numels));
33 avgpeak_strain3(i+6) = mean(peak(2+5*numels:1+6*numels));
34
35 avgpeak_stress1(i+6) = mean(peak(2:1+numels));
36 avgpeak_stress2(i+6) = mean(peak(2+1*numels:1+2*numels));
37 avgpeak_stress3(i+6) = mean(peak(2+2*numels:1+3*numels));
38
39 end
40
41 end

```

**Helper function to calculate SD of peak values - sdpeak.m**

```

1 function [sdpeak_strain1,sdpeak_strain2,sdpeak_strain3,sdpeak_stress1,...
2         sdpeak_stress2,sdpeak_stress3] = sdpeak(region)
3 %% avgpeak — Calculates and returns average and S.D. of peak strains and stresses from
4 %% zoneslice region
5 % [avgpeak_strain1,avgpeak_strain2,avgpeak_strain3,avgpeak_stress1,...
6 %   avgpeak_stress2,avgpeak_stress3] = avgpeak(region,folder)
7 % Can call with only one output, in that case only avgpeak_strain1 will be
8 % returned.
9
10 load([region '.mat']);
11
12 %% Extract max princ. strain, stress from data and calculate S.D. of peak
13 %% stresses/strains

```



```

14
15 % Figure out # of elements in region by reading in the column
16 % labels and counting how many start with 'Stress.First'
17 numels = length(cell2mat(strfind(eval([region '_labels_0']), 'Stress.First')));
18
19 for i=-5:5
20     if i>0
21         data_name = [region '_data_pos' num2str(abs(i))];
22     else if i<0
23         data_name = [region '_data_neg' num2str(abs(i))];
24     else
25         data_name = [region '_data_' num2str(i)];
26     end
27 end
28 peak = eval(['sign(max(' data_name ')).*max(abs(' data_name '));']);
29 sdpeak_strain1(i+6) = std(peak(2+3*numels:1+4*numels));
30 if nargin <= 1 continue
31 end
32 sdpeak_strain2(i+6) = std(peak(2+4*numels:1+5*numels));
33 sdpeak_strain3(i+6) = std(peak(2+5*numels:1+6*numels));
34
35 sdpeak_stress1(i+6) = std(peak(2:1+numels));
36 sdpeak_stress2(i+6) = std(peak(2+1*numels:1+2*numels));
37 sdpeak_stress3(i+6) = std(peak(2+2*numels:1+3*numels));
38 end
39
40 end

```

### C.6.3 Plotting of regional FE stress and strain as function of slice position - zonesliceplot.m

```

1 %% Load stress and strain simulation results from .mat and plot as function
2 %% of slice position
3
4 clc; clear; close all;
5
6 % Load FE data
7 load mechanismFEdata.mat;
8 load mechanismFEdataSD.mat;
9
10 %% Specify default plot settings
11 set(0, 'DefaultAxesLineStyle', '-')
12 set(0, 'DefaultLineLineWidth', 2.5)
13 set(0, 'DefaultAxesFontSize', 14)
14 set(0, 'DefaultAxesColorOrder', [0 0 1; 1 0 0; 0 0.7 0; 0.5 0.5 0])
15
16 savefigs = 1; % 1 = save figures
17 closefigs = 1; % 1 = close figures after saving
18
19 %% Plot max princ. stresses and strains as function of slice position
20 distance = -5:5;
21 param = {'strain1', 'strain2', 'strain3', 'stress1', 'stress2', 'stress3'};
22
23 % Maikos properties
24 for i=1:6
25     sliceplot('Maikos_WM_DC', param{i}, savefigs, closefigs)
26     sliceplot('Maikos_WM_LC', param{i}, savefigs, closefigs)
27     sliceplot('Maikos_WM_VM', param{i}, savefigs, closefigs)
28     sliceplot('Maikos_WM_VL', param{i}, savefigs, closefigs)
29     sliceplot('Maikos_GM', param{i}, savefigs, closefigs)
30 end

```



```

31
32 % Negative Maikos properties
33 % for i=1:1
34 %     sliceplot('negMaikos.WM-DC',param{i},savefigs,closefigs)
35 %     sliceplot('negMaikos.WM-LC',param{i},savefigs,closefigs)
36 %     sliceplot('negMaikos.WM-VM',param{i},savefigs,closefigs)
37 %     sliceplot('negMaikos.WM-VL',param{i},savefigs,closefigs)
38 % %     sliceplot('negMaikos-GM',param{i},savefigs,closefigs)
39 % end

```

### Helper function to format slice distance plots - sliceplot.m

```

1 function [] = sliceplot(region,param,savefigs,closefigs)
2 %% sliceplot — Plots FE data as function of slice position
3 % [] = sliceplot(region,param,savefigs,closefigs)
4
5 %% Format param as TeX symbol
6 switch param
7     case {'strain1'}
8         paramsym = '{\epsilon}_1';
9     case {'strain2'}
10        paramsym = '{\epsilon}_2';
11     case {'strain3'}
12        paramsym = '{\epsilon}_3';
13     case {'stress1'}
14        paramsym = '{\sigma}_1 (MPa)';
15     case {'stress2'}
16        paramsym = '{\sigma}_2 (MPa)';
17     case {'stress3'}
18        paramsym = '{\sigma}_3 (MPa)';
19 end
20
21 %% Assign data corresponding to region and param to variable 'paramdata'
22 paramdataCT = evalin('base',[region '_CT_' param]);
23 paramdataDL = evalin('base',[region '_DL_' param]);
24 paramdataDT = evalin('base',[region '_DT_' param]);
25 paramSDCT = evalin('base',[region '_CT_' param 'SD']);
26 paramSDDL = evalin('base',[region '_DL_' param 'SD']);
27 paramSDDT = evalin('base',[region '_DT_' param 'SD']);
28
29 distance = -5:5;
30 paramdata = [paramdataCT; paramdataDL; paramdataDT]';
31 distance = [distance; distance; distance]';
32 paramSD = [paramSDCT; paramSDDL; paramSDDT]';
33
34 %% Plot figure
35 figure
36 hold on
37 title([strrep(region,'_','\_') ': ' paramsym])
38 ylabel(paramsym)
39 xlabel('<<Caudal    Distance from epicenter (mm)    Rostral>>')
40 switch param
41     case {'strain1'}
42         ylim([0 0.6])
43     case {'strain2'}
44         ylim([0 0.3])
45     case {'strain3'}
46         ylim([-0.4 0])
47     case {'stress1'}
48         ylim([0 0.11])
49     case {'stress2'}

```

```

50         ylim([0 0.15])
51     case {'stress3'}
52         ylim([0 0.9])
53 end
54 errorbar(distance,paramdata,paramSD)
55 hold off
56
57 if savefigs
58     saveas(gcf,[cd '\Figures\Distance\' region '-' param '_dist.eps'],'psc2')
59 end
60 if closefigs
61     close(gcf)
62 end

```

#### C.6.4 Pooled and regional correlation plots of FE stress and strain with tissue damage (dislocation epicentre points omitted) - zoneslicecorrplotOMIT.m

```

1  %% Load stress and strain simulation results from .mat and display in correlation plots
2
3  clc; clear; close all;
4
5  % Load Choo data
6  load choodextrancounts.mat;
7  % Load FE data
8  load mechanismFEdata.mat;
9
10 %% Specify default plot settings
11 set(0,'DefaultAxesLineStyle','-.o')
12 set(0,'DefaultLineLineWidth',2)
13 set(0,'DefaultAxesFontSize',20)
14 set(0,'DefaultAxesColorOrder',[0 0 1;1 0 0;0 0.7 0; 0.5 0.5 0])
15
16 plotlinreg = 1; % 1 = add linear regression lines to correlation plots
17 savefigs = 1; % 1 = save figures
18 closefigs = 1; % 1 = close figures after saving
19
20 %% Concatenated data
21 param = {'strain1','strain2','strain3','stress1','stress2','stress3'};
22 % Maikos properties
23 for i=1:6
24     corrplotconcatOMIT('Maikos_WM',param{i},plotlinreg,savefigs,closefigs)
25 end
26
27 % % Negative Maikos properties
28 % for i = 1:6
29 %     corrplotconcatOMIT('negMaikos_WM',param{i},plotlinreg,savefigs,closefigs)
30 % end
31
32 %% Regional plots
33 % Maikos properties
34 for i = 1:6
35     corrplotOMIT('Maikos_WM_DC',param{i},plotlinreg,savefigs,closefigs)
36     corrplotOMIT('Maikos_WM_LC',param{i},plotlinreg,savefigs,closefigs)
37     corrplotOMIT('Maikos_WM_VM',param{i},plotlinreg,savefigs,closefigs)
38     corrplotOMIT('Maikos_WM_VL',param{i},plotlinreg,savefigs,closefigs)
39     corrplotOMIT('Maikos_GM',param{i},plotlinreg,savefigs,closefigs)
40 end
41 %
42 % % Negative Maikos properties

```

```

43 % for i = 1:6
44 %     corrplotOMIT('negMaikos_WM_DC',param{i},plotlinreg,savefigs,closefigs)
45 %     corrplotOMIT('negMaikos_WM_LC',param{i},plotlinreg,savefigs,closefigs)
46 %     corrplotOMIT('negMaikos_WM_VM',param{i},plotlinreg,savefigs,closefigs)
47 %     corrplotOMIT('negMaikos_WM_VL',param{i},plotlinreg,savefigs,closefigs)
48 %     corrplotOMIT('negMaikos_GM',param{i},plotlinreg,savefigs,closefigs)
49 % end

```

### Helper function to format pooled WM plots - corrplotconcatOMIT.m

```

1 function [] = corrplotconcatOMIT(region,param,plotlinreg,savefigs,closefigs)
2 %% corrplotconcatOMIT — Calculates and plots lumped data correlation
3 %% scatter plots of FE result parameter vs. cellular permeability counts.
4 %% Omits data points at injury epicenter (for dislocation) because
5 %% they are underestimates of cellular damage due to necrosis.
6 % [] = corrplotconcat(region,param,plotlinreg,savefigs,closefigs)
7 % region = 'Maikos_WM' e.g.
8 % param = 'strain1','stress1', etc. (1-3)
9 % plotlinreg = 0,1 (0 will prevent linear correlation results being shown
10 % on plot)
11 % savefigs = 1 -> save figures
12 % closefigs = 1 -> close figures after saving
13
14 %% Format param as TeX symbol
15 switch param
16     case {'strain1'}
17         paramsym = '{\epsilon}_1';
18     case {'strain2'}
19         paramsym = '{\epsilon}_2';
20     case {'strain3'}
21         paramsym = '{\epsilon}_3';
22     case {'stress1'}
23         paramsym = '{\sigma}_1';
24     case {'stress2'}
25         paramsym = '{\sigma}_2';
26     case {'stress3'}
27         paramsym = '{\sigma}_3';
28 end
29
30 %% Plot figure
31 figure
32 hold all
33 title(['Scatter plot lumped data ' strrep(region,'_','\_-') ': '...
34     paramsym ' vs. cell count'])
35 ylabel('Axons/mm')
36 if strfind(param,'stress')
37     xlabel([paramsym ' (MPa)'])
38     ymin = 0; ymax = 70;
39     xmin = -0.1; xmax = 0.2;
40 else
41     xlabel(paramsym)
42     ymin = 0; ymax = 70;
43     xmin = -0.4; xmax = 0.6;
44 end
45 ylim([ymin ymax])
46 xlim([xmin xmax])
47
48 % Contusion
49 mech = '_CT';
50 %% Calculate and concatenate mean of Choo data for all WM zones
51 mean_choo = [evalin('base','mean(choo_WM_DC_CT)')...]

```

```

52     evalin('base','mean(choo_WM_LC_CT)')...
53     evalin('base','mean(choo_WM_VM_CT)') evalin('base','mean(choo_WM_VL_CT)')];
54
55 %% Assign concatenated data corresponding to all WM zones and one param
56 %% to variable 'paramdata'
57 paramdata = [evalin('base',[region(1:end) '_DC' mech '_' param])...
58     evalin('base',[region(1:end) '_LC' mech '_' param])...
59     evalin('base',[region(1:end) '_VM' mech '_' param])...
60     evalin('base',[region(1:end) '_VL' mech '_' param])];
61
62 %% Sort concatenated data pairs from least strain to highest strain
63 %% (prevents multiple lines during regression plotting)
64 [paramdata,index] = sort(paramdata);
65 mean_choo = mean_choo(index);
66
67 %% Optionally perform linear regression
68 if(plotlinreg)
69     [R,p] = corrcoef(paramdata,mean_choo);
70     [P,ErrorEst] = polyfit(paramdata,mean_choo,1);
71     [bestfit,delta] = polyval(P,paramdata,ErrorEst);
72 end
73 scatter(paramdata,mean_choo,'MarkerFaceColor','b')
74 if(plotlinreg)
75     text(xmin+0.05*(xmax-xmin),ymax-0.05*(ymax-ymin),...
76         ['R^2=' num2str(R(2)^2) ' p=' num2str(p(2))], 'Color','b')
77     plot(paramdata,bestfit,'-b')
78     plot(paramdata,bestfit+2*delta,'--b',...
79         paramdata,bestfit-2*delta,'--b')
80 end
81
82 % Dislocation
83 mech = '_DL';
84 %% Calculate and concatenate mean of Choo data for all WM zones
85 mean_choo = [evalin('base','mean(choo_WM_DC_DL)')...
86     evalin('base','mean(choo_WM_LC_DL)')...
87     evalin('base','mean(choo_WM_VM_DL)') evalin('base','mean(choo_WM_VL_DL)')];
88
89 %% Assign concatenated data corresponding to all WM zones and one param
90 %% to variable 'paramdata'
91 paramdata = [evalin('base',[region(1:end) '_DC' mech '_' param])...
92     evalin('base',[region(1:end) '_LC' mech '_' param])...
93     evalin('base',[region(1:end) '_VM' mech '_' param])...
94     evalin('base',[region(1:end) '_VL' mech '_' param])];
95
96 %% Omit injury epicenter datapoints corresponding to necrotic zones
97 %% (dislocation)
98 mean_choo
99 for i=1:4
100     necrotic_stress_strain(i) = paramdata(1-i+6+(i-1)*(11));
101     mean_choo(1-i+6+(i-1)*(11)) = [];
102     paramdata(1-i+6+(i-1)*(11)) = [];
103 end
104 % mean_choo
105 % necrotic_stress_strain
106
107 %% Sort concatenated data pairs from least strain to highest strain
108 %% (prevents multiple lines during regression plotting)
109 [paramdata,index] = sort(paramdata);
110 mean_choo = mean_choo(index);
111
112 %% Optionally perform linear regression
113 if(plotlinreg)

```

```

114     [R,p] = corrcoef(paramdata,mean_choo);
115     [P,ErrorEst] = polyfit(paramdata,mean_choo,1);
116     [bestfit,delta] = polyval(P,paramdata,ErrorEst);
117 end
118 scatter(paramdata,mean_choo,'MarkerFaceColor','r')
119 if(plotlinreg)
120     text(xmin+0.05*(xmax-xmin),ymax-0.15*(ymax-ymin),...
121         ['R^2=' num2str(R(2)^2) ' p=' num2str(p(2))],'Color','r')
122     plot(paramdata,bestfit,'-r')
123     plot(paramdata,bestfit+2*delta,'--r',...
124         paramdata,bestfit-2*delta,'--r')
125 end
126
127 % Distraction
128 mech = '_DT';
129 %% Calculate and concatenate mean of Choo data for all WM zones
130 mean_choo = [evalin('base','mean(choo_WM_DC_DT)')...
131             evalin('base','mean(choo_WM_LC_DT)')...
132             evalin('base','mean(choo_WM_VM_DT)') evalin('base','mean(choo_WM_VL_DT)')];
133
134 %% Assign concatenated data corresponding to all WM zones and one param
135 %% to variable 'paramdata'
136 paramdata = [evalin('base',[region(1:end) '_DC' mech '_' param])...
137             evalin('base',[region(1:end) '_LC' mech '_' param])...
138             evalin('base',[region(1:end) '_VM' mech '_' param])...
139             evalin('base',[region(1:end) '_VL' mech '_' param])];
140
141 %% Sort concatenated data pairs from least strain to highest strain
142 %% (prevents multiple lines during regression plotting)
143 [paramdata,index] = sort(paramdata);
144 mean_choo = mean_choo(index);
145
146 %% Optionally perform linear regression
147 if(plotlinreg)
148     [R,p] = corrcoef(paramdata,mean_choo);
149     [P,ErrorEst] = polyfit(paramdata,mean_choo,1);
150     [bestfit,delta] = polyval(P,paramdata,ErrorEst);
151 end
152 scatter(paramdata,mean_choo,'MarkerFaceColor',[0 0.7 0])
153 if(plotlinreg)
154     text(xmin+0.05*(xmax-xmin),ymax-0.25*(ymax-ymin),...
155         ['R^2=' num2str(R(2)^2) ' p=' num2str(p(2))],'Color',[0 0.7 0])
156     plot(paramdata,bestfit,'-', 'Color',[0 0.7 0])
157     plot(paramdata,bestfit+2*delta,'--',...
158         paramdata,bestfit-2*delta,'--','Color',[0 0.7 0])
159 end
160
161 hold off
162
163 if savefigs
164     saveas(gcf,[cd '\Figures\CorrelationsOMIT\' region '_' param...
165         '_corr.eps'],'psc2')
166 end
167 if closefigs
168     close(gcf)
169 end
170
171 end

```

Helper function to format regional plots - corrplotOMIT.m

```

1 function [] = corrplotOMIT(region,param,plotlinreg,savefigs,closefigs)
2 %% corrplotOMIT — Calculates and plots correlation scatter plots of FE result
3 %% parameter vs. cellular permeability counts. Omits data points at injury epicenter
4 %% (for dislocation) because they are underestimates of cellular damage due to necrosis.
5 % [] = corrplot(region,param,plotlinreg,savefigs,closefigs)
6 % param = 'strain1','stress1', etc. (1-3)
7 % plotlinreg = 0,1 (0 will prevent linear correlation results being shown
8 % on plot)
9 % savefigs = 1 -> save figures
10 % closefigs = 1 -> close figures after saving
11
12 %% Format param as TeX symbol
13 switch param
14     case {'strain1'}
15         paramsym = '\epsilon_1';
16     case {'strain2'}
17         paramsym = '\epsilon_2';
18     case {'strain3'}
19         paramsym = '\epsilon_3';
20     case {'stress1'}
21         paramsym = '\sigma_1';
22     case {'stress2'}
23         paramsym = '\sigma_2';
24     case {'stress3'}
25         paramsym = '\sigma_3';
26 end
27
28 %% Plot figure
29 figure
30 hold all
31 title(['Scatter plot ' strrep(region,'_','\_-') ': ' paramsym ' vs. cell count'])
32 if strfind(region,'_GM') % special case for changing yaxis label when plotting GM data
33     ylabel('% of cells')
34 else
35     ylabel('Axons/mm')
36 end
37 if strfind(param,'stress')
38     xlabel([paramsym ' (MPa)'])
39     ymin = 0; ymax = 70;
40     xmin = -0.1; xmax = 0.2;
41 else
42     xlabel(paramsym)
43     ymin = 0; ymax = 70;
44     xmin = -0.4; xmax = 0.6;
45 end
46 ylim([ymin ymax])
47 xlim([xmin xmax])
48
49 % Contusion
50 mech = '_CT';
51 %% Calculate mean of Choo data for current region
52 % Find character index where 'Maikos' appears in region name
53 MaikosI = strfind(region,'Maikos');
54 mean_choo = evalin('base',[ 'mean(choo_' region(MaikosI+7:end) mech ')]);
55
56 %% Assign data corresponding to region and param to variable 'paramdata'
57 paramdata = evalin('base',[region mech '_ ' param]);
58
59 %% Sort data pairs from least strain to highest strain
60 %% (prevents multiple lines during regression plotting)
61 [paramdata,index] = sort(paramdata);
62 mean_choo = mean_choo(index);

```

```

63
64 %% Optionally perform linear regression
65 if(plotlinreg)
66     [R,p] = corrcoef(paramdata,mean_choo);
67     [P,ErrorEst] = polyfit(paramdata,mean_choo,1);
68     [bestfit,delta] = polyval(P,paramdata,ErrorEst);
69 end
70 %% Make scatter plot
71 scatter(paramdata,mean_choo,'MarkerFaceColor','b')
72 if(plotlinreg)
73     text(xmin+0.05*(xmax-xmin),ymax-0.05*(ymax-ymin),...
74         ['R^2=' num2str(R(2)^2) ' p=' num2str(p(2))],'Color','b')
75     plot(paramdata,bestfit,'-b')
76     plot(paramdata,bestfit+2*delta,'--b',...
77         paramdata,bestfit-2*delta,'--b')
78 end
79
80 % Dislocation
81 mech = '_DL';
82 %% Calculate mean of Choo data for current region
83 % Find character index where 'Maikos' appears in region name
84 MaikosI = strfind(region,'Maikos');
85 mean_choo = evalin('base',['mean(choo-' region(MaikosI+7:end) mech ')']);
86
87 %% Assign data corresponding to region and param to variable 'paramdata'
88 paramdata = evalin('base',['region mech '_' param]);
89
90 %% Omit injury epicenter datapoints corresponding to necrotic zones
91 %% (dislocation)
92 mean_choo
93 for i=1:1
94     necrotic_stress_strain(i) = paramdata(1-i+6+(i-1)*(11));
95     mean_choo(1-i+6+(i-1)*(11)) = [];
96     paramdata(1-i+6+(i-1)*(11)) = [];
97 end
98 % mean_choo
99 % necrotic_stress_strain
100
101 %% Sort data pairs from least strain to highest strain
102 %% (prevents multiple lines during regression plotting)
103 [paramdata,index] = sort(paramdata);
104 mean_choo = mean_choo(index);
105
106 %% Optionally perform linear regression
107 if(plotlinreg)
108     [R,p] = corrcoef(paramdata,mean_choo);
109     [P,ErrorEst] = polyfit(paramdata,mean_choo,1);
110     [bestfit,delta] = polyval(P,paramdata,ErrorEst);
111 end
112 %% Make scatter plot
113 scatter(paramdata,mean_choo,'MarkerFaceColor','r')
114 if(plotlinreg)
115     text(xmin+0.05*(xmax-xmin),ymax-0.15*(ymax-ymin),...
116         ['R^2=' num2str(R(2)^2) ' p=' num2str(p(2))],'Color','r')
117     plot(paramdata,bestfit,'-r')
118     plot(paramdata,bestfit+2*delta,'--r',...
119         paramdata,bestfit-2*delta,'--r')
120 end
121
122 % Distraction
123 mech = '_DT';
124 %% Calculate mean of Choo data for current region

```

```

125 % Find character index where 'Maikos' appears in region name
126 MaikosI = strfind(region, 'Maikos');
127 mean_choo = evalin('base', ['mean(choo-' region(MaikosI+7:end) mech ')]);
128
129 %% Assign data corresponding to region and param to variable 'paramdata'
130 paramdata = evalin('base', [region mech '_' param]);
131
132 %% Sort data pairs from least strain to highest strain
133 %% (prevents multiple lines during regression plotting)
134 [paramdata, index] = sort(paramdata);
135 mean_choo = mean_choo(index);
136
137 %% Optionally perform linear regression
138 if(plotlinreg)
139     [R,p] = corrcoef(paramdata, mean_choo);
140     [P, ErrorEst] = polyfit(paramdata, mean_choo, 1);
141     [bestfit, delta] = polyval(P, paramdata, ErrorEst);
142 end
143 %% Make scatter plot
144 scatter(paramdata, mean_choo, 'MarkerFaceColor', [0 0.7 0])
145 if(plotlinreg)
146     text(xmin+0.05*(xmax-xmin), ymax-0.25*(ymax-ymin), ...
147         ['R^2=' num2str(R(2)^2) ' p=' num2str(p(2))], 'Color', [0 0.7 0])
148     plot(paramdata, bestfit, '-', 'Color', [0 0.7 0])
149     plot(paramdata, bestfit+2*delta, '—', ...
150         paramdata, bestfit-2*delta, '—', 'Color', [0 0.7 0])
151 end
152
153 hold off
154
155 if savefigs
156     saveas(gcf, [cd '\Figures\CorrelationsOMIT\' region '_' param...
157         '_corr.eps'], 'psc2')
158 end
159 if closefigs
160     close(gcf)
161 end
162
163 end

```

### C.6.5 Regional time history plots of FE stress and strain - zonecurveplot.m

```

1 %% Load stress and strain simulation curves from .mat and plot as function
2 %% of time
3
4 clc; clear; close all;
5
6 %% Specify default plot settings
7 set(0, 'DefaultAxesLineStyle', '-')
8 set(0, 'DefaultLineLineWidth', 0.5)
9 set(0, 'DefaultAxesFontSize', 20)
10
11 savefigs = 1; % 1 = save figures
12 closefigs = 1; % 1 = close figures after saving
13
14 %% Plot max princ. stresses and strains as function of time
15 mech = {'CT', 'DL', 'DT'};
16 prop = {'Maikos', 'negMaikos'};
17 zone = {'WM_DC', 'WM_LC', 'WM_VM', 'WM_VL', 'GM'};
18 param = {'strain1', 'strain2', 'strain3', 'stress1', 'stress2', 'stress3'};
19

```



```

20
21 for i=3:3 % Cycle through mechanisms
22     for j=1:1 % Cycle through Maikos,negMaikos
23         for k=1:5 % Cycle through zones
24             for l=1:6 % Cycle through params
25                 region = [prop{j} '_' zone{k} '_' mech{i}];
26                 curveplot(region,param{l},savefigs,closefigs)
27             end
28         end
29     end
30 end

```

### Helper function to format time history plots - curveplot.m

```

1 function [] = curveplot(region,param,savefigs,closefigs)
2 %% curveplot — Plots zone slice time histories for chosen parameter
3 % [] = curveplot(region,param,savefigs,closefigs)
4 % Plots raw parameter curves as function of time (ms).
5
6 load([region '.mat']);
7
8 %% Format param as TeX symbol
9 switch param
10     case {'strain1'}
11         paramsym = '{\epsilon}_1';
12         multip = 3; % numels multiplier for indexing into data
13     case {'strain2'}
14         paramsym = '{\epsilon}_2';
15         multip = 4;
16     case {'strain3'}
17         paramsym = '{\epsilon}_3';
18         multip = 5;
19     case {'stress1'}
20         paramsym = '{\sigma}_1 (MPa)';
21         multip = 1;
22     case {'stress2'}
23         paramsym = '{\sigma}_2 (MPa)';
24         multip = 2;
25     case {'stress3'}
26         paramsym = '{\sigma}_3 (MPa)';
27         multip = 3;
28 end
29
30 %% Plot zone slice curves
31 % Figure out # of elements in region by reading in the column
32 % labels and counting how many start with 'Stress.First'
33 numels = length(cell2mat(strfind(eval([region '_labels_0']), 'Stress.First')));
34
35 for i=-5:5
36     if i>0
37         data_name = [region '_data_pos' num2str(abs(i))];
38     else if i<0
39         data_name = [region '_data_neg' num2str(abs(i))];
40     else
41         data_name = [region '_data_' num2str(i)];
42     end
43 end
44
45 figure
46 hold on
47 title([strrep(region, '_', '\_') ' ' num2str(i) 'mm'])

```

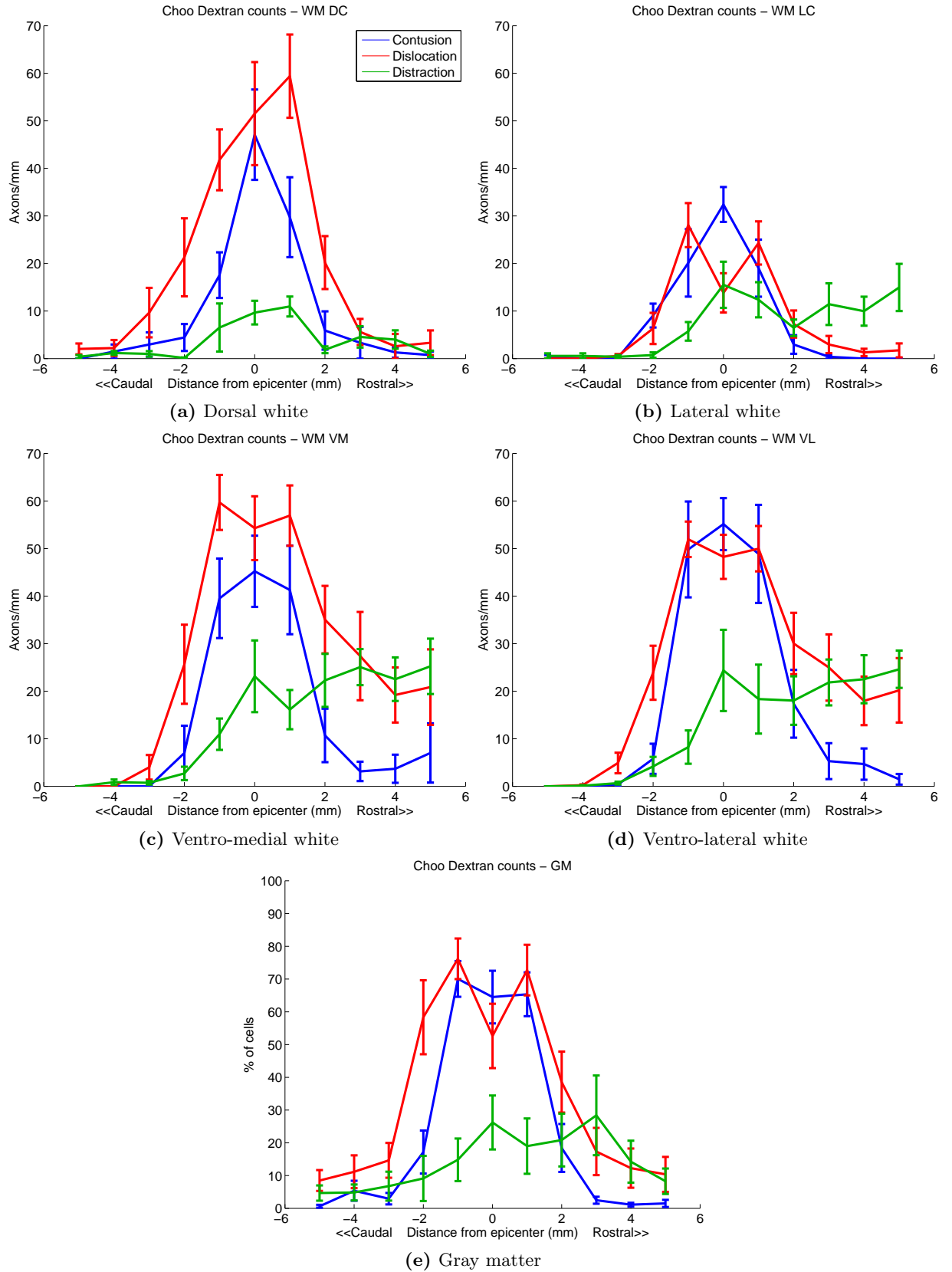
```
48     ylabel(paramsym)
49     xlabel('Time (ms)')
50     switch param
51     case {'strain1'}
52         ylim([0 0.6])
53     case {'strain2'}
54         ylim([0 0.3])
55     case {'strain3'}
56         ylim([-0.4 0])
57     case {'stress1'}
58         ylim([0 0.11])
59     case {'stress2'}
60         ylim([0 0.15])
61     case {'stress3'}
62         ylim([0 0.9])
63     end
64     eval(['plot(' data_name '(:,1),' data_name...
65         '(:,2+multip*numels:1+(1+multip)*numels)')']);
66     hold off
67
68     if savefigs
69         saveas(gcf,[cd '\Figures\Time\' region '-' param...
70             '_time_' num2str(i) 'mm.eps'],'psc2')
71     end
72     if closefigs
73         close(gcf)
74     end
75 end
76
77 end
```

## Appendix D

# Histological and FE parameters as function of distance from injury epicentre

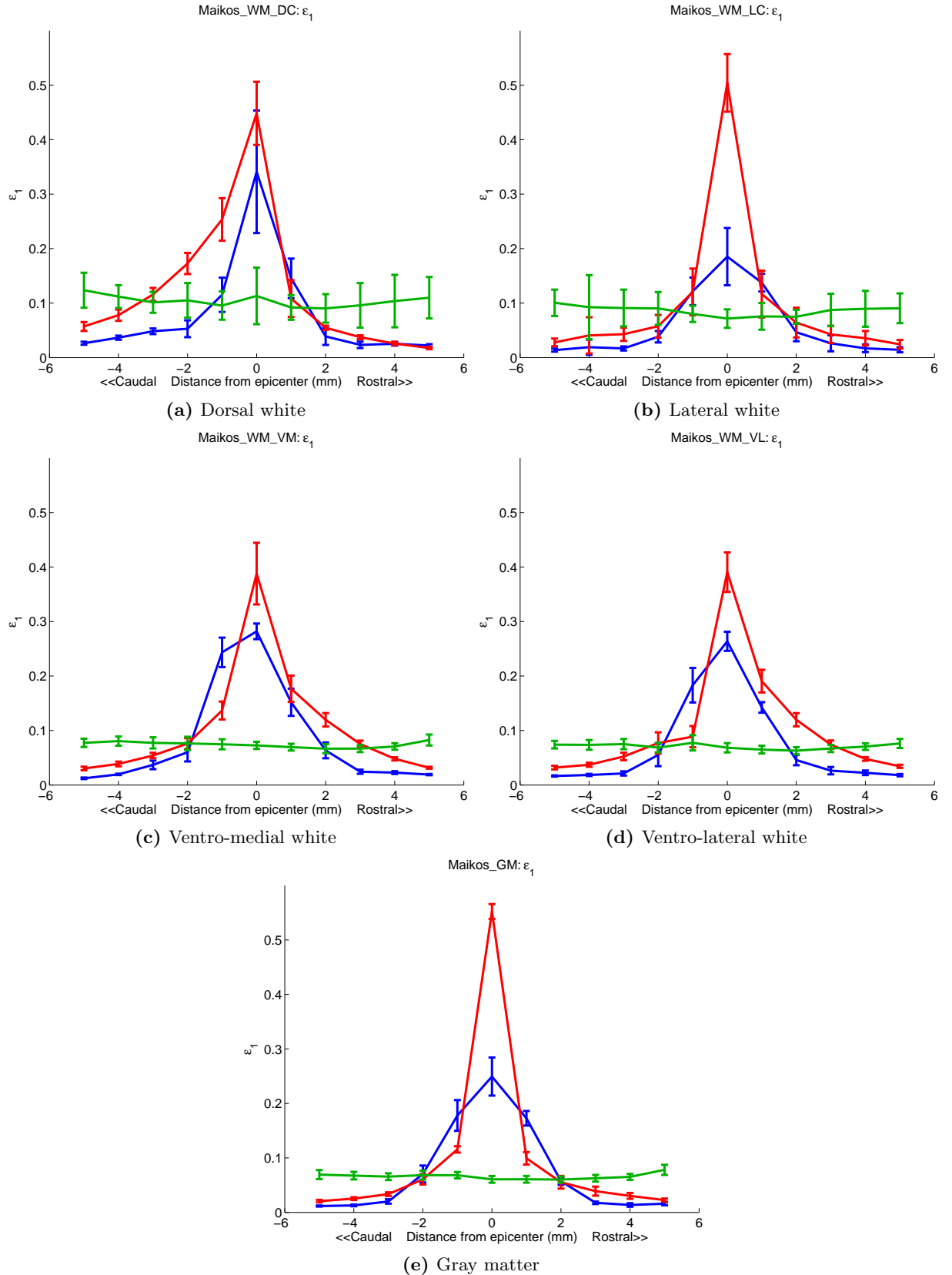
Section D.1 shows the distributions of cell membrane permeability as a function of distance from injury epicentre for each of the contusion (blue), dislocation (red), and distraction (green) injury mechanisms. In section D.2 the same colour scheme and regional layout are used to plot each of the three principal strains and three principal stresses as a function of distance from epicentre. Peak values for each element were calculated and then averaged within regions to yield the plotted mean peak values for each region. Each parameter is presented on a separate page.

## D.1 Histological data

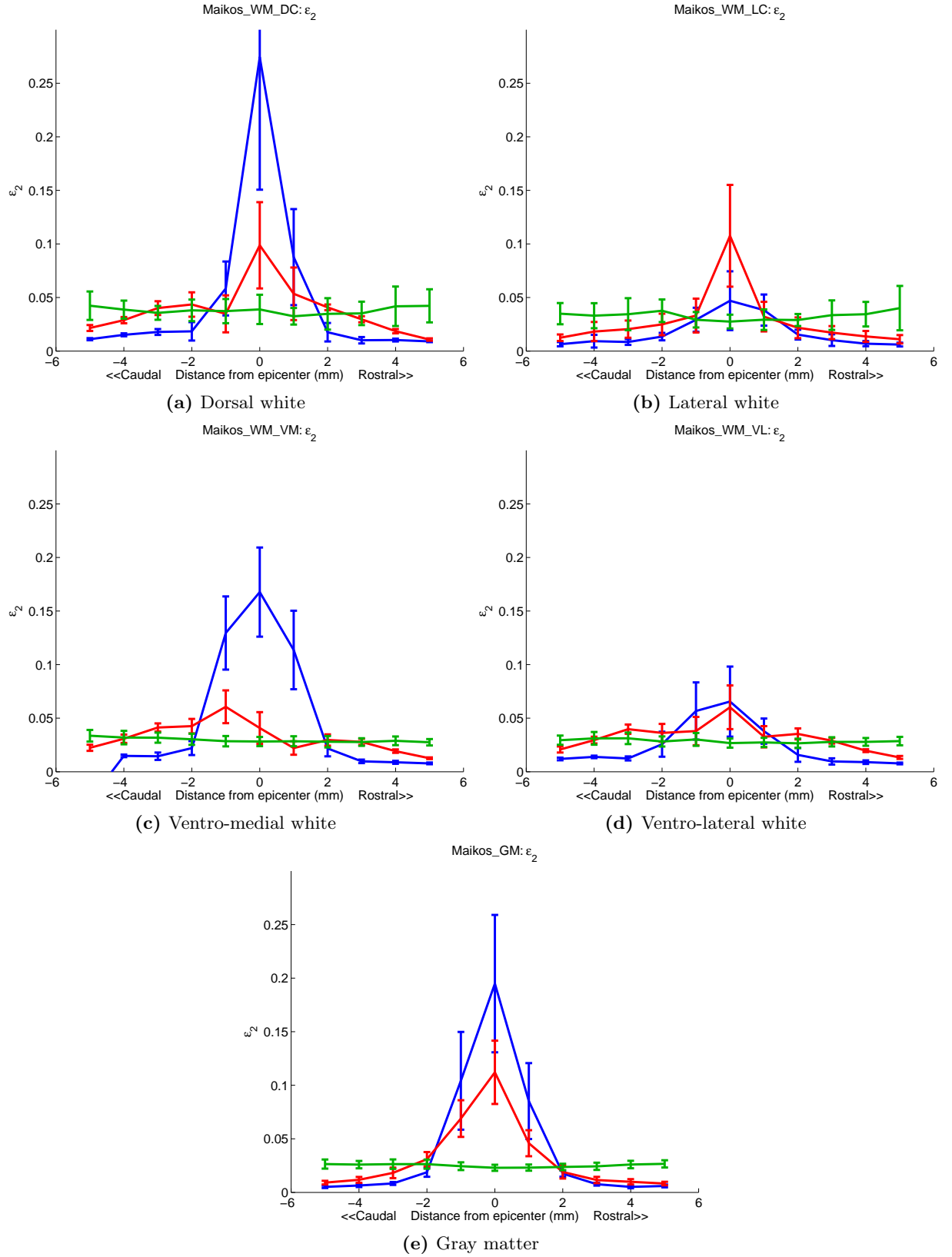


**Figure D.1:** Distance plots – Choo cellular permeability. Error bars denote the standard error of the mean.

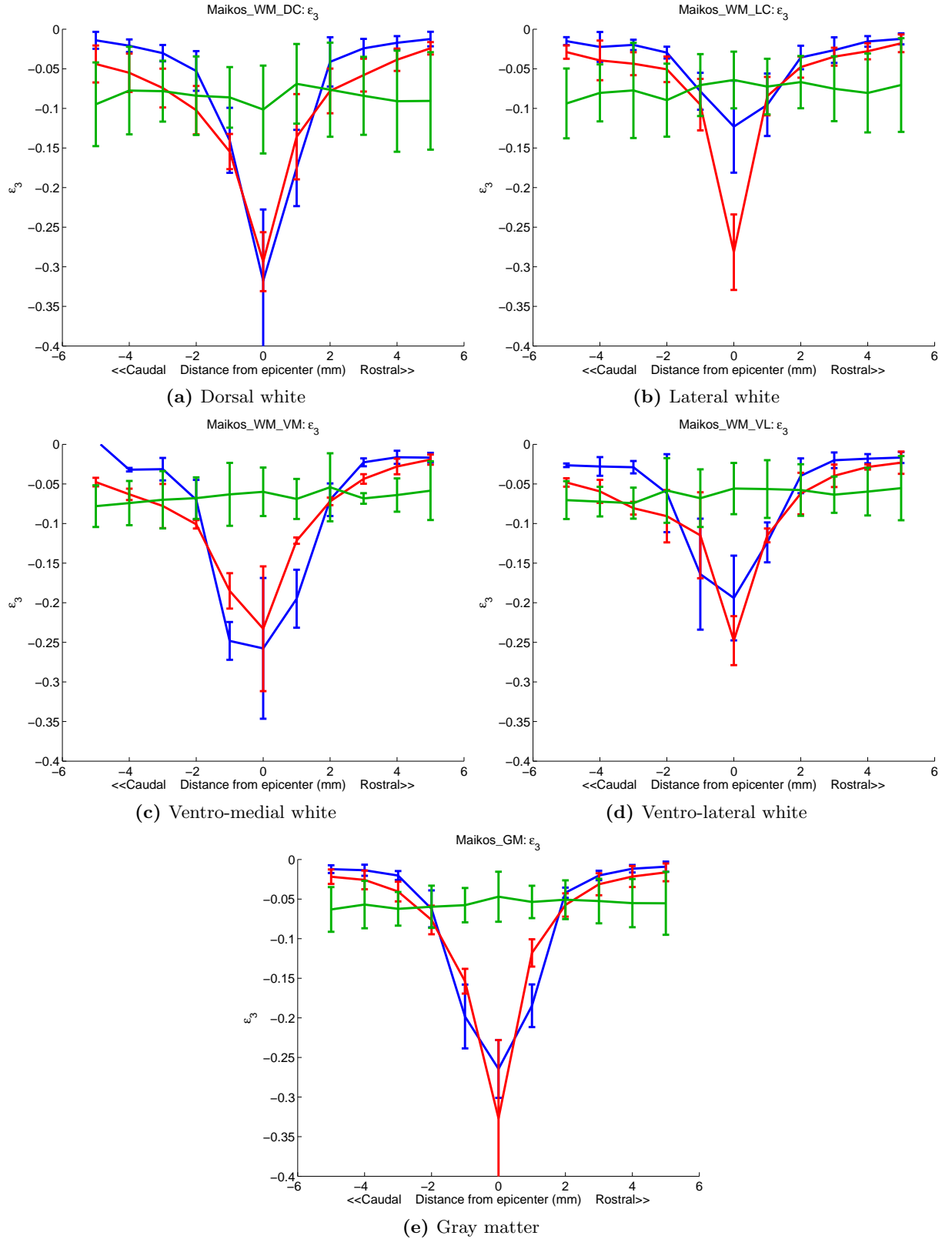
## D.2 FE results



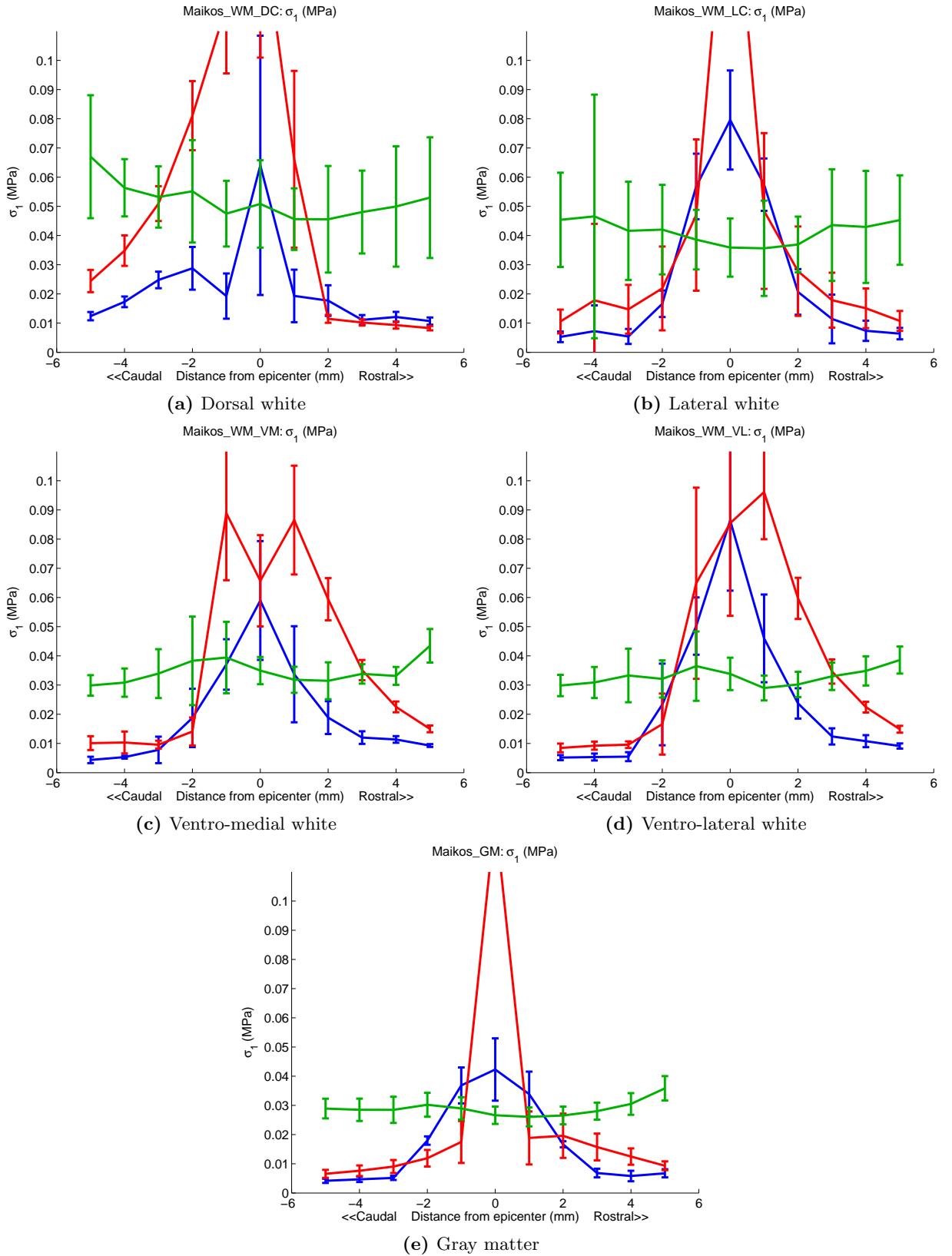
**Figure D.2:** Distance plots – first principal strain (Maikos properties). Mean peak values plotted for each region, with error bars to denote the standard deviation within region.



**Figure D.3:** Distance plots – second principal strain (Maikos properties). Mean peak values plotted for each region, with error bars to denote the standard deviation within region.

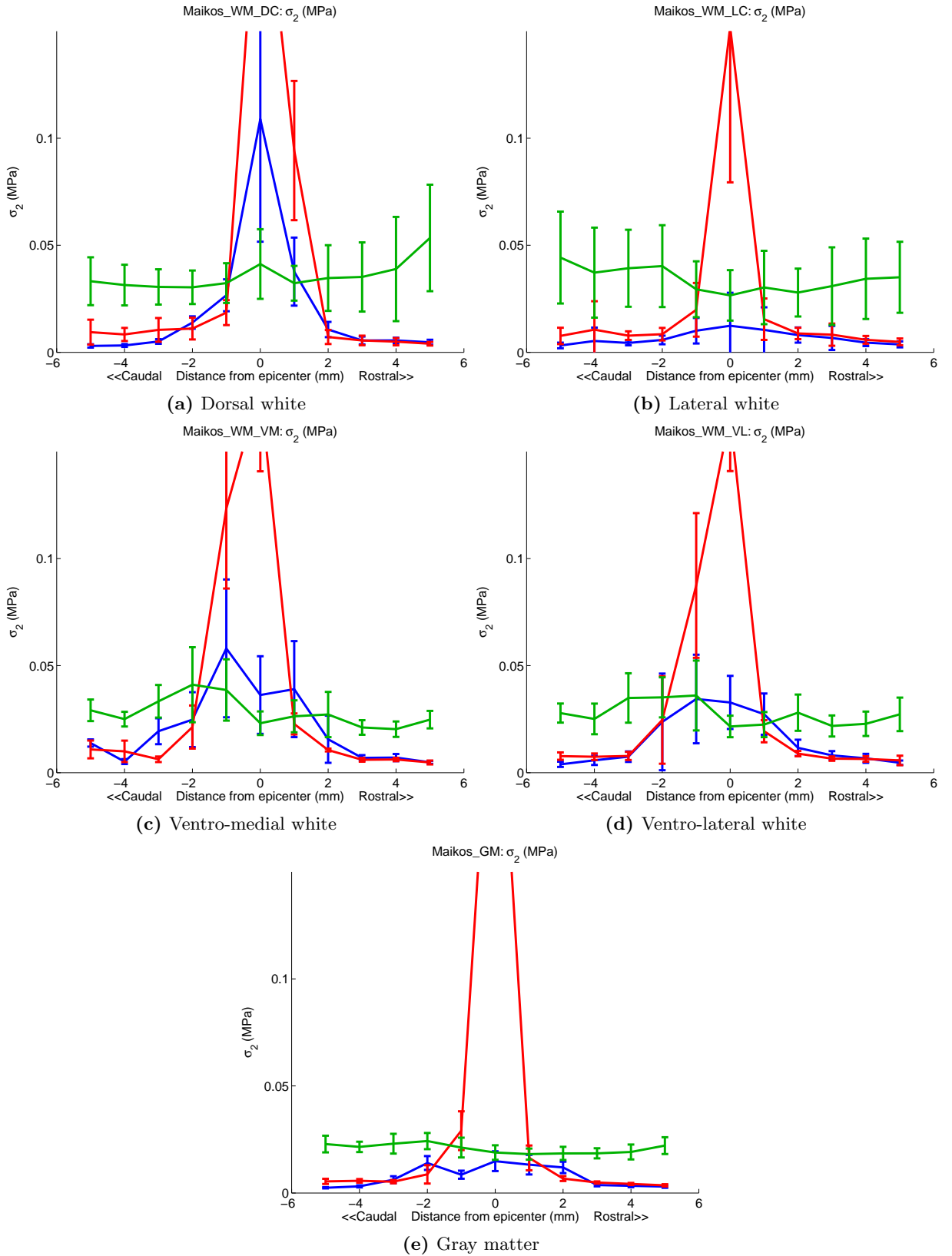


**Figure D.4:** Distance plots – third principal strain (Maikos properties). Mean peak values plotted for each region, with error bars to denote the standard deviation within region.

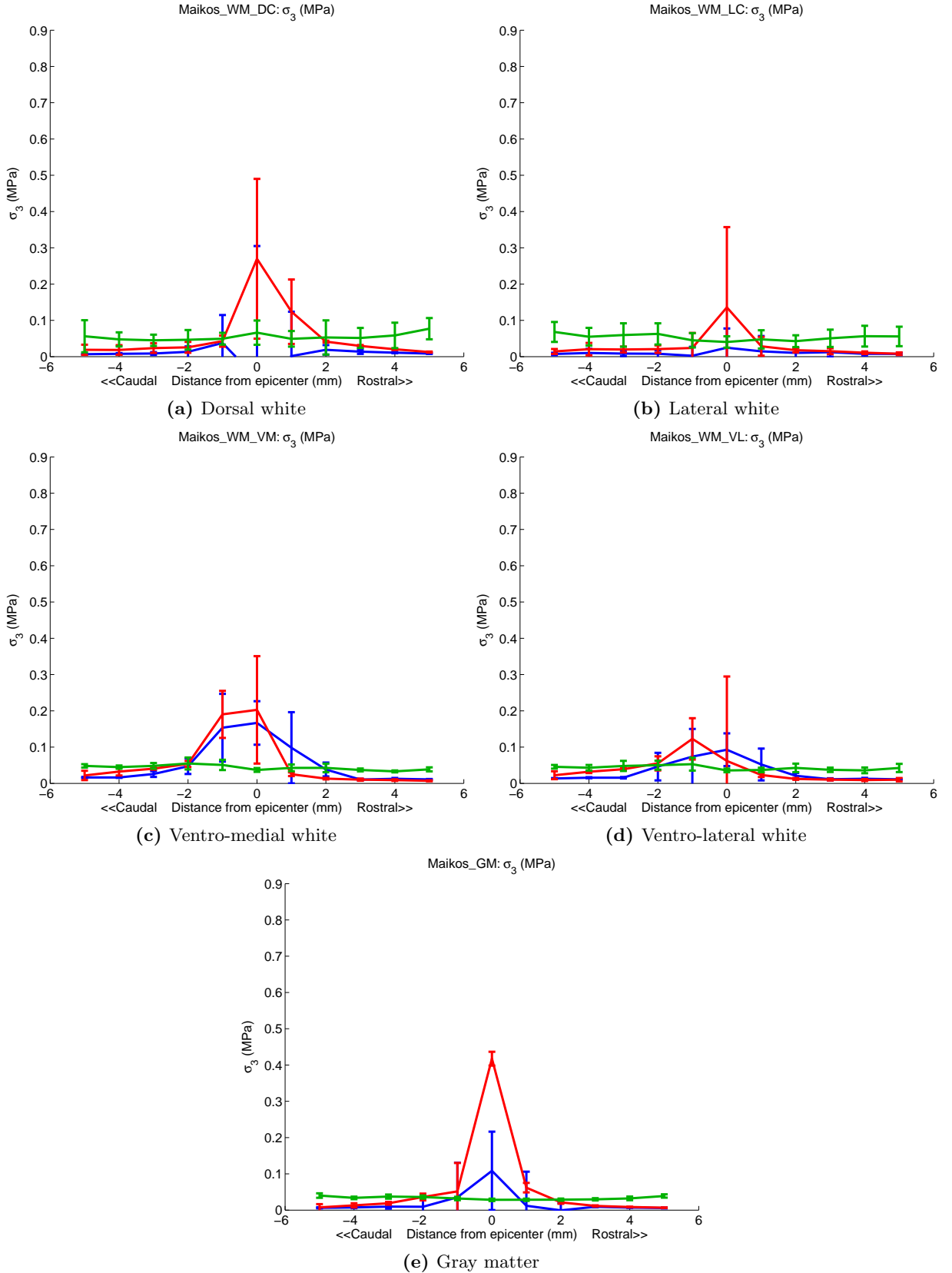


**Figure D.5:** Distance plots – first principal stress (Maikos properties). Mean peak values plotted for each region, with error bars to denote the standard deviation within region.





**Figure D.6:** Distance plots – second principal stress (Maikos properties). Mean peak values plotted for each region, with error bars to denote the standard deviation within region.



**Figure D.7:** Distance plots – third principal stress (Maikos properties). Mean peak values plotted for each region, with error bars to denote the standard deviation within region.

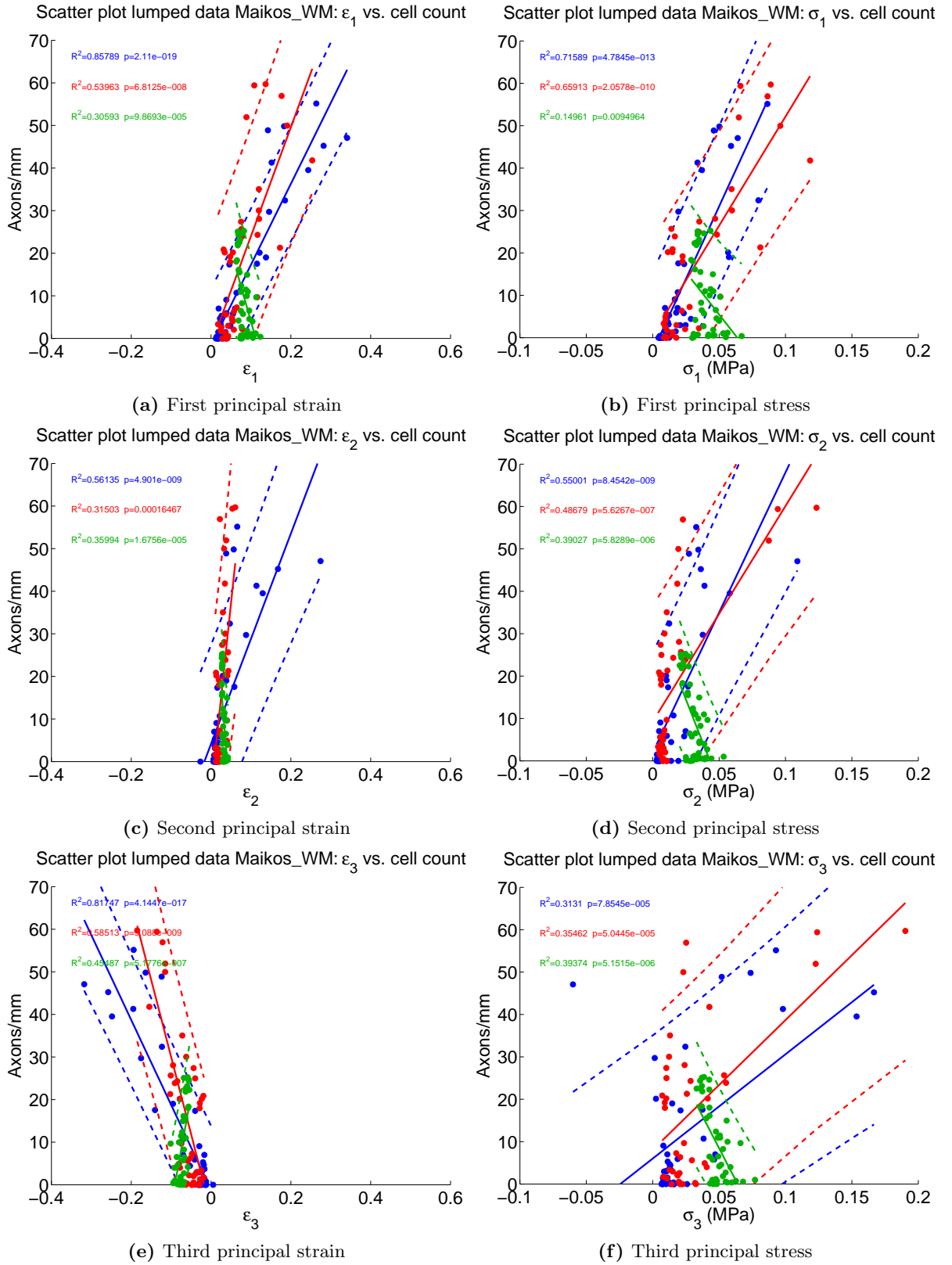
# Appendix E

## Correlation plots

Experimental measurements made by Choo et al. [14] of spinal cord cell membrane permeability are plotted against corresponding stress and strain parameters from FE simulation results for each injury mechanism. Mean data points are matched according to cross-sectional region and axial slice position. Section E.1 shows summary plots wherein data from all four white matter regions have been pooled together for each injury mechanism, while section E.2 shows correlations within each region.

As in Appendix D, blue represents contusion, red dislocation, and green distraction. Linear regression lines of best fit are plotted with solid lines in the colour corresponding to each mechanism, along with 95% confidence intervals in dashed lines.  $R^2$  values are also listed for each mechanism colour, with corresponding  $p$  values.

## E.1 Correlations for pooled white matter regions



**Figure E.1:** Parameter correlations – pooled data for all white matter regions (Maikos properties)

## E.2 Regional correlations

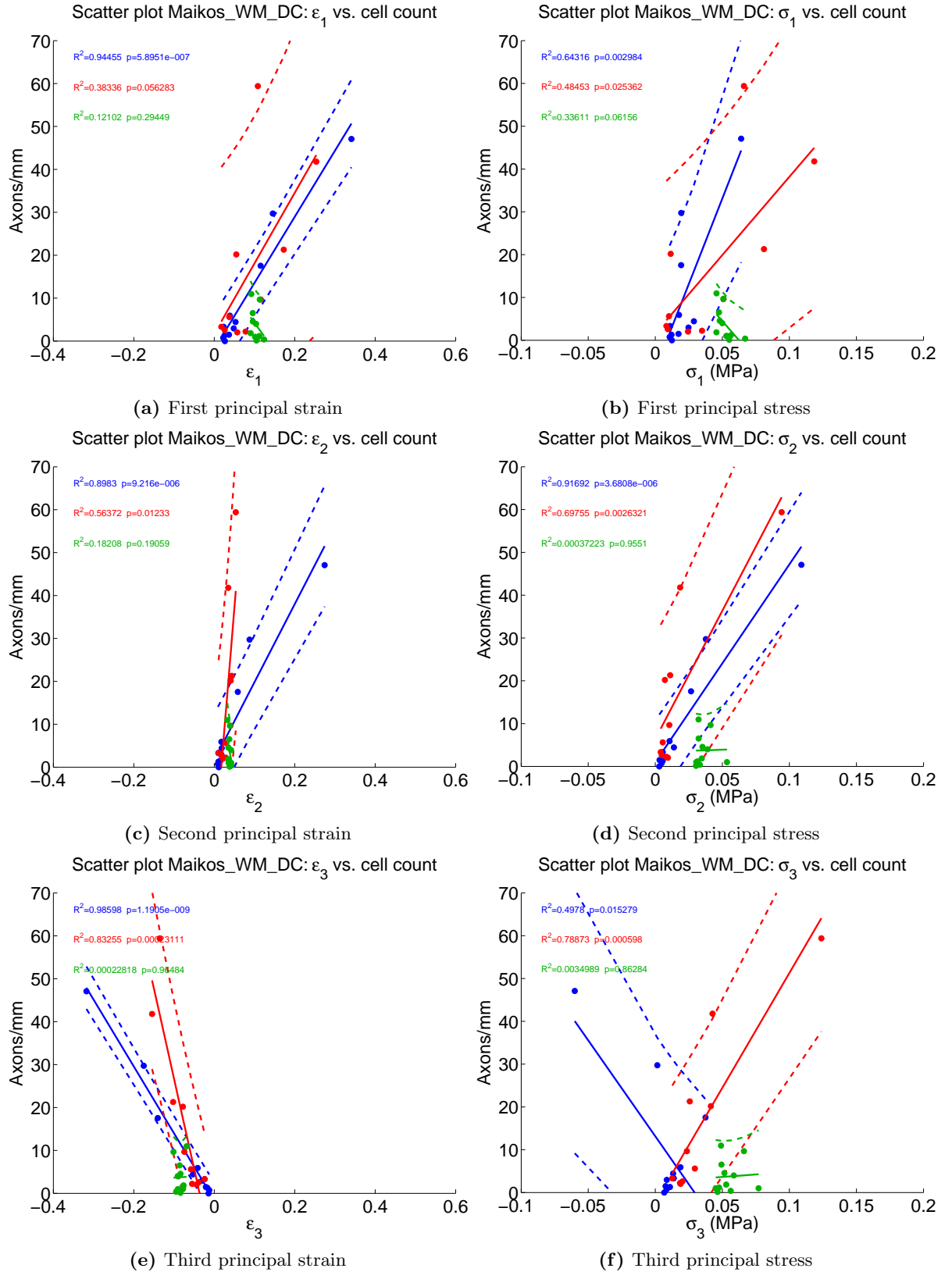
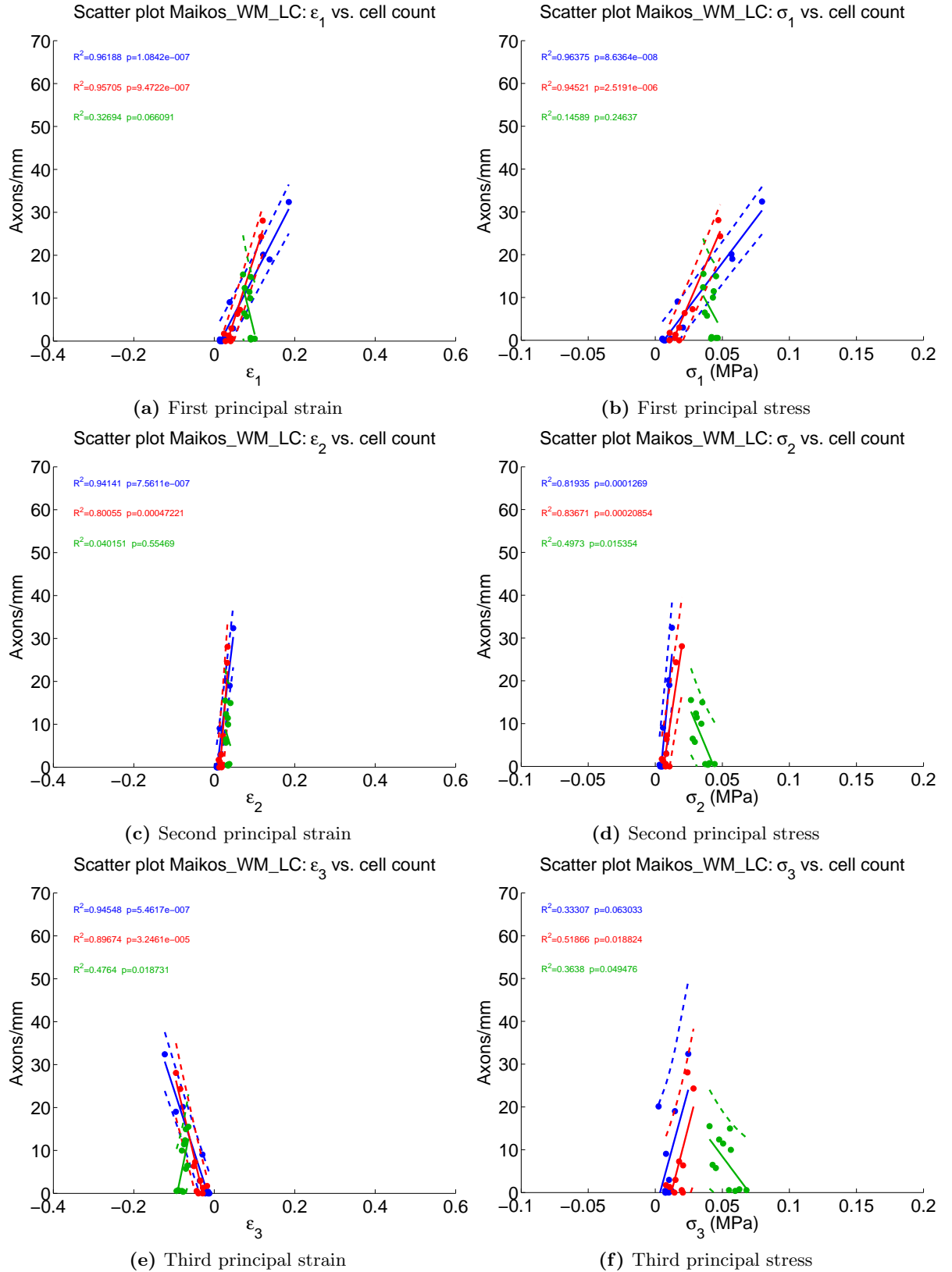
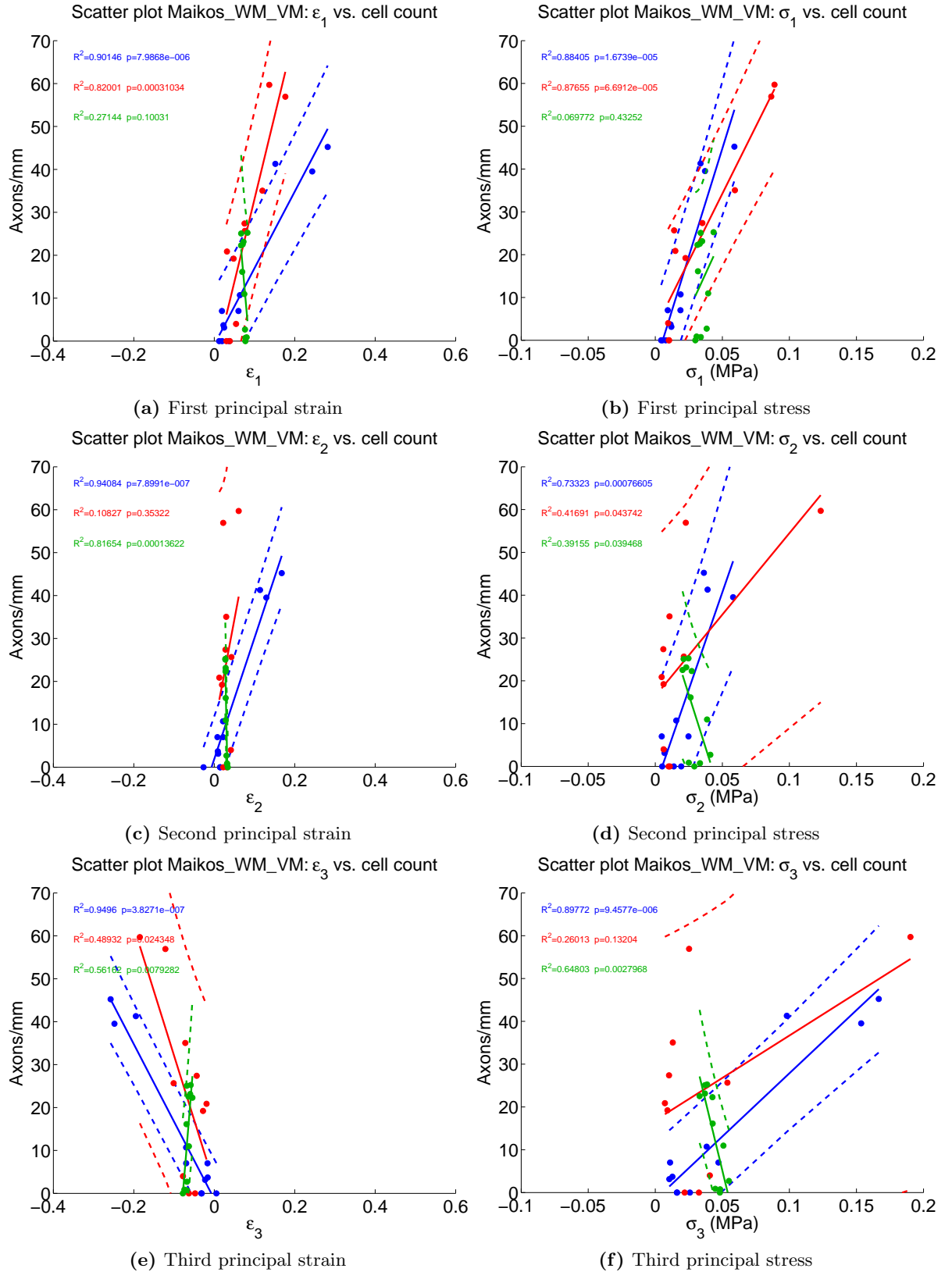


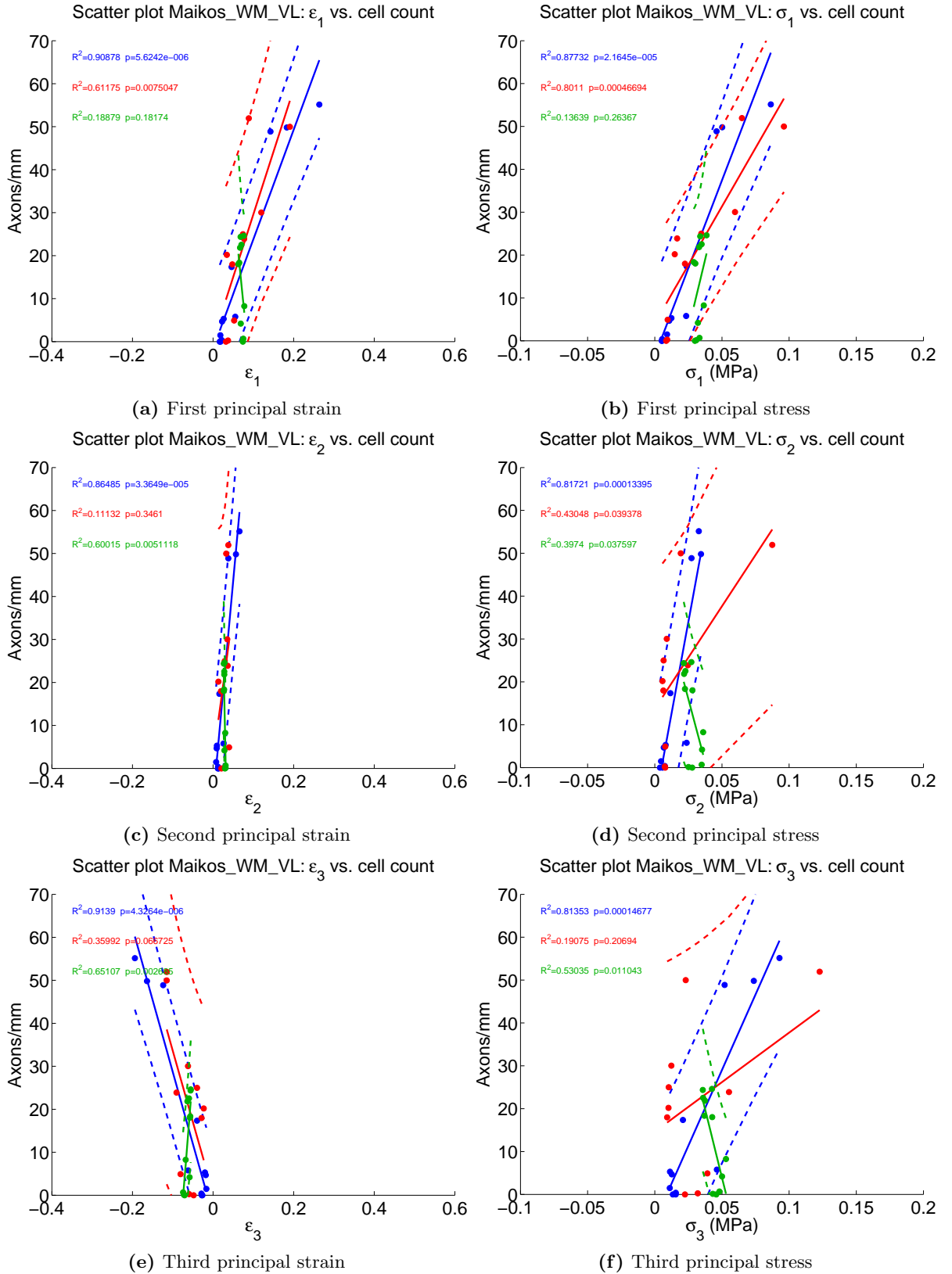
Figure E.2: Parameter correlations – white matter dorsal column region (Maikos properties)



**Figure E.3:** Parameter correlations – white matter lateral column region (Maikos properties)

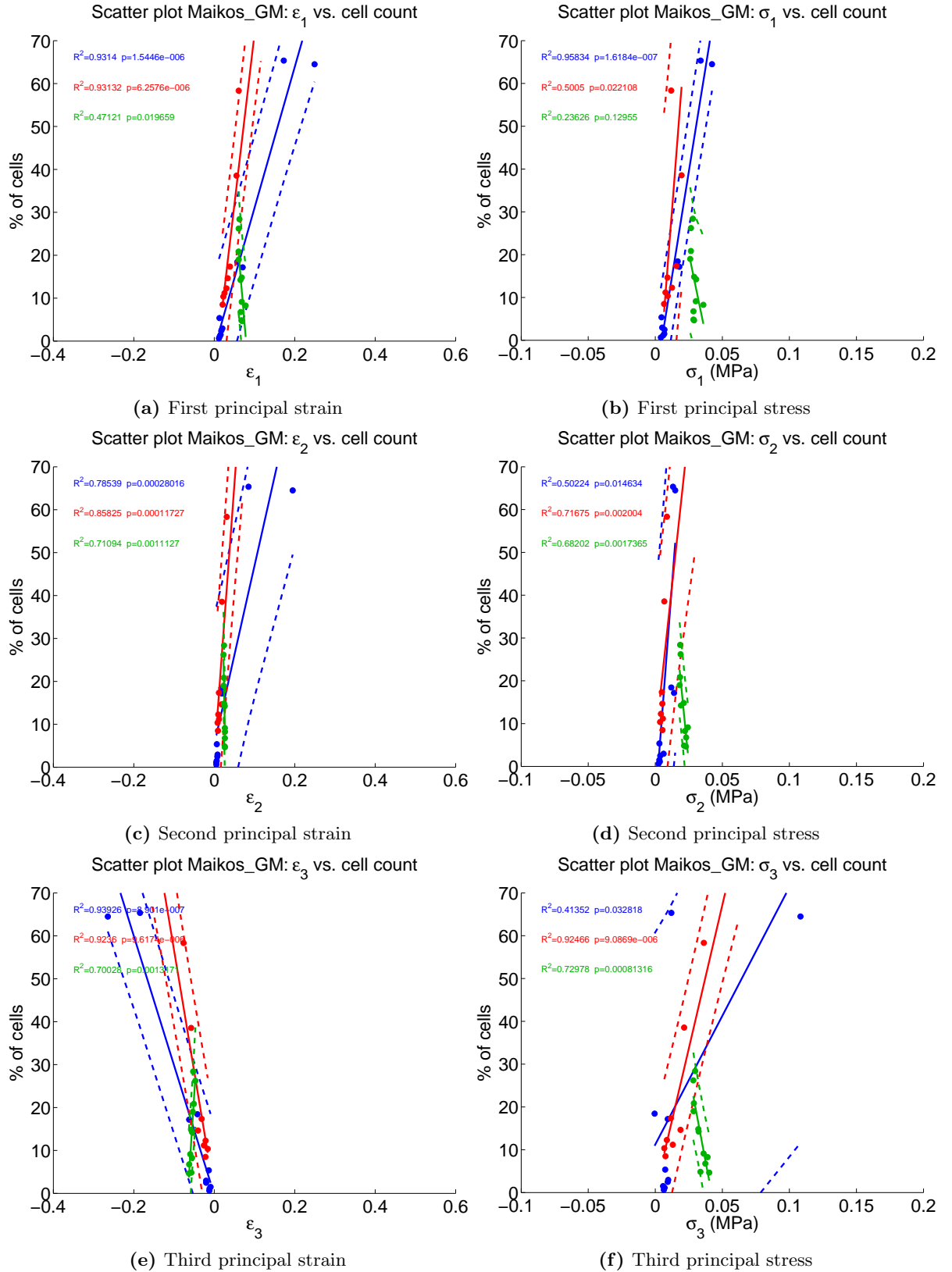


**Figure E.4:** Parameter correlations – white matter ventro-medial region (Maikos properties)



**Figure E.5:** Parameter correlations – white matter ventro-lateral region (Maikos properties)





## Appendix F

# Embedded 3D Model of Segmented Geometry of the Rat Cervical Spine

Click on the image below to enter the 3D model interface. Elements of the model may be highlighted by clicking on them, and can be hidden or made transparent by using the model tree in the navigation panel at the left. The model may be rotated by clicking and holding the left mouse button, and then moving the mouse. Click and hold the right mouse button to zoom. For other options, see the 3D toolbar above the model. This model requires Adobe Acrobat Reader version 7 or higher, available at [www.adobe.com/products/acrobat/readstep2.html](http://www.adobe.com/products/acrobat/readstep2.html).

[Click here to cycle through a set of predefined views.](#)